UNITED STATES HOUSE OF REPRESENTATIVES

CALENDAR YEAR 2010 FINANCIAL DISCLOSURE STATEMENT

FORM A

For use by Members, officers, and employees

HAND DELIVERED

MC

LEGISLATIVE RESOURCE CENTER

2011 JUN 14 PM 1:23

(Office Use Only)

Shelley Moore Capito — (Full Name)

202-225-2711 — (Daytime Telephone)

Filer Status: ☑ Member of the U.S. House of Representatives — State: WV — District: 2 ☐ Officer Or Employee — Employing Office:

Report Type: ☑ Annual (May 15) ☒ Amendment ☐ Termination — Termination Date:

A $200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS

		Yes	No
I.	Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? If yes, complete and attach Schedule I.	☑	☐
II.	Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? If yes, complete and attach Schedule II.	☐	☑
III.	Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? If yes, complete and attach Schedule III.	☑	☐
IV.	Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? If yes, complete and attach Schedule IV.	☑	☐
V.	Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? If yes, complete and attach Schedule V.	☑	☐
VI.	Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $335 and not otherwise exempt)? If yes, complete and attach Schedule VI.	☐	☑
VII.	Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $335 from one source)? If yes, complete and attach Schedule VII.	☐	☑
VIII.	Did you hold any reportable positions on or before the date of filing in the current calendar year? If yes, complete and attach Schedule VIII.	☑	☐
IX.	Did you have any reportable agreement or arrangement with an outside entity? If yes, complete and attach Schedule IX.	☐	☑

Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTIONS

		Yes	No
Trusts-	Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	☐	☑
Exemptions--	Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption? Do not answer "yes" unless you have first consulted with the Committee on Ethics.	☐	☑

SCHEDULE I - EARNED INCOME

Name Shelley Moore Capito

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totaling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

Source	Type	Amount
United Bank	Spouse Salary	N/A
Citigroup Global Mkts	Spouse Salary	N/A

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Shelley Moore Capito

BLOCK A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other reportable asset or sources of income which generated more than $200 in "unearned" income during the year.

Provide complete names of stocks and mutual funds (do not use ticker symbols.)

For all IRAs and other retirement plans (such as 401(k) plans) that are self-directed (i.e.,plans in which you have the power, even if not exercised, to select the specific investments), provide the value for each asset held in the account that exceeds the reporting thresholds. For retirement accounts which are not self-directed, provide only the name of the institution holding the account and its value at the end of the reporting period.

For rental or other real property held for investment, provide a complete address.

For an ownership interest in a privately-held business that is not publically traded, state the name of the business, the nature of its activities, and its geographic location in Block A.

Exclude: Your personal residence, including second homes and vacation homes (unless there was rental income during the reporting [illegible]

BLOCK B — Year-End Value of Asset

at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."

BLOCK C — Type of Income

Check all columns that apply. For retirement accounts that do not allow you to choose specific investments or that generate tax-deferred income (such as 401(k) plans or IRAs), you may check the "None" column. Dividends, interest, and capital gains, even if reinvested, must be disclosed as income. Check "None" if the asset generated no income during the reporting period.

BLOCK D — Amount of Income

For retirement accounts that do not allow you to choose specific investments or that generate tax-deferred income (such as 401(k) plans or IRAs), you may check the "None" column. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, interest, and capital gains, even if reinvested, must be disclosed as income. Check "None" if no income was earned or generated.

BLOCK E — Transaction

Indicate if asset had purchases (P), sales (S), or exchanges (E) exceeding $1,000 in reporting year.

	Block A	Block B	Block C	Block D	Block E
SP	401(k) Blackrock Fed Funds	$1,001 - $15,000	None	NONE	P
SP	401(k) Blackrock Temp Fund	$100,001 - $250,000	None	NONE	PS(part)
SP	401(k) Citigroup Common Stock Fund	$15,001 - $50,000	None	NONE	P
SP	401(k) Emerging Market Equity	$50,001 - $100,000	None	NONE	S(part)
SP	401(k) Morgan Stanley	$1,001 - $15,000	None	NONE	P
SP	401(k) MSCI EAFE Index	$1,001 - $15,000	None	NONE	

SP	401(k) Russell 3000 Fund	$100,001 - $250,000	None	NONE	S(part)
SP	401(k) S&P 500 Index Fund	$100,001 - $250,000	None	NONE	S(part)
SP	401(k) United Bankshares	$1,001 - $15,000	None	NONE	P
SP	401(k) Wellington Large Cap Growth	$100,001 - $250,000	NONE	NONE	S(part)
SP	Alliance Petroleum	$1,001 - $15,000	Royalties	$201 - $1,000	
	BB&T	$1,001 - $15,000	DIVIDENDS	$201 - $1,000	
SP	Bristol Meyers Squibb	$1,001 - $15,000	DIVIDENDS	$201 - $1,000	
SP	BT Group plc	$1,001 - $15,000	DIVIDENDS	$1 - $200	
SP	Chesapeake Energy	None	DIVIDENDS/CAPITAL GAINS	$2,501 - $5,000	S(part)
SP	Cisco Systems	$1,001 - $15,000	None	NONE	
	Cisco Systems	$1,001 - $15,000	None	NONE	
SP	Citigroup Capital Parners II	$15,001 - $50,000	DIVIDENDS/INTEREST	NONE	
SP	Citigroup Capital Partners I	$15,001 - $50,000	INTEREST	$201 - $1,000	
SP	Citigroup Employee Fund of Funds	$50,001 - $100,000	DIVIDENDS/INTEREST	$5,001 - $15,000	

	Citigroup Inc.	$50,001 - $100,000	DIVIDENDS	NONE	
SP	Citigroup Inc.	$100,001 - $250,000	DIVIDENDS	NONE	
SP	Citigroup Venture Capital International Growth Partnership II	$1,001 - $15,000	None	NONE	
SP	Coca-Cola Co.	$1,001 - $15,000	DIVIDENDS	$201 - $1,000	
	Duke Energy	$1,001 - $15,000	DIVIDENDS	$1 - $200	
SP	Edgewood Country Club	None	N/A	NONE	
SP	Exxon Corp	$50,001 - $100,000	DIVIDENDS	$1,001 - $2,500	
SP	General Electric Co	$15,001 - $50,000	DIVIDENDS	$1,001 - $2,500	
SP	Huntington Bancshares Inc	$1 - $1,000	DIVIDENDS	$1 - $200	
JT	Huntington Bancshares Inc	$1,001 - $15,000	DIVIDENDS	$1 - $200	
SP	IBM Corp	$15,001 - $50,000	DIVIDENDS/CAPITAL GAINS	$2,501 - $5,000	S(part)
SP	Intel Corp	$15,001 - $50,000	DIVIDENDS	$201 - $1,000	
SP	IRA - Amgen Inc	$1,001 - $15,000	None	NONE	
SP	IRA - CME Group	$1,001 - $15,000	None	NONE	P

SP	IRA - Coca-Cola Co	$1,001 - $15,000	None	NONE	
SP	IRA - Duke Energy	$1,001 - $15,000	None	NONE	P
SP	IRA - Gen-Probe, Inc	$1,001 - $15,000	None	NONE	P
SP	IRA - Intel	$1,001 - $15,000	None	NONE	P
SP	IRA - Johnson & Johnson	$1,001 - $15,000	None	NONE	P
	IRA - Legg Mason Partners Large Cap Value Fund	$15,001 - $50,000	None	NONE	
	IRA - Middleburg Fin Corp	$1 - $1,000	None	NONE	
SP	IRA - Morgan Stanley	$1,001 - $15,000	None	NONE	
SP	IRA - Pfizer Inc.	$1,001 - $15,000	None	NONE	P
	IRA - Smith Barney Money Fund Retirement Portfolio	$100,001 - $250,000	None	NONE	
SP	IRA - United Bank	$15,001 - $50,000	None	NONE	
SP	IRA - United Parcel Service Inc.	$1,001 - $15,000	None	NONE	
SP	Merck	$1,001 - $15,000	DIVIDENDS	$1 - $200	
SP	Microsoft	$1,001 - $15,000	DIVIDENDS	$201 - $1,000	

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

	Middleburg Fin. Corp	$1,001 - $15,000	DIVIDENDS	$201 - $1,000	
SP	Norfolk Southern Corp	$1,001 - $15,000	DIVIDENDS	$201 - $1,000	
SP	Procter & Gamble	$1,001 - $15,000	DIVIDENDS	$201 - $1,000	
JT	Real Estate, Lexington, VA	$100,001 - $250,000	RENT	NONE	
SP	Royal Dutch Petroleum Co.	$15,001 - $50,000	DIVIDENDS	$1,001 - $2,500	
	Spectra Energy	$1,001 - $15,000	DIVIDENDS	$1 - $200	
	St Paul Travelers	$15,001 - $50,000	DIVIDENDS	$201 - $1,000	
SP	Time Warner	$1,001 - $15,000	DIVIDENDS/CAPITAL GAINS	$1 - $200	
SP	Time Warner Cable	$1 - $1,000	DIVIDENDS/CAPITAL GAINS	$1 - $200	S(part)
SP	TRV Employee Fund, L.P.	None	None	NONE	
SP	United Bank	$1,001 - $15,000	DIVIDENDS	$201 - $1,000	
	Verizon Communications	$1,001 - $15,000	DIVIDENDS	$201 - $1,000	
SP	Vista Resources	$1,001 - $15,000	DIVIDENDS	$201 - $1,000	
	Walt Disney Co.	$1,001 - $15,000	DIVIDENDS	$1 - $200	

	WV Public Employees Retirement System	$1,001 - $15,000	None	NONE	

SCHEDULE IV - TRANSACTIONS

Name Shelley Moore Capito

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Provide a brief description of any exchange transaction. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. If only a portion of an asset is sold, please so indicate (i.e., "partial sale"). See example below.

SP, DC, JT	Asset	Type of Transaction	Capital Gain in Excess of $200?	Date	Amount of Transaction
SP	401(k) Blackrock Fed Funds	P	N/A	4-14-10	$1,001 - $15,000
SP	401(k) Blackrock Temp Fund	S(part)	No	6-7-10	$15,001 - $50,000
SP	401(k) Blackrock Temp Fund	P	N/A	4-1-10	$15,001 - $50,000
SP	401(k) Blackrock Temp Fund	P	N/A	10-27-10	$15,001 - $50,000
SP	401(k) CitiGroup Common Stock Fund	P	N/A	6-7-10	$15,001 - $50,000
SP	401(k) Citigroup Common Stock Fund	P	N/A	4-1-10	$1,001 - $15,000
SP	401(k) Emerging Market Equity Fund	S(part)	Yes	4-1-10	$1,001 - $15,000
SP	401(k) Morgan Stanley	P	N/A	4-25-10	$1,001 - $15,000
SP	401(k) Russell 3000 Fund	S(part)	Yes	4-1-10 and 10-27-10	$15,001 - $50,000
SP	401(k) S&P 500 Index Fund	S(part)	Yes	4-1-10	$1,001 - $15,000
SP	401(k) United Bankshares	P	N/A	Feb. 2010	$1,001 - $15,000

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SP, DC, JT	Asset	Type of Transaction	Capital Gain in Excess of $200?	Date	Amount of Transaction
SP	401(k) Wellington Large Cap Growth	S	Yes	10-27-10	$1,001 - $15,000
SP	401(k) Wellington Large Cap Growth	S	Yes	4-1-10	$1,001 - $15,000
SP	Chesapeake Energy	S(part)	Yes	5-10-10	$1,001 - $15,000
SP	Chesapeake Energy	S(part)	Yes	9-14-10	$1,001 - $15,000
SP	IBM	S(part)	Yes	5-10-10	$1,001 - $15,000
SP	IRA - CME Group	P	N/A	8-6-10	$1,001 - $15,000
SP	IRA - Duke Energy	P	N/A	5-26-10	$1,001 - $15,000
SP	IRA - Gen Probe	P	N/A	8-6-10	$1,001 - $15,000
SP	IRA - Pfizer	P	N/A	12-30-10	$1,001 - $15,000
SP	IRA Intel	P	N/A	8-6-10	$1,001 - $15,000
SP	IRA Johnson & Johnson	P	N/A	5-26-10	$1,001 - $15,000

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SP, DC, JT	Asset	Type of Transaction	Capital Gain in Excess of $200?	Date	Amount of Transaction
SP	Smith Barney Money Fund	S	No	Various	$1,001 - $15,000
JT	Smith Barney Money Fund	S	No	Various	$15,001 - $50,000
	Smith Barney Money Fund	S	No	Various	$1,001 - $15,000
SP	Time Warner Cable	S(part)	No	5-10-10	$1,001 - $15,000
SP	Time Warner Inc	S(part)	No	5-10-10	$1,001 - $15,000

SCHEDULE V - LIABILITIES

Name Shelley Moore Capito

Report liabilities of over $10,000 owed to any one creditor at any time during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. Exclude: Any mortgage on your personal residence (unless all or part of it is rented out); loans secured by automobiles, household furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report "revolving charge accounts" (i.e., credit cards) only if the balance at the close of the preceding calendar year exceeded $10,000.

SP, DC, JT	Creditor	Date Liability Incurred	Type of Liability	Amount of Liability
SP	Smith Barney	1976	Margin Loan	$100,001 - $250,000
JT	CitiMortgage	Aug, 2009	Mortgage on Lexington, VA property	$100,001 - $250,000
SP	CitiGroup VISA	monthly	credit card	$10,001 - $15,000
JT	United Bank Line of Credit	Oct, 2008	Line of credit	$15,001 - $50,000
SP	US Airways Mastercard	monthly	credit card	$10,001 - $15,000

SCHEDULE VIII - POSITIONS

Name Shelley Moore Capito

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or any business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States. Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
National Advisory Board Member	University of Charleston

FOOTNOTES

Name Shelley Moore Capito

Number	Section / Schedule	Footnote	This note refers to the following item
1	Schedule III	Membership certificate was transferred to spouse's name	Edgewood Country Club
2	Schedule III	In 2009, 3 IRA holdings (Covidian, JPMorgan Chase, and Procter & Gamble) reported as partial sales were actually complete dispositions. 12/31/09 values were NONE, thus these items do not appear on the 2010 report.	Spouse IRA
3	Schedule III	In 2009, 3 IRA holdings (Time Warner, Tyco Electronics, and Tyco Intl.) should not have been included on Schedule III. Each had been sold in December, each had a value of less than $1,000, and none resulted in a gain in excess of $200. Thus, those IRA accounts do not appear on the 2010 report.	Spouse IRA