LEGISLATIVE RESOURCE CENTER

2010 MAY 17 PM 2:46

UNITED STATES HOUSE OF REPRESENTATIVES CALENDAR YEAR 2009 FINANCIAL DISCLOSURE STATEMENT	Form A For use by Members, officers, and employees

MC

HAND DELIVERED

Name: James P. Moran, Jr   Daytime Telephone: 202-225-4376

Filer Status	☑ Member of the U.S. House of Representatives State: VA District: 8	☐ Officer or Employee	Employing Office:
Report Type	☑ Annual (May 17, 2010)	☐ Amendment	☐ Termination Termination Date:

A $200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

	Yes	No
I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	☑	☐
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	☐	☑
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	☑	☐
IV. Did you, your spouse, or a dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	☑	☐
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	☑	☐
VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $335 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	☐	☑
VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $335 from one source)? **If yes, complete and attach Schedule VII.**	☑	☐
VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	☐	☑
IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	☐	☑

Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

	Yes	No
TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	☐	☑
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption? Do not answer "yes" unless you have first consulted with the Committee on Standards of Official Conduct.	☐	☑

SCHEDULE I — EARNED INCOME

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000. See examples below.
Exclude: Military pay (such as National Guard or Reserve pay), federal retirement programs, and benefits received under the Social Security Act.

	Source	Type	Amount
Examples:	Keene State	Approved Teaching Fee	$6,000
	State of Maryland	Legislative Pension	$9,000
	Civil War Roundtable (Oct. 2nd)	Spouse Speech	$1,000
	Ontario County Board of Education	Spouse Salary	NA
	Bennett Group, Inc.	Spouse Salary	$48,100

For payments to charity in lieu of honoraria, use Schedule II.

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Name James P. Moran, Jr.

BLOCK A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or sources of income which generated more than $200 in "unearned" income during the year. *For rental property or land,* provide a complete address. Provide full names of stocks and mutual funds (do not use ticker symbols). For ***all IRAs*** and other retirement plans (such as 401(k) plans) that are self directed (*i.e.,* plans in which you have the power, *even if not exercised,* to select the specific investments), provide the value and income information on ***each asset*** in the account that exceeds the reporting threshold. For retirement plans that are not self-directed, name the institution holding the account and its value at the end of the reporting period. For an active business that is not publicly traded, state the name of the business, the nature of its activities, and its geographic location in Block A. For additional information, see the instruction booklet.

Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by you or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; and any financial interest in or income derived from U.S. Government retirement programs.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.

BLOCK B — Value of Asset

Indicate value of asset at close of reporting year. If you use a valuation method other than fair market value, please specify the method used.

If an asset was sold during the reporting year and is included only because it generated income, the value should be "None."

BLOCK C — Type of Income

Check all columns that apply. For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA." For all other assets ***including all IRAs,*** indicate the type of income by checking the appropriate box below. **Dividends and interest, even if reinvested, should be listed as income.** Check "None" if asset did not generate any income during calendar year.

BLOCK D — Amount of Income

For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, ***including all IRAs,*** indicate the category of income by checking the appropriate box below. **Dividends and interest, even if reinvested, should be listed as income.** Check "None" if no income was earned or generated.

BLOCK E — Transaction

Indicate if the asset had purchases (P), sales (S), or exchanges (E) exceeding $1,000 in reporting year.

If only a portion of an asset is sold, please indicate as follows: (S) (partial) See below for example.

SP, DC, JT	Asset and/or Income Source	A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	NONE	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED/BLIND TRUST	Other Type of Income (Specify: For Example, Partnership Income or Farm Income)	I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	P, S, E
Examples: SP	Mega Corp. Stock					X									X			X						X								S (partial)
	Simon & Schuster		Indefinite																	Royalties									X			
	1st Bank of Paducah, KY Accounts						X									X										X						
SP	See attached sheet																															

For additional assets and unearned income, use next page.

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JAMES MORAN & LUANN BENNETT SCHEDULE III - ASSETS AND "UNEARNED" INCOME				
ASSET AND/OR INCOME SOURCE	VALUE OF ASSET	TYPE OF INCOME	AMOUNT OF INCOME	TRANSACTION
UBS - account #8302				
UBS Bank USA Dep Act	$50,001 - $100,000	Interest	$1 - $200	
RMA Money Market Portfolio	None	Dividends	$201 - $1,000	
Other Cash Accounts				
BB&T checking accounts	$15,001 - $50,000	Interest	$1 - $200	
Investments in partnerships, S corporations, & LLC's				
Bennett & Owens partnership - sports management & real estate investment partnership, Washington, D.C.	$15,001 - $50,000	Partnership Income	$5,001 - $15,000	
The Blackstone Group, L.P. - financial services & asset management, New York, NY	None	Partnership Income	$201 - $1,000	S
NJ-H, Inc. (Corporation) - partner in real estate investment partnership, Washington, D.C.	$100,001 - $250,000		None	
Old Westbury Global Private Equity Fund, New York, NY	$100,001 - $250,000	Interest, Dividends, Capital Gains	$2,501 - $5,000	
Bennett Group, Inc. (S Corporation) - developer, Washington, D.C.	$1,000,001 - $5,000,000	S Corporation Income	$50,001 - $100,000	
Loans Receivable				
Note Due from New Jersey & H Limited Partnership, Washington, D.C.	$15,001 - $50,000	Interest	$100,001 - $1,000,000	
RAB JR. MARITAL TRUST FBO LUANN BENNETT				
UBS - account #14467				
UBS Bank USA Dep Acct	$100,001 - $250,000	Interest	$1 - $200	
RMA Money Market Portfolio	None	Dividends	$201 - $1,000	
Investment in partnerships				
Bennett Family LLC	$500,001 - $1,000,000	Interest & Dividends	$15,001 - $50,000	S
Sunoco Partners Marketing & Terminals, L.P. - oil producer, Sugarland, TX	$1,001 - $15,000	Royalties	$201 - $1,000	
BENNETT FAMILY LLC (all values reflect client's 1.235% interest)				
UBS - account #8366				
UBS Select Prime Institutional Fund	$1,001 - $15,000	Dividends	$1 - $200	
UBS - account #8368				
RMA Money Market Portfolio	$1,001 - $15,000	Dividends	$1 - $200	
DB 100% PPN-ABS RTN BAR S&P 500 1/29/2010	$1,001 - $15,000**	Original Issue Discount	$1 - $200	
DB 100% PPN-ABS RTN BAR S&P 500 3/31/2010	$1,001 - $15,000**	Original Issue Discount	$1 - $200	
UBS 100% PPN-ABS RTN BAR S&P 500 2/26/2010	$1,001 - $15,000**	Original Issue Discount	$1 - $200	
UBS Distressed Opportunity Fund II LLC	$1,001 - $15,000**	Interest, Dividends, Capital Gains	$1 - $200	
UBS Real Estate Opportunity Fund III LLC	$1,001 - $15,000**	Interest, Dividends, Capital Gains	$1 - $200	
UBS - account #8369				
RMA Money Market Portfolio	$1,001 - $15,000		None	
Pimco Total Return Fund Class A	$1,001 - $15,000	Dividends	$1 - $200	P
UBS - account #8371				
RMA Money Market Portfolio	$1,001 - $15,000		None	
UBS Credit Recovery Fund LLC	$1,001 - $15,000**	LLC Income	$1 - $200	
UBS Event Fund LLC	$1,001 - $15,000**	Interest, Dividends, Capital Gains	$1 - $200	
Bessemer Trust				
Old Westbury Private Equity Fund 2001 LLC - Series A, New York, NY	$1,001 - $15,000*	LLC Income	$201 - $1,000	
* Because these assets are privately held, the cost basis is used as the best estimate of market value.				
**Because these assets are not publicly traded on stock exchanges, fair market values are based on estimates obtained from third parties or issuers.				

Name James P. Moran, Jr. | Page 5 of 8

SCHEDULE IV— TRANSACTIONS

Report any purchase, sale, or exchange transactions by you, your spouse, or dependent child during the reporting period of any security or real property held for investment that exceeded $1,000. Include transactions that resulted in a capital loss. Provide a brief description of any exchange transaction. Exclude transactions between you, your spouse or dependent children, or the purchase or sale of your personal residence, unless it generates rental income. **If only a portion of an asset is sold, please so indicate (*i.e.*, "partial sale").** See example below.

Capital Gains — if a sales transaction resulted in a capital gain in excess of $200, check the "capital gains" box and disclose this income on Schedule III.

SP, DC, JT	Asset	Type of Transaction: PURCHASE	SALE	EXCHANGE	Check Box if Capital Gain Exceeded $200	Date (MO/DAY/YR) or Quarterly, Monthly, or Bi-weekly, if applicable	Amount of Transaction: B $1,001-$15,000	C $15,001-$50,000	D $50,001-$100,000	E $100,001-$250,000	F $250,001-$500,000	G $500,001-$1,000,000	H $1,000,001-$5,000,000	I $5,000,001-$25,000,000	J $25,000,001-$50,000,000	K Over $50,000,000
SP	*Example:* Mega Coporation Common Stock (partial sale)		X			10–12–09		X								
SP	See attached sheet															

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JAMES MORAN & LUANN BENNETT SCHEDULE IV - TRANSACTIONS				
ASSET	TYPE OF TRANSACTION	DATE PURCHASED	DATE SOLD	AMOUNT OF TRANSACTION
The Blackstone Group, LLC	Sale		6/3/2009	$15,001 - 50,000
RAB JR MARITAL TRUST FBO LUANN BENNETT				
Bennett Family, LLC	Sale		10/7/2009	$500,001 - $1,000,000
BENNETT FAMILY LLC (all values reflect client's 1.235% interest)				
UBS - account #8366				
Ishares Barclays Aggregate Bond Fund	Sale		3/23/2009	$1,001 - $15,000
Ishares Iboxx High Yield Corp. Bond	Sale		3/23/2009	$1,001 - $15,000
UBS - account #8369				
Pimco Total Return Fund Class A	Purchase	8/11/09, 12/8/09		$1,001 - $15,000

Name James P. Moran, Jr. | Page 7 of 8

SCHEDULE V— LIABILITIES

Report liabilities of over $10,000 owed to any one creditor ***at any time*** during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. **Exclude:** Any mortgage on your personal residence (unless it is rented out); loans secured by automobiles, household furniture, or appliances; liabilities of a business in which you own an interest; and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report ***revolving charge accounts*** (i.e., credit cards) only if the balance at the close of the preceding calendar year exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability: B $10,001-$15,000	C $15,001-$50,000	D $50,001-$100,000	E $100,001-$250,000	F $250,001-$500,000	G $500,001-$1,000,000	H $1,000,001-$5,000,000	I $5,000,001-$25,000,000	J $25,000,001-$50,000,000	K Over $50,000,000
	Example: First Bank of Wilmington, Delaware	Mortgage on 123 Main St., Dover, Del.				X						
SP	The Kevin S. Bennett Trust	Note Payable					X					
SP	The Bryan G. Bennett Trust	Note Payable					X					
SP	The Richard A. Bennett III Trust	Note Payable					X					
SP	UBS Financial Services, Inc.	Line of Credit				X						

SCHEDULE VI— GIFTS

Report the source, a brief description, and the value of all gifts totalling more than $335 received by you, your spouse, or a dependent child from any source during the year.

Exclude: Gifts from relatives, gifts of personal hospitality of an individual, local meals, and gifts to a spouse or dependent child that are totally independent of his or her relationship to you. Gifts with a value of $134 or less need not be added towards the $335 disclosure threshold.

Note: The gift rule (House Rule 25, clause 5) prohibits acceptance of gifts except as specifically provided in the rule.

Source	Description	Value
Example: Mr. Joseph H. Smith, Anytown, Anystate	Silver Platter (determination on personal friendship received from Committee on Standards)	$345

Use additional sheets if more space is required.

Name JAMES P. MORAN, JR.

SCHEDULE VII — TRAVEL PAYMENTS AND REIMBURSEMENTS

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $335 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were paid directly by the sponsor or were paid by you and reimbursed by the sponsor.

Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C. §7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

Source	Date(s)	City of Departure—Destination—City of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Number of days not at sponsor's expense
Examples: Chicago Chamber of Commerce	Mar. 2	DC—Chicago—DC	N	N	N	None
Examples: Roycroft Corporation	Aug. 6–11	DC—Los Angeles—Cleveland	Y	Y	Y	2 Days
Franklin Center for Global Policy Exchange	Aug. 8 - 14	DC - Ottawa, Ontario - DC	Y	Y	Y	None

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