

**UNITED STATES HOUSE OF REPRESENTATIVES
CALENDAR YEAR 2009 FINANCIAL DISCLOSURE STATEMENT**

Form A
For use by Members, officers, and employees

Name: Carolyn McCarthy

Daytime Telephone: 516-873-9087

LEGISLATIVE RESOURCE CENTER
2010 MAY 14 PM 4:18

**HAND
DELIVERED**

A \$200 penalty shall be assessed
against anyone who files more
than 30 days late.

Filer Status	☒ Member of the U.S. House of Representatives	State: <u>New York</u>	District: <u>4th</u>	☐ Officer or Employee	Employing Office:
Report Type	☒ Annual (May 17, 2010)	☐ Amendment	☐ Termination	Termination Date:	

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of \$200 or more from any source in the reporting period? If yes, complete and attach Schedule I.	Yes ☐	No ☒	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than \$335 and not otherwise exempt)? If yes, complete and attach Schedule VI.	Yes ☐	No ☒
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? If yes, complete and attach Schedule II.	Yes ☐	No ☒	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than \$335 from one source)? If yes, complete and attach Schedule VII.	Yes ☐	No ☒
III. Did you, your spouse, or a dependent child receive "unearned" income of more than \$200 in the reporting period or hold any reportable asset worth more than \$1,000 at the end of the period? If yes, complete and attach Schedule III.	Yes ☒	No ☐	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? If yes, complete and attach Schedule VIII.	Yes ☒	No ☐
IV. Did you, your spouse, or a dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding \$1,000 during the reporting period? If yes, complete and attach Schedule IV.	Yes ☒	No ☐	IX. Did you have any reportable agreement or arrangement with an outside entity? If yes, complete and attach Schedule IX.	Yes ☐	No ☒
V. Did you, your spouse, or a dependent child have any reportable liability (more than \$10,000) during the reporting period? If yes, complete and attach Schedule V.	Yes ☒	No ☐	Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.		

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS — Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes ☐	No ☒
EXEMPTION — Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption? Do not answer "yes" unless you have first consulted with the Committee on Standards of Official Conduct.	Yes ☐	No ☒

Name Carolyn McCarthy	Page 1 of 1
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Exclude: Military pay (such as National Guard or Reserve pay), federal retirement programs, and benefits received under the Social Security Act.

[illegible]

List the source, activity (i.e., speech, appearance, or article), date, and amount of any payment made by the sponsor of an event to a charitable organization in lieu of an honorarium. A separate confidential list of charities receiving such payments must be filed directly with the Committee on Standards of Official Conduct. A green envelope for transmitting the list is included in each Member's filing package.

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BLOCK E
Transaction

indicate if the asset had purchases (P), sales (S), or exchanges (E) exceeding \$1,000 in reporting year.

If only a portion of an asset is sold, please indicate as follows:

(S) (partial)
See below for example.

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π

S (partial)

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TOTAL MERRILL

Online at: www.mlol.ml.com

MLPF & S CUST FPO
HON CAROLYN MCCARTHY IRA
FBO HON CAROLYN MCCARTHY

24-Hour Assistance: (800) MERRILL

Net Portfolio Value: \$107,922.84

Your Financial Advisor:
EDWARD A COSTELLO
1305 WALT WHITMAN ROAD
MELVILLE NY 11747
edward_costello@ml.com
(800) 937-0611

MOM IRA

December 01, 2009 - December 31, 2009

ASSETS

	December 31	November 30
Cash/Money Accounts	900.97	449.02
Fixed Income	-	-
Equities	27,275.00	27,760.00
Mutual Funds	79,746.87	77,957.61
Options	-	-
Other	-	-
Subtotal (Long Portfolio)	107,922.84	106,166.63
TOTAL ASSETS	\$107,922.84	\$106,166.63

LIABILITIES

Debit Balance	-	-
TOTAL LIABILITIES	-	-
NET PORTFOLIO VALUE	\$107,922.84	\$106,166.63

CASH FLOW

December 01, 2009 - December 31, 2009

	This Statement	Year to Date
Opening Cash/Money Accounts	\$449.02	
CREDITS		
Funds Received	-	-
Electronic Transfers	-	-
Other Credits	-	-
Subtotal	-	-
DEBITS		
Electronic Transfers	-	-
Other Debits	-	-
Subtotal	-	-
Net Cash Flow	-	-
Dividends/Interest Income	588.96	3,442.72
Dividend Reinvestments	(137.01)	(392.38)
Security Purchases/Debits	-	(16,048.02)
Security Sales/Credits	-	10,860.12
Closing Cash/Money Accounts	\$900.97	
Securities You Transferred In/Out	94.07	175.03

MOM IRA

24-Hour Assistance: (800) MERRILL

ACCOUNT INVESTMENT OBJECTIVE

December 01, 2009 - December 31, 2009

GROWTH: Objective is to accumulate wealth over time through price appreciation rather than current income. The investor should be willing to accept the risk of price volatility and principal loss in seeking to achieve growth.

If you have changes to your investment objective, please contact your Financial Advisor(s).

YOUR RETIREMENT ACCOUNT ASSETS

CASH/MONEY ACCOUNTS									
Description	Quantity	Cost Basis	Estimated Market Price	Estimated Market Value	Estimated Annual Income	Est. Annual Yield%			
CASH	326.38	326.38		326.38					
FIA CARD SERVICES NA RASP	574.59	574.59	1.0000	574.59	1	.10			
TOTAL		900.97		900.97	1	.10			

EQUITIES									
Description	Symbol	Acquired	Quantity	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Annual Income
GENERAL ELECTRIC	GE	01/17/95	200	8.7339	1,746.79	15.1300	3,026.00	1,279.21	80
GREAT PLAINS ENERGY INC	GXP	06/20/07	300	29.6445	8,893.35	19.3900	5,817.00	(3,076.35)	249
MOTOROLA INC	MOT	05/11/07	200	18.5067	3,701.35	7.7600	1,552.00	(2,149.35)	353
PROCTER & GAMBLE CO	PG	01/17/95	200	15.6283	3,125.67	60.6300	12,126.00	9,000.33	2.90
THOMSON	TMS	06/20/07	200	19.0267	3,805.35	1.2600	252.00	(3,553.35)	40
US BANCORP (NEW)	USB	04/27/07	200	34.8367	6,967.35	22.5100	4,502.00	(2,465.35)	.88
TOTAL					28,239.86		27,275.00	(964.86)	722
									2.65

RESEARCH RATINGS

Security	Symbol	BAS-ML Research Rating	IPR Rating*	Jaywalk Consensus Rating
GENERAL ELECTRIC	GE	B-2-8 Buy -Morningstar	Hold -Standard & Poor's	SELL
GREAT PLAINS ENERGY INC	GXP	N/A Hold -Morningstar	Hold -Standard & Poor's	HOLD
MOTOROLA INC	MOT	C-1-9 Hold -Morningstar	Buy -Standard & Poor's	BUY
PROCTER & GAMBLE CO	PG	A-2-7 Buy -Morningstar	Buy -Standard & Poor's	
THOMSON	TMS	N/A Sell -Morningstar	Sell -Standard & Poor's	

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TOTAL MERRILL

MOM IRA

YOUR RETIREMENT ACCOUNT ASSETS

December 01, 2009 - December 31, 2009

RESEARCH RATINGS (continued)

Security	Symbol	BAS-ML Research Rating		Hold - Morningstar	IPR Rating*	Jaywalk Consensus Rating		
		Rating	IPR Rating*			SELL	HOLD	BUY
US BANCORP (NEW)	USB	B-1-7				☐	☐	☐
								2.19

PLEASE REFER TO THE BACK OF YOUR STATEMENT FOR A GUIDE TO YOUR BAS-ML RESEARCH AND IPR RATINGS.

MUTUAL FUNDS/CLOSED END FUNDS/UIT									
Description	Quantity	Total Client Investment	Cumulative Return	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Annual Income	Current Yield%
BLACKROCK INTL GROWTH & INCOME TR	285	4,675	(1,380)	4,675.20	11,5600	3,294.60	(1,380.60)	519	15.74
SYMBOL: BGY	Initial Purchase: 04/17/08								
Equity 100%									
EATON VANCE TAX MAN GLB	300	4,262	(95)	4,262.79	13,8900	4,167.00	(95.79)	540	12.95
BUY WRT OPP FD	Initial Purchase: 11/23/09								
SYMBOL: ETW									
Equity 100%									
EATON VANCE TAX-MANAGED GLOBAL DIVERSIFIED EQUITY INCOME FUND	280	4,622	(1,169)	4,622.15	12,3300	3,452.40	(1,169.75)	532	15.40
SYMBOL: EXG	Initial Purchase: 04/17/08								
Equity 100%									
FID ADV LATIN AMER B	56	2,167	456	2,327.81	46,8600	2,624.16	296.35	13	.48
SYMBOL: FLTBX	Initial Purchase: 03/27/07 .5570 Fractional Share								
Equity 100%									
FIDELITY ADV EMERGING	99	2,530	92	2,556.53	26,4900	2,622.51	65.98	3	.10
ASIA FD CL B									
SYMBOL: FERBX	Initial Purchase: 04/17/08 .6980 Fractional Share								
Equity 100%									

MOM IRA

24-Hour Assistance: (800) MERRILL

YOUR RETIREMENT ACCOUNT ASSETS

December 01, 2009 - December 31, 2009

MUTUAL FUNDS/CLOSED END FUNDS/UIT									
(continued) Description	Quantity	Total Client Investment	Cumulative Investment Return	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Annual Income	Current Yield%
FIDELITY ADV LEVERAGED COMPANY STOCK FD CL B SYMBOL: FLCBX Equity 100%	119	3,956	(790)	4,063.19	26.6100	3,166.59	(896.60)	6	.16
FIDELITY ADVISOR REAL ESTATE FD CL B SYMBOL: FHEBX Equity 100%	143	2,496	(666)	2,540.24	12.8000	1,830.40	(709.84)	26	1.39
FIDELITY ADV STRATEGIC DIV & INCM FD CL B SYMBOL: FBSDX Equity 100%	326	3,959	(865)	4,272.41	9.4900	3,093.74	(1,178.67)	56	1.78
FIDELITY ADVISOR ENERGY FD CL B SYMBOL: FANRX Equity 100%	68	2,046	(101)	2,760.58	28.6000	1,944.80	(815.78)	1	1.78
FIDELITY ADV HEALTH CARE FD CL B SYMBOL: FAHTX Equity 100%	440	8,786	(914)	9,704.43	17.8900	7,871.60	(1,832.83)		
FIDELITY ADV SMALL CAP FD CL B SYMBOL: FSCBX Equity 100%	199	4,309	(377)	4,621.35	19.7600	3,932.24	(689.11)		
ISHARES IBOXXS HIGH YEL	50	4,365	26	4,365.85	87.8400	4,392.00	26.15	417	9.48



TOTAL MERRILL

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YOUR RETIREMENT ACCOUNT ASSETS

December 01, 2009 - December 31, 2009

MUTUAL FUNDS/CLOSED END FUNDS/UIT		Quantity	Total Client Investment	Cumulative Investment Return	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Current Annual Income	Current Yield%
(continued)		Description								
CORPORATE BOND FUND										
SYMBOL: HYG		Initial Purchase: 11/18/09								
Fixed Income 100%										
ISHARES S&P GROW ALLOCAT	150		4,379	(38)	4,379.45	28.9400	4,341.00	(38.45)	115	2.64
SYMBOL: AOR		Initial Purchase: 11/24/09								
Fixed Income 27% Equity 73%										
MARKET VECTORS GLOBAL	90		1,561	688	1,561.37	25.0000	2,250.00	688.63	2	.04
AL T										
SYMBOL: GEX		Initial Purchase: 03/17/09								
Equity 100%										
NFI DIV INT PREM STRAT AND PREMIUM STRATEGY FD	505		12,625	(5,176)	12,625.00	14.7500	7,448.75	(5,176.25)	303	4.06
SYMBOL: NFJ		Initial Purchase: 02/23/05								
Equity 100%										
PIONEER HIGH YIELD	858		4,601	3,266	9,918.79	9.1700	7,867.86	(2,050.93)	477	6.05
FUND CL B										
SYMBOL: TBHYX		Initial Purchase: 06/10/03								
Fixed Income 100%										
PIONEER CLASSIC BALANCED	976		9,040	(656)	10,550.24	8.5900	8,383.84	(2,166.40)	172	2.04
FUND CL B										
SYMBOL: ASBBX		Initial Purchase: 06/18/07								
Fixed Income 37% Equity 63%										
PIONEER EQUITY INCOME	321		9,895	(2,990)	10,764.85	21.5100	6,904.71	(3,860.14)	87	1.25
FD CL B										
SYMBOL: PBEQX		Initial Purchase: 05/16/07								
2850 Fractional Share										

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YOUR RETIREMENT ACCOUNT ASSETS

December 01, 2009 - December 31, 2009

MUTUAL FUNDS/CLOSED END FUNDS/UIT									
(continued)	Description	Quantity	Total Client Investment	Cumulative Investment Return	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Annual Income
Equity 100%									

PIONEER BOND FUND		2	N/A	N/A	N/A	9.1200	18.24	N/A	2
SYMBOL: PIOBX	Initial Purchase: N/A		.4710 Fractional Share		N/A	9.1200	4.29	N/A	1
Fixed Income 100%									

Subtotal (Fixed Income)									
Subtotal (Equities)						16,559.20		63,187.67	
TOTAL						(110,689)		100,572.23	
								79,746.87	
								(20,984.03)	
								3,279	
								4.11	

Total Client Investment: Cost of shares directly purchased and still held. Does not include shares purchased through reinvestment.

Cumulative Investment Return: Estimated Market Value minus Total Client Investment. Cumulative Investment Return is the capital appreciation (depreciation) of all shares purchased, including shares purchased through reinvestment.

Market Timing: Merrill Lynch's policies prohibit mutual fund market timing, which involves the purchase and sale of mutual fund shares within short periods of time with the intention of capturing short-term profits resulting from market volatility. Market timing may result in lower returns for long-term fund shareholders because market timers capture short-term gains that would otherwise pass to all shareholders and due to increased transaction costs and fewer assets for investment due to the need to retain cash to satisfy redemptions.

Unrealized Gain or (Loss): Estimated Market Value minus Total Cost Basis (total cost of shares directly purchased and still held, as well as cost of shares acquired through reinvestment). Provided for Tax Planning purposes only and is not applicable to retirement accounts.

Initial Purchase: Date of your initial investment in this fund.

LONG PORTFOLIO									
	Adjusted/Total Cost Basis	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Accrued Interest	Estimated Annual Income	Current Yield%			
TOTAL	129,713.06	107,922.84	(21,948.89)		4,001	3.71			

Total values exclude N/A items

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TOTAL MERRILL

24-Hour Assistance: (800) MERRILL

Online at: www.mlol.ml.com

HON CAROLYN MCCARTHY

Net Portfolio Value: \$328,940.49

Your Financial Advisor:
 EDWARD A COSTELLO
 1305 WALT WHITMAN ROAD
 MELVILLE NY 11747
edward_costello@ml.com
 (800) 937-0611

MOM MAIN

December 01, 2009 - December 31, 2009

ASSETS

	December 31	November 30
Cash/Money Accounts	6,835.19	5,675.33
Fixed Income	151,208.30	152,180.93
Equities	-	-
Mutual Funds	168,441.24	165,705.24
Options	-	-
Other	-	-
<i>Subtotal (Long Portfolio)</i>	<i>326,484.73</i>	<i>323,561.50</i>
Estimated Accrued Interest	2,455.76	1,876.87
TOTAL ASSETS	\$328,940.49	\$325,438.37

LIABILITIES

Debit Balance	-	-
Short Market Value	-	-
TOTAL LIABILITIES	-	-
NET PORTFOLIO VALUE	\$328,940.49	\$325,438.37

CASH FLOW

	This Statement	Year to Date
Opening Cash/Money Accounts	\$5,675.33	
CREDITS		
Funds Received	-	-
Electronic Transfers	-	-
Other Credits	-	2.10
<i>Subtotal</i>	<i>-</i>	<i>2.10</i>
DEBITS		
Electronic Transfers	(416.66)	(4,999.92)
Margin Interest Charged	-	-
Other Debits	-	(125.00)
Visa Purchases (debits)	-	-
ATM/Cash Advances	-	-
Checks Written/Bill Payment	-	(26,175.41)
<i>Subtotal</i>	<i>(416.66)</i>	<i>(31,300.33)</i>
Net Cash Flow	(\$416.66)	(\$31,298.23)
Dividends/Interest Income	1,576.52	15,886.26
Security Purchases/Debits	-	(55,025.26)
Security Sales/Credits	-	55,275.00
Closing Cash/Money Accounts	\$6,835.19	
Securities You Transferred In/Out	-	(14.03)

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MOM MAIN

24-Hour Assistance: (800) MERRILL

ACCOUNT INVESTMENT OBJECTIVE

December 01, 2009 - December 31, 2009

GROWTH: Objective is to accumulate wealth over time through price appreciation rather than current income. The investor should be willing to accept the risk of price volatility and principal loss in seeking to achieve growth.

If you have changes to your investment objective, please contact your Financial Advisor(s).

YOUR CMA BANK DEPOSIT INTEREST SUMMARY

Money Account Description	Opening Balance	Average Deposit Balance	Current Yield%	Interest on Deposits	Closing Balance
FIA Card Services, N.A.	5,480	5,413	.10	0.43	5,519
Bank of America RI, N.A.	47	47	.10	0.00	47
TOTAL ML Bank Deposit Program	5,527			0.43	5,566

YOUR CMA ASSETS

CASH/MONEY ACCOUNTS	Quantity	Total Cost Basis	Estimated Market Price	Estimated Market Value	Estimated Annual Income	Est. Annual Yield%
CASH	1,269.19	1,269.19		1,269.19		
ML BANK DEPOSIT PROGRAM	5,566.00	5,566.00	1,0000	5,566.00	6	.10
TOTAL		6,835.19		6,835.19	6	.10

GOVERNMENT AND AGENCY SECURITIES ¹									
Description	Acquired	Quantity	Adjusted/Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Annual Interest	Estimated Annual Income	Estimated Current Yield%
0 U.S. TRSY INFLATION NOTE	01/16/07	23,000	24,956.38	100.3360	26,332.79	1,376.41	42.57	230	.87
0.875% APR 15 2010 INFL ADJ PRIN 26,244									
CUSIP: 912828CZ1									
ORIGINAL UNIT/TOTAL COST: 100.8353/23,192.12									
A U.S. TRSY INFLATION NOTE	03/24/06	19,000	23,299.62	107.5160	24,560.22	1,260.60	261.77	686	2.79
3.00% JUL 15 2012 INFL ADJ PRIN 22,843									
CUSIP: 912828AF7									
ORIGINAL UNIT/TOTAL COST: 115.8521/22,011.90									

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TOTAL MERRILL

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YOUR CMA ASSETS

December 01, 2009 - December 31, 2009

GOVERNMENT AND AGENCY SECURITIES ¹ (continued)									
Description	Acquired	Quantity	Adjusted/Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Accrued Interest	Estimated Current Annual Income	Estimated Current Yield%
Δ U.S. TREASURY BOND									
10.625% AUG 15 2015 CUSIP: 912810DS4	03/24/06	18,000	23,196.47	140.4380	25,278.84	2,082.37	717.19	1,913	7.56
ORIGINAL UNIT/TOTAL COST: 144,3867/25,989.61									
Δ U.S. TREASURY BOND									
09.000% NOV 15 2018 CUSIP: 912810EB0	03/24/06	7,000	9,092.42	140.4060	9,828.42	736.00	80.06	630	6.40
ORIGINAL UNIT/TOTAL COST: 139,2344/9,746.41									
TOTAL		67,000	80,544.89		86,000.27	5,455.38	1,101.59	3,459	4.02
MUNICIPAL BONDS									
Δ NEW YORK NY SUBSERIES									
SER F-1 AMBAC SEP05 05.000%SEP01 21	03/19/08	10,000	10,420.47	105.1430	10,514.30	93.83	166.67	500	4.75
MOODY'S: AA3 S&P: AA CUSIP: 64966FMS0									
ORIGINAL UNIT/TOTAL COST: 105,5535/10,555.35									
Δ NEW YORK ST TWY AT GEN									
RV SER G FSA SEP05 04.750%JAN01 30	09/24/09	50,000	52,392.18	100.5290	50,264.50	(2,127.68)	1,187.50	2,375	4.72
MOODY'S: AA3 S&P: AAA CUSIP: 650009QNG									
ORIGINAL UNIT/TOTAL COST: 105,0107/52,505.35									
TOTAL		60,000	62,812.65		60,778.80	(2,033.85)	1,354.17	2,875	4.73
PREFERRED STOCKS									
FEDERAL HOME LOAN MORTGA									
SRS X TRUST PFD SECS 06.02% PERP	01/07/08	500	11,353.35	0.9000	450.00	(10,903.35)			
MOODY'S: CA S&P: C CUSIP: 313400657									
ING GROEP NV									
ING PERPETUAL DEBT SEC 6.125%	01/07/08	237	5,216.98	16.7900	3,979.23	(1,237.75)		363	9.11
MOODY'S: BA1 S&P: B CUSIP: 456837509									
TOTAL		737	16,570.33		4,429.23	(12,141.10)		363	8.20

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MOM MAIN

24-Hour Assistance: (800) MERRILL

YOUR CMA ASSETS

December 01, 2009 - December 31, 2009

PLEASE REFER TO NOTES BELOW FOR INFORMATION REGARDING CREDIT RATINGS.

MUTUAL FUNDS/CLOSED END FUNDS/UIT	Quantity	Total Client Investment	Cumulative Investment Return	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Current Annual Income Yield%
Description								
BLACKROCK NY MUNI INC	900	15,075	(2,025)	15,075.36	14.5000	13,050.00	(2,025.36)	891 6.82
SYMBOL: BNY	Initial Purchase: 02/04/08							
Fixed Income 100%								
BLDRS EMER MKTS 50 ADR	140	6,092	86	6,092.14	44.1300	6,178.20	86.06	144 2.32
INDEX								
SYMBOL: ADRE	Initial Purchase: 05/16/07							
Equity 100%								
COHEN & STEERS REIT & UTILITIES INC FD	300	5,355	(2,169)	5,355.36	10.6200	3,186.00	(2,169.36)	204 6.40
SYMBOL: RTU	Initial Purchase: 02/21/08							
Equity 100%								
EATON VANCE TAX MAN GLB	500	8,800	(1,855)	8,800.35	13.8900	6,945.00	(1,855.35)	900 12.95
BUY WRT OPP FD								
SYMBOL: ETW	Initial Purchase: 02/04/08							
Equity 100%								
ISHARES MSCI BRAZIL FREE INDEX FUND	105	6,037	1,796	6,037.20	74.6100	7,834.05	1,796.85	24 .29
SYMBOL: EWZ	Initial Purchase: 05/16/07							
Equity 100%								
J HANCOCK PREF INCOME 3 INCOME FUND III	500	10,470	(2,925)	10,470.35	15.0900	7,545.00	(2,925.35)	673 8.91
SYMBOL: HPS	Initial Purchase: 02/04/08							
Fixed Income 100%								
MARKET VECTORS ETF TR	125	6,182	(849)	6,182.85	42.6700	5,333.75	(849.10)	47 .88

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TOTAL MERRILL

MOM MAIN

YOUR CMA ASSETS

December 01, 2009 - December 31, 2009

MUTUAL FUNDS/CLOSED END FUNDS/UIT (continued) Description	Quantity	Total Client Investment	Cumulative Investment Return	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Current Annual Income Yield%
ENVIRONMENTAL SVCS								
SYMBOL: EVX Equity 100%	Initial Purchase: 05/16/07							
MARKET VECTORS RUSSIA	160	6,194	(1,204)	6,194.55	31.1900	4,990.40	(1,204.15)	13 .25
SYMBOL: RSX Equity 100%	Initial Purchase: 05/16/07							
MARKET VECTORS GLOBAL	150	6,061	(2,311)	6,061.35	25.0000	3,750.00	(2,311.35)	2 .04
ALT								
SYMBOL: GEX Equity 100%	Initial Purchase: 05/16/07							
NEUBERGER RE SEC INC	422	4,399	(3,112)	4,399.35	3.0500	1,287.10	(3,112.25)	102 7.86
ESTATE SECS INCOME FD								
SYMBOL: NRO Equity 100%	Initial Purchase: 02/21/08							
NFJ DIV INT PREM STRAT	500	11,600	(4,225)	11,600.35	14.7500	7,375.00	(4,225.35)	300 4.06
AND PREMIUM STRATEGY FD								
SYMBOL: NFJ Equity 100%	Initial Purchase: 02/04/08							
NUVEEN EQTY PREM OPP	500	8,500	(1,900)	8,500.35	13.2000	6,600.00	(1,900.35)	672 10.18
SYMBOL: JSN Equity 100%	Initial Purchase: 02/04/08							
PIMCO CORPORATE OPPTY FD	500	7,919	(719)	7,919.35	14.4000	7,200.00	(719.35)	690 9.58
SYMBOL: PTY Fixed Income 100%	Initial Purchase: 02/04/08							

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24-Hour Assistance: (800) MERRILL

YOUR CMA ASSETS

December 01, 2009 - December 31, 2009

MUTUAL FUNDS/CLOSED END FUNDS/UIT									
(continued)	Quantity	Total Client Investment	Cumulative Investment Return	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Annual Income	Current Yield%
Description									
PIONEER MU HI INC ADVT	900	13,499	(2,096)	13,499.37	12.6700	11,403.00	(2,096.37)	918	8.05
ADVANTAGE TR									
SYMBOL: MAV		Initial Purchase: 02/04/08							
Fixed Income 100%									
POWERSHARES GLOBAL	80	1,939	(82)	1,939.35	23.2150	1,857.20	(82.15)	91	4.87
EXCHANGE TRADED FD TR									
SYMBOL: PZT		Initial Purchase: 09/24/09							
Fixed Income 100%									
ROCHESTER MUNICIPAL FDS	159		2,493	2,884.02	15.6800	2,493.12	(390.90)	123	4.91
CL B									
SYMBOL: RMUBX		Initial Purchase: REINV	.8190 Fractional Share	N/A	15.6800	12.84	N/A	1	4.91
Fixed Income 100%									
ROCHESTER MUNICIPALS FD	3,138	49,984	(718)	54,549.81	15.7000	49,266.60	(5,283.21)	2,840	5.76
CL A									
SYMBOL: RMUNX		Initial Purchase: 06/10/03	.9420 Fractional Share	N/A	15.7000	14.78	N/A	1	5.76
Fixed Income 100%									
SOURCE CAP INC	200	11,657	(3,075)	11,657.35	42.9080	8,581.60	(3,075.75)	400	4.66
SYMBOL: SOR		Initial Purchase: 02/04/08							
Equity 100%									
WISDOMTREE TR INTL BASIC	175	6,122	(1,201)	6,122.60	28.1200	4,921.00	(1,201.60)	2	.02
MATERIALS SECTO									
SYMBOL: DBN		Initial Purchase: 05/16/07							
Equity 100%									
WISDOMTREE INTL COMM	195	6,108	(1,451)	6,108.15	23.8800	4,656.60	(1,451.55)	111	2.37
SECTO									

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TOTAL MERRILL

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YOUR CMA ASSETS

December 01, 2009 - December 31, 2009

MUTUAL FUNDS/CLOSED END FUNDS/UIT

(continued) Description	Quantity	Total Client Investment	Cumulative Investment Return	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Annual Income	Current Yield%
SYMBOL: DCG Initial Purchase: 05/16/07									
Equity 100%									
WISDOMTREE INTL IND SEC	180	6,160	(2,200)	6,160.95	22.0000	3,960.00	(2,200.95)	24	.59
SYMBOL: DDI Initial Purchase: 05/16/07									
Equity 100%									
Subtotal (Fixed Income)				92,842.54					
Subtotal (Equities)				75,598.70					
TOTAL			(29,742)	205,610.51		168,441.24	(37,196.89)	9,173	5.45

Total Client Investment: Cost of shares directly purchased and still held. Does not include shares purchased through reinvestment.

Cumulative Investment Return: Estimated Market Value minus Total Client Investment. Cumulative Investment Return is the capital appreciation (depreciation) of all shares purchased, including shares purchased through reinvestment.

Market Timing: Merrill Lynch's policies prohibit mutual fund market timing, which involves the purchase and sale of mutual fund shares within short periods of time with the intention of capturing short-term profits resulting from market volatility. Market timing may result in lower returns for long-term fund shareholders because market timers capture short-term gains that would otherwise pass to all shareholders and due to increased transaction costs and fewer assets for investment due to the need to retain cash to satisfy redemptions.

LONG PORTFOLIO									
	Adjusted/Total Cost Basis	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Accrued Interest	Estimated Annual Income	Current Yield%			
TOTAL	372,373.57	326,484.73	(45,916.46)	2,455.76	15,875	4.86			

Notes

- Δ Debt Instruments purchased at a premium show amortization
- Θ Debt Instruments purchased at a discount show accretion
- * Some agency securities are not backed by the full faith and credit of the United States government.
- Total values exclude N/A items
- For Credit Ratings: S&P and Moody's provide credit ratings on the credit quality of certain bonds and preferred stocks. For a credit enhanced security, Moody's and S&P publish and provide third party vendors the higher of the rating on the credit enhancer (guarantor) or the stand alone rating on the underlying security.

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TOTAL MERRILL

24-Hour Assistance: (800) MERRILL

Online at: www.ml.com

HON CAROLYN MCCARTHY

Net Portfolio Value: \$304,363.04

Your Financial Advisor:
 EDWARD A COSTELLO
 1305 WALT WHITMAN ROAD
 MELVILLE NY 11747
edward_costello@ml.com
 (800) 937-0611



This account is enrolled in the Personal Investment Advisory Program

December 01, 2009 - December 31, 2009

ASSETS

	December 31	November 30
Cash/Money Accounts	11,851.02	4,894.72
Fixed Income	-	-
Equities	105,286.65	107,512.68
Mutual Funds	187,225.37	185,063.25
Options	-	-
Other	-	-
Subtotal (Long Portfolio)	304,363.04	297,470.65
TOTAL ASSETS	\$304,363.04	\$297,470.65

CASH FLOW

	This Statement	Year to Date
Opening Cash/Money Accounts	\$4,894.72	
CREDITS		
Funds Received	-	-
Electronic Transfers	-	-
Other Credits	-	290.15
Subtotal	-	290.15
DEBITS		
Electronic Transfers	-	-
Margin Interest Charged	-	-
Other Debits	(18.93)	(4,317.14)
Visa Purchases (debits)	-	-
ATM/Cash Advances	-	-
Checks Written/Bill Payment	-	-
Subtotal	(18.93)	(4,317.14)
Net Cash Flow	(\$18.93)	(\$4,026.99)
Dividends/Interest Income	1,7718.58	8,117.55
Security Purchases/Debits	-	(317,365.20)
Security Sales/Credits	5,256.65	298,535.78
Closing Cash/Money Accounts	\$11,851.02	
Securities You Transferred In/Out	-	-

MGR 24-Hour Assistance: (800) MERRILL

ACCOUNT INVESTMENT OBJECTIVE

December 01, 2009 - December 31, 2009

GROWTH: Objective is to accumulate wealth over time through price appreciation rather than current income. The investor should be willing to accept the risk of price volatility and principal loss in seeking to achieve growth.

If you have changes to your investment objective, please contact your Financial Advisor(s).

YOUR CMA BANK DEPOSIT INTEREST SUMMARY

Money Account Description	Opening Balance	Average Deposit Balance	Current Yield%	Interest on Deposits	Closing Balance
FIA Card Services, N.A.	4,882	7,404	.40	2.48	10,816
Bank of America RI, N.A.	8	8	.40	0.00	8
TOTAL ML Bank Deposit Program	4,890			2.48	10,824

YOUR CMA ASSETS

CASH/MONEY ACCOUNTS	Quantity	Total Cost Basis	Estimated Market Price	Estimated Market Value	Estimated Annual Income	Est. Annual Yield%
CASH	1,027.02	1,027.02		1,027.02		
ML BANK DEPOSIT PROGRAM	10,824.00	10,824.00	1.0000	10,824.00	43	.40
TOTAL		11,851.02		11,851.02	43	.40

EQUITIES	Symbol	Acquired	Quantity	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Annual Income	Current Yield%
ABBOTT LABS	ABT	03/15/07	29	53.5303	1,552.38	53.9900	1,565.71	13.33	47	2.96
		01/18/08	5	59.8900	299.45	53.9900	269.95	(29.50)	8	2.96
		07/09/08	10	57.4570	574.57	53.9900	539.90	(34.67)	16	2.96
Subtotal			44		2,426.40		2,375.56	(50.84)	71	2.96



TOTAL MERRILL

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YOUR CMA ASSETS

December 01, 2009 - December 31, 2009

EQUITIES (continued)									
Description	Symbol	Acquired	Quantity	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Current Income Yield%
AETNA INC NEW	AET	01/07/09	30	29.1506	874.52	31.7000	951.00	76.48	2 .12
		01/08/09	10	29.0350	290.35	31.7000	317.00	26.65	1 .12
		01/14/09	12	26.0200	312.24	31.7000	380.40	68.16	1 .12
		01/28/09	19	33.3589	633.82	31.7000	602.30	(31.52)	1 .12
Subtotal			71		2,110.93		2,250.70	139.77	5 .12
AFFILIATED COMP SVCS A	ACS	03/04/08	19	51.3694	976.02	59.6900	1,134.11	158.09	1 .17
AGRIUM INC	AGU	07/23/08	4	92.8450	371.38	61.5000	246.00	(125.38)	1 .17
		09/02/08	6	79.8766	479.26	61.5000	369.00	(110.26)	1 .17
		11/12/08	10	33.9860	339.86	61.5000	615.00	275.14	2 .17
Subtotal			20		1,190.50		1,230.00	39.50	4 .17
ALLSTATE CORP DEL COM	ALL	03/24/06	18	53.1905	957.43	30.0400	540.72	(416.71)	15 2.66
		03/15/07	17	59.5200	1,011.84	30.0400	510.68	(501.16)	14 2.66
		11/05/08	21	29.3295	615.92	30.0400	630.84	14.92	17 2.66
Subtotal			56		2,585.19		1,682.24	(902.95)	46 2.66
ALTRIA GROUP INC	MO	08/31/07	9	21.1533	190.38	19.6300	176.67	(13.71)	13 6.92
		01/04/08	10	23.0690	230.69	19.6300	196.30	(34.39)	14 6.92
		04/10/08	75	21.4905	1,611.79	19.6300	1,472.25	(139.54)	102 6.92
		03/10/09	20	16.1875	323.75	19.6300	392.60	68.85	28 6.92
		03/11/09	44	16.4393	723.33	19.6300	863.72	140.39	60 6.92
		05/15/09	33	17.1218	565.02	19.6300	647.79	82.77	45 6.92
Subtotal			191		3,644.96		3,749.33	104.37	262 6.92
AMEREN CORP	AEE	09/11/07	5	50.5860	252.93	27.9500	139.75	(113.18)	8 5.50
		09/12/07	10	51.3220	513.22	27.9500	279.50	(233.72)	16 5.50
		09/14/07	10	51.9460	519.46	27.9500	279.50	(239.96)	16 5.50
		09/26/07	10	52.9430	529.43	27.9500	279.50	(249.93)	16 5.50
		09/27/07	15	53.0693	796.04	27.9500	419.25	(376.79)	24 5.50
Subtotal			50		2,611.08		1,397.50	(1,213.58)	80 5.50
AT&T INC	T	03/24/06	59	27.3700	1,614.83	28.0300	1,653.77	38.94	100 5.99
		03/15/07	15	36.9500	554.25	28.0300	420.45	(133.80)	26 5.99
Subtotal			74		2,169.08		2,074.22	(94.86)	126 5.99

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MGR 24-Hour Assistance: (800) MERRILL

YOUR CMA ASSETS December 01, 2009 - December 31, 2009

EQUITIES (continued)									
Description	Symbol	Acquired	Quantity	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Current Income Yield%
AXA SPONS ADR	AXA	03/24/06	3	33.2400	99.72	23.6800	71.04	(28.68)	2 1.92
		03/15/07	12	39.2908	471.49	23.6800	284.16	(187.33)	6 1.92
		10/03/07	7	44.8757	314.13	23.6800	165.76	(148.37)	4 1.92
		04/02/08	9	38.8866	349.98	23.6800	213.12	(136.86)	5 1.92
		07/22/08	12	29.3125	351.75	23.6800	284.16	(67.59)	6 1.92
		11/06/08	17	18.7688	319.07	23.6800	402.56	83.49	8 1.92
Subtotal			60		1,906.14		1,420.80	(485.34)	31 1.92
BOEING COMPANY	BA	08/08/08	15	66.8313	1,002.47	54.1300	811.95	(190.52)	26 3.10
		08/12/08	20	66.0995	1,321.99	54.1300	1,082.60	(239.39)	34 3.10
		01/29/09	15	40.7000	610.50	54.1300	811.95	201.45	26 3.10
		01/30/09	7	41.3185	289.23	54.1300	378.91	89.68	12 3.10
		02/02/09	19	40.5036	769.57	54.1300	1,028.47	258.90	32 3.10
Subtotal			76		3,993.76		4,113.88	120.12	130 3.10
CALPINE CORP	CPN	06/01/09	57	14.4645	824.48	11.0000	627.00	(197.48)	
CANADIAN PACIFIC RAILWAY LTD	CP	07/22/08	13	64.6907	840.98	54.0000	702.00	(138.98)	13 1.72
CENOVUS ENERGY INC	CVE	08/29/08	15	36.2713	544.07	25.2000	378.00	(166.07)	3 .79
		09/08/08	20	32.7930	655.86	25.2000	504.00	(151.86)	4 .79
Subtotal			35		1,199.93		882.00	(317.93)	7 .79
CISCO SYSTEMS INC COM	CSCO	03/15/07	27	25.7900	696.33	23.9400	646.38	(49.95)	
		04/23/07	55	26.5758	1,461.67	23.9400	1,316.70	(144.97)	
		04/09/09	27	17.8692	482.47	23.9400	646.38	163.91	
Subtotal			109		2,640.47		2,609.46	(31.01)	
COCA COLA COM	KO	10/22/07	18	58.9322	1,060.78	57.0000	1,026.00	(34.78)	30 2.87
		04/07/08	15	60.4493	906.74	57.0000	855.00	(51.74)	25 2.87
		09/11/08	5	53.7860	268.93	57.0000	285.00	16.07	9 2.87
Subtotal			38		2,236.45		2,166.00	(70.45)	64 2.87
CONOCOPHILLIPS	COP	03/24/06	22	61.8304	1,360.27	51.0700	1,123.54	(236.73)	44 3.91
		03/15/07	16	67.0400	1,072.64	51.0700	817.12	(255.52)	32 3.91
Subtotal			38		2,432.91		1,940.66	(492.25)	76 3.91



TOTAL MERRILL

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YOUR CMA ASSETS

December 01, 2009 - December 31, 2009

EQUITIES (continued)									
Description	Symbol	Acquired	Quantity	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Current Income Yield%
DIAGEO PLC SPSD ADR NEW	DEO	07/21/08	7	72.7842	509.49	69.4100	485.87	(23.62)	16 3.26
		11/06/08	2	58.6600	117.32	69.4100	138.82	21.50	5 3.26
			9		626.81		624.69	(2.12)	21 3.26
DIAMOND OFFSHORE DRLLNG	D0	12/26/07	10	145.8420	1,458.42	98.4200	984.20	(474.22)	5 .50
		12/31/07	10	142.9140	1,429.14	98.4200	984.20	(444.94)	5 .50
		01/12/09	7	58.6085	410.26	98.4200	688.94	278.68	4 .50
			27		3,297.82		2,657.34	(640.48)	14 .50
ENCANA CORP	ECA	08/29/08	15	38.5146	577.72	32.3900	485.85	(91.87)	12 2.46
		09/08/08	20	34.8215	696.43	32.3900	647.80	(48.63)	16 2.46
			35		1,274.15		1,133.65	(140.50)	28 2.46
FRANCE TELECOM ADR	FTE	09/18/08	12	29.0200	348.24	25.2400	302.88	(45.36)	20 6.59
		10/02/08	11	28.0581	308.64	25.2400	277.64	(31.00)	19 6.59
		01/22/09	6	24.8983	149.39	25.2400	151.44	2.05	10 6.59
		01/27/09	7	24.3042	170.13	25.2400	176.68	6.55	12 6.59
			36		976.40		908.64	(67.76)	61 6.59
GEN-PROBE INC DELAWARE	GPRO	03/16/09	16	45.1156	721.85	42.9200	686.72	(35.13)	
GILEAD SCIENCES INC COM	GILD	04/18/08	24	51.5150	1,236.36	43.2700	1,038.48	(197.88)	
		11/18/08	8	45.2525	362.02	43.2700	346.16	(15.86)	
		04/07/09	10	47.5150	475.15	43.2700	432.70	(42.45)	
			42		2,073.53		1,817.34	(256.19)	
GLAXOSMITHKLINE PLC ADR	GSK	03/24/06	7	53.6014	375.21	42.2500	295.75	(79.46)	13 4.38
		03/15/07	22	54.7900	1,205.38	42.2500	929.50	(275.88)	41 4.38
		06/05/07	35	51.7982	1,812.94	42.2500	1,478.75	(334.19)	65 4.38
		06/11/07	30	52.2656	1,567.97	42.2500	1,267.50	(300.47)	56 4.38
		07/21/08	20	48.8045	976.09	42.2500	845.00	(131.09)	38 4.38
		05/14/09	14	32.2607	451.65	42.2500	591.50	139.85	26 4.38
			128		6,399.24		5,408.00	(991.24)	239 4.38
GOOGLE INC CL A	GOOG	06/19/08	3	555.7100	1,667.13	619.9800	1,859.94	192.81	
		06/24/08	1	546.2800	546.28	619.9800	619.98	73.70	
			4		2,213.41		2,479.92	266.51	

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24-Hour Assistance: (800) MERRILL

YOUR CMA ASSETS

December 01, 2009 - December 31, 2009

EQUITIES (continued)									
Description	Symbol	Acquired	Quantity	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Current Yield%
HALLIBURTON COMPANY	HAL	01/11/08	20	36.0105	720.21	30.0900	601.80	(118.41)	8 1.19
		01/14/08	15	36.5133	547.70	30.0900	451.35	(96.35)	6 1.19
		01/22/08	15	31.9373	479.06	30.0900	451.35	(27.71)	6 1.19
		01/23/08	20	31.0430	620.86	30.0900	601.80	(19.06)	8 1.19
		11/05/08	22	19.4777	428.51	30.0900	661.98	233.47	8 1.19
Subtotal			92		2,796.34		2,768.28	(28.06)	36 1.19
HEINZ H J CO PV 25CT	HNZ	04/02/08	22	47.7836	1,051.24	42.7600	940.72	(110.52)	37 3.92
HOME DEPOT INC	HD	11/30/07	7	28.7928	201.55	28.9300	202.51	.96	7 3.11
		12/12/07	10	28.8300	288.30	28.9300	289.30	1.00	9 3.11
		01/18/08	30	27.2046	816.14	28.9300	867.90	51.76	27 3.11
		01/23/08	30	29.1983	875.95	28.9300	867.90	(8.05)	27 3.11
Subtotal			77		2,181.94		2,227.61	45.67	70 3.11
HUDSON CITY BANCORP INC	HCBK	04/30/08	43	19.4388	835.87	13.7300	590.39	(245.48)	26 4.37
		11/06/08	13	17.6161	229.01	13.7300	178.49	(50.52)	8 4.37
Subtotal			56		1,064.88		768.88	(296.00)	34 4.37
KIMBERLY CLARK	KMB	03/24/06	17	59.1905	1,006.24	63.7100	1,083.07	76.83	41 3.76
		03/15/07	12	67.0100	804.12	63.7100	764.52	(39.60)	29 3.76
Subtotal			29		1,810.36		1,847.59	37.23	70 3.76
KRAFT FOODS INC VA CLA	KFT	05/01/07	33	33.4860	1,105.04	27.1800	896.94	(208.10)	39 4.26
		05/09/07	20	32.7420	654.84	27.1800	543.60	(111.24)	24 4.26
		05/10/07	10	32.7910	327.91	27.1800	271.80	(56.11)	12 4.26
Subtotal			63		2,087.79		1,712.34	(375.45)	75 4.26
LOCKHEED MARTIN CORP	LMT	08/27/07	5	101.1300	505.65	75.3500	376.75	(128.90)	13 3.34
		10/09/07	10	111.4680	1,114.68	75.3500	753.50	(361.18)	26 3.34
		09/05/08	5	114.9980	574.99	75.3500	376.75	(198.24)	13 3.34
		09/17/08	5	110.8720	554.36	75.3500	376.75	(177.61)	13 3.34
Subtotal			25		2,749.68		1,883.75	(865.93)	65 3.34
MARATHON OIL CORP	MRO	03/24/06	16	38.7286	619.61	31.2200	499.52	(120.09)	16 3.07
		03/15/07	32	47.0700	1,506.24	31.2200	999.04	(507.20)	31 3.07
		11/04/08	14	29.8185	417.46	31.2200	437.08	19.62	14 3.07
Subtotal			62		2,543.37		1,935.64	(607.67)	61 3.07

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TOTAL MERRILL

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YOUR CMA ASSETS

December 01, 2009 - December 31, 2009

EQUITIES (continued) Description	Symbol	Acquired	Quantity	Unit		Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Current Annual Income	Current Yield%
				Cost Basis	Unit						
MICROSOFT CORP	MSFT	03/15/07	63	27.2700		1,718.01	30.4800	1,920.24	202.23	33	1.70
		08/08/07	20	29.8960		597.92	30.4800	609.60	11.68	11	1.70
		10/17/07	30	31.1933		935.80	30.4800	914.40	(21.40)	16	1.70
		01/18/08	10	33.8120		338.12	30.4800	304.80	(33.32)	6	1.70
		10/14/08	15	24.1213		361.82	30.4800	457.20	95.38	8	1.70
		10/28/08	37	21.8751		809.38	30.4800	1,127.76	318.38	20	1.70
		11/03/08	45	22.8644		1,028.90	30.4800	1,371.60	342.70	24	1.70
		06/17/09	20	23.4450		468.90	30.4800	609.60	140.70	11	1.70
Subtotal			240			6,258.85		7,315.20	1,056.35	129	1.70
MITSUI CO ADR	MITSY	10/19/07	1	509.3900		509.39	285.6600	285.66	(223.73)	2	.49
		01/25/08	1	376.4600		376.46	285.6600	285.66	(90.80)	2	.49
		09/12/08	1	300.9200		300.92	285.6600	285.66	(15.26)	2	.49
		11/04/08	3	245.4000		736.20	285.6600	856.98	120.78	5	.49
Subtotal			6			1,922.97		1,713.96	(209.01)	11	.49
NEW YORK CMINTY BANCORP	NYB	01/23/09	64	12.0906		773.80	14.5100	928.64	154.84	64	6.89
		01/29/09	20	13.2840		265.68	14.5100	290.20	24.52	20	6.89
		01/30/09	47	13.5795		638.24	14.5100	681.97	43.73	47	6.89
Subtotal			131			1,677.72		1,900.81	223.09	131	6.89
NOKIA CORP SPON ADR	NOK	04/28/08	12	29.5950		355.14	12.8500	154.20	(200.94)	5	3.05
		05/19/08	6	30.0000		180.00	12.8500	77.10	(102.90)	3	3.05
Subtotal			18			535.14		231.30	(303.84)	8	3.05
PANERA BREAD CO CL A	PNRA	04/01/09	13	55.4753		721.18	66.9400	870.22	149.04	40	2.96
		03/15/07	22	62.8300		1,382.26	60.8000	1,337.60	(44.66)	18	2.96
		09/25/07	10	71.6100		716.10	60.8000	608.00	(108.10)	9	2.96
		07/07/08	5	66.4920		332.46	60.8000	304.00	(28.46)	9	2.96
Subtotal			37			2,430.82		2,249.60	(181.22)	67	2.96

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24-Hour Assistance: (800) MERRILL

YOUR CMA ASSETS

December 01, 2009 - December 31, 2009

EQUITIES (continued)		Symbol		Acquired		Quantity	Unit		Total	Estimated	Estimated	Unrealized	Estimated					
Description							Cost Basis	Cost Basis						Market Price	Market Value	Gain/(Loss)	Annual Income	Current
																		Yield%
PFIZER INC																		
		PFE	03/24/06			8	26,0212	208.17	18,1900	145.52	(62.65)	6	3.95					
			04/25/06			5	24,7480	123.74	18,1900	90.95	(32.79)	4	3.95					
			04/26/06			40	24,9690	998.76	18,1900	727.60	(271.16)	29	3.95					
			04/28/06			35	25,1485	880.20	18,1900	636.65	(243.55)	26	3.95					
			03/15/07			117	24,8800	2,910.96	18,1900	2,128.23	(782.73)	85	3.95					
						205		5,127.83		3,728.95	(1,392.88)	150	3.95					
PHILIP MORRIS INTL INC																		
		PM	07/14/08			6	53,5050	321.03	48,1900	289.14	(31.89)	14	4.81					
			09/11/08			20	54,4900	1,089.80	48,1900	963.80	(126.00)	47	4.81					
			01/14/09			11	41,4072	455.48	48,1900	530.09	74.61	26	4.81					
						37		1,866.31		1,783.03	(83.28)	87	4.81					
		QCOM	02/19/08			5	42,8140	214.07	46,2600	231.30	17.23	4	1.47					
			06/12/08			20	49,1645	983.29	46,2600	925.20	(58.09)	14	1.47					
			07/31/08			10	55,6080	556.08	46,2600	462.60	(93.48)	7	1.47					
						35		1,753.44		1,619.10	(134.34)	25	1.47					
		RAI	03/24/06			14	53,4400	748.16	52,9700	741.58	(6.58)	51	6.79					
			03/15/07			22	60,0500	1,321.10	52,9700	1,165.34	(155.76)	80	6.79					
						36		2,069.26		1,906.92	(162.34)	131	6.79					
ROYAL DUTCH SHELL PLC																		
		RDSA	09/25/07			5	83,0360	415.18	60,1100	300.55	(114.63)	15	4.75					
			09/26/07			10	83,0260	830.26	60,1100	601.10	(229.16)	29	4.75					
			10/02/07			20	81,8770	1,637.54	60,1100	1,202.20	(435.34)	58	4.75					
			05/14/09			22	49,3736	1,086.22	60,1100	1,322.42	236.20	63	4.75					
						57		3,969.20		3,426.27	(542.93)	165	4.75					
SASOL LTD SPONSORED ADR																		
		SSL	08/08/08			10	52,3910	523.91	39,9400	399.40	(124.51)	11	2.71					
			08/11/08			12	52,4733	629.68	39,9400	479.28	(150.40)	14	2.71					
			11/10/08			17	27,6511	470.07	39,9400	678.98	208.91	19	2.71					
						39		1,623.66		1,557.66	(66.00)	44	2.71					
SK TELECOM																		
		SKM	07/24/08			20	20,4230	408.46	16,2600	325.20	(83.26)	12	3.62					
			07/25/08			19	20,4310	388.19	16,2600	308.94	(79.25)	12	3.62					
						39		796.65		634.14	(162.51)	24	3.62					



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TOTAL MERRILL

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YOUR CMA ASSETS

December 01, 2009 - December 31, 2009

EQUITIES (continued)		Symbol	Acquired	Quantity	Unit		Total	Estimated	Estimated	Unrealized	Estimated
Description					Cost Basis	Cost Basis					
STAPLES INC		SPLS	06/25/08	52	25.1088	1,305.66	24.5900	1,278.68	(26.98)	18	1.34
			09/11/08	20	24.5850	491.70	24.5900	491.80	.10	7	1.34
			04/09/09	18	21.0744	379.34	24.5900	442.62	63.28	6	1.34
Subtotal				90		2,176.70		2,213.10	36.40	31	1.34
STATOIL ASA SHS		STO	07/24/08	33	30.4718	1,005.57	24.9100	822.03	(183.54)	20	2.34
			11/11/08	15	18.1060	271.59	24.9100	373.65	102.06	9	2.34
Subtotal				48		1,277.16		1,195.68	(81.48)	29	2.34
SUPERVALU INC DEL COM		SVU	02/18/09	44	17.6625	777.15	12.7100	559.24	(217.91)	31	5.50
			02/25/09	36	18.1791	654.45	12.7100	457.56	(196.89)	26	5.50
Subtotal				80		1,431.60		1,016.80	(414.80)	57	5.50
TOTAL S.A. SP ADR		TOT	12/27/07	15	82.3686	1,235.53	64.0400	960.60	(274.93)	42	4.33
			01/03/08	15	84.7386	1,271.08	64.0400	960.60	(310.48)	42	4.33
Subtotal				30		2,506.61		1,921.20	(585.41)	84	4.33
TRANSCANADA CORP		TRP	07/29/08	5	37.5460	187.73	34.3700	171.85	(15.88)	8	4.15
			07/30/08	5	37.6820	188.41	34.3700	171.85	(16.56)	8	4.15
			07/31/08	11	38.5881	424.47	34.3700	378.07	(46.40)	16	4.15
Subtotal				21		800.61		721.77	(78.84)	32	4.15
UNILEVER PLC NEW ADR		UL	06/27/07	10	32.1840	321.84	31.9000	319.00	(2.84)	11	3.14
			10/10/07	5	32.3260	161.63	31.9000	159.50	(2.13)	6	3.14
			10/11/07	5	33.0740	165.37	31.9000	159.50	(5.87)	6	3.14
			11/07/07	6	35.7366	214.42	31.9000	191.40	(23.02)	7	3.14
			01/30/08	4	32.5475	130.19	31.9000	127.60	(2.59)	5	3.14
Subtotal				30		993.45		957.00	(36.45)	35	3.14
VALERO ENERGY CORP NEW		VLO	04/16/09	37	21.3170	788.73	16.7500	619.75	(168.98)	23	3.58
			04/20/09	35	20.1171	704.10	16.7500	586.25	(117.85)	21	3.58
Subtotal				72		1,492.83		1,206.00	(286.83)	44	3.58
WAL-MART STORES INC		WMT	06/24/08	9	57.6466	518.82	53.4500	481.05	(37.77)	10	2.03
			06/27/08	20	56.9735	1,139.47	53.4500	1,069.00	(70.47)	22	2.03
			07/09/08	10	58.4360	584.36	53.4500	534.50	(49.86)	11	2.03
			09/11/08	5	62.4580	312.29	53.4500	267.25	(45.04)	6	2.03
Subtotal				44		2,554.94		2,351.80	(203.14)	49	2.03

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24-Hour Assistance: (800) MERRILL

YOUR CMA ASSETS

December 01, 2009 - December 31, 2009

EQUITIES (continued)											
Description	Symbol	Acquired	Quantity	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Annual Income	Current Yield%	
WASTE MANAGEMENT INC NEW	WM 01/24/08	02/01/08	18	30.3988	547.18	33.8100	608.58	61.40	21	3.42	
		02/01/08	10	32.9520	329.52	33.8100	338.10	8.58	12	3.42	
		02/04/08	30	33.2630	997.89	33.8100	1,014.30	16.41	35	3.42	
Subtotal			58		1,874.59		1,960.98	86.39	68	3.42	
WINDSTREAM CORP	WIN 08/23/06	10/16/06	10	12.7710	127.71	10.9900	109.90	(17.81)	10	9.09	
		10/17/06	45	13.7753	619.89	10.9900	494.55	(125.34)	45	9.09	
		03/15/07	20	13.6735	273.47	10.9900	219.80	(53.67)	20	9.09	
		11/10/08	130	14.3500	1,865.50	10.9900	1,428.70	(436.80)	130	9.09	
Subtotal			36	8.9433	321.96	10.9900	395.64	73.68	36	9.09	
TOTAL			241		3,208.53		2,648.59	(559.94)	241	9.09	
					116,712.08		105,286.65	(11,425.43)	3,368	3.20	

MUTUAL FUNDS/CLOSED END FUNDS/UIT											
Description	Quantity	Total Client Investment	Cumulative Investment Return	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Annual Income	Current Yield%		
ISHARES MSCI ITALY INDEX FUND	100	1,996	(45)	1,996.64	19.5100	1,951.00	(45.64)	44	2.23		
SYMBOL: EWI Initial Purchase: 09/14/09 Equity 100%											
ISHARES MSCI SPAIN INDEX FUND	50	2,019	382	2,019.50	48.0400	2,402.00	382.50	103	4.26		
SYMBOL: EWP Initial Purchase: 06/29/09 Equity 100%											
ISHARES MSCI FRANCE INDX FUND	100	2,077	507	2,077.70	25.8500	2,585.00	507.30	25	.93		
SYMBOL: EWQ Initial Purchase: 06/29/09 Equity 100%											
ISHARES S&P 500 VALUE INDEX FUND	195	8,579	1,757	8,579.83	53.0100	10,336.95	1,757.12	265	2.55		



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YOUR CMA ASSETS

December 01, 2009 - December 31, 2009

MUTUAL FUNDS/CLOSED END FUNDS/UIT		Total Client		Cumulative		Total		Estimated		Estimated		Unrealized		Estimated	
(continued)	Description	Quantity	Investment	Investment	Return	Cost Basis	Market Price	Market Price	Market Value	Gain/(Loss)	Annual Income	Yield%			
	SYMBOL: IVE Equity 100%	Initial Purchase: 06/29/09													
ISHARES IBOXX \$	INVT GRADE CORP BD FUND	105	10,944		(8)	10,944.60	104.1500		10,935.75	(8.85)	602	5.49			
	SYMBOL: LOD Fixed Income 100%	Initial Purchase: 10/16/09													
ISHARES MSCI SOUTH AFRICA	INDEX FUND	100	4,760		836	4,760.55	55.9700		5,597.00	836.45	218	3.88			
	SYMBOL: EZA Equity 100%	Initial Purchase: 06/29/09													
ISHARES BARCLAYS AGGRGT	AGGREGATE BD FUND	100	10,502		(183)	10,502.91	103.1900		10,319.00	(183.91)	401	3.88			
	SYMBOL: AGG Fixed Income 100%	Initial Purchase: 11/17/09													
ISHARES BARCLAYS TIPS BO	PROTECTED SECS FD	120	12,548		(80)	12,548.31	103.9000		12,468.00	(80.31)	486	3.89			
	SYMBOL: TIP Fixed Income 100%	Initial Purchase: 06/29/09													
ISHARES FTSE XINHUA HK	CHINA 25 INDEX FUND	100	3,906		319	3,906.90	42.2600		4,226.00	319.10	45	1.05			
	SYMBOL: FXI Equity 100%	Initial Purchase: 06/29/09													
ISHARES IBOXX\$ HIGH YIEL	CORPORATE BOND FUND	115	9,925		176	9,925.05	87.8400		10,101.60	176.55	958	9.48			
	SYMBOL: HYG Initial Purchase: 11/23/09														

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24-Hour Assistance: (800) MERRILL

YOUR CMA ASSETS

December 01, 2009 - December 31, 2009

MUTUAL FUNDS/CLOSED END FUNDS/UIT											
(continued)			Total Client	Cumulative	Total	Estimated	Estimated	Unrealized	Estimated		
Description	Quantity	Investment	Investment Return	Cost Basis	Market Price	Market Value	Gain/(Loss)	Annual Income	Current		
Fixed Income 100%											
ISHARES S&P NATIONAL	98	10,056	12	10,056.76	102.7500	10,069.50	12.74	364	3.60		
AMT-FREE MUNICIPAL BOND											
SYMBOL: MUB Initial Purchase: 11/23/09											
Fixed Income 100%											
ISHARES TR DOW JONES US	100	4,597	1,156	4,597.75	57.5400	5,754.00	1,156.25	27	.45		
TECHNOLOGY SECTOR INDEX F											
SYMBOL: IYW Initial Purchase: 06/29/09											
Equity 100%											
ISHARES D JONES US BASIC	145	7,032	1,654	7,032.95	59.9100	8,686.95	1,654.00	207	2.38		
MATERIALS SECTOR INDEX F											
SYMBOL: IYM Initial Purchase: 06/29/09											
Equity 100%											
ISHARES MSCI BRAZIL FREE	100	5,394	2,066	5,394.80	74.6100	7,461.00	2,066.20	23	.29		
INDEX FUND											
SYMBOL: EWZ Initial Purchase: 06/29/09											
Equity 100%											
ISHARES S&P MIDCAP 400/	160	8,379	2,171	8,379.15	65.9400	10,550.40	2,171.25	279	2.63		
BARRA VALUE INDEX FUND											
SYMBOL: IJJ Initial Purchase: 06/29/09											
Equity 100%											
ISHARES S&P SMALLCAP 600	185	8,742	2,057	8,742.92	58.3800	10,800.30	2,057.38	206	1.90		
VALUE INDEX FUND											
SYMBOL: IJS Initial Purchase: 06/29/09											
Equity 100%											

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TOTAL MERRILL

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YOUR CMA ASSETS

December 01, 2009 - December 31, 2009

MUTUAL FUNDS/CLOSED END FUNDS/UIT										
(continued) Description	Quantity	Total Client Investment	Cumulative Investment Return	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Annual Income	Current Yield%	
MARKET VECTORS RUSSIA SYMBOL: RSX Equity 100%	100	2,092	1,027	2,092.00	31.1900	3,119.00	1,027.00	8	.25	
MARKET VECTORS GLOBAL ALT SYMBOL: GEX Equity 100%	100	2,372	127	2,372.14	25.0000	2,500.00	127.86	2	.04	
POWERSHARES WATER RESOURCES PORTFOLIO SYMBOL: PHO Equity 100%	150	2,432	96	2,432.22	16.8600	2,529.00	96.78	44	1.72	
POWERSHARES GLOBAL EXCHANGE TRADED FD TR SYMBOL: PZI Fixed Income 100%	215	4,929	61	4,929.95	23.2150	4,991.22	61.27	244	4.87	
REVENUESHARES MID CAP ET SYMBOL: RWK Equity 100%	260	4,940	1,326	4,940.00	24.1000	6,266.00	1,326.00	58	.92	
REVENUESHARES SMALL CAP SYMBOL: RWJ Equity 100%	240	4,833	1,382	4,833.60	25.9000	6,216.00	1,382.40	26	.41	
REVENUESHARES LARGE CAP SYMBOL: RWL Equity 100%	330	5,539	1,235	5,539.60	20.5300	6,774.90	1,235.30	93	1.35	

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24-Hour Assistance: (800) MERRILL

YOUR CMA ASSETS

December 01, 2009 - December 31, 2009

MUTUAL FUNDS/CLOSED END FUNDS/UIT									
(continued) Description	Quantity	Total Client Investment	Cumulative Investment Return	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Current Annual Income	Yield%
SECTOR SPDR CONSMRS STPL SYMBOL: XLP Equity 100%	90	2,087	295	2,087.10	26.4700	2,382.30	295.20	90	3.75
Initial Purchase: 06/29/09									
SECTOR SPDR ENERGY SYMBOL: XLE Equity 100%	100	5,081	619	5,081.74	57.0100	5,701.00	619.26	122	2.13
Initial Purchase: 08/20/09									
VANGUARD SMALL CAP VALUE ETF SYMBOL: VBR Equity 100%	100	4,958	490	4,958.00	54.4800	5,448.00	490.00	106	1.92
Initial Purchase: 08/20/09									
VANGUARD TOTAL BOND MKT SYMBOL: BND Fixed Income 100%	150	11,952	(164)	11,952.70	78.5900	11,788.50	(164.20)	460	3.89
Initial Purchase: 11/17/09									
VANGUARD MEGA CAP 300 VALUE SYMBOL: MGV Equity 100%	150	4,898	366	4,898.22	35.1000	5,265.00	366.78	194	3.68
Initial Purchase: 08/20/09									
Subtotal (Fixed Income)						70,673.57			
Subtotal (Equities)						116,551.60			



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TOTAL MERRILL

YOUR CMA ASSETS

December 01, 2009 - December 31, 2009

MUTUAL FUNDS/CLOSED END FUNDS/UIT

(continued) Description	Quantity	Total Client Investment	Cumulative Investment Return	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Annual Income	Estimated Current Yield%
TOTAL			19,637	167,583.59		187,225.37	19,641.78	5,700	3.04

Total Client Investment: Cost of shares directly purchased and still held. Does not include shares purchased through reinvestment.

Cumulative Investment Return: Estimated Market Value minus Total Client Investment. Cumulative Investment Return is the capital appreciation (depreciation) of all shares purchased, including shares purchased through reinvestment.

Unrealized Gain or (Loss): Estimated Market Value minus Total Cost Basis (total cost of shares directly purchased and still held, as well as cost of shares acquired through reinvestment). Provided for Tax Planning purposes only and is not applicable to retirement accounts.

Initial Purchase: Date of your initial investment in this fund.

Market Timing: Merrill Lynch's policies prohibit mutual fund market timing, which involves the purchase and sale of mutual fund shares within short periods of time with the intention of capturing short-term profits resulting from market volatility. Market timing may result in lower returns for long-term fund shareholders because market timers capture short-term gains that would otherwise pass to all shareholders and due to increased transaction costs and fewer assets for investment due to the need to retain cash to satisfy redemptions.

LONG PORTFOLIO	Adjusted/Total Cost Basis	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Accrued Interest	Estimated Annual Income	Current Yield%
TOTAL	296,146.69	304,363.04	8,216.35		9,111	2.99

HON CAROLYN MCCARTHY

Payer:
Merrill Lynch, Pierce, Fenner & Smith Inc
1800 Merrill Lynch Drive, MSC 0803
Pennington, NJ 08534-4129
Federal Identification Number 13-5674085

2009 TAX REPORTING STATEMENT

1099-DIV	2009 Dividends and Distributions	(OMB NO. 1545-0110)
1a	Total Ordinary Dividends.....	Amount 3,780.28
1b	Qualified Dividends.....	2,107.66
2a	Total Capital Gain Distributions.....	400.00
2b	Unrecap. Sec. 1250 Gain.....	0.00
2c	Section 1202 Gain.....	0.00
2d	Collectibles (28%) Gain.....	0.00
3	Nondividend Distributions.....	1,454.34
4	Federal Income Tax Withheld.....	0.00
5	Investment Expenses.....	0.00
6	Foreign Tax Paid.....	86.02
7	Foreign Country or U.S. Possession.....	Various
8	Liquidation Distributions Cash.....	0.00
9	Liquidation Distributions Non-Cash (Fair Market Value)	0.00

1099-DIV	2009 Dividends and Distributions	(OMB NO. 1545-0110)
Summary of 2009 Original Issue Discount Items		
1	Original Issue Discount.....	Amount 0.00
6	Original Issue Discount on US Treasury Obligations.....	0.00

Amounts of Original Issue Discount are individually reported to the IRS.
Refer to the 2009 Original Issue Discount detail section of this statement.

1099-INT	2009 Interest Income	(OMB NO. 1545-0112)
1	Interest Income.....	Amount 13.96
2	Early Withdrawal Penalty.....	0.00
3	Interest On U.S. Savings Bonds and Treasury Obligations.....	3,448.18
4	Federal Income Tax Withheld.....	0.00
5	Investment Expenses.....	0.00
6	Foreign Tax Paid.....	0.00
7	Foreign Country or U.S. Possession.....	
8	Tax Exempt Interest.....	7,252.19
9	Specified Private Activity Bond Interest.....	1,119.69

Summary of 2009 Proceeds from Broker & Barter Exchange Transactions		
2	Gross Proceeds Less Commissions.....	Amount 55,275.00
4	Federal Income Tax Withheld.....	0.00

Gross Proceeds from each of your 2009 securities trades are individually reported to the IRS. Refer to the 2009 Gross Proceeds detail section of this statement.

This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanctions may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Please refer to Page 5 of this statement highlighting important information that should be brought to the attention of your tax advisor.

You may reach a Tax Representative at 1-800-637-6326

HON CAROLYN MCCARTHY

2009 TAX REPORTING STATEMENT

Your Tax Reporting Statement information that follows is a personal summary of your account activity and is not reported to the IRS.

The Capital Gain and Loss Transaction section is provided to facilitate your review and the preparation of your tax return. In calculating gain (loss), unless otherwise noted, it was assumed that the oldest position was liquidated first, and that an election was in effect to amortize the premium paid on the purchase of taxable bonds. The gain (loss) information may not include return of capital, sales load deferral or wash sales adjustments. RICs (which may include mutual funds and unit investment trusts) may reclassify principal distributions as capital gains. The Cost Basis for these securities may not have been adjusted on your statement to reflect such reclassifications. Please refer to information provided by the RIC and discuss with your tax advisor. Securities distributed from a retirement account reflect the tax basis on the date of distribution. Other methods for calculating gain (loss) are available. The cost basis for most Original Issue Discount ("OID") obligations includes the accretion of OID. For purposes of allocating original purchase price to the components of an equity unit consisting of a purchase contract and a debt security subject to a remarketing event, we assumed that the fair market value of the debt security on purchase date was equal to its adjusted issue price. The difference between your original cost and the amount allocable to the debt component was allocated to the purchase contract. In making adjustments to the cost basis of the purchase contract, it was assumed that the unit holder included contract adjustment payments in income when received.

Data from Forms 1099 (reportable Dividend and Interest) is also repeated in this summary for your convenience. Additionally, we have included items such as margin interest and select account fees if applicable. Please discuss the deductibility of these items with your tax advisor. For select clients, we have also included a summary of Visa charges and checking activity.

Merrill Lynch is neither a tax return preparer or tax advisor. Please see your tax advisor for more information.

Security Description	2009 CAPITAL GAIN AND LOSS TRANSACTIONS					
	Quantity	Date Acquired Cover of Short	Date Liquidated Short Sale	Amortization/Accretion Year-to-Date	Life-to-Date	Sales Price

OTHER TRANSACTIONS

NEW YORK NYC TRAN FIN	55000.0000	01/29/02	09/28/09			55,275.00	55,005.25	270.00
Other Transactions Subtotal							55,275.00	55,005.25

TOTAL CAPITAL GAINS AND LOSSES						55,275.00	55,005.25	270.00
TOTAL REPORTABLE GROSS PROCEEDS						55,275.00		
DIFFERENCE						0.00 *		

Note: Capital gains and losses in this statement are not reported to the IRS.

* Difference reflects items that are included in the reportable gross proceeds section of your statement but are not included in the gain/loss section (e.g., liquidation of fractional shares and the proceeds from certain tender offers). It also reflects items that are included in the gain/loss section of your statement but not in the reportable gross proceeds section (e.g., option premium received).

N/C - Results may not be calculated for transactions which involve the amortization of premium, the repayment of principal, the sale of partnership interest, derivative products purchased in the secondary market, or the determination of ordinary income and/or capital items for discount and zero coupon issues.



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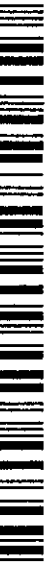
HON CAROLYN MCCARTHY

2009 TAX REPORTING STATEMENT

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2009 DIVIDENDS AND QUALIFIED DIVIDEND DISTRIBUTIONS

Security Description	Quantity	Date	Transaction Description	Amount	Qualified Dividend	Remarks
NUVEEN EQTY PREM OPP						
500	04/01/09	Dividend	27.60		27.60	
500	07/01/09	Dividend	27.60		27.60	
500	10/01/09	Dividend	27.60		27.60	
500	12/31/09	Dividend	27.60		27.60	
			Security Subtotal	110.40	110.40	
PIONEER MU HI INC ADVT ADVANTAGE TR						
900	12/18/09	Dividend	2.95		0.00	
BLDRS EMER MKTS 50 ADR INDEX						
140	04/30/09	Dividend	14.38		14.38	
140	07/31/09	Dividend	38.46		38.46	
140	10/30/09	Dividend	41.68		41.68	
140	12/31/09	Dividend	35.97		35.97	
			Security Subtotal	130.49	130.49	
PIMCO CORPORATE OPPTY FD						
500	02/02/09	Dividend	57.50		0.76	
500	03/24/09	Dividend	57.50		0.76	
500	04/03/09	Dividend	57.50		0.76	
500	05/01/09	Dividend	57.50		0.76	
500	06/01/09	Dividend	57.50		0.76	
500	07/01/09	Dividend	57.50		0.76	
500	08/03/09	Dividend	57.50		0.76	
500	09/01/09	Dividend	57.50		0.76	
500	09/29/09	Dividend	57.50		0.76	
500	11/02/09	Dividend	57.50		0.76	
500	12/01/09	Dividend	57.50		0.76	
500	12/30/09	Dividend	57.50		0.76	
500	01/22/10	Dividend	290.00		3.83	
			Security Subtotal	980.00	12.95	PAY IN 2010/REP IN 2009
EATON VANCE TAX MAN GLB BUY WRT OPP FD						
500	03/31/09	Dividend	24.99		24.99	
500	06/30/09	Dividend	24.99		24.99	
500	09/30/09	Dividend	24.99		24.99	
500	12/31/09	Dividend	24.99		24.99	
			Security Subtotal	99.96	99.96	





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2009 TAX REPORTING STATEMENT

2009 DIVIDENDS AND QUALIFIED DIVIDEND DISTRIBUTIONS

Security Description	Quantity	Date	Transaction Description	Amount	Qualified Dividend	Remarks
J HANCOCK PREF INCOME 3 INCOME FUND III	500	01/30/09	Dividend	62.20	48.13	
	500	02/27/09	Dividend	62.20	48.13	
	500	03/31/09	Dividend	52.87	40.91	
	500	04/30/09	Dividend	52.87	40.91	
	500	05/29/09	Dividend	52.87	40.91	
	500	06/30/09	Dividend	52.87	40.91	
	500	07/31/09	Dividend	52.87	40.91	
	500	08/31/09	Dividend	56.10	43.41	
	500	09/30/09	Dividend	56.10	43.41	
	500	10/30/09	Dividend	56.10	43.41	
	500	11/30/09	Dividend	56.10	43.41	
	500	12/31/09	Dividend	56.10	43.41	
			Security Subtotal	669.25	517.86	
NEUBERGER RE SEC INC ESTATE SECS INCOME FD	422	01/30/09	Dividend	14.85	0.00	
	422	02/27/09	Dividend	14.85	0.00	
	422	03/31/09	Dividend	14.85	0.00	
	422	04/30/09	Dividend	14.85	0.00	
	422	05/29/09	Dividend	14.85	0.00	
	422	06/30/09	Dividend	7.81	0.00	
	422	07/31/09	Dividend	7.81	0.00	
	422	08/31/09	Dividend	7.81	0.00	
	422	09/30/09	Dividend	7.81	0.00	
	422	10/30/09	Dividend	7.81	0.00	
	422	11/30/09	Dividend	7.81	0.00	
	422	12/31/09	Dividend	7.81	0.00	
			Security Subtotal	128.92	0.00	
COHEN & STEERS REIT & UTILITIES INC FD	300	03/31/09	Dividend	39.90	21.91	
	300	06/30/09	Dividend	36.67	20.13	
	300	09/30/09	Dividend	36.67	20.13	
	300	12/31/09	Dividend	36.67	20.13	
			Security Subtotal	149.91	82.30	



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HON CAROLYN MCCARTHY

2009 TAX REPORTING STATEMENT

2009 DIVIDENDS AND QUALIFIED DIVIDEND DISTRIBUTIONS

Security Description	Quantity	Date	Transaction Description	Amount	Qualified Dividend	Remarks
NFJ DIV INT PREM STRAT AND PREMIUM STRATEGY FD						
	500	03/27/09	Dividend	75.00	75.00	
	500	06/26/09	Dividend	75.00	75.00	
	500	09/25/09	Dividend	75.00	75.00	
	500	01/04/10	Dividend	75.00	75.00	PAY IN 2010/REP IN 2009
			Security Subtotal	300.00	300.00	
POWERSHARES GLOBAL EXCHANGE TRADED FD TR						
	80	10/30/09	Dividend	0.10	0.00	
	80	11/30/09	Dividend	0.09	0.00	
	80	12/31/09	Dividend	0.10	0.00	
			Security Subtotal	0.29	0.00	
WISDOMTREE TR INTL BASIC MATERIALS SECTO						
	175	03/27/09	Dividend	33.14	33.14	
	175	06/26/09	Dividend	39.35	39.35	
	175	09/25/09	Dividend	17.78	17.78	
	175	12/28/09	Foreign Dividend	17.99	17.99	
	175	12/28/09	Foreign Tax	(17.63)	0.00	PAY DATE 12/28/2009
			Security Subtotal	90.63	108.26	
WISDOMTREE INTL COMM SECTO						
	195	03/27/09	Dividend	6.19	5.87	
	195	06/26/09	Dividend	139.58	132.31	
	195	09/25/09	Dividend	27.85	26.40	
	195	12/28/09	Foreign Dividend	48.27	45.76	
	195	12/28/09	Foreign Tax	(20.67)	0.00	PAY DATE 12/28/2009
			Security Subtotal	201.22	210.34	
WISDOMTREE INTL IND SEC						
	180	03/27/09	Dividend	7.31	7.31	
	180	06/26/09	Dividend	65.03	65.03	
	180	09/25/09	Dividend	9.20	9.20	
	180	12/28/09	Foreign Dividend	32.94	32.94	
	180	12/28/09	Foreign Tax	(27.07)	0.00	PAY DATE 12/28/2009
			Security Subtotal	87.41	114.48	
MARKET VECTORS ETF TR ENVIRONMENTAL SVCS						
	125	12/30/09	Dividend	47.00	42.65	



HON CAROLYN MCCARTHY

2009 TAX REPORTING STATEMENT

2009 DIVIDENDS AND QUALIFIED DIVIDEND DISTRIBUTIONS

Security Description	Quantity	Date	Transaction Description	Amount	Qualified Dividend	Remarks
MARKET VECTORS RUSSIA	160	12/30/09	Foreign Dividend	19.62	5.85	
	160	12/30/09	Foreign Tax	(6.98)	0.00	PAY DATE 12/30/2009
			Security Subtotal	12.64	5.85	
MARKET VECTORS GLOBAL ALT	150	12/30/09	Dividend	1.80	1.80	
ING GROEP NV	237	01/15/09	Foreign Dividend	90.73	90.73	
ING PERPETUAL DEBT SEC 6.125%	237	04/16/09	Foreign Dividend	90.73	90.73	
	237	07/15/09	Foreign Dividend	90.73	90.73	
	237	10/15/09	Foreign Dividend	90.73	90.73	
			Security Subtotal	362.92	362.92	
ISHARES MSCI BRAZIL FREE INDEX FUND	105	06/29/09	Foreign Dividend	45.65	1.13	PAY DATE 06/29/2009
	105	06/29/09	Foreign Tax	(2.08)	0.00	
	105	12/31/09	Foreign Dividend	241.67	5.97	PAY DATE 12/31/2009
	105	12/31/09	Foreign Tax	(11.03)	0.00	PAY IN 2010/REP IN 2009
	105	01/06/10	Foreign Dividend	12.23	0.30	PAY IN 2010/REP IN 2009
	105	01/06/10	Foreign Tax	(0.56)	0.00	
			Security Subtotal	285.88	7.40	
ROCHESTER MUNICIPAL FDS CL B		01/28/09	Dividend	2.52	0.00	
		02/25/09	Dividend	2.48	0.00	
		03/25/09	Dividend	2.52	0.00	
		04/22/09	Dividend	2.52	0.00	
		05/27/09	Dividend	2.41	0.00	
		06/24/09	Dividend	0.63	0.00	
		07/29/09	Dividend	0.12	0.00	
		08/26/09	Dividend	0.12	0.00	
		09/23/09	Dividend	0.12	0.00	
		10/28/09	Dividend	0.11	0.00	
		11/25/09	Dividend	0.12	0.00	
		12/31/09	Dividend	0.12	0.00	
			Security Subtotal	13.79	0.00	

HON CAROLYN MCCARTHY

2009 TAX REPORTING STATEMENT

2009 DIVIDENDS AND QUALIFIED DIVIDEND DISTRIBUTIONS

Security Description	Quantity	Date	Transaction Description	Amount	Qualified Dividend	Remarks
ROCHESTER MUNICIPALS FD CL A		06/24/09	Dividend	2.15	0.00	
		07/29/09	Dividend	2.73	0.00	
		08/26/09	Dividend	2.73	0.00	
		09/23/09	Dividend	2.77	0.00	
		10/28/09	Dividend	2.77	0.00	
		11/25/09	Dividend	2.77	0.00	
		12/31/09	Dividend	2.88	0.00	
			Security Subtotal	18.80	0.00	

TOTAL DIVIDEND ACTIVITY

3,694.26

TOTAL FOREIGN TAX PAID (LINE 6 1099-DIV)

(86.02)

TOTAL ORDINARY DIVIDENDS (LINE 1A 1099-DIV)

3,780.28

TOTAL QUALIFIED DIVIDENDS (LINE 1B 1099-DIV)

2,107.66





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2009 TAX REPORTING STATEMENT

2009 DIVIDENDS AND DISTRIBUTIONS

Security Description	Quantity	Date	Transaction Description	Amount	Remarks
CAPITAL GAIN DISTRIBUTIONS					
LONG-TERM CAPITAL GAINS DISTRIBUTIONS					
SOURCE CAP INC	200	03/16/09	Long Term Capital Gain	100.00	
	200	06/15/09	Long Term Capital Gain	100.00	
	200	09/15/09	Long Term Capital Gain	100.00	
	200	12/15/09	Long Term Capital Gain	100.00	
Security Subtotal				400.00	
TOTAL LONG-TERM CAPITAL GAINS DISTRIBUTIONS				400.00	
TOTAL CAPITAL GAIN DISTRIBUTIONS (LINE 2A 1099-DIV)				400.00	
NONDIVIDEND DISTRIBUTIONS					
NUVEEN EQTY PREM OPF	500	04/01/09	Prin Payment	140.40	
	500	07/01/09	Prin Payment	140.40	
	500	10/01/09	Prin Payment	140.40	
	500	12/31/09	Prin Payment	140.40	
Security Subtotal				561.60	
EATON VANCE TAX MAN GLB	500	03/31/09	Prin Payment	200.01	
BUY WRT OPF FD	500	06/30/09	Prin Payment	200.01	
	500	09/30/09	Prin Payment	200.01	
	500	12/31/09	Prin Payment	200.01	
Security Subtotal				800.04	
J HANCOCK PREF INCOME 3	500	01/30/09	Prin Payment	3.80	
INCOME FUND III	500	02/27/09	Prin Payment	3.80	
	500	03/31/09	Prin Payment	3.23	
	500	04/30/09	Prin Payment	3.23	
	500	05/29/09	Prin Payment	3.23	
	500	06/30/09	Prin Payment	3.23	
	500	07/31/09	Prin Payment	3.23	
Security Subtotal				23.75	



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HON CAROLYN MCCARTHY

2009 TAX REPORTING STATEMENT

2009 DIVIDENDS AND DISTRIBUTIONS

Security Description	Quantity	Date	Transaction Description	Amount	Remarks
NEUBERGER RE SEC INC					
ESTATE SECS INCOME FD					
422	01/30/09	Prin Payment	1.19		
422	02/27/09	Prin Payment	1.19		
422	03/31/09	Prin Payment	1.19		
422	04/30/09	Prin Payment	1.19		
422	05/29/09	Prin Payment	1.19		
422	06/30/09	Prin Payment	0.63		
422	07/31/09	Prin Payment	0.63		
422	08/31/09	Prin Payment	0.63		
422	09/30/09	Prin Payment	0.63		
422	10/30/09	Prin Payment	0.63		
422	11/30/09	Prin Payment	0.63		
422	12/31/09	Prin Payment	0.63		
Security Subtotal				10.36	
COHEN & STEERS REIT &					
UTILITIES INC FD					
300	03/31/09	Prin Payment	15.60		
300	06/30/09	Prin Payment	14.33		
300	09/30/09	Prin Payment	14.33		
300	12/31/09	Prin Payment	14.33		
Security Subtotal				58.59	
TOTAL NONDIVIDEND DISTRIBUTIONS (LINE 3 1099-DIV)				1,454.34	



HON CAROLYN MCCARTHY

2009 TAX REPORTING STATEMENT

Security Description	Quantity	Date	Transaction Description	Amount	Remarks
INTEREST					
ML BANK DEPOSIT PROGRAM					
		01/30/09	Bank Interest	2.70	
		02/27/09	Bank Interest	1.89	
		03/31/09	Bank Interest	1.81	
		04/30/09	Bank Interest	1.33	
		05/29/09	Bank Interest	1.20	
		06/30/09	Bank Interest	0.82	
		07/31/09	Bank Interest	0.87	
		08/31/09	Bank Interest	0.93	
		09/30/09	Bank Interest	0.99	
		10/30/09	Bank Interest	0.59	
		11/30/09	Bank Interest	0.40	
		12/31/09	Bank Interest	0.43	
			Security Subtotal	13.96	
INTEREST ON TREASURY NOTES					
U.S. TREASURY BOND					
		02/17/09	Interest	956.25	
		08/17/09	Interest	956.25	
			Security Subtotal	1,912.50	
U.S. TRSY INFLATION NOTE					
		04/22/09	Interest	112.41	
		10/15/09	Interest	114.50	
			Security Subtotal	226.91	
U.S. TRSY INFLATION NOTE					
		01/15/09	Interest	340.32	
		07/15/09	Interest	338.45	
			Security Subtotal	678.77	



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2009 TAX REPORTING STATEMENT

Security Description	Quantity	Date	2009 INTEREST INCOME		Amount	Remarks
			Transaction Description			
U.S. TREASURY BOND		05/15/09	Interest		315.00	
09.000% NOV 15 2018		11/16/09	Interest		315.00	
			<i>Security Subtotal</i>		630.00	
TOTAL INTEREST ON TREASURY NOTES					3,448.18	
TOTAL INTEREST ON US SAVINGS BONDS AND TREASURY OBLIGATIONS (LINE 3 1099-INT)					3,448.18	



HON CAROLYN MCCARTHY

2009 TAX REPORTING STATEMENT

Security Description	Quantity	Date	2009 REPORTABLE TAX EXEMPT INCOME	Transaction Description	Total Amount	AMT Amount	Remarks
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TAX EXEMPT DIVIDENDS

PIONEER MU HI INC ADVT
ADVANTAGE TR

900	01/30/09	Dividend	67.50		16.52		
900	02/27/09	Dividend	67.50		16.52		
900	03/31/09	Dividend	67.50		16.52		
900	04/30/09	Dividend	67.50		16.52		
900	05/29/09	Dividend	67.50		16.52		
900	06/30/09	Dividend	67.50		16.52		
900	07/31/09	Dividend	67.50		16.52		
900	08/31/09	Dividend	67.50		16.52		
900	09/30/09	Dividend	72.00		17.63		
900	10/30/09	Dividend	72.00		17.63		
900	11/30/09	Dividend	76.50		18.73		
900	12/18/09	Dividend	73.55		18.00		
Security Subtotal			834.05		204.15		

BLACKROCK NY MUNI INC

900	02/02/09	Dividend	67.81		12.23		
900	03/02/09	Dividend	67.81		12.23		
900	04/01/09	Dividend	67.81		12.23		
900	05/01/09	Dividend	67.81		12.23		
900	06/01/09	Dividend	67.81		12.23		
900	07/01/09	Dividend	72.00		12.98		
900	08/03/09	Dividend	72.00		12.98		
900	09/01/09	Dividend	72.00		12.98		
900	10/01/09	Dividend	74.25		13.39		
900	11/02/09	Dividend	74.25		13.39		
900	12/01/09	Dividend	74.25		13.39		
900	12/31/09	Dividend	74.25		13.39		
Security Subtotal			852.05		153.65		

POWERSHARES GLOBAL
EXCHANGE TRADED FD TR

80	10/30/09	Dividend	7.18		0.00		
80	11/30/09	Dividend	6.54		0.00		
80	12/31/09	Dividend	7.44		0.00		
Security Subtotal			21.16		0.00		

HON CAROLYN MCCARTHY

2009 TAX REPORTING STATEMENT

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Security Description

Quantity

Date

Transaction Description

Total Amount

AMT Amount

Remarks

2009 REPORTABLE TAX EXEMPT INCOME

ROCHESTER MUNICIPAL FDS
CL B

01/28/09	Dividend	214.07	58.81	
02/25/09	Dividend	210.94	57.94	
03/25/09	Dividend	214.49	58.92	
04/22/09	Dividend	214.49	58.92	
05/27/09	Dividend	205.14	56.35	
06/24/09	Dividend	53.55	14.71	
07/29/09	Dividend	9.88	2.72	
08/26/09	Dividend	10.18	2.80	
09/23/09	Dividend	10.19	2.80	
10/28/09	Dividend	9.69	2.66	
11/25/09	Dividend	10.19	2.80	
12/31/09	Dividend	10.03	2.76	
Security Subtotal		1,172.84	322.19	

ROCHESTER MUNICIPALS FD
CL A

06/24/09	Dividend	182.82	50.22	
07/29/09	Dividend	232.69	63.92	
08/26/09	Dividend	232.69	63.92	
09/23/09	Dividend	235.79	64.77	
10/28/09	Dividend	235.79	64.77	
11/25/09	Dividend	235.79	64.77	
12/31/09	Dividend	245.10	67.33	
Security Subtotal		1,600.67	439.70	

TOTAL TAX EXEMPT DIVIDENDS
TAX EXEMPT INTEREST ON MUNICIPAL SECURITIES

NEW YORK NY SUBSERIES
SER F-1 AMBAC
SEP05 05.000%/SEP01 21

03/02/09	Interest	250.00	0.00	
09/01/09	Interest	250.00	0.00	
Security Subtotal		500.00	0.00	



HON CAROLYN MCCARTHY

2009 TAX REPORTING STATEMENT

2009 REPORTABLE TAX EXEMPT INCOME

Security Description	Quantity	Date	Transaction Description	Total Amount	AMT Amount	Remarks
NEW YORK NYC TRAN FIN AUTH REV FUTURE B OID JAN98 04.750%NOV15 17		05/15/09 09/28/09	Interest Interest	1,306.25 965.17	0.00 0.00	
			<u>Security Subtotal</u>	<u>2,271.42</u>	<u>0.00</u>	
TOTAL TAX EXEMPT INTEREST ON MUNICIPAL SECURITIES				2,771.42	0.00	
TOTAL TAX EXEMPT INCOME (LINE 8 1099-INT)				7,252.19		
TOTAL SPECIFIED PRIVATE ACTIVITY BOND INTEREST (LINE 9 1099-INT)					1,119.69	

BJ 4/14/108

HON CAROLYN MCCARTHY

Payer:
Merrill Lynch, Pierce, Fenner & Smith Inc
1800 Merrill Lynch Drive, MSC 0803
Pennington, NJ 08534-4129
Federal Identification Number 13-5674085

2009 TAX REPORTING STATEMENT

1099-DIV	2009 Dividends and Distributions	(OMB NO. 1545-0110)
1a	Total Ordinary Dividends.....	Amount 8,270.66
1b	Qualified Dividends.....	7,111.81
2a	Total Capital Gain Distributions.....	0.00
2b	Unrecap. Sec. 1250 Gain.....	0.00
2c	Section 1202 Gain.....	0.00
2d	Collectibles (28%) Gain.....	0.00
3	Nondividend Distributions.....	30.33
4	Federal Income Tax Withheld.....	0.00
5	Investment Expenses.....	0.00
6	Foreign Tax Paid.....	178.73
7	Foreign Country or U.S. Possession.....	Various
8	Liquidation Distributions Cash.....	0.00
9	Liquidation Distributions Non-Cash (Fair Market Value)	0.00

1099-INT	2009 Interest Income	(OMB NO. 1545-0112)
1	Interest Income.....	Amount 70.19
2	Early Withdrawal Penalty.....	0.00
3	Interest On U.S. Savings Bonds and Treasury Obligations.....	0.00
4	Federal Income Tax Withheld.....	0.00
5	Investment Expenses.....	0.00
6	Foreign Tax Paid.....	0.00
7	Foreign Country or U.S. Possession.....	0.00
8	Tax Exempt Interest.....	78.34
9	Specified Private Activity Bond Interest.....	0.00

Summary of 2009 Original Issue Discount Items	
1	Original Issue Discount.....
6	Original Issue Discount on US Treasury Obligations
	Amount 0.00 0.00

Summary of 2009 Proceeds from Broker & Barter Exchange Transactions	
2	Gross Proceeds Less Commissions.....
4	Federal Income Tax Withheld.....
	Amount 298,244.41 0.00

Amounts of Original Issue Discount are individually reported to the IRS. Refer to the 2009 Original Issue Discount detail section of this statement.

Gross Proceeds from each of your 2009 securities trades are individually reported to the IRS. Refer to the 2009 Gross Proceeds detail section of this statement.

This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanctions may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Please refer to Page 5 of this statement highlighting important information that should be brought to the attention of your tax advisor.

You may reach a Tax Representative at 1-800-637-6326



2009 TAX REPORTING STATEMENT

The Capital Gain and Loss Transaction section is provided to facilitate your review and the preparation of your tax return. In calculating gain (loss), unless otherwise noted, it was assumed that the oldest position was liquidated first, and that an election was in effect to amortize the premium paid on the purchase of taxable bonds. The gain (loss) information may not include return of capital, sales load deferral or wash sales adjustments. RICs (which may include mutual funds and unit investment trusts) may reclassify principal distributions as capital gains. The Cost Basis for these securities may not have been adjusted on your statement to reflect such reclassifications. Please refer to information provided by the RIC and discuss with your tax advisor. Securities distributed from a retirement account reflect the tax basis on the date of distribution. Other methods for calculating gain (loss) are available. The cost basis for most Original Issue Discount ("OID") obligations includes the accretion of OID. For purposes of allocating original purchase price to the components of an equity unit consisting of a purchase contract and a debt security subject to a remarketing event, we assumed that the fair market value of the debt security on purchase date was equal to its adjusted issue price. The difference between your original cost and the amount allocable to the debt component was allocated to the purchase contract. In making adjustments to the cost basis of the purchase contract, it was assumed that the unit holder included contract adjustment payments in income when received.

Merrill Lynch is neither a tax return preparer or tax advisor. Please see your tax advisor for more information.

Security Description

de 5028R (P12-02)



Merrill Lynch

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HON CAROLYN MCCARTHY

2009 TAX REPORTING STATEMENT

2009 CAPITAL GAIN AND LOSS TRANSACTIONS

Security Description	Quantity	Date Acquired Cover of Short	Date Liquidated Short Sale	Amortization/Accretion Year-to-Date	Life-to-Date	Sales Price	Cost Basis	Gain or (Loss)
AMAZON COM INC COM	10.0000	12/04/08	03/27/09			712.97	497.75	215.22
	17.0000	12/04/08	06/29/09			1,418.27	846.19	572.08
Security Subtotal						2,131.24	1,343.94	787.30
AMPHENOL CORP CL A NEW	15.0000	03/11/09	06/29/09			474.58	388.92	85.66
	12.0000	03/12/09	06/29/09			379.67	312.45	67.22
Security Subtotal						854.25	701.37	152.88
ANALLY CAP MGMT INC	75.0000	07/15/08	06/29/09			1,125.04	1,080.43	44.61
	12.0000	03/18/09	06/29/09			180.00	175.09	4.91
	62.0000	03/24/09	06/29/09			930.04	906.29	23.75
Security Subtotal						2,235.08	2,161.81	73.27
AMERICAN TOWER CORP CL A	25.0000	03/05/09	10/16/09			984.23	691.04	293.19
AXIS CAPITAL HOLDINGS	11.0000	02/19/09	06/29/09			284.01	278.64	5.37
	12.0000	02/24/09	06/29/09			309.83	278.37	31.46
Security Subtotal						593.84	557.01	36.83
ASTRAZENECA PLC SPND ADR	3.0000	09/16/08	06/29/09			134.24	132.08	2.16
	3.0000	09/30/08	06/29/09			134.24	131.61	2.63
	4.0000	11/11/08	06/29/09			179.00	169.46	9.54
	4.0000	11/12/08	06/29/09			179.01	166.93	12.08
Security Subtotal						626.49	600.08	26.41
ALTERA CORP COM	48.0000	02/17/09	05/26/09			780.60	717.94	62.66
AECOM TECH CORP	30.0000	01/29/09	06/29/09			946.47	782.10	164.37
APPLE INC	10.0000	10/22/08	06/29/09			1,424.76	981.52	443.24
	5.0000	11/24/08	06/29/09			712.38	451.08	261.30
Security Subtotal						2,137.14	1,432.60	704.54
AUST NW Z B G ADR	15.0000	06/17/09	07/17/09			201.75	198.56	3.19



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2009 TAX REPORTING STATEMENT
2009 CAPITAL GAIN AND LOSS TRANSACTIONS

Security Description	Quantity	Date Acquired Cover of Short	Date Liquidated Short Sale	Amortization/Accretion Year-to-Date	Life-to-Date	Sales Price	Cost Basis	Gain or (Loss)
BANCO B VIZ ARGT SA ADR	32.0000	04/21/09	06/29/09			407.02	322.28	84.74
	15.0000	04/23/09	06/29/09			190.79	155.11	35.68
	17.0000	04/24/09	06/29/09			216.24	182.13	34.11
Security Subtotal						814.05	659.52	154.53
BANCOLOMBIA S.A SPDS ADR	16.0000	11/12/08	06/29/09			491.03	306.76	184.27
BMC SOFTWARE INC	4.0000	02/20/09	05/05/09			136.91	115.24	21.67
	10.0000	02/20/09	06/29/09			343.29	288.12	55.17
	11.0000	02/23/09	06/29/09			377.62	321.17	56.45
Security Subtotal						857.82	724.53	133.29
BROADCOM CORP CALIF CL A	13.0000	11/07/08	03/31/09			261.49	214.52	46.97
	15.0000	01/29/09	03/31/09			301.72	262.16	39.56
	50.0000	05/29/09	07/17/09			1,366.46	1,293.50	72.96
Security Subtotal						1,929.67	1,770.18	159.49
BASF SE SPONSORED ADR	9.0000	10/21/08	07/17/09			403.63	302.94	100.69
	8.0000	11/07/08	07/17/09			358.80	264.45	94.35
Security Subtotal						762.43	567.39	195.04
BHP BILLITON LTD ADR	25.0000	02/09/09	04/09/09			1,169.21	1,147.66	21.55
BAXTER INTERNTL INC	5.0000	05/08/09	07/17/09			269.70	253.22	16.48
BECTON DICKINSON CO	20.0000	05/27/09	06/29/09			1,425.16	1,344.44	80.72
	4.0000	05/28/09	06/29/09			285.04	268.53	16.51
Security Subtotal						1,710.20	1,612.97	97.23
COCA COLA FEMSA SP ADR	10.0000	11/11/08	10/16/09			528.49	311.10	217.39
	2.0000	11/19/08	10/16/09			105.70	66.64	39.06
Security Subtotal						634.19	377.74	256.45

HON CAROLYN MCCARTHY

2009 TAX REPORTING STATEMENT
2009 CAPITAL GAIN AND LOSS TRANSACTIONS

Security Description	Quantity	Date Acquired Cover of Short	Date Liquidated Short Sale	Amortization/Accretion Year-to-Date	Life-to-Date	Sales Price	Cost Basis	Gain or (Loss)
CARDINAL HEALTH INC OHIO	15.0000	02/26/09	08/20/09			519.88	516.56	3.32
	5.0000	03/03/09	08/20/09			173.29	154.08	19.21
	16.0000	03/04/09	08/20/09			554.56	495.25	59.31
Security Subtotal						1,247.73	1,165.89	81.84
CROWN HLDGS INC	45.0000	01/29/09	06/29/09			1,075.02	853.20	221.82
COPEL PARANA ADR PREF B	29.0000	11/12/08	10/16/09			545.49	311.32	234.17
CEMEX SAB DE CV SPND ADR	26.0000	01/14/09	06/29/09			251.41	234.61	16.80
	41.0000	01/15/09	06/29/09			396.46	355.95	40.51
Security Subtotal						647.87	590.56	57.31
COMPASS GROUP PLC ADR	5.0000	04/09/09	06/29/09			28.04	24.26	3.78
	64.0000	04/14/09	06/29/09			359.03	323.05	35.98
	25.0000	04/16/09	06/29/09			140.25	10.00	130.25
	39.0000	04/17/09	06/29/09			218.79	196.47	22.32
Security Subtotal						746.11	674.03	72.08
COMPANHIA D SNMNT0 BSCO	28.0000	11/10/08	09/14/09			1,039.08	647.09	391.99
C.H. ROBINSON WORLDWIDE,	16.0000	03/23/09	06/29/09			842.37	727.94	114.43
CA INC	63.0000	03/02/09	05/28/09			1,076.75	1,068.91	7.84
CME GROUP INC	4.0000	05/29/09	06/29/09			1,281.60	1,256.99	24.61
COVIDIEN PLC SHS	13.0000	03/30/09	08/20/09			521.28	435.40	85.88
	20.0000	05/13/09	08/20/09			801.98	706.27	95.71
	15.0000	05/14/09	08/20/09			601.49	539.27	62.22
Security Subtotal						1,924.75	1,680.94	243.81
CHURCH&DWIGHT CO INC	3.0000	03/27/09	08/20/09			174.31	154.98	19.33
CUMMINS INC COM	35.0000	04/30/09	06/29/09			1,272.21	1,195.79	76.42



HON CAROLYN MCCARTHY

2009 TAX REPORTING STATEMENT
2009 CAPITAL GAIN AND LOSS TRANSACTIONS

Security Description	Quantity	Date Acquired Cover of Short	Date Liquidated Short Sale	Amortization/Accretion Year-to-Date	Life-to-Date	Sales Price	Cost Basis	Gain or (Loss)
DUN & BRADSTREET COR-NEW	11.0000	01/30/09	06/29/09			893.06	842.38	50.68
DIGITAL RLTY TR INC	22.0000	01/29/09	07/17/09			820.14	763.40	56.74
DELHAIZE GROUP SPONS ADR	8.0000	08/18/08	06/29/09			558.62	504.91	53.71
	5.0000	08/18/08	06/29/09			349.14	312.97	36.17
	1.0000	01/23/09	06/29/09			69.83	62.23	7.60
Security Subtotal						977.59	880.11	97.48
DEUTSCHE BK AG REG SHS	7.0000	11/10/08	04/21/09			333.06	270.77	62.29
	9.0000	11/10/08	04/23/09			462.82	348.15	114.67
Security Subtotal						795.88	618.92	176.96
DOLBY LABORATORIES INC	20.0000	05/12/09	07/17/09			776.98	774.27	2.71
DRESSER RAND GROUP INC	29.0000	02/23/09	06/29/09			756.88	547.81	209.07
DISH NETWORK CORPATION	31.0000	05/20/09	10/16/09			567.59	550.83	16.76
	15.0000	05/22/09	10/16/09			274.65	255.46	19.19
Security Subtotal						842.24	806.29	35.95
DOLLAR TREE INC	8.0000	11/07/08	06/29/09			341.27	304.47	36.80
R R DONNELLEY SONS	44.0000	01/29/09	12/17/09			967.98	458.48	509.50
	7.0000	01/29/09	12/17/09			153.99	72.94	81.05
Security Subtotal						1,121.97	531.42	590.55
E M C CORPORATION MASS	95.0000	04/13/09	06/29/09			1,283.41	1,235.23	48.18
EDISON INTL CALIF	10.0000	03/10/09	06/29/09			315.89	249.81	66.08
	15.0000	03/11/09	06/29/09			473.83	376.56	97.27
	22.0000	03/17/09	06/29/09			694.97	619.72	75.25
Security Subtotal						1,484.69	1,246.09	238.60

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2009 TAX REPORTING STATEMENT
2009 CAPITAL GAIN AND LOSS TRANSACTIONS

Security Description	Quantity	Date Acquired Cover of Short	Date Liquidated Short Sale	Amortization/Accretion Year-to-Date	Life-to-Date	Sales Price	Cost Basis	Gain or (Loss)
EMBARQ CORP	10.0000	02/26/09	06/29/09			436.08	347.02	89.06
	11.0000	02/27/09	06/29/09			479.69	389.77	89.92
	18.0000	03/05/09	06/29/09			784.97	603.09	181.88
Security Subtotal						<u>1,700.74</u>	<u>1,339.88</u>	<u>360.86</u>
FMC CORP COM NEW	16.0000	04/02/09	08/20/09			784.30	763.82	20.48
FREEPORT-MCMRAN CPR & GLD	15.0000	02/05/09	04/07/09			630.48	413.46	217.02
	33.0000	02/05/09	06/29/09			1,661.83	909.64	752.19
Security Subtotal						<u>2,292.31</u>	<u>1,323.10</u>	<u>969.21</u>
FACTSET RESH SYS INC	4.0000	11/24/08	02/20/09			157.28	145.52	11.76
	5.0000	11/24/08	05/15/09			279.81	181.89	97.92
	5.0000	11/25/08	05/21/09			271.38	184.36	87.02
	6.0000	11/24/08	05/21/09			325.64	218.29	107.35
Security Subtotal						<u>1,034.11</u>	<u>730.06</u>	<u>304.05</u>
FLOWSERVE CORP	4.0000	10/14/08	08/20/09			356.19	270.47	85.72
	4.0000	11/04/08	08/20/09			356.19	249.75	106.44
Security Subtotal						<u>712.38</u>	<u>520.22</u>	<u>192.16</u>
FTI CONSULTING INC COM	14.0000	03/12/09	06/29/09			717.76	674.32	43.44
ISHARES S&P 500 VALUE	145.0000	06/29/09	11/23/09			7,689.41	6,379.86	1,309.55
POWERSHARES DYN OIL AND	150.0000	06/29/09	11/13/09			2,511.00	2,073.33	437.67
VANGUARD MID-CAP VAL IDX	125.0000	08/20/09	11/13/09			5,347.59	4,906.53	441.06
SPDR KBW BANK ETF	110.0000	06/29/09	11/13/09			2,337.54	2,009.60	327.94
	40.0000	07/17/09	11/13/09			850.02	735.60	114.42
Security Subtotal						<u>3,187.56</u>	<u>2,745.20</u>	<u>442.36</u>
SPDR DJ WILSHIRE REIT ETF	125.0000	06/29/09	11/13/09			5,735.19	4,184.78	1,550.41



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2009 TAX REPORTING STATEMENT

2009 CAPITAL GAIN AND LOSS TRANSACTIONS

Security Description	Quantity	Date Acquired Cover of Short	Date Liquidated Short Sale	Amortization/Accretion Year-to-Date	Life-to-Date	Sales Price	Cost Basis	Gain or (Loss)
GOLDMAN SACHS GROUP INC	11.0000	03/13/09	06/29/09			1,629.27	1,089.45	539.82
	4.0000	04/02/09	06/29/09			592.47	456.56	135.91
Security Subtotal						2,221.74	1,546.01	675.73
GENENTECH INC NEW	16.0000	05/09/08	03/13/09			1,504.05	1,096.42	407.63
HSBC HLDG PLC SP ADR	9.0000	01/22/09	06/29/09			382.31	329.62	52.69
	9.0000	04/08/09	06/29/09			382.32	166.38	215.94
Security Subtotal						764.63	496.00	268.63
HEWITT ASSOCIATES INC	8.0000	11/11/08	06/01/09			230.69	207.95	22.74
HOSPIRA INC	5.0000	05/11/09	06/29/09			193.34	168.93	24.41
	17.0000	05/12/09	06/29/09			657.37	575.82	81.55
Security Subtotal						850.71	744.75	105.96
HARRIS STRATEX NETWORKS	9.0000	05/27/09	06/11/09			59.45	48.06	11.39
HILL ROM HLDGS	56.0000	01/29/09	05/20/09			892.29	821.51	70.78
HANSEN NATURAL CORP	22.0000	06/05/09	10/16/09			818.16	720.94	97.22
	3.0000	06/12/09	10/16/09			111.57	95.54	16.03
Security Subtotal						929.73	816.48	113.25
HARRIS CORP DEL	18.0000	01/30/09	12/04/09			827.98	782.74	45.24
	19.0000	02/06/09	12/04/09			873.98	837.28	36.70
Security Subtotal						1,701.96	1,620.02	81.94
ISHARES S&P MIDCAP 400/	125.0000	06/29/09	11/23/09			7,910.70	6,546.21	1,364.49
ISHARES S&P SMALLCAP 600	125.0000	06/29/09	11/23/09			7,006.07	5,907.50	1,098.57
INTEL CORP	142.0000	01/29/09	06/29/09			2,323.44	1,906.80	416.64

HON CAROLYN MCCARTHY

2009 TAX REPORTING STATEMENT
2009 CAPITAL GAIN AND LOSS TRANSACTIONS

Security Description	Quantity	Date Acquired Cover of Short	Date Liquidated Short Sale	Amortization/Accretion Year-to-Date	Life-to-Date	Sales Price	Cost Basis	Gain or (Loss)
INGERSOLL RAND CO LTD A	16.0000	11/10/08	06/29/09			337.59	272.43	65.16
	10.0000	11/11/08	06/29/09			210.99	158.18	52.81
	12.0000	11/26/08	06/29/09			253.20	168.11	85.09
		Security Subtotal				801.78	598.72	203.06
INTL BUSINESS MACHINES	7.0000	04/07/09	08/20/09			831.44	692.52	138.92
	5.0000	04/15/09	08/20/09			593.89	489.60	104.29
		Security Subtotal				1,425.33	1,182.12	243.21
IHS INC	13.0000	01/12/09	06/29/09			648.03	605.33	42.70
JPMORGAN CHASE & CO	20.0000	01/29/09	11/13/09			848.18	523.55	324.63
	40.0000	03/24/09	11/13/09			1,696.38	1,155.24	541.14
	15.0000	06/10/09	11/13/09			636.15	531.81	104.34
		Security Subtotal				3,180.71	2,210.60	970.11
JOHNSON AND JOHNSON COM	30.0000	05/04/09	06/29/09			1,700.65	1,607.00	93.65
KOHL'S CORP WISC PV 1CT	11.0000	10/22/08	03/18/09			433.08	331.20	101.88
	15.0000	10/22/08	05/01/09			647.80	451.66	196.14
	15.0000	10/30/08	05/01/09			647.80	497.35	150.45
		Security Subtotal				1,728.68	1,280.21	448.47
LAN AIRLINES S A	5.0000	06/17/09	11/13/09			74.74	60.60	14.14
	5.0000	06/18/09	11/13/09			74.75	61.52	13.23
	20.0000	06/19/09	11/13/09			299.00	250.03	48.97
		Security Subtotal				448.49	372.15	76.34
LINCOLN NTL CORP IND NPV	115.0000	11/13/08	05/06/09			1,581.60	1,580.59	1.01
LOWE'S COMPANIES INC	57.0000	01/29/09	07/17/09			1,165.05	1,110.28	54.77
METLIFE INC COM	58.0000	05/04/09	06/29/09			1,742.85	1,600.96	141.89



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2009 TAX REPORTING STATEMENT
2009 CAPITAL GAIN AND LOSS TRANSACTIONS

Security Description	Quantity	Date Acquired Cover of Short	Date Liquidated Short Sale	Amortization/Accretion Year-to-Date	Life-to-Date	Sales Price	Cost Basis	Gain or (Loss)
MARVELL TECHNOLOGY GROUP	23.0000	04/13/09	06/29/09			268.86	233.91	34.95
	51.0000	04/14/09	06/29/09			596.17	540.57	55.60
		Security Subtotal				865.03	774.48	90.55
MATTEL INC COM	17.0000	11/04/08	08/20/09			300.60	260.98	39.62
MEDTRONIC INC COM	19.0000	02/25/09	06/29/09			659.10	649.64	9.46
MILLIPORE CORP	8.0000	05/20/09	06/29/09			566.94	528.78	38.16
	4.0000	05/22/09	06/29/09			283.47	253.44	30.03
		Security Subtotal				850.41	782.22	68.19
NATIONAL-OILWELL VARCO	10.0000	04/13/09	08/20/09			378.29	339.93	38.36
	25.0000	04/14/09	08/20/09			945.73	870.57	75.16
		Security Subtotal				1,324.02	1,210.50	113.52
NEXEN INC CANADA COM	18.0000	10/22/08	06/29/09			392.56	243.18	149.38
	18.0000	10/24/08	06/29/09			392.57	216.64	175.93
		Security Subtotal				785.13	459.82	325.31
NOBLE CORP NAMEN-AKT	33.0000	01/29/09	06/29/09			1,030.23	908.82	121.41
NORFOLK SOUTHERN CORP	22.0000	03/24/09	06/29/09			838.61	746.55	92.06
	20.0000	03/27/09	06/29/09			762.38	698.13	64.25
		Security Subtotal				1,600.99	1,444.68	156.31
ON SEMICONDUCTOR CRP COM	125.0000	05/26/09	06/29/09			856.55	801.18	55.37
OCCIDENTAL PETE CORP CAL	6.0000	02/04/09	06/29/09			397.25	332.29	64.96

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2009 TAX REPORTING STATEMENT
2009 CAPITAL GAIN AND LOSS TRANSACTIONS

Security Description	Quantity	Date Acquired Cover of Short	Date Liquidated Short Sale	Amortization/Accretion Year-to-Date	Life-to-Date	Sales Price	Cost Basis	Gain or (Loss)
PEARSON SPONSORED ADR	18.0000	05/20/09	08/20/09			215.83	199.83	16.00
	35.0000	05/15/09	08/20/09			419.65	371.99	47.66
	5.0000	05/18/09	08/20/09			59.95	53.46	6.49
	10.0000	05/19/09	08/20/09			119.90	110.12	9.78
	6.0000	05/20/09	08/20/09			71.95	66.61	5.34
	12.0000	06/17/09	08/20/09			143.91	120.64	23.27
		Security Subtotal				1,031.19	922.65	108.54
PRICELINE COM INC	6.0000	03/12/09	05/12/09			653.91	469.70	184.21
	3.0000	03/12/09	06/29/09			343.52	234.85	108.67
		Security Subtotal				997.43	704.55	292.88
PETROLEO BRAS VTG SPD ADR	6.0000	10/21/08	06/29/09			247.97	167.10	80.87
	5.0000	10/24/08	06/29/09			206.64	106.11	100.53
	10.0000	11/04/08	06/29/09			413.31	290.24	123.07
		Security Subtotal				867.92	563.45	304.47
POSCO SPN ADR	4.0000	03/18/09	06/29/09			333.55	266.20	77.35
	5.0000	03/31/09	06/29/09			416.94	334.17	82.77
		Security Subtotal				750.49	590.37	160.12
PNC FINCL SERVICES GROUP	17.0000	02/17/09	06/29/09			671.48	451.13	220.35
	36.0000	02/23/09	06/29/09			1,421.96	899.09	522.87
		Security Subtotal				2,093.44	1,350.22	743.22
PETRO CANADA	11.0000	11/04/08	06/29/09			428.11	278.35	149.76
PRAXAIR INC	17.0000	03/16/09	06/29/09			1,229.91	1,092.83	137.08
PROCTER & GAMBLE CO	25.0000	05/19/09	07/17/09			1,389.71	1,327.95	61.76
QUEST DIAGNOSTICS INC	15.0000	02/26/09	06/29/09			840.72	729.89	110.83



HON CAROLYN MCCARTHY

2009 TAX REPORTING STATEMENT

2009 CAPITAL GAIN AND LOSS TRANSACTIONS

Security Description	Quantity	Date Acquired Cover of Short	Date Liquidated Short Sale	Amortization/Accretion Year-to-Date	Life-to-Date	Sales Price	Cost Basis	Gain or (Loss)
RENAISSANCE HLDGS LTD	3.0000	10/23/08	08/20/09			157.28	119.82	37.46
	6.0000	11/04/08	08/20/09			314.59	269.10	45.49
Security Subtotal						471.87	388.92	82.95
RED HAT INC	10.0000	01/09/09	06/03/09			197.46	151.01	46.45
	10.0000	01/09/09	06/04/09			199.32	151.01	48.31
	23.0000	01/09/09	06/29/09			468.72	347.34	121.38
	18.0000	01/29/09	06/29/09			366.83	267.12	99.71
Security Subtotal						1,232.33	916.48	315.85
ROPER INDUSTRIES INC COM	5.0000	03/17/09	06/29/09			226.99	211.49	15.50
	12.0000	03/18/09	06/29/09			544.79	520.75	24.04
Security Subtotal						771.78	732.24	39.54
SAP AKGSLT SPONSORD ADR	4.0000	12/31/08	06/29/09			161.39	144.30	17.09
	5.0000	01/02/09	06/29/09			201.75	179.75	22.00
Security Subtotal						363.14	324.05	39.09
SCHLUMBERGER LTD	16.0000	11/24/08	06/29/09			872.30	739.81	132.49
SILICONWARE PRECSN SPADR	53.0000	01/29/09	06/29/09			324.36	227.89	96.47
SALESFORCE COM INC	20.0000	05/29/09	06/29/09			777.18	749.43	27.75
SONY CORP ADR NEW	3.0000	10/21/08	04/09/09			77.82	76.92	0.90
	5.0000	10/23/08	04/16/09			124.80	116.61	8.19
Security Subtotal						202.62	193.53	9.09
SOUTHWESTERN ENERGY CO	4.0000	03/27/09	05/20/09			164.23	127.72	36.51
	20.0000	03/27/09	06/29/09			784.57	638.60	145.97
Security Subtotal						948.80	766.32	182.48
TUX COS INC NEW	10.0000	11/11/08	06/29/09			313.79	226.03	87.76

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2009 TAX REPORTING STATEMENT
2009 CAPITAL GAIN AND LOSS TRANSACTIONS

Security Description	Quantity	Date Acquired	Date Liquidated	Amortization/Accretion	Life-to-Date	Sales Price	Cost Basis	Gain or (Loss)
Cover of Short	Short Sale	Year-to-Date						
TENARIS S A ADR	14.0000	11/04/08	06/29/09			384.16	328.97	55.19
	2.0000	11/06/08	06/29/09			54.88	39.66	15.22
Security Subtotal						439.04	368.63	70.41
TERRA INDUSTRIES INC COM	21.0000	10/14/08	03/23/09			573.71	514.21	59.50
	4.0000	11/10/08	03/23/09			109.28	83.76	25.52
	7.0000	11/10/08	05/08/09			178.75	146.59	32.16
	4.0000	12/10/08	05/08/09			102.15	60.25	41.90
	16.0000	01/29/09	05/08/09			408.60	331.36	77.24
Security Subtotal						1,372.49	1,136.17	236.32
TELENORTE LES PART SPADR	22.0000	11/12/08	10/16/09			427.02	261.51	165.51
TARGET CORP COM	10.0000	03/18/09	04/15/09			379.36	307.92	71.44
	10.0000	03/18/09	05/13/09			405.24	307.92	97.32
	19.0000	03/18/09	05/29/09			739.57	585.06	154.51
	10.0000	03/26/09	05/29/09			389.25	340.45	48.80
Security Subtotal						1,913.42	1,541.35	372.07
TURKCELL ILETISIM ADR	5.0000	04/20/09	06/29/09			69.49	60.18	9.31
	9.0000	04/21/09	06/29/09			125.10	109.00	16.10
	13.0000	04/23/09	06/29/09			180.70	156.48	24.22
Security Subtotal						375.29	325.66	49.63
3M COMPANY	25.0000	10/23/08	07/17/09			1,570.96	1,532.72	38.24
TIME WARNER INC SHS	55.0000	05/01/09	06/29/09			1,396.96	1,238.46	158.50
TORONTO DOMINION BANK	9.0000	03/09/09	06/29/09			474.28	241.18	233.10
	8.0000	03/20/09	06/29/09			421.59	270.06	151.53
Security Subtotal						895.87	511.24	384.63
UNITED PARCEL SVC CL B	24.0000	03/27/09	07/17/09			1,252.76	1,201.78	50.98
UNION PACIFIC CORP	25.0000	04/03/09	06/29/09			1,313.21	1,148.53	164.68



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2009 CAPITAL GAIN AND LOSS TRANSACTIONS

Security Description	Quantity	Date Acquired Cover of Short	Date Liquidated Short Sale	Amortization/Accretion Year-to-Date	Life-to-Date	Sales Price	Cost Basis	Gain or (Loss)
UNITED TECHS CORP COM	30.0000	10/13/08	07/17/09			1,613.96	1,566.52	47.44
VERIZON COMMUNICATNS COM	5.0000	10/27/08	06/01/09			146.77	140.53	6.24
	45.0000	10/27/08	06/29/09			1,409.36	1,264.80	144.56
	10.0000	02/27/09	06/29/09			313.19	287.24	25.95
	5.0000	03/02/09	06/29/09			156.60	137.65	18.95
Security Subtotal						2,025.92	1,830.22	195.70
VOLVO AKTIEBOLAGET ADR	17.0000	11/13/08	02/17/09			84.33	78.45	5.88
	61.0000	11/13/08	02/19/09			283.13	281.50	1.63
Security Subtotal						367.46	359.95	7.51
XTO ENERGY INC	30.0000	01/06/09	10/16/09			1,328.07	1,229.18	98.89
WALGREEN CO	50.0000	04/20/09	08/20/09			1,537.45	1,499.17	38.28
YAMANA GOLD INC	22.0000	04/17/09	06/29/09			201.73	165.00	36.73
	24.0000	04/20/09	06/29/09			220.07	186.42	33.65
Security Subtotal						421.80	351.42	70.38
WYETH	37.0000	11/18/08	02/25/09			1,537.92	1,270.52	267.40
Short Term Capital Gains Subtotal						163,253.50	136,799.48	26,454.02
SHORT TERM CAPITAL LOSSES								
ADOBE SYS DEL PV\$ 0.001	10.0000	09/18/08	01/14/09			212.98	382.59	(169.61)
AIR FRANCE KLM SPON ADR	8.0000	09/30/08	03/31/09			69.66	181.60	(111.94)
	8.0000	10/14/08	03/31/09			69.67	159.40	(89.73)
Security Subtotal						139.33	341.00	(201.67)

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2009 CAPITAL GAIN AND LOSS TRANSACTIONS

Security Description	Quantity	Date Acquired Cover of Short	Date Liquidated Short Sale	Amortization/Accretion Year-to-Date	Life-to-Date	Sales Price	Cost Basis	Gain or (Loss)
AECON N.V. NY REG SHS	5.0000	07/23/08	06/16/09			28.91	64.93	(36.02)
	10.0000	07/23/08	06/17/09			55.03	129.87	(74.84)
	16.0000	07/23/08	06/18/09			93.99	207.80	(113.81)
Security Subtotal						177.93	402.60	(224.67)
APOLLO GROUP INC CL A	13.0000	01/16/09	03/26/09			1,007.66	1,142.42	(134.76)
ANNALY CAP MGMT INC	55.0000	07/09/08	06/29/09			825.02	889.56	(64.54)
ALCOA INC	38.0000	11/05/08	01/28/09			333.05	465.90	(132.85)
	20.0000	11/05/08	02/06/09			169.04	245.21	(76.17)
	25.0000	11/05/08	02/09/09			212.18	306.52	(94.34)
Security Subtotal						714.27	1,017.63	(303.36)
ASTRAZENECA PLC SPND ADR	9.0000	07/23/08	06/29/09			402.73	416.47	(13.74)
ALLIANT TECHSYSTEMS INC	7.0000	01/08/09	03/11/09			447.66	592.76	(145.10)
ARCELORMITTAL SA,	8.0000	02/12/08	01/16/09			187.39	562.28	(374.89)
	3.0000	04/15/08	01/16/09			70.28	254.18	(183.90)
Security Subtotal						257.67	816.46	(558.79)
AUST NW Z B G ADR	5.0000	06/15/09	07/17/09			67.24	69.82	(2.58)
	10.0000	06/16/09	07/17/09			134.50	134.66	(0.16)
Security Subtotal						201.74	204.48	(2.74)
BANCOLOMBIA S.A SPDS ADR	10.0000	07/29/08	06/29/09			306.89	339.21	(32.32)
	14.0000	07/30/08	06/29/09			429.64	490.27	(60.63)
Security Subtotal						736.53	829.48	(92.95)
BANCO SANTANDER SA ADR	10.0000	02/14/08	01/16/09			79.52	173.23	(93.71)
BRINKS CO	10.0000	09/09/08	02/11/09			280.61	381.64	(101.03)
	6.0000	09/10/08	02/11/09			168.38	227.01	(58.63)
Security Subtotal						448.99	608.65	(159.66)





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2009 TAX REPORTING STATEMENT

2009 CAPITAL GAIN AND LOSS TRANSACTIONS

Security Description	Quantity	Date Acquired Cover of Short	Date Liquidated Short Sale	Amortization/Accretion Year-to-Date	Life-to-Date	Sales Price	Cost Basis	Gain or (Loss)
BROADCOM CORP CALIF CL A	28.0000	07/11/08	01/09/09			469.93	783.61	(313.68)
	17.0000	07/11/08	03/31/09			341.94	475.77	(133.83)
	6.0000	07/25/08	03/31/09			120.68	139.31	(18.63)
Security Subtotal						932.55	1,398.69	(466.14)
BANK OF AMERICA CORP	11.0000	11/04/08	01/28/09			80.06	268.11	(188.05)
BASF SE SPONSORED ADR	6.0000	08/01/08	07/17/09			269.09	376.93	(107.84)
BNP PARIBAS SPONSORD ADR	2.0000	02/14/08	01/09/09			46.85	90.33	(43.48)
	7.0000	05/15/08	01/09/09			163.98	381.99	(218.01)
Security Subtotal						210.83	472.32	(261.49)
BAXTER INTERNTL INC	5.0000	09/16/08	07/17/09			269.69	341.62	(71.93)
BECTON DICKINSON CO	4.0000	01/16/09	06/29/09			285.03	289.14	(4.11)
BLACK AND DECKER CRP COM	6.0000	11/04/08	05/08/09			212.73	309.71	(96.98)
BLOCK H&R INC	40.0000	01/29/09	04/14/09			632.44	849.09	(216.65)
BRISTOL-MYERS SQUIBB CO	71.0000	02/26/09	06/29/09			1,452.62	1,463.05	(10.43)
CARDINAL HEALTH INC OHIO	5.0000	02/25/09	08/20/09			173.29	176.88	(3.59)
CITRIX SYSTEMS INC COM	37.0000	01/29/09	01/30/09			800.07	815.11	(15.04)
CANON INC ADR REPSH	5.0000	07/22/08	05/15/09			170.06	241.23	(71.17)
	13.0000	07/23/08	05/15/09			442.17	634.12	(191.95)
Security Subtotal						612.23	875.35	(263.12)
COSTCO WHOLESALE CRP DEL	25.0000	11/04/08	04/21/09			1,117.54	1,386.95	(269.41)
	10.0000	11/24/08	04/21/09			447.02	490.87	(43.85)
Security Subtotal						1,564.56	1,877.82	(313.26)

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2009 TAX REPORTING STATEMENT
2009 CAPITAL GAIN AND LOSS TRANSACTIONS

Security Description	Quantity	Date Acquired Cover of Short	Date Liquidated Short Sale	Amortization/Accretion Year-to-Date	Life-to-Date	Sales Price	Cost Basis	Gain or (Loss)
CENTERPOINT ENERGY INC	70.0000	01/29/09	03/17/09			712.46	952.69	(240.23)
CATERPILLAR INC DEL	5.0000	11/04/08	05/26/09			176.13	205.01	(28.88)
	7.0000	11/04/08	05/27/09			246.25	287.03	(40.78)
		Security Subtotal				422.38	492.04	(69.66)
CBS CORP NEW CL B	28.0000	11/06/08	02/26/09			130.60	244.40	(113.80)
	64.0000	11/06/08	03/05/09			221.87	558.63	(336.76)
	78.0000	01/29/09	03/05/09			270.42	472.62	(202.20)
		Security Subtotal				622.89	1,275.65	(652.76)
COVIDIEN LTD	5.0000	09/18/08	04/02/09			162.94	272.48	(109.54)
	18.0000	09/18/08	04/03/09			569.77	980.96	(411.19)
		Security Subtotal				732.71	1,253.44	(520.73)
COVIDIEN PLC SHS	7.0000	09/18/08	08/20/09			280.69	381.49	(100.80)
CHUBB CORP	20.0000	09/22/08	04/29/09			805.71	1,194.48	(388.77)
	10.0000	09/22/08	04/30/09			396.54	597.25	(200.71)
		Security Subtotal				1,202.25	1,791.73	(589.48)
CHURCH&DWIGHT CO INC	4.0000	11/04/08	08/20/09			232.39	244.97	(12.58)
DREAMWORKS ANIMATION INC	20.0000	10/14/08	06/11/09			570.74	580.60	(9.86)
	8.0000	10/14/08	06/12/09			228.33	232.25	(3.92)
	9.0000	11/05/08	06/12/09			256.89	260.42	(3.53)
		Security Subtotal				1,055.96	1,073.27	(17.31)
DIGITAL RLTY TR INC	10.0000	01/29/09	03/12/09			306.03	347.00	(40.97)
DEUTSCHE BK AG REG SHS	5.0000	07/22/08	04/20/09			240.03	446.85	(206.82)
	9.0000	07/22/08	04/21/09			428.21	804.35	(376.14)
		Security Subtotal				668.24	1,251.20	(582.96)
DEERE CO	30.0000	05/06/09	05/21/09			1,245.55	1,400.47	(154.92)



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2009 CAPITAL GAIN AND LOSS TRANSACTIONS

Security Description	Quantity	Date Acquired Cover of Short	Date Liquidated Short Sale	Amortization/Accretion Year-to-Date	Life-to-Date	Sales Price	Cost Basis	Gain or (Loss)
DEVRY INC DEL	10.0000	10/30/08	05/05/09			401.46	559.26	(157.80)
DOW CHEMICAL CO	33.0000	01/29/09	02/17/09			289.07	415.77	(126.70)
	82.0000	01/29/09	02/23/09			626.72	1,033.13	(406.41)
Security Subtotal						915.79	1,448.90	(533.11)
EXXON MOBIL CORP COM	21.0000	11/21/08	04/13/09			1,435.31	1,465.10	(29.79)
ENDO PHARMACEUTICALS HLDGS	10.0000	11/12/08	05/06/09			162.02	197.31	(35.29)
	25.0000	11/11/08	05/07/09			408.54	494.15	(85.61)
	5.0000	11/12/08	05/07/09			81.72	98.64	(16.92)
Security Subtotal						652.28	790.10	(137.82)
FS NETWORKS INC COM	30.0000	11/24/08	03/16/09			610.17	705.75	(95.58)
FMC TECHS INC COM	3.0000	09/12/08	01/23/09			82.59	147.51	(64.92)
	8.0000	11/07/08	01/23/09			220.26	263.37	(43.11)
Security Subtotal						302.85	410.88	(108.03)
FOSTER WHEELER AG	10.0000	08/07/08	03/04/09			143.76	545.10	(401.34)
	11.0000	08/08/08	03/04/09			158.15	598.26	(440.11)
	5.0000	09/12/08	03/04/09			71.88	208.85	(136.97)
	10.0000	11/06/08	03/04/09			143.78	237.25	(93.47)
Security Subtotal						517.57	1,589.46	(1,071.89)
GENERAL ELECTRIC	45.0000	09/18/08	04/16/09			531.93	1,042.51	(510.58)
	15.0000	09/22/08	04/16/09			177.32	403.46	(226.14)
	30.0000	09/22/08	04/20/09			342.04	806.94	(464.90)
	35.0000	01/29/09	04/20/09			399.06	449.33	(50.27)
Security Subtotal						1,450.35	2,702.24	(1,251.89)
GAMESTOP CORP NEW CL A	26.0000	03/11/08	03/06/09			582.32	1,192.04	(609.72)
	2.0000	09/26/08	03/06/09			44.79	70.71	(25.92)
	9.0000	11/04/08	03/06/09			201.59	249.42	(47.83)
Security Subtotal						828.70	1,512.17	(683.47)

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2009 CAPITAL GAIN AND LOSS TRANSACTIONS

Security Description	Quantity	Date Acquired Cover of Short	Date Liquidated Short Sale	Amortization/Accretion Year-to-Date	Life-to-Date	Sales Price	Cost Basis	Gain or (Loss)
GILEAD SCIENCES INC COM	16.0000	04/18/08	02/05/09			815.68	824.24	(8.56)
HARTFORD FINL SVCS GROUP	20.0000	05/22/08	01/12/09			307.08	1,413.12	(1,106.04)
	5.0000	05/28/08	01/12/09			76.77	350.32	(273.55)
	10.0000	05/30/08	01/12/09			153.54	712.47	(558.93)
	2.0000	11/05/08	01/12/09			30.71	38.55	(7.84)
	22.0000	11/05/08	02/17/09			220.29	424.12	(203.83)
	71.0000	11/05/08	02/24/09			579.65	1,368.77	(789.12)
Security Subtotal						1,368.04	4,307.35	(2,939.31)
HOST HOTELS & RESORTS	75.0000	02/19/08	01/13/09			494.28	1,262.98	(768.70)
	10.0000	02/29/08	01/13/09			65.90	161.74	(95.84)
	15.0000	03/03/08	01/13/09			98.87	251.12	(152.25)
	20.0000	03/04/08	01/14/09			123.54	332.82	(209.28)
	35.0000	03/03/08	01/14/09			216.17	585.95	(369.78)
Security Subtotal						998.76	2,594.61	(1,595.85)
HSBC HLDG PLC SP ADR	4.0000	10/14/08	06/29/09			169.91	297.13	(127.22)
	10.0000	01/09/09	06/29/09			424.78	482.16	(57.38)
Security Subtotal						594.69	779.29	(184.60)
HEWITT ASSOCIATES INC	13.0000	02/12/08	01/09/09			374.32	503.91	(129.59)
HESS CORP	20.0000	06/01/09	06/17/09			1,061.77	1,388.95	(327.18)
HILL ROM HLDGS	10.0000	10/27/08	01/09/09			144.88	219.17	(74.29)
	18.0000	10/28/08	01/09/09			260.79	395.58	(134.79)
	7.0000	11/11/08	01/09/09			101.42	172.83	(71.41)
	4.0000	11/11/08	01/12/09			56.96	98.76	(41.80)
Security Subtotal						564.05	886.34	(322.29)
HEWLETT PACKARD CO DEL	7.0000	06/05/08	02/24/09			207.44	339.09	(131.65)
	15.0000	06/05/08	05/08/09			509.37	726.62	(217.25)
Security Subtotal						716.81	1,065.71	(348.90)



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Security Description	Quantity	Date Acquired Cover of Short	Date Liquidated Short Sale	Amortization/Accretion Year-to-Date	Life-to-Date	Sales Price	Cost Basis	Gain or (Loss)
INVESTM TECH GRP INC NEW	15.0000	04/01/09	05/15/09			302.15	389.65	(87.50)
	5.0000	04/01/09	05/18/09			102.56	129.89	(27.33)
	9.0000	04/02/09	05/18/09			184.62	248.76	(64.14)
Security Subtotal						589.33	768.30	(178.97)
INGERSOLL RAND CO LTD A	9.0000	07/21/08	04/16/09			145.32	320.40	(175.08)
	4.0000	07/21/08	06/29/09			84.39	142.41	(58.02)
Security Subtotal						229.71	462.81	(233.10)
ING GP NV SPSPD ADR	15.0000	07/22/08	03/11/09			56.99	504.14	(447.15)
	20.0000	07/23/08	03/12/09			82.19	692.35	(610.16)
	3.0000	07/23/08	03/13/09			12.55	103.86	(91.31)
	45.0000	11/11/08	03/13/09			188.39	453.80	(265.41)
Security Subtotal						340.12	1,754.15	(1,414.03)
JUNIPER NETWORKS INC	36.0000	12/11/08	03/27/09			564.68	629.85	(65.17)
	70.0000	01/21/09	04/07/09			1,114.50	1,153.93	(39.43)
Security Subtotal						1,679.18	1,783.78	(104.60)
LINCOLN NTL CORP IND NPV	20.0000	09/18/08	05/06/09			275.05	881.86	(606.81)
	15.0000	09/19/08	05/06/09			206.29	828.08	(621.79)
	20.0000	09/26/08	05/06/09			275.05	966.23	(691.18)
Security Subtotal						756.39	2,676.17	(1,919.78)
MONSANTO CO NEW DEL COM	5.0000	09/09/08	06/29/09			379.94	505.48	(125.54)
MAGNA INTL INC CL A VTG	1.0000	07/24/08	05/15/09			31.42	60.83	(29.41)
	11.0000	11/10/08	05/15/09			345.73	364.61	(18.88)
Security Subtotal						377.15	425.44	(48.29)
MCDONALDS CORP COM	10.0000	07/09/08	02/04/09			581.51	593.32	(11.81)
MEDTRONIC INC COM	21.0000	02/23/09	06/29/09			728.46	728.95	(0.49)



Merrill Lynch

HON CAROLYN MCCARTHY

2009 TAX REPORTING STATEMENT

2009 CAPITAL GAIN AND LOSS TRANSACTIONS

Security Description	Quantity	Date Acquired Cover of Short	Date Liquidated Short Sale	Amortization/Accretion Year-to-Date	Life-to-Date	Sales Price	Cost Basis	Gain or (Loss)
NISSAN MTR LTD SPN ADR	39.0000	07/28/08	01/20/09			275.23	610.85	(335.62)
	15.0000	07/28/08	01/28/09			95.56	234.95	(139.39)
	27.0000	11/06/08	01/28/09			172.01	242.07	(70.06)
Security Subtotal						542.80	1,087.87	(545.07)
ON SEMICONDUCTOR CRP COM	143.0000	09/16/08	02/26/09			519.25	1,017.37	(498.12)
OMNICARE INC	10.0000	09/15/08	01/16/09			281.04	318.21	(37.17)
	12.0000	09/16/08	01/16/09			337.25	379.19	(41.94)
	11.0000	09/16/08	02/26/09			253.03	347.61	(94.58)
	11.0000	11/06/08	02/26/09			253.04	285.86	(32.82)
Security Subtotal						1,124.36	1,330.87	(206.51)
PLAINS EXPL AND PRODCTN	34.0000	02/18/09	03/31/09			594.72	693.55	(98.83)
PNC FINCL SERVICES GROUP	20.0000	01/12/09	03/09/09			392.51	957.75	(565.24)
	22.0000	01/20/09	03/11/09			549.38	597.68	(48.30)
	24.0000	02/17/09	03/11/09			599.34	636.88	(37.54)
Security Subtotal						1,541.23	2,192.31	(651.08)
PHILIP MORRIS INTL INC	20.0000	07/14/08	05/19/09			841.36	1,070.07	(228.71)
PETRO CANADA	25.0000	07/23/08	05/14/09			914.89	1,169.79	(254.90)
	9.0000	07/23/08	06/29/09			350.26	421.13	(70.87)
Security Subtotal						1,265.15	1,590.92	(325.77)
PROCTER & GAMBLE CO	25.0000	09/18/08	03/24/09			1,183.48	1,804.25	(620.77)
REPUBLIC SERVICES INC	28.0000	01/30/09	04/01/09			475.77	739.24	(263.47)
RAYTHEON CO DELAWARE NEW	10.0000	03/24/08	02/09/09			480.65	635.09	(154.44)
	2.0000	03/24/08	03/13/09			67.74	127.02	(59.28)
	13.0000	03/25/08	03/13/09			440.37	837.56	(397.19)
	12.0000	03/25/08	03/18/09			411.81	773.15	(361.34)
Security Subtotal						1,400.57	2,372.82	(972.25)



HON CAROLYN MCCARTHY

2009 TAX REPORTING STATEMENT
2009 CAPITAL GAIN AND LOSS TRANSACTIONS

Security Description	Quantity	Date Acquired Cover of Short	Date Liquidated Short Sale	Amortization/Accretion Year-to-Date	Life-to-Date	Sales Price	Cost Basis	Gain or (Loss)
ST JUDE MEDICAL INC	18.0000	10/27/08	05/06/09			613.65	630.05	(16.40)
	7.0000	11/04/08	05/06/09			238.64	269.67	(31.03)
	5.0000	02/18/09	05/06/09			170.46	191.44	(20.98)
	25.0000	02/18/09	05/28/09			937.01	957.25	(20.24)
Security Subtotal						1,959.76	2,048.41	(88.65)
SPX CORP COM	13.0000	10/14/08	03/23/09			673.26	772.31	(99.05)
SUPERIOR ENERGY SVCS INC	27.0000	05/19/08	03/26/09			452.24	1,479.84	(1,027.60)
	12.0000	11/12/08	03/26/09			201.01	215.61	(14.60)
Security Subtotal						653.25	1,695.45	(1,042.20)
SUNOCO INC PV\$1 PA	20.0000	11/18/08	02/17/09			715.27	754.13	(38.86)
SILICONWARE PRECSN SPADR	39.0000	07/24/08	06/15/09			243.37	272.54	(29.17)
	11.0000	07/25/08	06/15/09			68.65	76.60	(7.95)
	24.0000	07/25/08	06/16/09			148.80	167.15	(18.35)
	1.0000	07/28/08	06/16/09			6.20	6.92	(0.72)
	34.0000	07/28/08	06/17/09			202.71	235.50	(32.79)
	5.0000	07/28/08	06/29/09			30.59	34.64	(4.05)
Security Subtotal						700.32	793.35	(93.03)
SAIC INC	22.0000	10/01/08	03/12/09			380.54	442.56	(62.02)
	15.0000	11/12/08	03/12/09			259.47	277.72	(18.25)
Security Subtotal						640.01	720.28	(80.27)
SONY CORP ADR NEW	2.0000	10/21/08	04/16/09			49.92	51.28	(1.36)
STAPLES INC	25.0000	06/25/08	04/29/09			518.08	627.71	(109.63)
TENARIS S A ADR	5.0000	10/02/08	06/29/09			137.19	170.06	(32.87)
	5.0000	10/07/08	06/29/09			137.19	159.22	(22.03)
Security Subtotal						274.38	329.28	(54.90)
TERRA INDUSTRIES INC COM	11.0000	10/14/08	01/16/09			223.75	269.34	(45.59)

HON CAROLYN MCCARTHY

2009 TAX REPORTING STATEMENT
2009 CAPITAL GAIN AND LOSS TRANSACTIONS

Security Description	Quantity	Date Acquired Cover of Short	Date Liquidated Short Sale	Amortization/Accretion Year-to-Date	Life-to-Date	Sales Price	Cost Basis	Gain or (Loss)
3M COMPANY	5.0000	05/28/08	02/09/09			270.28	384.18	(113.90)
	3.0000	05/28/08	02/10/09			159.87	230.52	(70.65)
	17.0000	06/03/08	02/10/09			905.94	1,295.01	(389.07)
Security Subtotal						1,336.09	1,909.71	(573.62)
URBAN OUTFITTERS INC	10.0000	02/12/08	01/07/09			139.90	300.42	(160.52)
	18.0000	02/12/08	01/08/09			265.46	540.76	(275.30)
Security Subtotal						405.36	841.18	(435.82)
UNITED PARCEL SVC CL B	10.0000	04/02/09	07/17/09			521.99	533.05	(11.06)
VOTRNTM CEL PN SP5D ADR	10.0000	09/22/08	03/24/09			49.73	210.45	(160.72)
	9.0000	09/26/08	03/25/09			45.19	149.71	(104.52)
	8.0000	01/29/09	03/25/09			40.18	50.40	(10.22)
	5.0000	01/29/09	04/03/09			28.22	31.50	(3.28)
	25.0000	01/29/09	04/08/09			154.80	157.50	(2.70)
Security Subtotal						318.12	599.56	(281.44)
VOLVO AKTIEBOLAGET ADR	74.0000	07/25/08	02/17/09			367.07	881.95	(514.88)
WAL-MART STORES INC	9.0000	06/12/08	04/09/09			453.87	535.52	(81.65)
	1.0000	06/24/08	04/09/09			50.44	57.64	(7.20)
Security Subtotal						504.31	593.16	(88.85)
WALGREEN CO	10.0000	04/29/09	08/20/09			307.50	314.96	(7.46)
WELLS FARGO & CO NEW DEL	13.0000	07/17/08	03/09/09			124.21	349.31	(225.10)
	16.0000	07/17/08	03/09/09			152.89	442.34	(289.45)
	24.0000	07/17/08	03/12/09			312.73	663.52	(350.79)
	47.0000	01/29/09	03/12/09			612.44	935.68	(323.24)
Security Subtotal						1,202.27	2,390.85	(1,188.58)
Short Term Capital Losses Subtotal						60,758.56	91,052.99	(30,294.43)

NET SHORT TERM CAPITAL GAIN (LOSS)

(3,840.41)



HON CAROLYN MCCARTHY

2009 TAX REPORTING STATEMENT
2009 CAPITAL GAIN AND LOSS TRANSACTIONS

Security Description	Quantity	Date Acquired Cover of Short	Date Liquidated Short Sale	Amortization/Accretion Year-to-Date	Life-to-Date	Sales Price	Cost Basis	Gain or (Loss)
LONG TERM CAPITAL GAINS								
APPLE INC	2.0000	03/15/07	06/29/09			284.95	179.22	105.73
	5.0000	03/24/08	06/29/09			712.38	697.08	15.30
		Security Subtotal				997.33	876.30	121.03
BAXTER INTERNTL INC	1.0000	12/01/06	02/18/09			57.79	44.77	13.02
	9.0000	03/15/07	02/18/09			520.13	461.61	58.52
	16.0000	03/15/07	07/17/09			863.01	820.64	42.37
		Security Subtotal				1,440.93	1,327.02	113.91
BECTON DICKINSON CO	5.0000	03/24/06	06/29/09			356.29	315.26	41.03
CANADIAN NATURAL RES LTD	37.0000	03/15/07	06/29/09			1,955.02	1,900.32	54.70
CHEVRON CORP	14.0000	03/24/06	06/29/09			936.01	806.41	129.60
CHURCH&DWIGHT CO INC	8.0000	01/10/08	08/20/09			464.78	448.04	16.74
COLGATE PALMOLIVE	10.0000	08/02/07	06/29/09			721.78	672.16	49.62
	10.0000	09/25/07	06/29/09			721.78	707.69	14.09
		Security Subtotal				1,443.56	1,379.85	63.71
DANAHER CORP DEL COM	1.0000	03/24/06	11/13/09			72.21	64.41	7.80
	12.0000	03/15/07	11/13/09			866.62	850.92	15.70
	5.0000	03/30/07	11/13/09			361.09	357.25	3.84
	5.0000	04/02/07	11/13/09			361.09	356.56	4.53
	5.0000	04/12/07	11/13/09			361.10	352.74	8.36
		Security Subtotal				2,022.11	1,981.88	40.23
DOLLAR TREE INC	8.0000	05/29/08	06/29/09			341.27	303.09	38.18
R R DONNELLEY SONS	39.0000	11/07/08	12/17/09			857.98	605.59	252.39

HON CAROLYN MCCARTHY

2009 TAX REPORTING STATEMENT
2009 CAPITAL GAIN AND LOSS TRANSACTIONS

Security Description	Quantity	Date Acquired Cover of Short	Date Liquidated Short Sale	Amortization/Accretion Year-to-Date	Life-to-Date	Sales Price	Cost Basis	Gain or (Loss)
HEWLETT PACKARD CO DEL	23.0000	06/05/08	11/13/09			1,142.83	1,114.16	28.67
	15.0000	07/17/08	11/13/09			745.34	645.65	99.69
Security Subtotal						1,888.17	1,759.81	128.36
MONSANTO CO NEW DEL COM	13.0000	03/15/07	06/29/09			987.84	683.16	304.68
MCDONALDS CORP COM	11.0000	03/15/07	02/04/09			639.66	478.18	161.48
OCCIDENTAL PETE CORP CAL	6.0000	03/15/07	03/24/09			353.93	276.00	77.93
	4.0000	09/19/07	06/29/09			264.83	261.54	3.29
	15.0000	01/25/08	06/29/09			993.12	972.18	20.94
Security Subtotal						1,611.88	1,509.72	102.16
ORACLE CORP \$0.01 DEL	25.0000	08/24/07	06/29/09			541.80	497.52	44.28
	50.0000	10/19/07	06/29/09			1,083.60	1,056.68	26.92
	30.0000	04/01/08	06/29/09			650.17	606.17	44.00
Security Subtotal						2,275.57	2,160.37	115.20
PETROLEO BRAS VTG SPD ADR	30.0000	07/05/07	06/29/09			1,239.86	971.82	268.04
	20.0000	07/12/07	06/29/09			826.58	669.50	157.08
Security Subtotal						2,066.44	1,641.32	425.12
RENAISSANCE HLDGS LTD	5.0000	07/23/08	08/20/09			262.14	248.85	13.29
	11.0000	07/24/08	08/20/09			576.71	550.68	26.03
Security Subtotal						838.85	799.53	39.32
TUX COS INC NEW	29.0000	03/15/07	06/29/09			909.99	756.62	153.37
TEVA PHARMACTCL INDS ADR	3.0000	03/23/07	06/29/09			147.05	112.13	34.92
	8.0000	04/10/07	06/29/09			392.15	303.48	88.67
Security Subtotal						539.20	415.61	123.59
TRAVELERS COS INC	19.0000	03/24/06	08/20/09			906.27	788.69	117.58
V F CORPORATION	20.0000	03/24/06	08/20/09			1,326.36	1,117.60	208.76



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2009 TAX REPORTING STATEMENT

Security Description	Quantity	Cover of Short	Short Sale	Year-to-Date	Life-to-Date	Sales Price	Cost Basis	Gain or (Loss)
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Long Term Capital Gains Subtotal

ALLSTATE CORP DEL COM	11.0000	03/24/06	01/12/09	329.81	585.09	(255.28)
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Security Subtotal**Security Subtotal****Security Subtotal****Security Subtotal**Security Subtotal07/31/08

HON CAROLYN MCCARTHY

2009 TAX REPORTING STATEMENT

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Security Description	Quantity	2009 CAPITAL GAIN AND LOSS TRANSACTIONS				Sales Price	Cost Basis	Gain or (Loss)
		Date Acquired	Date Liquidated	Amortization/Accretion	Life-to-Date			
Cover of Short	Short Sale	Year-to-Date	Life-to-Date					
BARD C R INC								
	9.0000	10/06/08	11/23/09			728.17	801.84	(73.67)
	3.0000	11/04/08	11/23/09			242.73	263.82	(21.09)
Security Subtotal						970.90	1,065.66	(94.76)
BP PLC SPON ADR								
	1.0000	05/11/07	01/14/09			42.64	66.41	(23.77)
	3.0000	06/26/07	01/14/09			127.92	212.00	(84.08)
	4.0000	11/02/07	01/14/09			170.56	313.37	(142.81)
Security Subtotal						341.12	591.78	(250.66)
BANK OF AMERICA CORP								
	15.0000	03/24/06	01/28/09			109.16	704.55	(595.39)
	25.0000	08/15/06	01/28/09			181.93	1,302.98	(1,121.05)
	22.0000	03/15/07	01/28/09			160.11	1,098.90	(938.79)
Security Subtotal						451.20	3,106.43	(2,655.23)
BAXTER INTERNL INC								
	5.0000	03/15/07	06/03/09			240.95	256.45	(15.50)
BECTON DICKINSON CO								
	11.0000	03/15/07	06/29/09			783.84	819.94	(36.10)
	5.0000	08/31/07	06/29/09			356.29	385.35	(29.06)
Security Subtotal						1,140.13	1,205.29	(65.16)
BLACK AND DECKER CRP COM								
	5.0000	07/30/07	05/06/09			194.08	429.65	(235.57)
	5.0000	07/30/07	05/06/09			188.92	429.66	(240.74)
	5.0000	07/31/07	05/06/09			188.92	437.26	(248.34)
	5.0000	08/02/07	05/07/09			184.76	444.78	(260.02)
	5.0000	08/02/07	05/08/09			177.26	444.79	(267.53)
	5.0000	08/03/07	05/08/09			177.27	445.07	(267.80)
Security Subtotal						1,111.21	2,631.21	(1,520.00)
COCA COLA FEMSA SP ADR								
	5.0000	08/04/08	10/16/09			264.24	289.30	(25.06)
	9.0000	08/06/08	10/16/09			475.63	558.10	(82.47)
Security Subtotal						739.87	847.40	(107.53)



HON CAROLYN MCCARTHY

2009 TAX REPORTING STATEMENT

2009 CAPITAL GAIN AND LOSS TRANSACTIONS

Security Description	Quantity	Date Acquired Cover of Short	Date Liquidated Short Sale	Amortization/Accretion Year-to-Date	Life-to-Date	Sales Price	Cost Basis	Gain or (Loss)
COPEL PARANA ADR PREF B								
	10.0000	07/29/08	10/16/09			188.09	202.73	(14.64)
	20.0000	07/30/08	10/16/09			376.19	408.25	(32.06)
	11.0000	07/31/08	10/16/09			206.90	219.70	(12.80)
Security Subtotal						771.18	830.68	(59.50)
CHEVRON CORP								
	10.0000	03/15/07	06/29/09			668.58	690.10	(21.52)
COMPANHIA D SNMNT0 BSCO								
	10.0000	08/04/08	09/14/09			371.09	498.56	(127.47)
	13.0000	08/05/08	09/14/09			482.41	667.02	(184.61)
	5.0000	09/08/08	09/14/09			185.54	201.60	(16.06)
	5.0000	09/09/08	09/14/09			185.54	197.71	(12.17)
	7.0000	09/11/08	09/14/09			259.76	261.19	(1.43)
Security Subtotal						1,484.34	1,826.08	(341.74)
CATERPILLAR INC DEL								
	20.0000	12/03/07	05/26/09			704.49	1,449.21	(744.72)
	5.0000	12/06/07	05/26/09			176.12	371.31	(195.19)
	10.0000	12/07/07	05/26/09			352.25	741.51	(389.26)
Security Subtotal						1,232.86	2,562.03	(1,329.17)
CBS CORP NEW CL B								
	24.0000	06/08/06	02/26/09			111.92	642.86	(530.94)
	15.0000	06/27/06	02/26/09			69.95	397.06	(327.11)
	25.0000	06/28/06	02/26/09			116.59	663.28	(546.69)
	31.0000	03/15/07	02/26/09			144.58	930.93	(786.35)
Security Subtotal						443.04	2,634.13	(2,191.09)
COLGATE PALMOLIVE								
	7.0000	03/15/07	03/18/09			404.80	456.26	(51.46)
	5.0000	03/15/07	05/19/09			321.54	325.91	(4.37)
Security Subtotal						726.34	782.17	(55.83)
R R DONNELLEY SONS								
	11.0000	03/24/06	12/17/09			241.99	351.02	(109.03)
	15.0000	05/08/06	12/17/09			329.99	501.73	(171.74)
	15.0000	05/19/06	12/17/09			329.99	503.85	(173.86)
	19.0000	03/15/07	12/17/09			418.00	684.56	(266.56)
	10.0000	03/15/07	12/17/09			219.99	360.31	(140.32)
Security Subtotal						1,539.96	2,401.47	(861.51)



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2009 TAX REPORTING STATEMENT

2009 CAPITAL GAIN AND LOSS TRANSACTIONS

Security Description	Quantity	Date Acquired Cover of Short	Date Liquidated Short Sale	Amortization/Accretion Year-to-Date	Life-to-Date	Sales Price	Cost Basis	Gain or (Loss)
DOW CHEMICAL CO	38.0000	03/24/06	02/02/09			429.13	1,606.26	(1,177.13)
	30.0000	02/15/07	02/02/09			338.78	1,301.12	(962.34)
	39.0000	03/15/07	02/02/09			440.43	1,786.98	(1,346.55)
	25.0000	03/15/07	02/17/09			218.98	1,145.50	(926.52)
Security Subtotal						1,427.32	5,839.86	(4,412.54)
EXELON CORPORATION	3.0000	03/24/06	04/30/09			137.85	164.83	(26.98)
	24.0000	03/15/07	04/30/09			1,102.82	1,582.32	(479.50)
Security Subtotal						1,240.67	1,747.15	(506.48)
FPL GROUP INC	15.0000	10/16/07	01/06/09			768.07	950.90	(182.83)
	15.0000	10/17/07	01/06/09			768.07	951.47	(183.40)
Security Subtotal						1,536.14	1,902.37	(366.23)
FLOWSERVE CORP	4.0000	12/14/07	06/02/09			314.35	402.31	(87.96)
	3.0000	12/14/07	08/20/09			267.14	301.75	(34.61)
Security Subtotal						581.49	704.06	(122.57)
FMC TECHS INC COM	10.0000	11/05/07	01/23/09			275.31	570.10	(294.79)
	8.0000	11/16/07	01/23/09			220.25	418.31	(198.06)
Security Subtotal						495.56	988.41	(492.85)
HEWITT ASSOCIATES INC	10.0000	02/12/08	05/29/09			288.54	387.62	(99.08)
	3.0000	02/12/08	06/01/09			86.50	116.29	(29.79)
Security Subtotal						375.04	503.91	(128.87)
INTEL CORP	60.0000	01/31/08	02/20/09			766.16	1,246.33	(480.17)
	3.0000	02/04/08	02/20/09			38.31	64.20	(25.89)
	10.0000	02/04/08	02/24/09			126.67	214.02	(87.35)
	47.0000	02/04/08	02/25/09			600.76	1,005.92	(405.16)
Security Subtotal						1,531.90	2,530.47	(998.57)
INTL BUSINESS MACHINES	15.0000	06/24/08	08/20/09			1,781.65	1,853.32	(71.67)
	5.0000	07/08/08	08/20/09			593.88	616.37	(22.49)
Security Subtotal						2,375.53	2,469.69	(94.16)





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HON CAROLYN MCCARTHY

2009 TAX REPORTING STATEMENT

2009 CAPITAL GAIN AND LOSS TRANSACTIONS

Security Description	Quantity	Date Acquired Cover of Short	Date Liquidated Short Sale	Amortization/Accretion Year-to-Date	Life-to-Date	Sales Price	Cost Basis	Gain or (Loss)
JPMORGAN CHASE & CO	43.0000	09/19/08	11/13/09			1,823.60	1,956.68	(133.08)
JOHNSON AND JOHNSON COM	1.0000	06/20/06	02/27/09			50.55	61.62	(11.07)
	22.0000	03/15/07	02/27/09			1,112.21	1,331.88	(219.67)
Security Subtotal						1,162.76	1,393.50	(230.74)
LOCKHEED MARTIN CORP	5.0000	08/27/07	02/06/09			395.63	505.64	(110.01)
LAFARGE ADR NEW	5.0000	01/24/07	01/15/09			67.63	190.58	(122.95)
	20.0000	03/15/07	01/15/09			270.52	743.20	(472.68)
Security Subtotal						338.15	933.78	(595.63)
LINCOLN NTL CORP IND NPV	17.0000	03/15/07	03/04/09			111.46	1,124.21	(1,012.75)
	23.0000	03/15/07	05/06/09			316.31	1,520.99	(1,204.68)
Security Subtotal						427.77	2,645.20	(2,217.43)
MATTEL INC COM	101.0000	03/24/06	08/20/09			1,785.91	1,819.02	(33.11)
NORTHN TRUST CORP	5.0000	10/08/07	03/24/09			317.66	354.73	(37.07)
	2.0000	10/08/07	05/06/09			107.53	141.90	(34.37)
	20.0000	10/10/07	05/06/09			1,075.36	1,443.59	(368.23)
Security Subtotal						1,500.55	1,940.22	(439.67)
OCCIDENTAL PETE CORP CAL	10.0000	09/18/07	03/24/09			589.89	638.80	(48.91)
	4.0000	09/19/07	03/24/09			235.97	261.53	(25.56)
	12.0000	09/19/07	03/27/09			697.16	784.59	(87.43)
	5.0000	09/19/07	06/17/09			313.44	326.92	(13.48)
Security Subtotal						1,836.46	2,011.84	(175.38)
PEARSON SPONSORED ADR	5.0000	07/30/08	08/20/09			59.94	63.74	(3.80)
	5.0000	07/31/08	08/20/09			59.94	64.90	(4.96)
	22.0000	08/01/08	08/20/09			263.77	287.16	(23.39)
Security Subtotal						383.65	415.80	(32.15)
PETROLEO BRAS VTG SPD ADR	4.0000	11/05/07	06/29/09			165.31	179.13	(13.82)



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2009 TAX REPORTING STATEMENT

2009 CAPITAL GAIN AND LOSS TRANSACTIONS

Security Description	Quantity	Date Acquired Cover of Short	Date Liquidated Short Sale	Amortization/Accretion Year-to-Date	Life-to-Date	Sales Price	Cost Basis	Gain or (Loss)
QUALCOMM INC	5.0000	02/19/08	05/08/09			207.63	214.07	(6.44)
SCHLUMBERGER LTD	5.0000	02/06/07	05/06/09			280.47	325.40	(44.93)
	10.0000	03/15/07	05/06/09			560.97	642.30	(81.33)
	13.0000	03/15/07	06/29/09			708.73	834.99	(126.26)
Security Subtotal						1,550.17	1,802.69	(252.52)
TELENORTE LES PART SPADR	20.0000	07/24/08	10/16/09			388.18	447.42	(59.24)
	15.0000	07/25/08	10/16/09			291.14	331.76	(40.62)
Security Subtotal						679.32	779.18	(99.86)
TEEKAY CORP	10.0000	07/25/08	11/23/09			250.89	427.97	(177.08)
3M COMPANY	3.0000	06/03/08	07/17/09			188.51	228.54	(40.03)
TRAVELERS COS INC	23.0000	03/15/07	08/20/09			1,097.08	1,143.79	(46.71)
UNITED UTILS GROUP ADR	14.0000	07/30/08	11/23/09			221.47	387.44	(165.97)
V F CORPORATION	13.0000	03/15/07	08/20/09			862.14	1,054.69	(192.55)
VERIZON COMMUNICATNS COM	2.0000	03/24/06	06/01/09			58.70	66.74	(8.04)
	31.0000	03/15/07	06/01/09			909.95	1,115.46	(205.51)
Security Subtotal						968.65	1,182.20	(213.55)
WHIRLPOOL CORP	13.0000	12/12/07	04/08/09			407.27	1,087.33	(680.06)
	2.0000	12/12/07	04/17/09			73.56	167.29	(93.73)
	5.0000	12/17/07	04/17/09			183.91	403.57	(219.66)
	5.0000	12/19/07	04/17/09			183.91	404.18	(220.27)
	10.0000	12/20/07	04/17/09			367.85	809.43	(441.58)
Security Subtotal						1,216.50	2,871.80	(1,655.30)





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HON CAROLYN MCCARTHY

2009 TAX REPORTING STATEMENT

2009 CAPITAL GAIN AND LOSS TRANSACTIONS

Security Description	Quantity	Date Acquired Cover of Short	Date Liquidated Short Sale	Amortization/Accretion Year-to-Date	Life-to-Date	Sales Price	Cost Basis	Gain or (Loss)
XEROX CORP	30.0000	06/27/08	11/23/09			237.60	403.24	(165.64)
	55.0000	06/30/08	11/23/09			435.61	749.21	(313.60)
	10.0000	07/03/08	11/23/09			79.20	134.39	(55.19)
	10.0000	07/07/08	11/23/09			79.20	134.67	(55.47)
	65.0000	07/08/08	11/23/09			514.82	880.80	(365.98)
	56.0000	11/04/08	11/23/09			443.55	458.66	(15.11)
		Security Subtotal				1,789.98	2,760.97	(970.99)
YUM BRANDS INC	4.0000	07/05/07	02/19/09			116.28	135.23	(18.95)
WYETH	11.0000	11/09/07	01/12/09			407.33	503.03	(95.70)
	19.0000	11/09/07	02/19/09			814.57	868.87	(54.30)
	8.0000	11/13/07	02/19/09			342.98	373.58	(30.60)
	17.0000	11/13/07	02/23/09			714.48	793.88	(79.40)
		Security Subtotal				2,279.36	2,539.36	(260.00)
		Long Term Capital Losses Subtotal				48,536.80	77,289.45	(28,752.65)

NET LONG TERM CAPITAL GAIN (LOSS)

(25,968.99)

OTHER TRANSACTIONS

HSBC HOLDINGS PLC RTS	23.0000	04/14/09	04/14/09			4.96	N/A	N/A
CEMEX SAB DE CV SPND ADR	65.0000	06/17/09	06/17/09			6.42	N/A	N/A
HARRIS STRATEX NETWORKS	37.0000	06/04/09	06/04/09			0.93	N/A	N/A
		Other Transactions Subtotal				12.31		

TOTAL CAPITAL GAINS AND LOSSES
TOTAL REPORTABLE GROSS PROCEEDS
DIFFERENCE

298,244.41
298,244.41
0.00 *

328,041.50
(29,809.40)

HON CAROLYN MCCARTHY

2009 TAX REPORTING STATEMENT
2009 DIVIDENDS AND QUALIFIED DIVIDEND DISTRIBUTIONS

Security Description	Quantity	Date	Transaction Description	Amount	Qualified Dividend	Remarks
ABBOTT LABS	44	02/17/09	Dividend	15.84	15.84	
	44	05/15/09	Dividend	17.60	17.60	
	44	08/17/09	Dividend	17.60	17.60	
	44	11/16/09	Dividend	17.60	17.60	
			Security Subtotal	68.64	68.64	
ALLSTATE CORP DEL COM	67	01/02/09	Dividend	27.47	27.47	
	56	04/01/09	Dividend	11.20	11.20	
	56	07/01/09	Dividend	11.20	11.20	
	56	10/01/09	Dividend	11.20	11.20	
			Security Subtotal	61.07	61.07	
AGRIUM INC	20	01/20/09	Foreign Dividend	1.10	1.10	NON-RECLAIMABLE TAX
		01/20/09	Foreign Tax	(0.17)	0.00	PAY DATE 01/15/2009
	20	07/02/09	Foreign Dividend	1.10	1.10	
		07/09/09	Foreign Tax	(0.17)	0.00	ADJ PAYDATE 07/02/09
			Security Subtotal	1.86	2.20	
ALTRIA GROUP INC	94	01/09/09	Dividend	30.08	30.08	
	158	04/13/09	Dividend	50.56	50.56	
	191	07/10/09	Dividend	61.12	61.12	
	191	10/09/09	Dividend	64.94	64.94	
			Security Subtotal	206.70	206.70	
AXA -SPONS ADR	60	05/29/09	Foreign Dividend	32.57	32.57	NON-RECLAIMABLE TAX
		05/29/09	Foreign Tax	(4.89)	0.00	PAY DATE 05/29/2009
			Security Subtotal	27.68	32.57	
AMPHENOL CORP CL A NEW	27	07/01/09	Dividend	0.41	0.41	
ANNALY CAP MGMT INC	204	04/29/09	Dividend	102.00	0.00	
	204	07/29/09	Dividend	122.40	0.00	
			Security Subtotal	224.40	0.00	



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HON CAROLYN MCCARTHY

2009 TAX REPORTING STATEMENT

2009 DIVIDENDS AND QUALIFIED DIVIDEND DISTRIBUTIONS

Security Description	Quantity	Date	Transaction Description	Amount	Qualified Dividend	Remarks
AMEREN CORP	50	03/31/09	Dividend	19.25	19.25	
	50	06/30/09	Dividend	19.25	19.25	
	50	09/30/09	Dividend	19.25	19.25	
	50	12/31/09	Dividend	19.25	19.25	
			Security Subtotal	77.00	77.00	
AXIS CAPITAL HOLDINGS LTD	23	04/15/09	Foreign Dividend	4.60	4.60	
	23	07/15/09	Foreign Dividend	4.60	4.60	
			Security Subtotal	9.20	9.20	
ALCOA INC	45	02/25/09	Dividend	7.65	7.65	
ASTRAZENECA PLC SPND ADR	23	03/16/09	Foreign Dividend	34.50	34.50	
ALTERA CORP COM	48	06/01/09	Dividend	2.40	2.40	
AETNA INC NEW	71	11/30/09	Dividend	2.84	2.84	
AT&T INC	74	02/02/09	Dividend	30.34	30.34	
	74	05/01/09	Dividend	30.34	30.34	
	74	08/03/09	Dividend	30.34	30.34	
	74	11/02/09	Dividend	30.34	30.34	
			Security Subtotal	121.36	121.36	
BANCOLOMBIA S.A SPDS ADR	40	01/14/09	Foreign Dividend	9.52	9.52	
	40	04/16/09	Foreign Dividend	9.79	9.79	
	40	07/14/09	Foreign Dividend	11.46	11.46	
			Security Subtotal	30.77	30.77	
BRITISH AMN TOBACO SPADR	11	05/11/09	Foreign Dividend	20.41	20.41	
	11	10/02/09	Foreign Dividend	9.77	9.77	
			Security Subtotal	30.18	30.18	
BRINKS CO	16	03/02/09	Dividend	1.60	1.60	



HON CAROLYN MCCARTHY

2009 TAX REPORTING STATEMENT
2009 DIVIDENDS AND QUALIFIED DIVIDEND DISTRIBUTIONS

Security Description	Quantity	Date	Transaction Description	Amount	Qualified Dividend	Remarks
BARD C R INC	12	02/06/09	Dividend	1.92	1.92	
	12	05/08/09	Dividend	1.92	1.92	
	12	07/31/09	Dividend	2.04	2.04	
	12	11/06/09	Dividend	2.04	2.04	
			Security Subtotal	7.92	7.92	
BASF SE SPONSORED ADR	23	05/14/09	Foreign Dividend	59.70	59.70	
		05/14/09	Foreign Tax	(15.75)	0.00	
			Security Subtotal	43.95	59.70	PAY DATE 05/14/2009
BHP BILLITON LTD ADR	25	03/17/09	Foreign Dividend	20.50	20.50	
BAXTER INTERNTL INC	36	01/06/09	Dividend	9.36	9.36	
	26	04/01/09	Dividend	6.76	6.76	
	26	07/01/09	Dividend	6.76	6.76	
			Security Subtotal	22.88	22.88	
BECTON DICKINSON CO	21	01/02/09	Dividend	6.93	6.93	
	25	03/31/09	Dividend	8.25	8.25	
	49	06/30/09	Dividend	16.17	16.17	
			Security Subtotal	31.35	31.35	
BLACK AND DECKER CRP COM	36	03/27/09	Dividend	15.12	15.12	
BLOCK H&R INC	40	04/01/09	Dividend	6.00	6.00	
BOEING COMPANY	76	03/06/09	Dividend	31.92	31.92	
	76	06/05/09	Dividend	31.92	31.92	
	76	09/04/09	Dividend	31.92	31.92	
	76	12/04/09	Dividend	31.92	31.92	
			Security Subtotal	127.68	127.68	
BRISTOL-MYERS SQUIBB CO	71	05/01/09	Dividend	22.01	22.01	
COCA COLA FEMSA SP ADR	26	04/23/09	Foreign Dividend	14.26	14.26	

HON CAROLYN MCCARTHY

2009 TAX REPORTING STATEMENT

2009 DIVIDENDS AND QUALIFIED DIVIDEND DISTRIBUTIONS

Security Description	Quantity	Date	Transaction Description	Amount	Qualified Dividend	Remarks
CARDINAL HEALTH INC OHIO	41	04/15/09	Dividend	5.74	5.74	
	41	07/15/09	Dividend	7.18	7.18	
			Security Subtotal	12.92	12.92	
CANADIAN PACIFIC RAILWAY LTD	13	01/26/09	Foreign Dividend	2.63	2.63	NON-RECLAIMABLE TAX
		01/26/09	Foreign Tax	(0.39)	0.00	PAY DATE 01/26/2009
	13	04/27/09	Foreign Dividend	2.65	2.65	NON-RECLAIMABLE TAX
		04/27/09	Foreign Tax	(0.40)	0.00	PAY DATE 04/27/2009
	13	07/28/09	Foreign Dividend	2.96	2.96	NON-RECLAIMABLE TAX
		07/28/09	Foreign Tax	(0.44)	0.00	PAY DATE 07/27/2009
	13	10/26/09	Foreign Dividend	3.04	3.04	NON-RECLAIMABLE TAX
		10/26/09	Foreign Tax	(0.46)	0.00	PAY DATE 10/26/2009
			Security Subtotal	9.59	11.28	
COPEL PARANA ADR PREF B	70	06/08/09	Foreign Dividend	4.55	4.55	
	70	06/09/09	Foreign Dividend	30.69	30.69	
		06/09/09	Foreign Tax	(4.60)	0.00	NON-RECLAIMABLE TAX
			Security Subtotal	30.64	35.24	PAY DATE 06/09/2009
CANADIAN NATURAL RES LTD	37	01/02/09	Foreign Dividend	3.06	3.06	NON-RECLAIMABLE TAX
		01/02/09	Foreign Tax	(0.46)	0.00	PAY DATE 01/01/2009
	37	04/01/09	Foreign Dividend	3.06	3.06	NON-RECLAIMABLE TAX
		04/01/09	Foreign Tax	(0.46)	0.00	PAY DATE 04/01/2009
	37	07/02/09	Foreign Dividend	3.34	3.34	NON-RECLAIMABLE TAX
		07/02/09	Foreign Tax	(0.50)	0.00	PAY DATE 07/01/2009
			Security Subtotal	8.04	9.46	



HON CAROLYN MCCARTHY

2009 TAX REPORTING STATEMENT

2009 DIVIDENDS AND QUALIFIED DIVIDEND DISTRIBUTIONS

Security Description	Quantity	Date	Transaction Description	Amount	Qualified Dividend	Remarks
CANON INC ADR REPSH	18	04/06/09	Foreign Dividend	10.16	10.16	NON-RECLAIMABLE TAX PAY DATE 04/06/2009
		04/06/09	Foreign Tax	(0.71)	0.00	
			Security Subtotal	9.45	10.16	
COSTCO WHOLESALE CRP DEL	35	02/27/09	Dividend	5.60	5.60	
CHEVRON CORP	24	03/10/09	Dividend	15.60	15.60	
	24	06/10/09	Dividend	15.60	15.60	
			Security Subtotal	31.20	31.20	
CENTERPOINT ENERGY INC	70	03/10/09	Dividend	13.30	13.30	
COMPANHIA D SNMNT0 BSCO	23	07/06/09	Foreign Dividend	20.60	20.60	
D ESTDO SAO PAULO ADR		07/06/09	Foreign Tax	(3.09)	0.00	NON-RECLAIMABLE TAX PAY DATE 07/06/2009
	68	07/07/09	Foreign Dividend	29.07	29.07	NON-RECLAIMABLE TAX PAY DATE 07/07/2009
		07/07/09	Foreign Tax	(4.36)	0.00	
			Security Subtotal	42.22	49.67	
CONOCOPHILLIPS	38	03/02/09	Dividend	17.86	17.86	
	38	06/01/09	Dividend	17.86	17.86	
	38	09/01/09	Dividend	17.86	17.86	
	38	12/01/09	Dividend	19.00	19.00	
			Security Subtotal	72.58	72.58	
CATERPILLAR INC DEL	47	02/20/09	Dividend	19.74	19.74	
	47	05/20/09	Dividend	19.74	19.74	
			Security Subtotal	39.48	39.48	
C.H. ROBINSON WORLDWIDE, INC. NEW	16	07/01/09	Dividend	3.84	3.84	
CBS CORP NEW	187	01/02/09	Dividend	50.49	50.49	
CA INC	63	06/16/09	Dividend	2.52	2.52	

HON CAROLYN MCCARTHY

2009 TAX REPORTING STATEMENT
2009 DIVIDENDS AND QUALIFIED DIVIDEND DISTRIBUTIONS

Security Description	Quantity	Date	Transaction Description	Amount	Qualified Dividend	Remarks
COVIDIEN LTD	30	02/27/09	Foreign Dividend	4.80	4.80	
	30	05/04/09	Foreign Dividend	4.80	4.80	
			Security Subtotal	9.60	9.60	
CME GROUP INC	4	06/25/09	Dividend	4.60	4.60	
COVIDIEN PLC SHS	55	08/25/09	Foreign Dividend	8.80	8.80	
CENOVUS ENERGY INC	35	12/31/09	Foreign Dividend	7.00	7.00	
		12/31/09	Foreign Tax	(1.05)	0.00	
			Security Subtotal	5.95	7.00	
NON-RECLAIMABLE TAX PAY DATE 12/31/2009						
CHUBB CORP	30	01/13/09	Dividend	9.90	9.90	
	30	04/07/09	Dividend	10.50	10.50	
			Security Subtotal	20.40	20.40	
CHURCH&DWIGHT CO INC	12	03/02/09	Dividend	1.08	1.08	
	15	06/01/09	Dividend	1.35	1.35	
	15	09/01/09	Dividend	2.10	2.10	
			Security Subtotal	4.53	4.53	
COCA COLA COM	38	04/01/09	Dividend	15.58	15.58	
	38	07/01/09	Dividend	15.58	15.58	
	38	10/01/09	Dividend	15.58	15.58	
	38	12/15/09	Dividend	15.58	15.58	
			Security Subtotal	62.32	62.32	
COLGATE PALMOLIVE	32	02/13/09	Dividend	12.80	12.80	
	25	05/15/09	Dividend	11.00	11.00	
			Security Subtotal	23.80	23.80	
CUMMINS INC COM	35	06/01/09	Dividend	6.13	6.13	
DUN & BRADSTREET COR-NEW	11	03/20/09	Dividend	3.74	3.74	
	11	06/15/09	Dividend	3.74	3.74	
			Security Subtotal	7.48	7.48	



HON CAROLYN MCCARTHY

2009 TAX REPORTING STATEMENT
2009 DIVIDENDS AND QUALIFIED DIVIDEND DISTRIBUTIONS

Security Description	Quantity	Date	Transaction Description	Amount	Qualified Dividend	Remarks
DIAMOND OFFSHORE DRING						
	27	03/02/09	Dividend	3.38	3.38	
	27	03/02/09	Dividend	50.63	50.63	
	27	06/01/09	Dividend	3.38	3.38	
	27	06/01/09	Dividend	50.63	50.63	
	27	09/01/09	Dividend	3.38	3.38	
	27	09/01/09	Dividend	50.63	50.63	
	27	12/01/09	Dividend	3.38	3.38	
	27	12/01/09	Dividend	50.63	50.63	
			Security Subtotal	216.04	216.04	
DANAHER CORP DEL COM						
	28	01/30/09	Dividend	0.84	0.84	
	28	04/24/09	Dividend	0.84	0.84	
	28	07/31/09	Dividend	0.84	0.84	
	28	10/30/09	Dividend	0.84	0.84	
			Security Subtotal	3.36	3.36	
DIAGEO PLC SPSP ADR NEW						
	9	04/14/09	Foreign Dividend	7.37	7.37	
	9	10/23/09	Foreign Dividend	13.01	13.01	
			Security Subtotal	20.38	20.38	
DIGITAL RLTY TR INC						
	32	03/31/09	Dividend	10.56	0.00	
DELHAIZE GROUP SPONS ADR						
	14	06/09/09	Foreign Dividend	29.20	29.20	
		06/09/09	Foreign Tax	(7.30)	0.00	
			Security Subtotal	21.90	29.20	
DEVRY INC DEL						
	10	01/09/09	Dividend	0.80	0.80	
R R DONNELLEY SONS						
	109	03/02/09	Dividend	28.34	28.34	
	160	06/01/09	Dividend	41.60	41.60	
	160	09/01/09	Dividend	41.60	41.60	
	160	12/01/09	Dividend	41.60	41.60	
			Security Subtotal	153.14	153.14	
DOW CHEMICAL CO						
	132	01/30/09	Dividend	55.44	55.44	

NON-RECLAIMABLE TAX
PAY DATE 08/09/2009

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2009 TAX REPORTING STATEMENT
2009 DIVIDENDS AND QUALIFIED DIVIDEND DISTRIBUTIONS

Security Description	Quantity	Date	Transaction Description	Amount	Qualified Dividend	Remarks
EDISON INTL CALIF	47	04/30/09	Dividend	14.57	14.57	
	47	07/31/09	Dividend	14.57	14.57	
			Security Subtotal	29.14	29.14	
EXXON MOBIL CORP COM	21	03/10/09	Dividend	8.40	8.40	
EXELON CORPORATION	27	03/10/09	Dividend	14.18	14.18	
ENCANA CORP	35	06/30/09	Foreign Dividend	14.00	14.00	NON-RECLAIMABLE TAX
		06/30/09	Foreign Tax	(2.10)	0.00	PAY DATE 06/30/2009
	35	07/01/09	Foreign Dividend	14.00	14.00	NON-RECLAIMABLE TAX
		07/01/09	Foreign Tax	(2.10)	0.00	PAY DATE 06/30/2009
	35	09/30/09	Foreign Dividend	14.00	14.00	NON-RECLAIMABLE TAX
		09/30/09	Foreign Tax	(2.10)	0.00	PAY DATE 09/30/2009
	35	12/31/09	Foreign Dividend	7.00	7.00	NON-RECLAIMABLE TAX
		12/31/09	Foreign Tax	(1.05)	0.00	PAY DATE 12/31/2009
			Security Subtotal	41.65	49.00	
EMBARQ CORP	39	03/31/09	Dividend	26.81	26.81	
	39	06/30/09	Dividend	26.81	26.81	
			Security Subtotal	53.62	53.62	
FMC CORP COM NEW	16	07/16/09	Dividend	2.00	2.00	
FACTSET RESH SYS INC	16	03/17/09	Dividend	2.88	2.88	
FLOWSERVE CORP	15	01/07/09	Dividend	3.75	3.75	
	15	04/08/09	Dividend	4.05	4.05	
	11	07/08/09	Dividend	2.97	2.97	
			Security Subtotal	10.77	10.77	



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2009 TAX REPORTING STATEMENT

2009 DIVIDENDS AND QUALIFIED DIVIDEND DISTRIBUTIONS

Security Description	Quantity	Date	Transaction Description	Amount	Qualified Dividend	Remarks
FRANCE TELECOM ADR	36	07/22/09	Foreign Dividend	20.17	20.17	NON-RECLAIMABLE TAX
		07/22/09	Foreign Tax	(3.03)	0.00	PAY DATE 07/20/2009
	36	07/29/09	Foreign Dividend	20.17	20.17	NON-RECLAIMABLE TAX
		07/29/09	Foreign Tax	(3.03)	0.00	PAY DATE 07/21/2009
	36	09/24/09	Foreign Dividend	30.70	30.70	NON-RECLAIMABLE TAX
		09/24/09	Foreign Tax	(4.61)	0.00	PAY DATE 09/24/2009
			Security Subtotal	60.37	71.04	
ISHARES MSCI ITALY INDEX FUND	100	12/31/09	Foreign Dividend	27.05	27.05	
	100	12/31/09	Foreign Tax	(5.18)	0.00	PAY DATE 12/31/2009
			Security Subtotal	21.87	27.05	
ISHARES MSCI SPAIN INDEX FUND	50	12/31/09	Foreign Dividend	70.84	65.96	
	50	12/31/09	Foreign Tax	(9.74)	0.00	PAY DATE 12/31/2009
			Security Subtotal	61.10	65.96	
ISHARES MSCI FRANCE INDEX FUND	100	12/31/09	Foreign Dividend	14.59	14.59	
	100	12/31/09	Foreign Tax	(2.45)	0.00	PAY DATE 12/31/2009
			Security Subtotal	12.14	14.59	
ISHARES S&P 500 VALUE INDEX FUND	340	09/29/09	Dividend	102.53	102.53	
	195	12/31/09	Dividend	70.48	70.48	
			Security Subtotal	173.01	173.01	
POWERSHARES DYN OIL AND GAS SERVICES	150	09/30/09	Dividend	1.99	1.99	
ISHARES IBOX \$ INVT GRADE CORP BD FUND	85	11/06/09	Dividend	41.12	0.00	
	105	12/07/09	Dividend	47.92	0.00	
	105	01/05/10	Dividend	45.70	0.00	PAY IN 2010/REP IN 2009
			Security Subtotal	134.74	0.00	
ISHARES MSCI SOUTH AFRICA INDEX FUND	100	12/31/09	Dividend	108.65	99.94	



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2009 TAX REPORTING STATEMENT

2009 DIVIDENDS AND QUALIFIED DIVIDEND DISTRIBUTIONS

Security Description	Quantity	Date	Transaction Description	Amount	Qualified Dividend	Remarks
ISHARES BARCLAYS AGGREG AGGREGATE BD FUND	100	12/07/09	Dividend	35.26	0.00	PAY IN 2010/REP IN 2009
	100	01/05/10	Dividend	30.16	0.00	
			Security Subtotal	65.42	0.00	
ISHARES BARCLAYS TIPS BO PROTECTED SECS FD	20	07/08/09	Dividend	6.68	0.00	PAY IN 2010/REP IN 2009
	20	08/07/09	Dividend	7.37	0.00	
	20	09/08/09	Dividend	15.81	0.00	
	20	10/07/09	Dividend	0.82	0.00	
	20	11/06/09	Dividend	5.87	0.00	
	120	12/07/09	Dividend	21.43	0.00	
	120	01/05/10	Dividend	24.61	0.00	PAY IN 2010/REP IN 2009
			Security Subtotal	82.59	0.00	
VANGUARD SMALL CAP VALUE ETF	100	12/31/09	Dividend	105.10	77.67	
ISHARES FTSE XINHUA HK CHINA 25 INDEX FUND	100	12/31/09	Foreign Dividend	25.33	23.53	PAY DATE 12/31/2009
	100	12/31/09	Foreign Tax	(3.12)	0.00	
			Security Subtotal	22.21	23.53	
POWERSHARES WATER RESOURCES PORTFOLIO	150	12/31/09	Dividend	10.89	10.89	
POWERSHARES GLOBAL EXCHANGE TRADED FD TR	215	12/31/09	Dividend	0.27	0.00	
VANGUARD TOTAL BOND MKT	150	12/07/09	Dividend	36.68	0.00	PAY IN 2010/REP IN 2009
	150	12/31/09	Dividend	38.28	0.00	
			Security Subtotal	74.96	0.00	
ISHARES IBOX\$ HIGH YEL CORPORATE BOND FUND	115	12/07/09	Dividend	71.53	0.00	PAY IN 2010/REP IN 2009
	115	01/05/10	Dividend	66.69	0.00	
			Security Subtotal	138.22	0.00	
MARKET VECTORS RUSSIA	100	12/30/09	Foreign Dividend	12.26	3.66	PAY DATE 12/30/2009
	100	12/30/09	Foreign Tax	(4.36)	0.00	
			Security Subtotal	7.90	3.66	



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2009 TAX REPORTING STATEMENT

2009 DIVIDENDS AND QUALIFIED DIVIDEND DISTRIBUTIONS

Security Description	Quantity	Date	Transaction Description	Amount	Qualified Dividend	Remarks
MARKET VECTORS GLOBAL ALT	100	12/30/09	Dividend	1.20	1.20	
SPDR KBW BANK ETF	150	09/30/09	Dividend	11.81	7.09	
SPDR DJ WILSHIRE REIT ETF	125	09/30/09	Dividend	56.56	0.00	
ISHARES S&P NATIONAL AMT-FREE MUNICIPAL BOND	98	12/07/09	Dividend	0.09	0.00	
	98	01/05/10	Dividend	0.08	0.00	
			Security Subtotal	0.17	0.00	PAY IN 2010/REP IN 2009
VANGUARD MEGA CAP 300 VALUE	150	09/30/09	Dividend	33.90	33.90	
	150	12/29/09	Dividend	48.45	48.45	
			Security Subtotal	82.35	82.35	
REVENUESHARES MID CAP ET	260	07/09/09	Dividend	6.65	6.65	
	260	10/08/09	Dividend	7.12	7.12	
	260	01/07/10	Dividend	14.45	14.45	
			Security Subtotal	28.22	28.22	PAY IN 2010/REP IN 2009
REVENUESHARES SMALL CAP	240	07/09/09	Dividend	3.34	3.34	
	240	10/08/09	Dividend	1.53	1.53	
	240	01/07/10	Dividend	6.45	6.45	
			Security Subtotal	11.32	11.32	PAY IN 2010/REP IN 2009
REVENUESHARES LARGE CAP	280	07/09/09	Dividend	16.20	16.20	
	330	10/08/09	Dividend	19.71	19.71	
	330	01/07/10	Dividend	23.03	23.03	
			Security Subtotal	58.94	58.94	PAY IN 2010/REP IN 2009
GLAXOSMITHKLINE PLC ADR	137	01/08/09	Foreign Dividend	65.05	65.05	
	114	04/09/09	Foreign Dividend	56.38	56.38	
	114	07/09/09	Foreign Dividend	46.66	46.66	
	128	10/08/09	Foreign Dividend	59.00	59.00	
			Security Subtotal	227.09	227.09	
GOLDMAN SACHS GROUP INC	15	06/25/09	Dividend	5.25	5.25	

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2009 TAX REPORTING STATEMENT

2009 DIVIDENDS AND QUALIFIED DIVIDEND DISTRIBUTIONS

Security Description	Quantity	Date	Transaction Description	Amount	Qualified Dividend	Remarks
GAZPROM SPON ADR	28	01/15/09	Foreign Dividend	9.57	0.00	NON-RECLAIMABLE TAX PAY DATE 01/15/2009
		01/15/09	Foreign Tax	(1.44)	0.00	
			Security Subtotal	8.13	0.00	
GENERAL ELECTRIC	90	01/26/09	Dividend	27.90	27.90	
	125	04/27/09	Dividend	38.75	38.75	
			Security Subtotal	66.65	66.65	
HALLIBURTON COMPANY	92	03/20/09	Dividend	8.28	8.28	
	92	06/19/09	Dividend	8.28	8.28	
	92	09/22/09	Dividend	8.28	8.28	
	92	12/23/09	Dividend	8.28	8.28	
			Security Subtotal	33.12	33.12	
HARTFORD FINL SVCS GROUP	130	01/02/09	Dividend	41.60	41.60	
HUDSON CITY BANCORP INC	56	03/02/09	Dividend	7.84	7.84	
	56	06/01/09	Dividend	8.40	8.40	
	56	08/31/09	Dividend	8.40	8.40	
	56	11/27/09	Dividend	8.40	8.40	
			Security Subtotal	33.04	33.04	
HSBC HLDG PLC SP ADR	4	01/14/09	Foreign Dividend	3.60	3.60	
	23	05/07/09	Foreign Dividend	11.50	11.50	
	32	07/09/09	Foreign Dividend	12.80	12.80	
			Security Subtotal	27.90	27.90	
HESS CORP	20	06/30/09	Dividend	2.00	2.00	
HARRIS STRATEX NETWORKS		05/27/09	Dividend	48.06	48.06	1099 REPORTABLE
HILL ROM HLDGS	56	03/31/09	Dividend	5.74	5.74	



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2009 TAX REPORTING STATEMENT

2009 DIVIDENDS AND QUALIFIED DIVIDEND DISTRIBUTIONS

Security Description	Quantity	Date	Transaction Description	Amount	Qualified Dividend	Remarks
HARRIS CORP DEL	37	03/18/09	Dividend	7.40	7.40	
	37	06/15/09	Dividend	7.40	7.40	
	37	09/18/09	Dividend	8.14	8.14	
	37	12/04/09	Dividend	8.14	8.14	
			Security Subtotal	31.08	31.08	
HEINZ H J CO PV 25CT	22	01/12/09	Dividend	9.13	9.13	
	22	04/13/09	Dividend	9.13	9.13	
	22	07/10/09	Dividend	9.24	9.24	
	22	10/12/09	Dividend	9.24	9.24	
			Security Subtotal	36.74	36.74	
HEWLETT PACKARD CO DEL	60	01/07/09	Dividend	4.80	4.80	
	53	04/01/09	Dividend	4.24	4.24	
	38	07/01/09	Dividend	3.04	3.04	
	38	10/07/09	Dividend	3.04	3.04	
			Security Subtotal	15.12	15.12	
HOME DEPOT INC	77	03/26/09	Dividend	17.33	17.33	
	77	06/25/09	Dividend	17.33	17.33	
	77	09/17/09	Dividend	17.33	17.33	
	77	12/17/09	Dividend	17.33	17.33	
			Security Subtotal	69.32	69.32	
ISHARES TR DOW JONES US TECHNOLOGY SECTOR INDX F	100	09/28/09	Dividend	6.13	6.13	
	100	12/30/09	Dividend	5.16	5.16	
			Security Subtotal	11.29	11.29	
ISHARES D JONES US BASIC MATERIALS SECTOR INDEX F	145	09/28/09	Dividend	24.94	24.94	
	145	12/30/09	Dividend	51.73	51.73	
			Security Subtotal	76.67	76.67	
ISHARES MSCI BRAZIL FREE INDEX FUND	100	12/31/09	Foreign Dividend	230.16	5.68	PAY DATE 12/31/2009
	100	12/31/09	Foreign Tax	(10.50)	0.00	PAY IN 2010/REP IN 2009
	100	01/06/10	Foreign Dividend	11.65	0.29	
	100	01/06/10	Foreign Tax	(0.53)	0.00	PAY IN 2010/REP IN 2009
			Security Subtotal	230.78	5.97	

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2009 TAX REPORTING STATEMENT

2009 DIVIDENDS AND QUALIFIED DIVIDEND DISTRIBUTIONS

Security Description	Quantity	Date	Transaction Description	Amount	Qualified Dividend	Remarks
ISHARES S&P MIDCAP 400/ BARRA VALUE INDEX FUND	285 160	09/29/09 12/31/09	Dividend Dividend	89.27 69.54	71.26 55.51	
			Security Subtotal	158.81	126.77	
ISHARES S&P SMALLCAP 600 VALUE INDEX FUND	310 185	09/29/09 12/31/09	Dividend Dividend	67.70 51.41	46.46 35.28	
			Security Subtotal	119.11	81.74	
INTEL CORP	262 142	03/02/09 06/01/09	Dividend Dividend	36.68 19.88	36.68 19.88	
			Security Subtotal	56.56	56.56	
INTL BUSINESS MACHINES CORP IBM	20 32 32	03/10/09 06/10/09 09/10/09	Dividend Dividend Dividend	10.00 17.60 17.60	10.00 17.60 17.60	
			Security Subtotal	45.20	45.20	
JPMORGAN CHASE & CO	43 103 118 118	02/02/09 04/30/09 07/31/09 11/02/09	Dividend Dividend Dividend Dividend	16.34 5.15 5.90 5.90	16.34 5.15 5.90 5.90	
			Security Subtotal	33.29	33.29	
JOHNSON AND JOHNSON COM	23 30	03/10/09 06/09/09	Dividend Dividend	10.58 14.70	10.58 14.70	
			Security Subtotal	25.28	25.28	
KRAFT FOODS INC VA CL A	63 63 63 63	01/13/09 04/08/09 07/14/09 10/14/09	Dividend Dividend Dividend Dividend	18.27 18.27 18.27 18.27	18.27 18.27 18.27 18.27	
			Security Subtotal	73.08	73.08	





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2009 TAX REPORTING STATEMENT

2009 DIVIDENDS AND QUALIFIED DIVIDEND DISTRIBUTIONS

Security Description	Quantity	Date	Transaction Description	Amount	Qualified Dividend	Remarks
KIMBERLY CLARK						
	29	01/05/09	Dividend	16.82	16.82	
	29	04/02/09	Dividend	17.40	17.40	
	29	07/02/09	Dividend	17.40	17.40	
	29	10/02/09	Dividend	17.40	17.40	
			Security Subtotal	69.02	69.02	
LOCKHEED MARTIN CORP						
	25	03/27/09	Dividend	14.25	14.25	
	25	06/26/09	Dividend	14.25	14.25	
	25	09/25/09	Dividend	14.25	14.25	
	25	12/31/09	Dividend	15.75	15.75	
			Security Subtotal	58.50	58.50	
LAN AIRLINES S A						
SPNRD ADR	30	08/31/09	Foreign Dividend	3.13	3.13	
		08/31/09	Foreign Tax	(1.10)	0.00	
			Security Subtotal	2.03	3.13	
LINCOLN NTL CORP IND NPV						
	210	02/02/09	Dividend	44.10	44.10	
	193	05/01/09	Dividend	1.93	1.93	
			Security Subtotal	46.03	46.03	
LOWE'S COMPANIES INC						
	57	05/01/09	Dividend	4.85	4.85	
		07/31/09	Dividend	5.13	5.13	
			Security Subtotal	9.98	9.98	
MONSANTO CO NEW DEL COM						
	18	01/30/09	Dividend	4.32	4.32	
	18	04/24/09	Dividend	4.77	4.77	
			Security Subtotal	9.09	9.09	
MARATHON OIL CORP						
	62	03/10/09	Dividend	14.88	14.88	
	62	06/10/09	Dividend	14.88	14.88	
	62	09/10/09	Dividend	14.88	14.88	
	62	12/10/09	Dividend	14.88	14.88	
			Security Subtotal	59.52	59.52	

NON-RECLAIMABLE TAX
PAY DATE 08/31/2009

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2009 TAX REPORTING STATEMENT

2009 DIVIDENDS AND QUALIFIED DIVIDEND DISTRIBUTIONS

Security Description	Quantity	Date	Transaction Description	Amount	Qualified Dividend	Remarks
MAGNA INTL INC CL A VTG	12	03/23/09	Foreign Dividend	2.16	2.16	NON-RECLAIMABLE TAX
		03/23/09	Foreign Tax	(0.32)	0.00	PAY DATE 03/23/2009
			Security Subtotal	1.84	2.16	
MEDTRONIC INC COM	40	04/24/09	Dividend	7.50	7.50	
MICROSOFT CORP	220	03/12/09	Dividend	28.60	28.60	
	220	06/18/09	Dividend	28.60	28.60	
	240	09/10/09	Dividend	31.20	31.20	
	240	12/10/09	Dividend	31.20	31.20	
			Security Subtotal	119.60	119.60	
MITSUI CO ADR	6	12/11/09	Foreign Dividend	9.50	9.50	NON-RECLAIMABLE TAX
		12/11/09	Foreign Tax	(0.67)	0.00	PAY DATE 12/10/2009
			Security Subtotal	8.83	9.50	
NEXEN INC CANADA COM	36	01/02/09	Foreign Dividend	1.49	1.49	NON-RECLAIMABLE TAX
		01/02/09	Foreign Tax	(0.22)	0.00	PAY DATE 01/01/2009
	36	04/01/09	Foreign Dividend	1.42	1.42	NON-RECLAIMABLE TAX
		04/01/09	Foreign Tax	(0.21)	0.00	PAY DATE 04/01/2009
	36	07/02/09	Foreign Dividend	1.55	1.55	NON-RECLAIMABLE TAX
		07/02/09	Foreign Tax	(0.23)	0.00	PAY DATE 07/01/2009
			Security Subtotal	3.80	4.46	
NEW YORK CMNTY BANCORP	131	02/17/09	Dividend	32.75	32.75	
	131	05/19/09	Dividend	32.75	32.75	
	131	08/18/09	Dividend	32.75	32.75	
	131	11/17/09	Dividend	32.75	32.75	
			Security Subtotal	131.00	131.00	
NOBLE CORPORATION	33	03/03/09	Foreign Dividend	1.32	1.32	



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2009 TAX REPORTING STATEMENT

2009 DIVIDENDS AND QUALIFIED DIVIDEND DISTRIBUTIONS

Security Description	Quantity	Date	Transaction Description	Amount	Qualified Dividend	Remarks
NIKE INC CL B	32	01/05/09	Dividend	8.00	8.00	
NOKIA CORP SPON ADR	18	05/18/09	Foreign Dividend	9.83	9.83	
		05/18/09	Foreign Tax	(1.47)	0.00	NON-RECLAIMABLE TAX PAY DATE 05/18/2009
			Security Subtotal	8.36	9.83	
NORFOLK SOUTHERN CORP	42	06/10/09	Dividend	14.28	14.28	
NORTHN TRUST CORP	27	01/02/09	Dividend	7.56	7.56	
	27	04/01/09	Dividend	7.56	7.56	
			Security Subtotal	15.12	15.12	
OCCIDENTAL PETE CORP CAL	56	01/15/09	Dividend	17.92	17.92	
	62	04/15/09	Dividend	19.84	19.84	
	30	07/15/09	Dividend	9.90	9.90	
			Security Subtotal	47.66	47.66	
ORACLE CORP \$0.01 DEL	105	05/08/09	Dividend	5.25	5.25	
PEARSON SPONSORED ADR	32	05/18/09	Foreign Dividend	10.56	10.56	
	118	09/28/09	Foreign Dividend	23.43	23.43	
			Security Subtotal	33.99	33.99	
PETROLEO BRAS VTG SPD ADR	75	05/06/09	Foreign Dividend	27.04	27.04	
		05/06/09	Foreign Tax	(4.13)	0.00	NON-RECLAIMABLE TAX PAY DATE 05/06/2009
	75	07/01/09	Foreign Dividend	30.24	30.24	
		07/01/09	Foreign Tax	(4.65)	0.00	NON-RECLAIMABLE TAX PAY DATE 07/01/2009
	75	08/21/09	Foreign Dividend	3.45	3.45	
		08/21/09	Foreign Tax	(0.53)	0.00	NON-RECLAIMABLE TAX PAY DATE 08/21/2009
	75	08/24/09	Foreign Dividend	28.45	28.45	
		08/24/09	Foreign Tax	(0.35)	0.00	NON-RECLAIMABLE TAX PAY DATE 08/24/2009
			Security Subtotal	79.52	89.18	



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2009 TAX REPORTING STATEMENT

2009 DIVIDENDS AND QUALIFIED DIVIDEND DISTRIBUTIONS

Security Description	Quantity	Date	Transaction Description	Amount	Qualified Dividend	Remarks
POSCO SPN ADR	9	08/17/09	Foreign Dividend	2.76	2.76	NON-RECLAIMABLE TAX PAY DATE 08/17/2009
		08/17/09	Foreign Tax	(0.46)	0.00	
			Security Subtotal	2.30	2.76	
PNC FINCL SERVICES GROUP	20	01/26/09	Dividend	13.20	13.20	
	53	04/24/09	Dividend	5.30	5.30	
			Security Subtotal	18.50	18.50	
PHILIP MORRIS INTL INC	46	01/09/09	Dividend	24.84	24.84	
	57	04/09/09	Dividend	30.78	30.78	
	37	07/10/09	Dividend	19.98	19.98	
	37	10/09/09	Dividend	21.46	21.46	
			Security Subtotal	97.06	97.06	
PEPSICO INC	49	01/02/09	Dividend	20.83	20.83	
	37	03/31/09	Dividend	15.73	15.73	
	37	06/30/09	Dividend	16.65	16.65	
	37	09/30/09	Dividend	16.65	16.65	
			Security Subtotal	69.86	69.86	
PETRO CANADA	45	01/02/09	Foreign Dividend	7.44	7.44	NON-RECLAIMABLE TAX PAY DATE 01/01/2009
		01/02/09	Foreign Tax	(1.12)	0.00	
	45	04/02/09	Foreign Dividend	7.10	7.10	
		04/02/09	Foreign Tax	(1.07)	0.00	NON-RECLAIMABLE TAX PAY DATE 04/01/2009
	20	07/02/09	Foreign Dividend	3.44	3.44	
		07/02/09	Foreign Tax	(0.52)	0.00	
			Security Subtotal	15.27	17.98	NON-RECLAIMABLE TAX PAY DATE 07/01/2009
PFIZER INC DEL PV\$0.05	205	03/03/09	Dividend	65.60	65.60	
	205	06/02/09	Dividend	32.80	32.80	
	205	09/02/09	Dividend	32.80	32.80	
	205	12/01/09	Dividend	32.80	32.80	
			Security Subtotal	164.00	164.00	





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HON CAROLYN MCCARTHY

2009 TAX REPORTING STATEMENT

2009 DIVIDENDS AND QUALIFIED DIVIDEND DISTRIBUTIONS

Security Description	Quantity	Date	Transaction Description	Amount	Qualified Dividend	Remarks
PRAXAIR INC	17	06/15/09	Dividend	6.80	6.80	
PROCTER & GAMBLE CO	25	02/17/09	Dividend	10.00	10.00	
QUEST DIAGNOSTICS INC	15	04/20/09	Dividend	1.50	1.50	
QUALCOMM INC	40	01/07/09	Dividend	6.40	6.40	
	40	03/27/09	Dividend	6.40	6.40	
	35	06/26/09	Dividend	5.95	5.95	
	35	09/25/09	Dividend	5.95	5.95	
	35	12/23/09	Dividend	5.95	5.95	
			Security Subtotal	30.65	30.65	
RENAISSANCE HLDGS LTD	25	03/31/09	Foreign Dividend	6.00	6.00	
	25	06/30/09	Foreign Dividend	6.00	6.00	
			Security Subtotal	12.00	12.00	
REPUBLIC SERVICES INC	28	04/15/09	Dividend	5.32	5.32	
RAYTHEON CO DELAWARE NEW	37	01/30/09	Dividend	10.36	10.36	
REYNOLDS AMERICAN INC	36	01/02/09	Dividend	30.60	30.60	
	36	04/01/09	Dividend	30.60	30.60	
	36	07/01/09	Dividend	30.60	30.60	
	36	10/01/09	Dividend	30.60	30.60	
			Security Subtotal	122.40	122.40	
ROYAL DUTCH SHELL PLC	35	03/11/09	Foreign Dividend	28.00	28.00	NON-RECLAIMABLE TAX
SPONS ADR A		03/11/09	Foreign Tax	(4.20)	0.00	PAY DATE 03/11/2009
	35	06/10/09	Foreign Dividend	29.40	29.40	NON-RECLAIMABLE TAX
		06/10/09	Foreign Tax	(4.41)	0.00	PAY DATE 06/10/2009
	57	09/09/09	Foreign Dividend	47.88	47.88	

HON CAROLYN MCCARTHY

2009 TAX REPORTING STATEMENT

2009 DIVIDENDS AND QUALIFIED DIVIDEND DISTRIBUTIONS

Security Description	Quantity	Date	Transaction Description	Amount	Qualified Dividend	Remarks
ROYAL DUTCH SHELL PLC						
	57	09/09/09	Foreign Tax	(7.18)	0.00	NON-RECLAIMABLE TAX PAY DATE 09/09/2009
		12/09/09	Foreign Dividend	47.88	47.88	
		12/09/09	Foreign Tax	(7.18)	0.00	NON-RECLAIMABLE TAX PAY DATE 12/09/2009
			Security Subtotal	130.19	153.16	
ROPER INDUSTRIES INC COM						
	17	04/24/09	Dividend	1.40	1.40	
SASOL LTD SPONSORED ADR						
	39	04/24/09	Foreign Dividend	10.57	10.57	
	39	10/29/09	Foreign Dividend	31.74	31.74	
			Security Subtotal	42.31	42.31	
SPX CORP COM						
	13	01/02/09	Dividend	3.25	3.25	
	13	04/02/09	Dividend	3.25	3.25	
			Security Subtotal	6.50	6.50	
SAP AKGSLTT SPONSORD ADR						
	9	05/27/09	Foreign Dividend	6.12	6.12	
		05/27/09	Foreign Tax	(1.61)	0.00	PAY DATE 05/27/2009
			Security Subtotal	4.51	6.12	
SK TELECOM ADR						
	39	04/13/09	Foreign Dividend	27.01	27.01	NON-RECLAIMABLE TAX PAY DATE 04/10/2009
		04/13/09	Foreign Tax	(4.46)	0.00	
	39	08/19/09	Foreign Dividend	3.46	3.46	NON-RECLAIMABLE TAX PAY DATE 08/19/2009
		08/19/09	Foreign Tax	(0.57)	0.00	
			Security Subtotal	25.44	30.47	
SCHLUMBERGER LTD						
	44	01/09/09	Foreign Dividend	9.24	9.24	
	44	04/03/09	Foreign Dividend	9.24	9.24	
	29	07/10/09	Foreign Dividend	6.09	6.09	
			Security Subtotal	24.57	24.57	
SUNOCO INC PVS1 PA						
	20	03/10/09	Dividend	6.00	6.00	



HON CAROLYN MCCARTHY

2009 TAX REPORTING STATEMENT
2009 DIVIDENDS AND QUALIFIED DIVIDEND DISTRIBUTIONS

Security Description	Quantity	Date	Transaction Description	Amount	Qualified Dividend	Remarks
SECTOR SPDR CONSMRS STPL	90	09/30/09	Dividend	16.08	16.08	
	90	12/30/09	Dividend	22.38	22.38	
			Security Subtotal	38.46	38.46	
SECTOR SPDR ENERGY	100	09/30/09	Dividend	24.63	24.63	
	100	12/30/09	Dividend	30.45	30.45	
			Security Subtotal	55.08	55.08	
STATOILHYDRO ASA	48	06/15/09	Foreign Dividend	33.22	33.22	NON-RECLAIMABLE TAX
		06/15/09	Foreign Tax	(4.98)	0.00	PAY DATE 06/15/2009
	48	06/15/09	Foreign Dividend	21.51	21.51	NON-RECLAIMABLE TAX
		06/15/09	Foreign Tax	(3.23)	0.00	PAY DATE 06/15/2009
			Security Subtotal	46.52	54.73	
SONY CORP ADR NEW	10	06/09/09	Foreign Dividend	1.30	1.30	NON-RECLAIMABLE TAX
		06/09/09	Foreign Tax	(0.09)	0.00	PAY DATE 06/09/2009
			Security Subtotal	1.21	1.30	
STAPLES INC	97	04/16/09	Dividend	8.00	8.00	
	90	07/16/09	Dividend	7.43	7.43	
	90	10/15/09	Dividend	7.43	7.43	
			Security Subtotal	22.86	22.86	
SUPERVALU INC DEL COM	80	03/16/09	Dividend	13.80	13.80	
	80	06/15/09	Dividend	13.80	13.80	
	80	09/15/09	Dividend	14.00	14.00	
	80	12/15/09	Dividend	14.00	14.00	
			Security Subtotal	55.60	55.60	
TUX COS INC NEW	39	03/05/09	Dividend	4.29	4.29	
	39	06/04/09	Dividend	4.68	4.68	
			Security Subtotal	8.97	8.97	
TENARIS S A ADR	26	07/07/09	Foreign Dividend	15.60	15.60	



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HON CAROLYN MCCARTHY

2009 TAX REPORTING STATEMENT

2009 DIVIDENDS AND QUALIFIED DIVIDEND DISTRIBUTIONS

Security Description	Quantity	Date	Transaction Description	Amount	Qualified Dividend	Remarks
TOTAL S.A. SP ADR	30	06/12/09	Foreign Dividend	47.45	47.45	NON-RECLAIMABLE TAX
		06/12/09	Foreign Tax	(7.12)	0.00	PAY DATE 06/12/2009
	30	12/08/09	Foreign Dividend	50.86	50.86	NON-RECLAIMABLE TAX
		12/08/09	Foreign Tax	(7.63)	0.00	PAY DATE 12/08/2009
			<u>Security Subtotal</u>	<u>83.56</u>	<u>98.31</u>	
TERRA INDUSTRIES INC COM	52	04/07/09	Dividend	5.20	5.20	
TEVA PHARMACTCL INDS ADR	11	03/19/09	Foreign Dividend	1.54	1.54	NON-RECLAIMABLE TAX
		03/19/09	Foreign Tax	(0.31)	0.00	PAY DATE 03/19/2009
	11	06/03/09	Foreign Dividend	1.65	1.65	NON-RECLAIMABLE TAX
		06/03/09	Foreign Tax	(0.33)	0.00	PAY DATE 06/03/2009
			<u>Security Subtotal</u>	<u>2.55</u>	<u>3.19</u>	
TRANSCANADA CORP	21	01/30/09	Foreign Dividend	6.09	6.09	NON-RECLAIMABLE TAX
		01/30/09	Foreign Tax	(0.91)	0.00	PAY DATE 01/30/2009
	21	04/30/09	Foreign Dividend	6.66	6.66	NON-RECLAIMABLE TAX
		04/30/09	Foreign Tax	(1.00)	0.00	PAY DATE 04/30/2009
	21	07/31/09	Foreign Dividend	7.37	7.37	NON-RECLAIMABLE TAX
		07/31/09	Foreign Tax	(1.11)	0.00	PAY DATE 07/31/2009
	21	10/30/09	Foreign Dividend	7.36	7.36	NON-RECLAIMABLE TAX
		10/30/09	Foreign Tax	(1.10)	0.00	PAY DATE 10/30/2009
			<u>Security Subtotal</u>	<u>23.36</u>	<u>27.48</u>	





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HON CAROLYN MCCARTHY

2009 TAX REPORTING STATEMENT

2009 DIVIDENDS AND QUALIFIED DIVIDEND DISTRIBUTIONS

Security Description	Quantity	Date	Transaction Description	Amount	Qualified Dividend	Remarks
TELENORTE LES PART SPADR	57	02/26/09	Foreign Dividend	75.61	75.61	NON-RECLAIMABLE TAX PAY DATE 11/10/2009
	35	11/10/09	Foreign Dividend	15.18	15.18	
		11/10/09	Foreign Tax	(2.28)	0.00	
	57	11/17/09	Foreign Dividend	66.49	66.49	NON-RECLAIMABLE TAX PAY DATE 11/10/2009
		11/18/09	Foreign Dividend	0.44	0.44	
		11/18/09	Foreign Tax	(0.07)	0.00	
			Security Subtotal	155.37	157.72	
TEEKAY CORP	10	02/02/09	Foreign Dividend	3.16	3.16	NON-RECLAIMABLE TAX PAY DATE 11/10/2009
	10	04/24/09	Foreign Dividend	3.16	3.16	
	10	07/24/09	Foreign Dividend	3.16	3.16	
	10	10/30/09	Foreign Dividend	3.16	3.16	NON-RECLAIMABLE TAX PAY DATE 11/10/2009
			Security Subtotal	12.64	12.64	
TARGET CORP COM	29	06/10/09	Dividend	4.64	4.64	
TURKCELL ILETISIM ADR	27	05/29/09	Foreign Dividend	21.30	21.30	
3M COMPANY	28	03/12/09	Dividend	14.28	14.28	NON-RECLAIMABLE TAX PAY DATE 11/10/2009
	28	06/12/09	Dividend	14.28	14.28	
			Security Subtotal	28.56	28.56	
TRAVELERS COS INC	42	03/31/09	Dividend	12.60	12.60	NON-RECLAIMABLE TAX PAY DATE 11/10/2009
	42	06/30/09	Dividend	12.60	12.60	
			Security Subtotal	25.20	25.20	
TIME WARNER INC SHS	55	06/15/09	Dividend	10.31	10.31	
TORONTO DOMINION BANK	17	04/30/09	Foreign Dividend	8.66	8.66	NON-RECLAIMABLE TAX PAY DATE 04/30/2009
		04/30/09	Foreign Tax	(1.30)	0.00	
			Security Subtotal	7.36	8.66	

HON CAROLYN MCCARTHY

2009 TAX REPORTING STATEMENT

2009 DIVIDENDS AND QUALIFIED DIVIDEND DISTRIBUTIONS

Security Description	Quantity	Date	Transaction Description	Amount	Qualified Dividend	Remarks
UNILEVER PLC NEW ADR	30	06/18/09	Foreign Dividend	18.29	18.29	
	30	12/16/09	Foreign Dividend	11.85	11.85	
			Security Subtotal	30.14	30.14	
UNITED PARCEL SVC CL B	34	06/03/09	Dividend	15.30	15.30	
UNITED UTILS GROUP ADR GBP PAR ORDINARY	14	08/10/09	Foreign Dividend	10.39	10.39	
UNION PACIFIC CORP	25	07/01/09	Dividend	6.75	6.75	
UNITED TECHS CORP COM	30	03/10/09	Dividend	11.55	11.55	
	30	06/10/09	Dividend	11.55	11.55	
			Security Subtotal	23.10	23.10	
V F CORPORATION	33	03/20/09	Dividend	19.47	19.47	
	33	06/19/09	Dividend	19.47	19.47	
			Security Subtotal	38.94	38.94	
VALERO ENERGY CORP NEW	72	06/17/09	Dividend	10.80	10.80	
	72	09/16/09	Dividend	10.80	10.80	
	72	12/09/09	Dividend	10.80	10.80	
			Security Subtotal	32.40	32.40	
VERIZON COMMUNICATNS COM	83	02/02/09	Dividend	38.18	38.18	
	98	05/01/09	Dividend	45.08	45.08	
			Security Subtotal	83.26	83.26	
WINDSTREAM CORP	241	01/15/09	Dividend	60.25	60.25	
	241	04/15/09	Dividend	60.25	60.25	
	241	07/15/09	Dividend	60.25	60.25	
	241	10/15/09	Dividend	60.25	60.25	
			Security Subtotal	241.00	241.00	
UNITED UTILS GROUP ADR	14	02/20/09	Foreign Dividend	4.29	4.29	



HON CAROLYN MCCARTHY

2009 TAX REPORTING STATEMENT
2009 DIVIDENDS AND QUALIFIED DIVIDEND DISTRIBUTIONS

Security Description	Quantity	Date	Transaction Description	Amount	Qualified Dividend	Remarks
XTO ENERGY INC						
	30	04/15/09	Dividend	3.75	3.75	
	30	07/15/09	Dividend	3.75	3.75	
	30	10/15/09	Dividend	3.75	3.75	
			Security Subtotal	11.25	11.25	
WAL-MART STORES INC						
	54	01/02/09	Dividend	12.83	12.83	
	54	04/06/09	Dividend	14.72	14.72	
	44	06/01/09	Dividend	11.99	11.99	
	44	09/08/09	Dividend	11.99	11.99	
			Security Subtotal	51.53	51.53	
WALGREEN CO						
	60	06/12/09	Dividend	6.75	6.75	
	60	09/14/09	Dividend	8.25	8.25	
			Security Subtotal	15.00	15.00	
WHIRLPOOL CORP						
	35	03/16/09	Dividend	15.05	15.05	
XEROX CORP						
	226	02/02/09	Dividend	9.61	9.61	
	226	04/30/09	Dividend	9.61	9.61	
	226	07/31/09	Dividend	9.61	9.61	
	226	10/30/09	Dividend	9.61	9.61	
			Security Subtotal	38.44	38.44	
YUM BRANDS INC						
	29	02/06/09	Dividend	5.51	5.51	
	25	05/01/09	Dividend	4.75	4.75	
	25	08/07/09	Dividend	4.75	4.75	
			Security Subtotal	15.01	15.01	
YAMANA GOLD INC						
	46	07/14/09	Foreign Dividend	0.46	0.46	
		07/14/09	Foreign Tax	(0.07)	0.00	
			Security Subtotal	0.39	0.46	

NON-RECLAIMABLE TAX
PAY DATE 07/14/2009



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HON CAROLYN MCCARTHY

2009 TAX REPORTING STATEMENT

2009 DIVIDENDS AND QUALIFIED DIVIDEND DISTRIBUTIONS

Security Description	Quantity	Date	Transaction Description	Amount	Qualified Dividend	Remarks
WASTE MANAGEMENT INC NEW	58	03/20/09	Dividend	16.82	16.82	
	58	06/19/09	Dividend	16.82	16.82	
	58	09/25/09	Dividend	16.82	16.82	
	58	12/18/09	Dividend	16.82	16.82	
			Security Subtotal	67.28	67.28	
WELLS FARGO & CO NEW DEL	100	03/02/09	Dividend	34.00	34.00	
WYETH	81	03/02/09	Dividend	24.30	24.30	
TOTAL DIVIDEND ACTIVITY				8,091.93		
TOTAL FOREIGN TAX PAID (LINE 6 1099-DIV)				(178.73)		
TOTAL ORDINARY DIVIDENDS (LINE 1A 1099-DIV)				8,270.66		
TOTAL QUALIFIED DIVIDENDS (LINE 1B 1099-DIV)					7,111.81	





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HON CAROLYN MCCARTHY

2009 TAX REPORTING STATEMENT

2009 DIVIDENDS AND DISTRIBUTIONS

Security Description	Quantity	Date	Transaction Description	Amount	Remarks
NONDIVIDEND DISTRIBUTIONS					
ISHARES BARCLAYS TIPS BO	20	07/08/09	Prin Payment	1.10	
PROTECTED SECS FD	20	08/07/09	Prin Payment	1.21	
	20	09/08/09	Prin Payment	2.60	
	20	10/07/09	Prin Payment	0.13	
	20	11/06/09	Prin Payment	0.97	
	120	12/07/09	Prin Payment	3.53	
	120	01/05/10	Prin Payment	4.05	
			Security Subtotal	13.59	
INGERSOLL RAND CO LTD A	51	03/02/09	Prin Payment	9.18	
	42	06/01/09	Prin Payment	7.56	
			Security Subtotal	16.74	
TOTAL NONDIVIDEND DISTRIBUTIONS (LINE 3 1099-DIV)				30.33	

HON CAROLYN MCCARTHY

2009 TAX REPORTING STATEMENT

Security Description	Quantity	Date	2009 INTEREST INCOME Transaction Description	Amount	Remarks
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INTEREST

ML BANK DEPOSIT PROGRAM

01/30/09	Bank Interest	28.20
02/27/09	Bank Interest	6.58
03/31/09	Bank Interest	2.93
04/30/09	Bank Interest	1.75
05/29/09	Bank Interest	2.41
06/30/09	Bank Interest	3.51
07/31/09	Bank Interest	4.68
08/31/09	Bank Interest	4.72
09/30/09	Bank Interest	4.29
10/30/09	Bank Interest	4.47
11/30/09	Bank Interest	4.17
12/31/09	Bank Interest	2.48
	Security Subtotal	70.19

TOTAL INTEREST ACTIVITY **70.19**
 TOTAL INTEREST (LINE 1 1099-INT) **70.19**
 TOTAL INTEREST ON US SAVINGS BONDS AND TREASURY OBLIGATIONS (LINE 3 1099-INT) **0.00**



HON CAROLYN MCCARTHY

2009 TAX REPORTING STATEMENT

Security Description	Quantity	Date	2009 REPORTABLE TAX EXEMPT INCOME	Transaction Description	Total Amount	AMT Amount	Remarks
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TAX EXEMPT DIVIDENDS

POWERSHARES GLOBAL EXCHANGE TRADED FD TR	215	12/31/09	Dividend		20.00	0.00	
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ISHARES S&P NATIONAL AMT-FREE MUNICIPAL BOND	98	12/07/09	Dividend		29.39	0.00	
	98	01/05/10	Dividend		28.95	0.00	PAY IN 2010/REP IN 2009
			Security Subtotal		58.34	0.00	

TOTAL TAX EXEMPT DIVIDENDS					78.34	0.00	
TOTAL TAX EXEMPT INCOME (LINE 8 1099-INT)					78.34		
TOTAL SPECIFIED PRIVATE ACTIVITY BOND INTEREST (LINE 9 1099-INT)						0.00	

Carolyn McCarthy IRA Income from 01/01/2009 to 12/31/2009

Settle Date	Description	Symbol	Dividends
12/31/2009	EATON VANCE TAX MAN GLB BUY WRT OPP FD HOLDING 300.0000 PAY DATE 12/31/2009	ETW	135
12/31/2009	BLACKROCK INTL GROWTH & INCOME TR HOLDING 285.0000 PAY DATE 12/31/2009	BGY	130
12/31/2009	ISHARES S&P GROW ALLOCAT HOLDING 150.0000 PAY DATE 12/31/2009	AOR	29
12/31/2009	FID ADV LATIN AMER B PAY DATE 12/31/2009	FLTBX	5
12/31/2009	PNR HI YD FD CL B PAY DATE 12/31/2009	TBHYX	33
12/31/2009	FIDELITY ADV REAL EST B PAY DATE 12/31/2009	FHEBX	1
12/31/2009	PIONEER BOND FD INC PAY DATE 12/31/2009	PIOBX	0
12/30/2009	MARKET VECTORS GLOBAL ALT HOLDING 90.0000 PAY DATE 12/30/2009	GEX	1
12/23/2009	PIONEER EQTY INC FD CL B PAY DATE 12/22/2009	PBEQX	19
12/22/2009	FIDELITY ADV REAL EST B PAY DATE 12/21/2009	FHEBX	6
12/22/2009	FID ADV STR DIV/INCM B PAY DATE 12/21/2009	FBSDX	11
12/22/2009	PIONEER CLASSIC BAL FD B PAY DATE 12/21/2009	ASBBX	45
12/21/2009	GREAT PLAINS ENERGY INC HOLDING 300.0000 PAY DATE 12/21/2009	GXP	62
12/8/2009	FID ADV LATIN AMER B PAY DATE 12/07/2009	FLTBX	8
12/8/2009	FID ADV LATIN AMER B PAY DATE 12/07/2009	FLTBX	21
12/8/2009	FIDLT ADV EMERG ASIA B PAY DATE 12/07/2009	FERBX	21
12/7/2009	ISHARES IBOX\$ HIGH YIEL CORPORATE BOND FUND HOLDING 50.0000 PAY DATE 12/07/2009	HYG	31
12/1/2009	PNR HI YD FD CL B PAY DATE 11/30/2009	TBHYX	31
12/1/2009	PIONEER BOND FD INC PAY DATE 11/30/2009	PIOBX	0
11/30/2009	EATON VANCE TAX-MANAGED GLOBAL DIVERSIFIED EQUITY INCOME FUND HOLDING 280.0000 PAY DATE 11/30/2009	EXG	133
11/16/2009	PROCTER & GAMBLE CO HOLDING 336.0000 PAY DATE 11/16/2009	PG	148
11/2/2009	PNR HI YD FD CL B PAY DATE 10/30/2009	TBHYX	33
11/2/2009	PIONEER BOND FD INC PAY DATE 10/30/2009	PIOBX	0
10/26/2009	GENERAL ELECTRIC HOLDING 200.0000 PAY DATE 10/26/2009	GE	20
10/15/2009	US BANCORP (NEW) HOLDING 200.0000 PAY DATE 10/15/2009	USB	10
10/6/2009	FID ADV STR DIV/INCM B PAY DATE 10/05/2009	FBSDX	7
10/1/2009	PNR HI YD FD CL B PAY DATE 09/30/2009	TBHYX	32
10/1/2009	PIONEER BOND FD INC PAY DATE 09/30/2009	PIOBX	0
9/30/2009	BLACKROCK INTL GROWTH & INCOME TR HOLDING 285.0000 PAY DATE 09/30/2009	BGY	130
9/30/2009	SPDR KBW BANK ETF HOLDING 110.0000 PAY DATE 09/30/2009	KBE	9

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Carolyn McCarthy IRA Income from 01/01/2009 to 12/31/2009

Settle Date	Description	Symbol	Dividends
	NFJ DIV INT PREM STRAT AND PREMIUM STRATEGY FD HOLDING		
9/25/2009	505.0000 PAY DATE 09/25/2009	NFJ	76
9/25/2009	PIONEER CLASSIC BAL FD B PAY DATE 09/24/2009	ASBBX	58
9/25/2009	PIONEER EQTY INC FD CL B PAY DATE 09/24/2009	PBEQX	16
	GREAT PLAINS ENERGY INC HOLDING 300.0000 PAY DATE		
9/21/2009	09/21/2009	GXP	62
9/15/2009	FID ADV LEV CO STK CL B PAY DATE 09/14/2009	FLCBX	1
9/9/2009	FIDELITY ADV REAL EST B PAY DATE 09/08/2009	FHEBX	3
9/1/2009	PNR HI YD FD CL B PAY DATE 08/31/2009	TBHYX	33
9/1/2009	PIONEER BOND FD INC PAY DATE 08/31/2009	PIOBX	0
	EATON VANCE TAX-MANAGED GLOBAL DIVERSIFIED EQUITY		
8/31/2009	INCOME FUND HOLDING 280.0000 PAY DATE 08/31/2009	EXG	133
8/17/2009	PROCTER & GAMBLE CO HOLDING 336.0000 PAY DATE 08/17/2009	PG	148
8/3/2009	PNR HI YD FD CL B PAY DATE 07/31/2009	TBHYX	34
8/3/2009	PIONEER BOND FD INC PAY DATE 07/31/2009	PIOBX	0
7/27/2009	GENERAL ELECTRIC HOLDING 200.0000 PAY DATE 07/27/2009	GE	20
7/15/2009	US BANCORP (NEW) HOLDING 200.0000 PAY DATE 07/15/2009	USB	10
7/14/2009	FID ADV STR DIV/INCM B PAY DATE 07/13/2009	FBSDX	14
	SPDR KBW REGNL BKNG ETF HOLDING 110.0000 PAY DATE		
7/1/2009	07/01/2009	KBE	13
7/1/2009	PNR HI YD FD CL B PAY DATE 06/30/2009	TBHYX	37
7/1/2009	PIONEER BOND FD INC PAY DATE 06/30/2009	PIOBX	0
	BLACKROCK INTL GROWTH & INCOME TR HOLDING 285.0000 PAY		
6/30/2009	DATE 06/30/2009	BGY	130
	NFJ DIV INT PREM STRAT AND PREMIUM STRATEGY FD HOLDING		
6/26/2009	505.0000 PAY DATE 06/26/2009	NFJ	76
6/26/2009	PIONEER CLASSIC BAL FD B PAY DATE 06/25/2009	ASBBX	19
6/26/2009	PIONEER EQTY INC FD CL B PAY DATE 06/25/2009	PBEQX	25
	GREAT PLAINS ENERGY INC HOLDING 300.0000 PAY DATE		
6/19/2009	06/19/2009	GXP	62
6/9/2009	FIDELITY ADV REAL EST B PAY DATE 06/08/2009	FHEBX	9
6/1/2009	PNR HI YD FD CL B PAY DATE 05/29/2009	TBHYX	37
6/1/2009	PIONEER BOND FD INC PAY DATE 05/29/2009	PIOBX	0
	EATON VANCE TAX-MANAGED GLOBAL DIVERSIFIED EQUITY		
5/29/2009	INCOME FUND HOLDING 280.0000 PAY DATE 05/29/2009	EXG	133
5/15/2009	PROCTER & GAMBLE CO HOLDING 336.0000 PAY DATE 05/15/2009	PG	148
5/1/2009	PNR HI YD FD CL B PAY DATE 04/30/2009	TBHYX	37
5/1/2009	PIONEER BOND FD INC PAY DATE 04/30/2009	PIOBX	0
4/27/2009	GENERAL ELECTRIC HOLDING 200.0000 PAY DATE 04/27/2009	GE	62
4/15/2009	US BANCORP (NEW) HOLDING 200.0000 PAY DATE 04/15/2009	USB	10

Carolyn McCarthy IRA Income from 01/01/2009 to 12/31/2009

Settle Date	Description	Symbol	Dividends
4/7/2009	FID ADV STR DIV/INCM B PAY DATE 04/06/2009	FBSDX	22
4/1/2009	SPDR KBW REGNL BKNG ETF HOLDING 110.0000 PAY DATE 04/01/2009	KBE	16
4/1/2009	PNR HI YD FD CL B PAY DATE 03/31/2009	TBHYX	38
4/1/2009	PIONEER BOND FD INC PAY DATE 03/31/2009	PIOBX	0
3/31/2009	BLACKROCK INTL GROWTH & INCOME TR HOLDING 285.0000 PAY DATE 03/31/2009	BGY	130
3/27/2009	NFJ DIV INT PREM STRAT AND PREMIUM STRATEGY FD HOLDING 505.0000 PAY DATE 03/27/2009	NFJ	76
3/27/2009	PIONEER CLASSIC BAL FD B PAY DATE 03/26/2009	ASBBX	48
3/27/2009	PIONEER EQTY INC FD CL B PAY DATE 03/26/2009	PBEQX	25
3/20/2009	GREAT PLAINS ENERGY INC HOLDING 300.0000 PAY DATE 03/20/2009	GXP	62
3/10/2009	FIDELITY ADV REAL EST B PAY DATE 03/09/2009	FHEBX	6
3/2/2009	PNR HI YD FD CL B PAY DATE 02/27/2009	TBHYX	36
3/2/2009	PIONEER BOND FD INC PAY DATE 02/27/2009	PIOBX	0
2/27/2009	EATON VANCE TAX-MANAGED GLOBAL DIVERSIFIED EQUITY INCOME FUND HOLDING 280.0000 PAY DATE 02/27/2009	EXG	133
2/17/2009	PROCTER & GAMBLE CO HOLDING 336.0000 PAY DATE 02/17/2009	PG	134
2/2/2009	PNR HI YD FD CL B PAY DATE 01/30/2009	TBHYX	40
2/2/2009	PIONEER BOND FD INC PAY DATE 01/30/2009	PIOBX	0
1/26/2009	GENERAL ELECTRIC HOLDING 200.0000 PAY DATE 01/26/2009	GE	62
1/15/2009	MOTOROLA INC COM HOLDING 200.0000 PAY DATE 01/15/2009	MOT	10
1/15/2009	US BANCORP (NEW) HOLDING 200.0000 PAY DATE 01/15/2009	USB	85
	TOTAL		<u><u>3,441</u></u>

Continuation Sheet (if needed)

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This page may be copied if more space is required.

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Amount of Transaction	
B	\$1,001-\$15,000
C	\$15,001-\$50,000
D	\$50,001-\$100,000
E	\$100,001-\$250,000
F	\$250,001-\$500,000
G	\$500,001-\$1,000,000
H	\$1,000,001-\$5,000,000
I	\$5,000,001-\$25,000,000
J	\$25,000,001-\$50,000,000
K	Over \$50,000,000



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HON CAROLYN MCCARTHY

2009 TAX REPORTING STATEMENT

1099-B

2009 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

(OMB NO. 1545-0715)

Security Description	Cusip Number	Quantity	Transaction Description	Trade Date	Amount	Remarks
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NEW YORK NYC TRAN FIN	649716CC9	55000.0000	Redemption	09/28/09	55,275.00	
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AUTH REV SER B OLD PRF09						
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JAN98 04.750%NOV15 17						
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TOTAL GROSS PROCEEDS ACTIVITY					55,275.00	
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TOTAL GROSS PROCEEDS (LINE 2 1099-B)					55,275.00	
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TOTAL FEDERAL INCOME TAX WITHHELD (LINE 4 1099-B)					(0.00)	
--	--	--	--	--	---------------	--

* Gross Proceeds and above transaction details from each of your 2009 securities trades are individually reported to the IRS (less commissions).

IMPORTANT TAX INFORMATION

This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanctions may be imposed on you if this income is taxable and the IRS determines that it has not been reported.



HON CAROLYN MCCARTHY

2009 TAX REPORTING STATEMENT

2009 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

(OMB NO. 1545-0715)

Security Description	Cusip Number	Quantity	Transaction Description	Trade Date	Amount	Remarks
1099-B						
ALLSTATE CORP DEL COM	020002101	11.0000	Sale	01/12/09	329.81	
ADOBE SYS DEL PV\$ 0.001	00724F101	56.0000	Sale	01/14/09	1,192.63	
		45.0000	Sale	06/29/09	1,287.41	
			Security Subtotal		2,480.04	
AIR FRANCE KLM SPON ADR	009119108	16.0000	Sale	03/31/09	139.33	
AFFILIATED MANAGERS GRP	008252108	14.0000	Sale	06/29/09	814.91	
AEGON N.V. NY REG SHS	007924103	5.0000	Sale	06/16/09	28.91	
		10.0000	Sale	06/17/09	55.03	
		25.0000	Sale	06/18/09	146.87	
		15.0000	Sale	06/19/09	88.06	
		10.0000	Sale	06/22/09	54.82	
		29.0000	Sale	06/29/09	176.60	
			Security Subtotal		550.29	
APOLLO GROUP INC CL A	037604105	13.0000	Sale	03/26/09	1,007.66	
ACCENTURE LTD CL A	G1150G111	52.0000	Sale	06/29/09	1,750.27	
AIRGAS INC COM	009363102	24.0000	Sale	02/11/09	891.48	
AXA -SPONS ADR	054536107	60.0000	Prin Paymt	12/04/09	34.78	
HSBC HOLDINGS PLC RTS PARENT ML# 35BN2	404280992	23.0000	Cash/Lieu	04/14/09	4.96	
AMAZON COM INC COM	023135106	10.0000	Sale	03/27/09	712.97	
		17.0000	Sale	06/29/09	1,418.27	
			Security Subtotal		2,131.24	
AMPHENOL CORP CL A NEW	032095101	27.0000	Sale	06/29/09	854.25	
ANNALY CAP MGMT INC	035710409	204.0000	Sale	06/29/09	3,060.10	



HON CAROLYN MCCARTHY

2009 TAX REPORTING STATEMENT

2009 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

(OMB NO. 1545-0715)

Security Description	Cusip Number	Quantity	Transaction Description	Trade Date	Amount	Remarks
AMERICAN TOWER CORP CL A	029912201	15.0000	Sale	04/30/09	493.00	
		68.0000	Sale	10/16/09	2,677.09	
		Security Subtotal			3,170.09	
AXIS CAPITAL HOLDINGS LTD	G0692U109	23.0000	Sale	06/29/09	593.84	
ALCOA INC	013817101	113.0000	Sale	01/28/09	990.37	
		20.0000	Sale	02/06/09	169.04	
		25.0000	Sale	02/09/09	212.18	
		Security Subtotal			1,371.59	
ASTRAZENECA PLC SPND ADR	046353108	23.0000	Sale	06/29/09	1,029.22	
ALTERA CORP COM	021441100	48.0000	Sale	05/26/09	780.60	
ALLIANT TECHSYSTEMS INC	018804104	7.0000	Sale	03/11/09	447.66	
AECOM TECH CORP	00766T100	30.0000	Sale	06/29/09	946.47	
ARCELORMITTAL SA, LUXEMBOURG	03938L104	11.0000	Sale	01/16/09	257.67	
APPLE INC	037833100	22.0000	Sale	06/29/09	3,134.47	
AUST NW Z B G ADR	052528304	30.0000	Sale	07/17/09	403.49	
BANCO B VIZ ARGT SA ADR	05946K101	64.0000	Sale	06/29/09	814.05	
BANCOLOMBIA S.A SPDS ADR	05968L102	40.0000	Sale	06/29/09	1,227.56	
BANCO SANTANDER SA ADR	05964H105	24.0000	Sale	01/16/09	190.83	
BMC SOFTWARE INC	055921100	4.0000	Sale	05/05/09	136.91	
		21.0000	Sale	06/29/09	720.91	
		Security Subtotal			857.82	

HON CAROLYN MCCARTHY

2009 TAX REPORTING STATEMENT

2009 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

(OMB NO. 1545-0715)

Security Description	Cusip Number	Quantity	Transaction Description	Trade Date	Amount	Remarks
BRITISH AMN TOBACO SPADR	110448107	11.0000	Sale	11/23/09	708.27	
BRINKS CO	109696104	16.0000	Sale	02/11/09	448.99	
BROADCOM CORP CALIF CL A	111320107	28.0000	Sale	01/09/09	469.93	
		51.0000	Sale	03/31/09	1,025.83	
		50.0000	Sale	07/17/09	1,366.46	
				Security Subtotal	2,862.22	
BARD C R INC	067383109	12.0000	Sale	11/23/09	970.90	
BP PLC SPON ADR	055622104	8.0000	Sale	01/14/09	341.12	
BANK OF AMERICA CORP	060505104	73.0000	Sale	01/28/09	531.26	
BASF SE SPONSORED ADR	055262505	23.0000	Sale	07/17/09	1,031.52	
BNP PARIBAS SPONSORD ADR	05565A202	9.0000	Sale	01/09/09	210.83	
BHP BILLITON LTD ADR	088606108	25.0000	Sale	04/09/09	1,169.21	
BAXTER INTERNTL INC	071813109	10.0000	Sale	02/18/09	577.92	
		5.0000	Sale	06/03/09	240.95	
		26.0000	Sale	07/17/09	1,402.40	
				Security Subtotal	2,221.27	
BECTON DICKINSON CO	075887109	49.0000	Sale	06/29/09	3,491.65	
BLACK AND DECKER CRP COM	091797100	5.0000	Sale	05/06/09	194.08	
		10.0000	Sale	05/06/09	377.84	
		5.0000	Sale	05/07/09	184.76	
		16.0000	Sale	05/08/09	567.26	
				Security Subtotal	1,323.94	
BLOCK H&R INC	093671105	40.0000	Sale	04/14/09	632.44	
BRISTOL-MYERS SQUIBB CO	110122108	71.0000	Sale	06/29/09	1,452.62	



HON CAROLYN MCCARTHY

2009 TAX REPORTING STATEMENT

2009 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

(OMB NO. 1545-0715)

1099-B Security Description	Cusip Number	Quantity	Transaction Description	Trade Date	Amount	Remarks
COCA COLA FEMSA SP ADR	191241108	26.0000	Sale	10/16/09	1,374.06	
CARDINAL HEALTH INC OHIO	14149Y108	41.0000	Sale	08/20/09	1,421.02	
CITRIX SYSTEMS INC COM	177376100	37.0000	Sale	01/30/09	800.07	
CROWN HLDGS INC	228368106	45.0000	Sale	06/29/09	1,075.02	
COPEL PARANA ADR PREF B	20441B407	70.0000	Sale	10/16/09	1,316.67	
CANADIAN NATURAL RES LTD	138385101	37.0000	Sale	06/29/09	1,955.02	
CANON INC ADR REPSH	138006309	18.0000	Sale	05/15/09	612.23	
COSTCO WHOLESALE CRP DEL	22160K105	35.0000	Sale	04/21/09	1,564.56	
CEMEX SAB DE CV SPND ADR	151290889	65.0000	Cash/Lieu	06/17/09	6.42	
		67.0000	Sale	06/29/09	647.87	
			Security Subtotal		654.29	
COMPASS GROUP PLC ADR	20449X203	133.0000	Sale	06/29/09	746.11	
CHEVRON CORP	166764100	24.0000	Sale	06/29/09	1,604.59	
CENTERPOINT ENERGY INC	15189T107	70.0000	Sale	03/17/09	712.46	
COMPANHIA D SNMNT0 BSCO D ESTDO SAO PAULO ADR	20441A102	68.0000	Sale	09/14/09	2,523.42	
CATERPILLAR INC DEL	149123101	40.0000	Sale	05/26/09	1,408.99	
		7.0000	Sale	05/27/09	246.25	
			Security Subtotal		1,655.24	
C.H. ROBINSON WORLDWIDE, INC. NEW	12541W209	16.0000	Sale	06/29/09	842.37	

HON CAROLYN MCCARTHY

2009 TAX REPORTING STATEMENT

2009 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

(OMB NO. 1545-0715)

Security Description	Cusip Number	Quantity	Transaction Description	Trade Date	Amount	Remarks
CBS CORP NEW	CL B	124857202	123.0000 Sale	02/26/09	573.64	
		142.0000	142.0000 Sale	03/05/09	492.29	
			Security Subtotal		1,065.93	
CA INC		12673P105	63.0000 Sale	05/28/09	1,076.75	
COVIDIEN LTD		G2552X108	5.0000 Sale	04/02/09	162.94	
		18.0000	18.0000 Sale	04/03/09	569.77	
			Security Subtotal		732.71	
CME GROUP INC		12572Q105	4.0000 Sale	06/29/09	1,281.60	
COVIDIEN PLC SHS		G2554F105	55.0000 Sale	08/20/09	2,205.44	
CHUBB CORP		171232101	20.0000 Sale	04/29/09	805.71	
		10.0000	10.0000 Sale	04/30/09	396.54	
			Security Subtotal		1,202.25	
CHURCH&DWIGHT CO INC		171340102	15.0000 Sale	08/20/09	871.48	
COLGATE PALMOLIVE		194162103	7.0000 Sale	03/18/09	404.80	
		5.0000	5.0000 Sale	05/19/09	321.54	
		20.0000	20.0000 Sale	06/29/09	1,443.56	
			Security Subtotal		2,169.90	
CUMMINS INC	COM	231021106	35.0000 Sale	06/29/09	1,272.21	
DUN & BRADSTREET CORP-NEW		26483E100	11.0000 Sale	06/29/09	893.06	
DANAHER CORP DEL	COM	235851102	28.0000 Sale	11/13/09	2,022.11	
DREAMWORKS ANIMATION INC		26153C103	20.0000 Sale	06/11/09	570.74	
		17.0000	17.0000 Sale	06/12/09	485.22	
			Security Subtotal		1,055.96	



HON CAROLYN MCCARTHY

2009 TAX REPORTING STATEMENT

2009 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

(OMB NO. 1545-0715)

1099-B Security Description	Cusip Number	Quantity	Transaction Description	Trade Date	Amount	Remarks
DIGITAL RLTY TR INC	253868103	10.0000 22.0000	Sale Sale	03/12/09 07/17/09	306.03 820.14	
			<u>Security Subtotal</u>		<u>1,126.17</u>	
DELHAIZE GROUP SPONS ADR	29759W101	14.0000	Sale	06/29/09	977.59	
DEUTSCHE BK AG REG SHS	D18190898	5.0000 16.0000 9.0000	Sale Sale Sale	04/20/09 04/21/09 04/23/09	240.03 761.27 462.82	
			<u>Security Subtotal</u>		<u>1,464.12</u>	
DOLBY LABORATORIES INC CL A	25659T107	20.0000	Sale	07/17/09	776.98	
DRESSER RAND GROUP INC	261608103	29.0000	Sale	06/29/09	756.88	
DISH NETWORK CORPATION CLASS A	25470M109	46.0000	Sale	10/16/09	842.24	
DOLLAR TREE INC	256746108	16.0000	Sale	06/29/09	682.54	
DEERE CO	244199105	30.0000	Sale	05/21/09	1,245.55	
DEVRY INC DEL	251893103	10.0000	Sale	05/05/09	401.46	
R R DONNELLEY SONS	257867101	60.0000 93.0000 7.0000	Sale Sale Sale	12/17/09 12/17/09 12/17/09	1,319.97 2,045.95 153.99	
			<u>Security Subtotal</u>		<u>3,519.97</u>	
DOW CHEMICAL CO	260543103	107.0000 58.0000 82.0000	Sale Sale Sale	02/02/09 02/17/09 02/23/09	1,208.34 508.05 626.72	
			<u>Security Subtotal</u>		<u>2,343.11</u>	
E M C CORPORATION MASS	268648102	95.0000	Sale	06/29/09	1,283.41	



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HON CAROLYN MCCARTHY

2009 TAX REPORTING STATEMENT

2009 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

(OMB NO. 1545-0715)

Security Description	Cusip Number	Quantity	Transaction Description	Trade Date	Amount	Remarks
EDISON INTL CALIF	281020107	47.0000	Sale	06/29/09	1,484.69	
EXXON MOBIL CORP COM	30231G102	21.0000	Sale	04/13/09	1,435.31	
ENDO PHARMACEUTCLS HLDGS	29264F205	30.0000	Sale	05/07/09	490.26	
		10.0000	Sale	05/06/09	162.02	
				<u>Security Subtotal</u>	652.28	
EXELON CORPORATION	30161N101	27.0000	Sale	04/30/09	1,240.67	
EMBARQ CORP	29078E105	39.0000	Sale	06/29/09	1,700.74	
FPL GROUP INC	302571104	30.0000	Sale	01/06/09	1,536.14	
FMC CORP COM NEW	302491303	16.0000	Sale	08/20/09	784.30	
FREEPRT-MCMRAN CPR & GLD	35671D857	15.0000	Sale	04/07/09	630.48	
		33.0000	Sale	06/29/09	1,661.83	
				<u>Security Subtotal</u>	2,292.31	
FACTSET RESH SYS INC	303075105	4.0000	Sale	02/20/09	157.28	
		5.0000	Sale	05/15/09	279.81	
		11.0000	Sale	05/21/09	597.02	
				<u>Security Subtotal</u>	1,034.11	
FLOWSERVE CORP	34354P105	4.0000	Sale	06/02/09	314.35	
		11.0000	Sale	08/20/09	979.52	
				<u>Security Subtotal</u>	1,293.87	
FTI CONSULTING INC COM	302941109	14.0000	Sale	06/29/09	717.76	
F5 NETWORKS INC COM	315616102	30.0000	Sale	03/16/09	610.17	
FMC TECHS INC COM	30249U101	29.0000	Sale	01/23/09	798.41	
FOSTER WHEELER AG	H27178104	36.0000	Sale	03/04/09	517.57	





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HON CAROLYN MCCARTHY

2009 TAX REPORTING STATEMENT

2009 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

(OMB NO. 1545-0715)

Security Description	Cusip Number	Quantity	Transaction Description	Trade Date	Amount	Remarks
1099-B ISHARES S&P 500 VALUE INDEX FUND	464287408	145.0000	Sale	11/23/09	7,689.41	
POWERSHARES DYN OIL AND GAS SERVICES	73935X625	150.0000	Sale	11/13/09	2,511.00	
VANGUARD MID-CAP VAL IDX	922908512	125.0000	Sale	11/13/09	5,347.59	
SPDR KBW BANK ETF	78464A797	150.0000	Sale	11/13/09	3,187.56	
SPDR DJ WILSHIRE REIT ETF	78464A607	125.0000	Sale	11/13/09	5,735.19	
GOLDMAN SACHS GROUP INC	38141G104	15.0000	Sale	06/29/09	2,221.74	
GENENTECH INC NEW	368710406	16.0000	Sale	03/13/09	1,504.05	
GENERAL ELECTRIC	369604103	60.0000	Sale	04/16/09	709.25	
		65.0000	Sale	04/20/09	741.10	
			Security Subtotal		1,450.35	
GAMESTOP CORP NEW CL A	36467W109	37.0000	Sale	03/06/09	828.70	
GILEAD SCIENCES INC COM	373558103	16.0000	Sale	02/05/09	815.68	
HARTFORD FINL SVCS GROUP	416515104	37.0000	Sale	01/12/09	568.10	
		22.0000	Sale	02/17/09	220.29	
		71.0000	Sale	02/24/09	579.65	
			Security Subtotal		1,368.04	
HOST HOTELS & RESORTS REIT	44107P104	100.0000	Sale	01/13/09	659.05	
		55.0000	Sale	01/14/09	339.71	
			Security Subtotal		998.76	
HSBC HLDG PLC SP ADR	404280406	32.0000	Sale	06/29/09	1,359.32	



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HON CAROLYN MCCARTHY

2009 TAX REPORTING STATEMENT

2009 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

(OMB NO. 1545-0715)

Security Description	Cusip Number	Quantity	Transaction Description	Trade Date	Amount	Remarks
HEWITT ASSOCIATES INC	42822Q100	13.0000	Sale	01/09/09	374.32	
		10.0000	Sale	05/29/09	288.54	
		11.0000	Sale	06/01/09	317.19	
			Security Subtotal		980.05	
HOSPIRA INC	441060100	22.0000	Sale	06/29/09	850.71	
HESS CORP	42809H107	20.0000	Sale	06/17/09	1,061.77	
HARRIS STRATEX NETWORKS	41457P106	37.0000	Cash/Lieu	06/04/09	0.93	
		9.0000	Sale	06/11/09	59.45	
			Security Subtotal		60.38	
HILL ROM HLDGS	431475102	35.0000	Sale	01/09/09	507.09	
		4.0000	Sale	01/12/09	56.96	
		56.0000	Sale	05/20/09	892.29	
			Security Subtotal		1,456.34	
HANSEN NATURAL CORP	411310105	25.0000	Sale	10/16/09	929.73	
HARRIS CORP DEL	413875105	37.0000	Sale	12/04/09	1,701.96	
HEWLETT PACKARD CO DEL	428236103	7.0000	Sale	02/24/09	207.44	
		15.0000	Sale	05/08/09	509.37	
		38.0000	Sale	11/13/09	1,888.17	
			Security Subtotal		2,604.98	
INVESTM TECH GRP INC NEW	46145F105	15.0000	Sale	05/15/09	302.15	
		14.0000	Sale	05/18/09	287.18	
			Security Subtotal		589.33	
ISHARES S&P MIDCAP 400/ BARRA VALUE INDEX FUND	464287705	125.0000	Sale	11/23/09	7,910.70	
ISHARES S&P SMALLCAP 600 VALUE INDEX FUND	464287879	125.0000	Sale	11/23/09	7,006.07	



HON CAROLYN MCCARTHY

2009 TAX REPORTING STATEMENT

2009 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

(OMB NO. 1545-0715)

Security Description	Cusip Number	Quantity	Transaction Description	Trade Date	Amount	Remarks
INTEL CORP	458140100	63.0000	Sale	02/20/09	804.47	
		10.0000	Sale	02/24/09	126.67	
		47.0000	Sale	02/25/09	600.76	
		142.0000	Sale	06/29/09	2,323.44	
			<u>Security Subtotal</u>		<u>3,855.34</u>	
INGERSOLL RAND CO LTD A	G4776G101	9.0000	Sale	04/16/09	145.32	
		42.0000	Sale	06/29/09	886.17	
			<u>Security Subtotal</u>		<u>1,031.49</u>	
INTL BUSINESS MACHINES CORP IBM	459200101	32.0000	Sale	08/20/09	3,800.86	
IHS INC	451734107	13.0000	Sale	06/29/09	648.03	
ING GP NV SPSD ADR	456837103	15.0000	Sale	03/11/09	56.99	
		20.0000	Sale	03/12/09	82.19	
		48.0000	Sale	03/13/09	200.94	
			<u>Security Subtotal</u>		<u>340.12</u>	
JUNIPER NETWORKS INC	48203R104	36.0000	Sale	03/27/09	564.68	
		70.0000	Sale	04/07/09	1,114.50	
			<u>Security Subtotal</u>		<u>1,679.18</u>	
JPMORGAN CHASE & CO	46625H100	118.0000	Sale	11/13/09	5,004.31	
JOHNSON AND JOHNSON COM	478160104	23.0000	Sale	02/27/09	1,162.76	
		30.0000	Sale	06/29/09	1,700.65	
			<u>Security Subtotal</u>		<u>2,863.41</u>	
KOHL'S CORP WISC PV 1CT	500255104	11.0000	Sale	03/18/09	433.08	
		30.0000	Sale	05/01/09	1,295.60	
			<u>Security Subtotal</u>		<u>1,728.68</u>	
LOCKHEED MARTIN CORP	539630109	5.0000	Sale	02/06/09	395.63	

HON CAROLYN MCCARTHY

2009 TAX REPORTING STATEMENT
2009 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

(OMB NO. 1545-0715)

Security Description	Cusip Number	Quantity	Transaction Description	Trade Date	Amount	Remarks
LAN AIRLINES S A SPNRD ADR	501723100	30.0000	Sale	11/13/09	448.49	
LAFARGE ADR NEW	505861401	25.0000	Sale	01/15/09	338.15	
LINCOLN NTL CORP IND NPV	534187109	17.0000	Sale	03/04/09	111.46	
		193.0000	Sale	05/06/09	2,654.30	
<i>Security Subtotal</i>					2,765.76	
LOWE'S COMPANIES INC	548661107	57.0000	Sale	07/17/09	1,165.05	
METLIFE INC COM	59156R108	58.0000	Sale	06/29/09	1,742.85	
MARVELL TECHNOLOGY GROUP	G5876H105	74.0000	Sale	06/29/09	865.03	
MONSANTO CO NEW DEL COM	61166W101	18.0000	Sale	06/29/09	1,367.78	
MAGNA INTL INC CL A VTG	559222401	12.0000	Sale	05/15/09	377.15	
MATTEL INC COM	577081102	118.0000	Sale	08/20/09	2,086.51	
MCDONALDS CORP COM	580135101	21.0000	Sale	02/04/09	1,221.17	
MEDTRONIC INC COM	585055106	40.0000	Sale	06/29/09	1,387.56	
MILLIPORE CORP	601073109	12.0000	Sale	06/29/09	850.41	
NISSAN MTR LTD SPN ADR	654744408	39.0000	Sale	01/20/09	275.23	
		42.0000	Sale	01/28/09	267.57	
<i>Security Subtotal</i>					542.80	
NATIONAL-OILWELL VARCO INC	637071101	35.0000	Sale	08/20/09	1,324.02	
NEXEN INC CANADA COM	65334H102	36.0000	Sale	06/29/09	785.13	
NOBLE CORP NAMEN-AKT	H5833N103	33.0000	Sale	06/29/09	1,030.23	





HON CAROLYN MCCARTHY

2009 TAX REPORTING STATEMENT

2009 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

(OMB NO. 1545-0715)

1099-B Security Description	Cusip Number	Quantity	Transaction Description	Trade Date	Amount	Remarks
NORFOLK SOUTHERN CORP	655844108	42.0000	Sale	06/29/09	1,600.99	
NORTHN TRUST CORP	665859104	5.0000	Sale	03/24/09	317.66	
		22.0000	Sale	05/06/09	1,182.89	
				Security Subtotal	1,500.55	
ON SEMICONDUCTOR CRP COM	682189105	143.0000	Sale	02/26/09	519.25	
		125.0000	Sale	06/29/09	856.55	
				Security Subtotal	1,375.80	
OCCIDENTAL PETE CORP CAL	674599105	20.0000	Sale	03/24/09	1,179.79	
		12.0000	Sale	03/27/09	697.16	
		5.0000	Sale	06/17/09	313.44	
		25.0000	Sale	06/29/09	1,655.20	
				Security Subtotal	3,845.59	
OMNICARE INC	681904108	22.0000	Sale	01/16/09	618.29	
		22.0000	Sale	02/26/09	506.07	
				Security Subtotal	1,124.36	
ORACLE CORP \$0.01 DEL	68389X105	105.0000	Sale	06/29/09	2,275.57	
PEARSON SPONSORED ADR	705015105	100.0000	Sale	08/20/09	1,198.98	
		18.0000	Sale	08/20/09	215.86	
				Security Subtotal	1,414.84	
PRICELINE COM INC	741503403	6.0000	Sale	05/12/09	653.91	
		3.0000	Sale	06/29/09	343.52	
				Security Subtotal	997.43	
PETROLEO BRAS VTG SPD ADR	71654V408	75.0000	Sale	06/29/09	3,099.67	
POSCO SPN ADR	693483109	9.0000	Sale	06/29/09	750.49	
PLAINS EXPL AND PRODCN	726505100	34.0000	Sale	03/31/09	594.72	

HON CAROLYN MCCARTHY

2009 TAX REPORTING STATEMENT
2009 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

(OMB NO. 1545-0715)

Security Description	Cusip Number	Quantity	Transaction Description	Trade Date	Amount	Remarks
PNC FINCL SERVICES GROUP	693475105	20.0000	Sale	03/09/09	392.51	
		46.0000	Sale	03/11/09	1,148.72	
		53.0000	Sale	06/29/09	2,093.44	
			Security Subtotal		3,634.67	
PHILIP MORRIS INTL INC	718172109	20.0000	Sale	05/19/09	841.36	
PETRO CANADA	71644E102	25.0000	Sale	05/14/09	914.89	
		20.0000	Sale	06/29/09	778.37	
			Security Subtotal		1,693.26	
PRAXAIR INC	74005P104	17.0000	Sale	06/29/09	1,229.91	
PROCTER & GAMBLE CO	742718109	25.0000	Sale	03/24/09	1,183.48	
		25.0000	Sale	07/17/09	1,389.71	
			Security Subtotal		2,573.19	
QUEST DIAGNOSTICS INC	74834L100	15.0000	Sale	06/29/09	840.72	
QUALCOMM INC	747525103	5.0000	Sale	05/08/09	207.63	
RENAISSANCE HLDGS LTD	G7496G103	25.0000	Sale	08/20/09	1,310.72	
REPUBLIC SERVICES INC	760759100	28.0000	Sale	04/01/09	475.77	
RAYTHEON CO DELAWARE NEW	755111507	10.0000	Sale	02/09/09	480.65	
		15.0000	Sale	03/13/09	508.11	
		12.0000	Sale	03/18/09	411.81	
			Security Subtotal		1,400.57	
RED HAT INC	756577102	10.0000	Sale	06/03/09	197.46	
		10.0000	Sale	06/04/09	199.32	
		41.0000	Sale	06/29/09	835.55	
			Security Subtotal		1,232.33	
ROPER INDUSTRIES INC COM	776696106	17.0000	Sale	06/29/09	771.78	



HON CAROLYN MCCARTHY

2009 TAX REPORTING STATEMENT

2009 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

(OMB NO. 1545-0715)

1099-B Security Description	Cusip Number	Quantity	Transaction Description	Trade Date	Amount	Remarks
ST JUDE MEDICAL INC	790849103	30.0000 25.0000	Sale Sale	05/06/09 05/28/09	1,022.75 937.01	
			Security Subtotal		1,959.76	
SPX CORP COM	784635104	13.0000	Sale	03/23/09	673.26	
SAP AG SHS	803054204	9.0000	Sale	06/29/09	363.14	
SUPERIOR ENERGY SVCS INC	868157108	39.0000	Sale	03/26/09	653.25	
SCHLUMBERGER LTD	806857108	15.0000 29.0000	Sale Sale	05/06/09 06/29/09	841.44 1,581.03	
			Security Subtotal		2,422.47	
SUNOCO INC PVS1 PA	86764P109	20.0000	Sale	02/17/09	715.27	
SILICONWARE PRECSN SPADR	827084864	50.0000 25.0000 25.0000 34.0000 58.0000	Sale Sale Sale Sale Sale	06/15/09 06/16/09 06/17/09 06/17/09 06/29/09	312.02 155.00 202.71 354.95	
			Security Subtotal		1,024.68	
SALESFORCE COM INC	79466L302	20.0000	Sale	06/29/09	777.18	
SAIC INC	78390X101	37.0000	Sale	03/12/09	640.01	
SONY CORP ADR NEW	835693307	3.0000 7.0000	Sale Sale	04/09/09 04/16/09	77.82 174.72	
			Security Subtotal		252.54	
SOUTHWESTERN ENERGY CO	845467109	4.0000 20.0000	Sale Sale	05/20/09 06/29/09	164.23 784.57	
			Security Subtotal		948.80	
STAPLES INC	855030102	25.0000	Sale	04/29/09	518.08	
TJX COS INC NEW	872540109	39.0000	Sale	06/29/09	1,223.78	

HON CAROLYN MCCARTHY

2009 TAX REPORTING STATEMENT

2009 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

(OMB NO. 1545-0715)

Security Description	Cusip Number	Quantity	Transaction Description	Trade Date	Amount	Remarks
TENARIS S A ADR	88031M109	26.0000	Sale	06/29/09	713.42	
TERRA INDUSTRIES INC COM	880915103	11.0000	Sale	01/16/09	223.75	
		25.0000	Sale	03/23/09	682.99	
		27.0000	Sale	05/08/09	689.50	
Security Subtotal					1,596.24	
TEVA PHARMACTCL INDS ADR	881624209	11.0000	Sale	06/29/09	539.20	
TELENORTE LES PART SPADR	879246106	57.0000	Sale	10/16/09	1,106.34	
TEEKAY CORP	Y8564W103	10.0000	Sale	11/23/09	250.89	
TARGET CORP COM	87612E106	10.0000	Sale	04/15/09	379.36	
		10.0000	Sale	05/13/09	405.24	
		29.0000	Sale	05/29/09	1,128.82	
Security Subtotal					1,913.42	
TURKCELL ILETISIM ADR	900111204	27.0000	Sale	06/29/09	375.29	
3M COMPANY	88579Y101	5.0000	Sale	02/09/09	270.28	
		20.0000	Sale	02/10/09	1,065.81	
		28.0000	Sale	07/17/09	1,759.47	
Security Subtotal					3,095.56	
TRAVELERS COS INC	88417E109	42.0000	Sale	08/20/09	2,003.35	
TIME WARNER INC SHS	887317303	55.0000	Sale	06/29/09	1,396.96	
TORONTO DOMINION BANK	881160509	17.0000	Sale	06/29/09	895.87	
URBAN OUTFITTERS INC	917047102	10.0000	Sale	01/07/09	139.90	
		18.0000	Sale	01/08/09	265.46	
Security Subtotal					405.36	
UNITED PARCEL SVC CL B	911312106	34.0000	Sale	07/17/09	1,774.75	





Merrill Lynch

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HON CAROLYN MCCARTHY

2009 TAX REPORTING STATEMENT

2009 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

(OMB NO. 1545-0715)

1099-B Security Description	Cusip Number	Quantity	Transaction Description	Trade Date	Amount	Remarks
UNITED UTILS GROUP ADR GBP PAR ORDINARY	91311E102	14.0000	Sale	11/23/09	221.47	
UNION PACIFIC CORP	907818108	25.0000	Sale	06/29/09	1,313.21	
UNITED TECHS CORP COM	913017109	30.0000	Sale	07/17/09	1,613.96	
V F CORPORATION	916204108	33.0000	Sale	08/20/09	2,188.50	
VOIRNTM CEL PN SPSP ADR	92906P106	10.0000 17.0000 5.0000 25.0000	Sale Sale Sale Sale	03/24/09 03/25/09 04/03/09 04/08/09	49.73 85.37 28.22 154.80	
				Security Subtotal	378.12	
VERIZON COMMUNICATNS COM	92343V104	38.0000 60.0000	Sale Sale	06/01/09 06/29/09	1,115.42 1,879.15	
				Security Subtotal	2,994.57	
VOLVO AKTIEBOLAGET ADR	928856400	91.0000 61.0000	Sale Sale	02/17/09 02/19/09	451.40 283.13	
				Security Subtotal	734.53	
XTO ENERGY INC	98385X106	30.0000	Sale	10/16/09	1,328.07	
WAL-MART STORES INC	931142103	10.0000	Sale	04/09/09	504.31	
WALGREEN CO	931422109	60.0000	Sale	08/20/09	1,844.95	
WHIRLPOOL CORP	963320106	13.0000 22.0000	Sale Sale	04/08/09 04/17/09	407.27 809.23	
				Security Subtotal	1,216.50	
XEROX CORP	984121103	226.0000	Sale	11/23/09	1,789.98	

HON CAROLYN MCCARTHY

2009 TAX REPORTING STATEMENT

2009 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

(OMB NO. 1545-0715)

Security Description	Cusip Number	Quantity	Transaction Description	Trade Date	Amount	Remarks
YUM BRANDS INC	988498101	4.0000	Sale	02/19/09	116.28	
		25.0000	Sale	08/20/09	877.73	
			Security Subtotal		994.01	
YAMANA GOLD INC	98462Y100	46.0000	Sale	06/29/09	421.80	
WELLS FARGO & CO NEW DEL	949746101	29.0000	Sale	03/09/09	277.10	
		71.0000	Sale	03/12/09	925.17	
			Security Subtotal		1,202.27	
WYETH	983024100	11.0000	Sale	01/12/09	407.33	
		27.0000	Sale	02/19/09	1,157.55	
		17.0000	Sale	02/23/09	714.48	
		37.0000	Sale	02/25/09	1,537.92	
			Security Subtotal		3,817.28	

TOTAL GROSS PROCEEDS ACTIVITY

298,244.41

TOTAL GROSS PROCEEDS (LINE 2 1099-B)

298,244.41

TOTAL FEDERAL INCOME TAX WITHHELD (LINE 4 1099-B)

(0.00)

* Gross Proceeds and above transaction details from each of your 2009 securities trades are individually reported to the IRS (less commissions).

IMPORTANT TAX INFORMATION

This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanctions may be imposed on you if this income is taxable and the IRS determines that it has not been reported.



Carolyn McCarthy Sales From 01/01/2009 to 12/31/2009

Trade Date	Settle Date	Description	Symbol	Quantity	Price	Amount
		Sale				
11/23/2009	11/27/2009	PROCTER & GAMBLE	PG	-136	62.7927	8,484.24
		Sale				
11/18/2009	11/23/2009	SPDR KBW BANK ETF	KBE	-110	22.1026	2,375.88

Carolyn McCarthy Purchases 01/01/2009 to 12/31/2009

Trade Date	Settle Date	Description	Symbol	Quantity	Price	Amount
		Purchase				
11/23/2009	11/27/2009	ISHARES IBOXX \$ INVT GRADE CORP BD FUND	LQD	20	105.74	2,114.80
		POWERSHARES GLOBAL EXCHANGE				
11/23/2009	11/27/2009	TRADED FD TR	PZT	215	22.93	4,929.95
		Purchase				
11/23/2009	11/27/2009	VANGUARD TOTAL BOND MKT	BND	30	79.77	2,393.10
		Purchase				
11/23/2009	11/27/2009	VANGUARD TOTAL BOND MKT	BND	20	79.78	1,595.60
		ISHARES IBOXX\$ HIGH YIEL CORPORATE BOND				
11/23/2009	11/27/2009	FUND	HYG	115	86.3048	9,925.05
		ISHARES S&P NATIONAL AMT-FREE MUNICIPAL				
11/23/2009	11/27/2009	BOND	MUB	98	102.62	10,056.76
		ISHARES BARCLAYS AGGRGT AGGREGATE				
11/17/2009	11/20/2009	BD FUND	AGG	100	105.0291	10,502.91
		ISHARES BARCLAYS TIPS BO PROTECTED				
11/17/2009	11/20/2009	SECS FD	TIP	100	105.2391	10,523.91
		Purchase				
11/17/2009	11/20/2009	VANGUARD TOTAL BOND MKT	BND	100	79.64	7,964.00
		POWERSHARES WATER RESOURCES				
11/5/2009	11/10/2009	PORTFOLIO	PHO	150	16.2148	2,432.22
		Purchase				
10/16/2009	10/21/2009	ISHARES IBOXX \$ INVT GRADE CORP BD FUND	LQD	85	103.88	8,829.80
		Purchase				
9/14/2009	9/17/2009	ISHARES MSCI ITALY INDEX FUND	EWI	100	19.9664	1,996.64
		Purchase				
8/20/2009	8/25/2009	VANGUARD SMALL CAP VALUE ETF	VBR	100	49.58	4,958.00
		Purchase				
8/20/2009	8/25/2009	VANGUARD MID-CAP VAL IDX	VOE	125	39.2522	4,906.53
		Purchase				
8/20/2009	8/25/2009	VANGUARD MEGA CAP 300 VALUE	MGV	150	32.6548	4,898.22
		ISHARES D JONES US BASIC MATERIALS				
8/20/2009	8/25/2009	SECTOR INDEX F	IYM	100	50.48	5,048.00

Carolyn McCarthy Purchases 01/01/2009 to 12/31/2009

Trade Date	Settle Date	Description	Symbol	Quantity	Price	Amount
8/20/2009	8/25/2009	Purchase SECTOR SPDR ENERGY	XLE	100	50.8174	5,081.74
7/17/2009	7/22/2009	Purchase ISHARES MSCI SOUTH AFRCA INDEX FUND	EZA	55	48.33	2,658.15
7/17/2009	7/22/2009	ISHARES FTSE XINHUA HK CHINA 25 INDEX FUND	FXI	45	39.41	1,773.45
7/17/2009	7/22/2009	Purchase MARKET VECTORS GLOBAL ALT	GEX	100	23.7214	2,372.14
7/17/2009	7/22/2009	Purchase SPDR KBW REGNL BKNG ETF	KBE	40	18.39	735.6
7/17/2009	7/22/2009	Purchase REVENUESHARES LARGE CAP	RWL	50	16.88	844
7/17/2009	7/22/2009	ISHARES TR DOW JONES US TECHNOLOGY SECTOR	IYW	55	46.99	2,584.45
7/17/2009	7/22/2009	Purchase ISHARES MSCI BRAZIL FREE INDEX FUND	EWZ	60	54.08	3,244.80
6/29/2009	7/2/2009	Purchase ISHARES MSCI SPAIN INDEX FUND	EWP	50	40.39	2,019.50
6/29/2009	7/2/2009	Purchase ISHARES MSCI FRANCE INDX FUND	EWQ	100	20.777	2,077.70
6/29/2009	7/2/2009	Purchase ISHARES S&P 500 VALUE INDEX FUND	IVE	340	43.9991	14,959.69
6/29/2009	7/2/2009	Purchase POWERSHARES DYN OIL AND GAS SERVICES	PXJ	150	13.8222	2,073.33
6/29/2009	7/2/2009	Purchase ISHARES MSCI SOUTH AFRCA INDEX FUND	EZA	45	46.72	2,102.40
6/29/2009	7/2/2009	ISHARES BARCLAYS TIPS BO PROTECTED SECS FD	TIP	20	101.22	2,024.40
6/29/2009	7/2/2009	ISHARES FTSE XINHUA HK CHINA 25 INDEX FUND	FXI	55	38.79	2,133.45
6/29/2009	7/2/2009	Purchase MARKET VECTORS RUSSIA	RSX	100	20.92	2,092.00

Carolyn McCarthy Purchases 01/01/2009 to 12/31/2009

Trade Date	Settle Date	Description	Symbol	Quantity	Price	Amount
		Purchase				
		SPDR KBW REGNL				
6/29/2009	7/2/2009	BKNG ETF	KBE	110	18.2691	2,009.60
		Purchase				
		SPDR DJ WILSHRE REIT				
6/29/2009	7/2/2009	ETF	RWR	125	33.4782	4,184.78
		Purchase				
		REVENUESHARES MID				
6/29/2009	7/2/2009	CAP ET	RWK	260	19	4,940.00
		Purchase				
		REVENUESHARES				
6/29/2009	7/2/2009	SMALL CAP	RWJ	240	20.14	4,833.60
		Purchase				
		REVENUESHARES				
6/29/2009	7/2/2009	LARGE CAP	RWL	280	16.77	4,695.60
		ISHARES TR DOW				
		JONES US				
6/29/2009	7/2/2009	TECHNOLOGY SECTOR	IYW	45	44.74	2,013.30
		ISHARES D JONES US				
		BASIC MATERIALS				
6/29/2009	7/2/2009	SECTOR INDEX F	IYM	45	44.11	1,984.95
		Purchase				
		ISHARES MSCI BRAZIL				
6/29/2009	7/2/2009	FREE INDEX FUND	EWZ	40	53.75	2,150.00
		ISHARES S&P MIDCAP				
		400/ BARRA VALUE				
6/29/2009	7/2/2009	INDEX FUND	IJJ	285	52.3697	14,925.36
		ISHARES S&P				
		SMALLCAP 600 VALUE				
6/29/2009	7/2/2009	INDEX FUND	IJS	210	47.26	9,924.60
		ISHARES S&P				
		SMALLCAP 600 VALUE				
6/29/2009	7/2/2009	INDEX FUND	IJS	100	47.2582	4,725.82
		Purchase				
		SECTOR SPDR				
6/29/2009	7/2/2009	CONSMRS STPL	XLP	90	23.19	2,087.10
		Purchase				
		LAN AIRLINES S A				
6/19/2009	6/24/2009	SPNRD ADR	LFL	20	12.5014	250.03
		Purchase				
		LAN AIRLINES S A				
6/18/2009	6/23/2009	SPNRD ADR	LFL	5	12.3034	61.52
		Purchase				
6/17/2009	6/22/2009	AUST NW Z B G ADR	ANZBY	15	13.237	198.56
		Purchase				
		LAN AIRLINES S A				
6/17/2009	6/22/2009	SPNRD ADR	LFL	5	12.1196	60.6

Carolyn McCarthy Purchases 01/01/2009 to 12/31/2009

Trade Date	Settle Date	Description	Symbol	Quantity	Price	Amount
		Purchase				
6/17/2009	6/22/2009	MICROSOFT CORP	MSFT	20	23.4451	468.9
		Purchase				
6/17/2009	6/22/2009	PEARSON SPONSORED ADR	PSO	12	10.0531	120.64
		Purchase				
6/16/2009	6/19/2009	AUST NW Z B G ADR	ANZBY	10	13.4662	134.66
		Purchase				
6/15/2009	6/18/2009	AUST NW Z B G ADR	ANZBY	5	13.9637	69.82
		Purchase				
6/12/2009	6/17/2009	HANSEN NATURAL CORP	HANS	3	31.8453	95.54
		Purchase				
6/10/2009	6/15/2009	JPMORGAN CHASE & CO	JPM	15	35.4543	531.81
		Purchase				
6/5/2009	6/10/2009	HANSEN NATURAL CORP	HANS	22	32.7699	720.94
		Purchase				
6/1/2009	6/4/2009	CALPINE CORP	CPN	57	14.4645	824.48
		Purchase				
6/1/2009	6/4/2009	HESS CORP	HES	20	69.4476	1,388.95
		Purchase				
5/29/2009	6/3/2009	BROADCOM CORP CALIF CL A	BRCM	50	25.87	1,293.50
		Purchase				
5/29/2009	6/3/2009	CME GROUP INC	CME	4	314.2464	1,256.99
		Purchase				
5/29/2009	6/3/2009	SALESFORCE COM INC	CRM	20	37.4717	749.43
		Purchase				
5/28/2009	6/2/2009	BECTON DICKINSON CO	BDX	4	67.1324	268.53
		Purchase				
5/27/2009	6/1/2009	BECTON DICKINSON CO	BDX	20	67.2221	1,344.44
		Purchase				
5/26/2009	5/29/2009	ON SEMICONDUCTOR CRP COM	ONNN	125	6.4094	801.18
		Purchase				
5/22/2009	5/28/2009	DISH NETWORK CORPATION CLASS A	DISH	15	17.0305	255.46
		Purchase				
5/22/2009	5/28/2009	MILLIPORE CORP	MIL	4	63.3606	253.44
		Purchase				
5/20/2009	5/26/2009	DISH NETWORK CORPATION CLASS A	DISH	31	17.7688	550.83
		Purchase				
5/21/2009	5/26/2009	MILLIPORE CORP	MIL	8	66.098	528.78

Carolyn McCarthy Purchases 01/01/2009 to 12/31/2009

Trade Date	Settle Date	Description	Symbol	Quantity	Price	Amount
		Purchase				
5/20/2009	5/26/2009	PEARSON SPONSORED ADR	PSO	24	11.1017	266.44
		Purchase				
5/20/2009	5/22/2009	PEARSON SPONSORED ADR	PSO	10	11.012	110.12
		Purchase				
5/19/2009	5/22/2009	PROCTER & GAMBLE CO	PG	25	53.1179	1,327.95
		Purchase				
5/18/2009	5/21/2009	AFFILIATED MANAGERS GRP	AMG	14	56.4882	790.83
		Purchase				
5/18/2009	5/21/2009	PEARSON SPONSORED ADR	PSO	5	10.6923	53.46
		Purchase				
5/15/2009	5/20/2009	ALTRIA GROUP INC	MO	33	17.1219	565.02
		Purchase				
5/15/2009	5/20/2009	PEARSON SPONSORED ADR	PSO	35	10.6283	371.99
		Purchase				
5/14/2009	5/19/2009	COVIDIEN LTD	COV	15	35.9511	539.27
		Purchase				
5/14/2009	5/19/2009	GLAXOSMITHKLINE PLC ADR	GSK	14	32.2605	451.65
		Purchase				
5/14/2009	5/19/2009	ROYAL DUTCH SHELL PLC SPONS ADR A	RDSA	22	49.3736	1,086.22
		Purchase				
5/13/2009	5/18/2009	COVIDIEN LTD	COV	20	35.3133	706.27
		Purchase				
5/12/2009	5/15/2009	DOLBY LABORATORIES INC CL A	DLB	20	38.7135	774.27
		Purchase				
5/12/2009	5/15/2009	HOSPIRA INC	HSP	17	33.8719	575.82
		Purchase				
5/11/2009	5/14/2009	HOSPIRA INC	HSP	5	33.7861	168.93
		Purchase				
5/8/2009	5/13/2009	BAXTER INTERNTL INC	BAX	5	50.6433	253.22
		Purchase				
5/6/2009	5/11/2009	ACCENTURE LTD CL A	ACN	40	30.2227	1,208.91
		Purchase				
5/6/2009	5/11/2009	DEERE CO	DE	30	46.6823	1,400.47
		Purchase				
5/4/2009	5/7/2009	JOHNSON AND JOHNSON COM	JNJ	30	53.5665	1,607.00

Carolyn McCarthy Purchases 01/01/2009 to 12/31/2009

Trade Date	Settle Date	Description	Symbol	Quantity	Price	Amount
		Purchase				
5/4/2009	5/7/2009	METLIFE INC COM	MET	58	27.6027	1,600.96
		Purchase				
5/1/2009	5/6/2009	ADOBE SYS DEL PV\$ 0.001	ADBE	35	27.2287	953
		Purchase				
5/1/2009	5/6/2009	TIME WARNER INC SHS	TWX	55	22.5174	1,238.46
		Purchase				
4/30/2009	5/5/2009	ADOBE SYS DEL PV\$ 0.001	ADBE	10	27.3389	273.39
		Purchase				
4/30/2009	5/5/2009	CUMMINS INC COM	CMI	35	34.1653	1,195.79
		Purchase				
4/29/2009	5/4/2009	WALGREEN CO	WAG	10	31.4957	314.96
		Purchase				
4/24/2009	4/29/2009	BANCO B VIZ ARG T SA ADR	BBV	17	10.7133	182.13
		Purchase				
4/23/2009	4/28/2009	BANCO B VIZ ARG T SA ADR	BBV	15	10.3409	155.11
		Purchase				
4/23/2009	4/28/2009	TURKCELL ILETISIM ADR	TKC	13	12.037	156.48
		Purchase				
4/21/2009	4/24/2009	BANCO B VIZ ARG T SA ADR	BBV	32	10.0711	322.28
		Purchase				
4/21/2009	4/24/2009	TURKCELL ILETISIM ADR	TKC	9	12.1106	109
		Purchase				
4/20/2009	4/23/2009	TURKCELL ILETISIM ADR	TKC	5	12.0351	60.18
		Purchase				
4/20/2009	4/23/2009	VALERO ENERGY CORP NEW	VLO	35	20.117	704.1
		Purchase				
4/20/2009	4/23/2009	WALGREEN CO	WAG	50	29.9833	1,499.17
		Purchase				
4/20/2009	4/23/2009	YAMANA GOLD INC	AUY	24	7.7677	186.42
		Purchase				
4/17/2009	4/22/2009	COMPASS GROUP PLC ADR	CMPGY	39	5.0377	196.47
		Purchase				
4/17/2009	4/22/2009	YAMANA GOLD INC	AUY	22	7.5001	165

Carolyn McCarthy Purchases 01/01/2009 to 12/31/2009

Trade Date	Settle Date	Description	Symbol	Quantity	Price	Amount
		Purchase				
		COMPASS GROUP PLC				
4/16/2009	4/21/2009	ADR	CMPGY	25	5.21	130.25
		Purchase				
		VALERO ENERGY CORP				
4/16/2009	4/21/2009	NEW	VLO	37	21.3171	788.73
		Purchase				
		INTL BUSINESS				
4/15/2009	4/20/2009	MACHINES CORP IBM	IBM	5	97.9193	489.6
		Purchase				
		COMPASS GROUP PLC				
4/14/2009	4/17/2009	ADR	CMPGY	64	5.0476	323.05
		Purchase				
		MARVELL TECHNOLOGY				
4/14/2009	4/17/2009	GROUP	MRVL	51	10.5995	540.57
		Purchase				
		NATIONAL-OILWELL				
4/14/2009	4/17/2009	VARCO INC	NOV	25	34.8227	870.57
		Purchase				
		E M C CORPORATION				
4/13/2009	4/16/2009	MASS	EMC	95	13.0024	1,235.23
		Purchase				
		MARVELL TECHNOLOGY				
4/13/2009	4/16/2009	GROUP	MRVL	23	10.1701	233.91
		Purchase				
		NATIONAL-OILWELL				
4/13/2009	4/16/2009	VARCO INC	NOV	10	33.9927	339.93
		Purchase				
		COMPASS GROUP PLC				
4/9/2009	4/15/2009	ADR	CMPGY	5	4.8515	24.26
		Purchase				
		CISCO SYSTEMS INC				
4/9/2009	4/15/2009	COM	CSCO	27	17.8693	482.47
		Purchase				
		STAPLES INC				
4/9/2009	4/15/2009		SPLS	18	21.0743	379.34
		Purchase				
		GILEAD SCIENCES INC				
4/7/2009	4/13/2009	COM	GILD	10	47.5146	475.15
		Purchase				
		INTL BUSINESS				
4/7/2009	4/13/2009	MACHINES CORP IBM	IBM	7	98.9315	692.52
		Purchase				
		ACCENTURE LTD CL A	ACN	7	28.2283	197.6
		Purchase				
		UNION PACIFIC CORP	UNP	25	45.9412	1,148.53
		Subscription				
		HSBC HLDG PLC SP ADR				
	4/8/2009	PAY DATE 04/08/2009	HBC	9		166.38

Carolyn McCarthy Purchases 01/01/2009 to 12/31/2009

Trade Date	Settle Date	Description	Symbol	Quantity	Price	Amount
4/2/2009	4/7/2009	Purchase FMC CORP COM NEW	FMC	16	47.7388	763.82
4/2/2009	4/7/2009	Purchase GOLDMAN SACHS GROUP INC	GS	4	114.14	456.56
4/2/2009	4/7/2009	Purchase INVESTM TECH GRP INC NEW	ITG	9	27.6402	248.76
4/7/2009	4/7/2009	Purchase PANERA BREAD CO CL A	PNRA	13	55.4752	721.18
4/7/2009	4/7/2009	Trade Correctn PANERA BREAD CO CL A TRADE OF 4/01 IS CXL	PNRA	-13	55.4752	-721.18
4/7/2009	4/7/2009	Trade Correctn PANERA BREAD CO CL A TRADE OF 4/01 IS CXL	PNRA	-13	55.4752	-721.18
4/2/2009	4/7/2009	Purchase UNITED PARCEL SVC CL B	UPS	10	53.3052	533.05
4/1/2009	4/6/2009	Purchase INVESTM TECH GRP INC NEW	ITG	20	25.9772	519.54
4/1/2009	4/6/2009	Purchase PANERA BREAD CO CL A	PNRA	13	55.4752	721.18
4/1/2009	4/6/2009	Purchase PANERA BREAD CO CL A	PNRA	13	55.4752	721.18
3/31/2009	4/3/2009	Purchase POSCO SPN ADR	PKX	5	66.8343	334.17
3/30/2009	4/2/2009	Purchase COVIDIEN LTD	COV	13	33.4923	435.4
3/27/2009	4/1/2009	Purchase CHURCH&DWIGHT CO INC	CHD	3	51.6584	154.98
3/27/2009	4/1/2009	Purchase NORFOLK SOUTHERN CORP	NSC	20	34.9065	698.13
3/27/2009	4/1/2009	Purchase SOUTHWESTERN ENERGY CO	SWN	24	31.9301	766.32
3/27/2009	4/1/2009	Purchase UNITED PARCEL SVC CL B	UPS	24	50.0742	1,201.78
3/26/2009	3/31/2009	Purchase TARGET CORP COM PER	TGT	10	34.0453	340.45

Carolyn McCarthy Purchases 01/01/2009 to 12/31/2009

Trade Date	Settle Date	Description	Symbol	Quantity	Price	Amount
		Purchase				
3/24/2009	3/27/2009	ACCENTURE LTD CL A	ACN	5	31.2441	156.22
		Purchase				
3/24/2009	3/27/2009	ANNALY CAP MGMT INC	NLY	62	14.6175	906.29
		Purchase				
		JPMORGAN CHASE &				
3/24/2009	3/27/2009	CO	JPM	40	28.8811	1,155.24
		Purchase				
		NORFOLK SOUTHERN				
3/24/2009	3/27/2009	CORP	NSC	22	33.9339	746.55
		Purchase				
		C.H. ROBINSON				
3/23/2009	3/26/2009	WORLDWIDE, INC. NEW	CHRW	16	45.4961	727.94
		Purchase				
		TORONTO DOMINION				
3/20/2009	3/25/2009	BANK	TD	8	33.7578	270.06
		Purchase				
3/18/2009	3/23/2009	ANNALY CAP MGMT INC	NLY	12	14.5908	175.09
		Purchase				
3/18/2009	3/23/2009	POSCO SPN ADR	PKX	4	64.0504	256.2
		Purchase				
		ROPER INDUSTRIES INC				
3/18/2009	3/23/2009	COM	ROP	12	43.396	520.75
		Purchase				
3/18/2009	3/23/2009	TARGET CORP COM	TGT	39	30.7924	1,200.90
		Purchase				
3/17/2009	3/20/2009	EDISON INTL CALIF	EIX	22	28.1691	619.72
		Purchase				
		ROPER INDUSTRIES INC				
3/17/2009	3/20/2009	COM	ROP	5	42.2972	211.49
		Purchase				
		GEN-PROBE INC				
3/16/2009	3/19/2009	DELAWARE	GPRO	16	45.1154	721.85
		Purchase				
3/16/2009	3/19/2009	PRAXAIR INC	PX	17	64.2843	1,092.83
		Purchase				
		GOLDMAN SACHS				
3/13/2009	3/18/2009	GROUP INC	GS	11	99.0411	1,089.45
		Purchase				
		AMPHENOL CORP CL A				
3/12/2009	3/17/2009	NEW	APH	12	26.0378	312.45
		Purchase				
		FTI CONSULTING INC				
3/12/2009	3/17/2009	COM	FCN	14	48.1657	674.32
		Purchase				
3/12/2009	3/17/2009	PRICELINE COM INC	PCLN	9	78.2829	704.55

Carolyn McCarthy Purchases 01/01/2009 to 12/31/2009

Trade Date	Settle Date	Description	Symbol	Quantity	Price	Amount
		Purchase				
3/11/2009	3/16/2009	ALTRIA GROUP INC	MO	44	16.4393	723.33
		Purchase				
3/11/2009	3/16/2009	AMPHENOL CORP CL A NEW	APH	15	25.9278	388.92
		Purchase				
3/11/2009	3/16/2009	EDISON INTL CALIF	EIX	15	25.1042	376.56
		Purchase				
3/10/2009	3/13/2009	ALTRIA GROUP INC	MO	20	16.1873	323.75
		Purchase				
3/10/2009	3/13/2009	EDISON INTL CALIF	EIX	10	24.9806	249.81
		Purchase				
3/9/2009	3/12/2009	TORONTO DOMINION BANK	TD	9	26.7981	241.18
		Purchase				
3/5/2009	3/10/2009	AMERICAN TOWER CORP CL A	AMT	25	27.6416	691.04
		Purchase				
3/5/2009	3/10/2009	EMBARQ CORP	EQ	18	33.5048	603.09
		Purchase				
3/4/2009	3/9/2009	CARDINAL HEALTH INC OHIO	CAH	16	30.9531	495.25
		Purchase				
3/3/2009	3/6/2009	CARDINAL HEALTH INC OHIO	CAH	5	30.8163	154.08
		Purchase				
3/2/2009	3/5/2009	CA INC	CA	63	16.9669	1,068.91
		Purchase				
3/2/2009	3/5/2009	VERIZON COMMUNICATNS COM	VZ	5	27.5299	137.65
		Purchase				
2/27/2009	3/4/2009	EMBARQ CORP	EQ	11	35.4332	389.77
		Purchase				
2/27/2009	3/4/2009	VERIZON COMMUNICATNS COM	VZ	10	28.7236	287.24
		Purchase				
2/26/2009	3/3/2009	BRISTOL-MYERS SQUIBB CO	BMJ	71	20.6063	1,463.05
		Purchase				
2/26/2009	3/3/2009	CARDINAL HEALTH INC OHIO	CAH	15	34.4371	516.56
		Purchase				
2/26/2009	3/3/2009	EMBARQ CORP	EQ	10	34.7015	347.02
		Purchase				
2/26/2009	3/3/2009	QUEST DIAGNOSTICS INC	DGX	15	48.659	729.89

Carolyn McCarthy Purchases 01/01/2009 to 12/31/2009

Trade Date	Settle Date	Description	Symbol	Quantity	Price	Amount
		Purchase				
		CARDINAL HEALTH INC				
2/25/2009	3/2/2009	OHIO	CAH	5	35.3769	176.88
		Purchase				
		MEDTRONIC INC COM	MDT	19	34.1918	649.64
		Purchase				
		SUPERVALU INC DEL				
2/25/2009	3/2/2009	COM	SVU	36	18.1792	654.45
		Purchase				
		AXIS CAPITAL				
2/24/2009	2/27/2009	HOLDINGS LTD	AXS	12	23.1979	278.37
		Purchase				
		BMC SOFTWARE INC	BMC	11	29.1969	321.17
		Purchase				
		DRESSER RAND GROUP				
2/23/2009	2/26/2009	INC	DRC	29	18.8901	547.81
		Purchase				
		MEDTRONIC INC COM	MDT	21	34.7119	728.95
		Purchase				
		PNC FINCL SERVICES				
2/23/2009	2/26/2009	GROUP	PNC	36	24.9746	899.09
		Purchase				
		BMC SOFTWARE INC	BMC	14	28.8114	403.36
		Purchase				
		AXIS CAPITAL				
2/19/2009	2/24/2009	HOLDINGS LTD	AXS	11	25.3305	278.64
		Purchase				
		PLAINS EXPL AND				
2/18/2009	2/23/2009	PRODCTN	PXP	34	20.3984	693.55
		Purchase				
		ST JUDE MEDICAL INC	STJ	30	38.2897	1,148.69
		Purchase				
		SUPERVALU INC DEL				
2/18/2009	2/23/2009	COM	SVU	44	17.6625	777.15
		Purchase				
		ALTERA CORP COM	ALTR	48	14.9571	717.94
		Purchase				
		PNC FINCL SERVICES				
2/17/2009	2/20/2009	GROUP	PNC	41	26.5369	1,088.01
		Purchase				
		BHP BILLITON LTD ADR	BHP	25	45.9065	1,147.66
		Purchase				
		HARRIS CORP DEL	HRS	19	44.0672	837.28
		Purchase				
		FREEPRT-MCMRAN CPR				
2/5/2009	2/10/2009	& GLD	FCX	48	27.5645	1,323.10

Carolyn McCarthy Purchases 01/01/2009 to 12/31/2009

Trade Date	Settle Date	Description	Symbol	Quantity	Price	Amount
		Purchase				
2/4/2009	2/9/2009	OCCIDENTAL PETE CORP CAL PER	OXY	6	55.3822	332.29
		Purchase				
2/2/2009	2/5/2009	BOEING COMPANY	BA	19	40.5038	769.57
		Purchase				
1/30/2009	2/4/2009	BOEING COMPANY	BA	7	41.3181	289.23
		Purchase				
1/30/2009	2/4/2009	DUN & BRADSTREET COR-NEW	DNB	11	76.5799	842.38
		Purchase				
1/30/2009	2/4/2009	HARRIS CORP DEL	HRS	18	43.4856	782.74
		Purchase				
1/30/2009	2/4/2009	NEW YORK CMNTY BANCORP	NYB	47	13.5796	638.24
		Purchase				
1/30/2009	2/4/2009	REPUBLIC SERVICES INC	RSG	28	26.4014	739.24
		Purchase				
1/29/2009	2/3/2009	AECOM TECH CORP	ACM	30	26.07	782.1
		Purchase				
1/29/2009	2/3/2009	BROADCOM CORP CALIF CL A	BRCM	15	17.4773	262.16
		Purchase				
1/29/2009	2/3/2009	BLOCK H&R INC	HRB	40	21.2273	849.09
		Purchase				
2/2/2009	2/3/2009	BOEING COMPANY	BA	15	40.7002	610.5
		Purchase				
1/29/2009	2/3/2009	CITRIX SYSTEMS INC COM	CTXS	37	22.0299	815.11
		Purchase				
1/29/2009	2/3/2009	CROWN HLDGS INC	CCK	45	18.96	853.2
		Purchase				
1/29/2009	2/3/2009	CENTERPOINT ENERGY INC	CNP	70	13.6099	952.69
		Purchase				
1/29/2009	2/3/2009	CBS CORP NEW CL B	CBS	78	6.0592	472.62
		Purchase				
1/29/2009	2/3/2009	DIGITAL RLTY TR INC	DLR	32	34.7	1,110.40
		Purchase				
1/29/2009	2/3/2009	R R DONNELLEY SONS	RRD	51	10.42	531.42
		Purchase				
1/29/2009	2/3/2009	DOW CHEMICAL CO	DOW	115	12.5991	1,448.90
		Purchase				
1/29/2009	2/3/2009	GENERAL ELECTRIC	GE	35	12.838	449.33

Carolyn McCarthy Purchases 01/01/2009 to 12/31/2009

Trade Date	Settle Date	Description	Symbol	Quantity	Price	Amount
1/29/2009	2/3/2009	Purchase HILL ROM HLDGS	HRC	56	14.6698	821.51
1/29/2009	2/3/2009	Purchase INTEL CORP	INTC	142	13.4282	1,906.80
1/29/2009	2/3/2009	Purchase JPMORGAN CHASE & CO	JPM	20	26.1773	523.55
1/29/2009	2/3/2009	Purchase LOWE'S COMPANIES INC	LOW	57	19.4786	1,110.28
2/2/2009	2/3/2009	Purchase NEW YORK CMNTY BANCORP	NYB	20	13.2841	265.68
1/29/2009	2/3/2009	Purchase NOBLE CORPORATION	NE	33	27.54	908.82
1/29/2009	2/3/2009	Purchase RED HAT INC	RHT	18	14.8399	267.12
1/29/2009	2/3/2009	Purchase SILICONWARE PRECSN SPADR	SPIL	53	4.2999	227.89
1/29/2009	2/3/2009	Purchase TERRA INDUSTRIES INC COM	TRA	16	20.71	331.36
1/29/2009	2/3/2009	Purchase VOTRNTM CEL PN SPSD ADR	VCP	38	6.3	239.4
1/29/2009	2/3/2009	Purchase WELLS FARGO & CO NEW DEL	WFC	47	19.908	935.68
1/28/2009	2/2/2009	Purchase AETNA INC NEW	AET	19	33.3587	633.82
1/27/2009	1/30/2009	Purchase FRANCE TELECOM ADR PER	FTE	7	24.3037	170.13
1/23/2009	1/28/2009	Purchase DELHAIZE GROUP SPONS ADR	DEG	1	62.2349	62.23
1/23/2009	1/28/2009	Purchase NEW YORK CMNTY BANCORP	NYB	64	12.0907	773.8
1/22/2009	1/27/2009	Purchase FRANCE TELECOM ADR	FTE	6	24.8986	149.39
1/22/2009	1/27/2009	Purchase HSBC HLDG PLC SP ADR	HBC	9	36.6249	329.62

Carolyn McCarthy Purchases 01/01/2009 to 12/31/2009

Trade Date	Settle Date	Description	Symbol	Quantity	Price	Amount
1/21/2009	1/26/2009	Purchase JUNIPER NETWORKS INC	JNPR	70	16.4847	1,153.93
1/20/2009	1/23/2009	Purchase PNC FINCL SERVICES GROUP	PNC	22	27.1673	597.68
1/16/2009	1/22/2009	Purchase APOLLO GROUP INC CL A	APOL	13	87.8784	1,142.42
1/16/2009	1/22/2009	Purchase BECTON DICKINSON CO	BDX	4	72.284	289.14
1/15/2009	1/21/2009	Purchase CEMEX SAB DE CV SPND ADR	CX	40	8.8988	355.95
1/14/2009	1/20/2009	Purchase AETNA INC NEW	AET	12	26.0203	312.24
1/14/2009	1/20/2009	Purchase CEMEX SAB DE CV SPND ADR	CX	25	9.3843	234.61
1/14/2009	1/20/2009	Purchase PHILIP MORRIS INTL INC	PM	11	41.4073	455.48
1/12/2009	1/15/2009	Purchase DIAMOND OFFSHORE DRLNG	DO	7	58.6084	410.26
1/12/2009	1/15/2009	Purchase IHS INC	IHS	13	46.5636	605.33
1/12/2009	1/15/2009	Purchase PNC FINCL SERVICES GROUP	PNC	20	47.8873	957.75
1/9/2009	1/14/2009	Purchase HSBC HLDG PLC SP ADR	HBC	10	48.2155	482.16
1/9/2009	1/14/2009	Purchase RED HAT INC	RHT	43	15.1013	649.36
1/8/2009	1/13/2009	Purchase AETNA INC NEW	AET	10	29.0346	290.35
1/8/2009	1/13/2009	Purchase ALLIANT TECHSYSTEMS INC	ATK	7	84.6802	592.76
1/7/2009	1/12/2009	Purchase AETNA INC NEW PER	AET	30	29.1505	874.52
1/6/2009	1/9/2009	Purchase XTO ENERGY INC	XTO	30	40.9727	1,229.18
1/2/2009	1/7/2009	Purchase SAP AKGSLTT SPONSORD ADR	SAP	5	35.9504	179.75

Carolyn McCarthy Purchases 01/01/2009 to 12/31/2009

Trade Date	Settle Date	Description	Symbol	Quantity	Price	Amount
		Purchase				
		SAP AKGSLTT				
12/31/2008	1/6/2009	SPONSORD ADR PER	SAP	4	36.0747	144.3

Carolyn McCarthy Purchases 01/01/2009 to 12/31/2009

Trade Date	Settle Date	Description	Symbol	Quantity	Price	Amount
		Purchase				
11/24/2009	11/30/2009	ISHARES S&P GROW ALLOCAT	AOR	150	28.8273	4,379.45
		Purchase				
		EATON VANCE TAX MAN GLB				
11/23/2009	11/27/2009	BUY WRT OPP FD	ETW	300	14.0248	4,262.79
		Purchase				
		ISHARES IBOXX\$ HIGH YIEL				
11/18/2009	11/23/2009	CORPORATE BOND FUND	HYG	50	86.21	4,365.85
		Purchase				
		MARKET VECTORS GLOBAL				
3/17/2009	3/20/2009	ALT	GEX	90	16.6	1,561.37
		Purchase				
3/17/2009	3/20/2009	SPDR KBW REGNL BKNG ETF	KBE	110	12.83	1,478.56

Carolyn McCarthy Purchases 01/01/2009 to 12/31/2009

Trade Date	Settle Date	Description	Symbol/CUSI	Quantity	Price	Amount
		Purchase				
		NEW YORK ST TWY AT GEN RV SER				
		G FSA SEP05 04.750%JAN01 30				
		CALLABLE-MAY AFFECT YIEL BOOK				
9/24/2009	9/29/2009	ENTRY ONLY	650009QN6	50,000	105	53,085.91
		Purchase				
		POWERSHARES GLOBAL				
9/24/2009	9/29/2009	EXCHANGE TRADED FD TR ACTUAL	PZT	80	23.55	1,939.35

SCHEDULE V — LIABILITIES

Name Carolyn McCarthy

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Report liabilities of over \$10,000 owed to any one creditor **at any time** during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. **Exclude:** Any mortgage on your personal residence (unless it is rented out); loans secured by automobiles, household furniture, or appliances; liabilities of a business in which you own an interest; and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report **revolving charge accounts** (i.e., credit cards) only if the balance at the close of the preceding calendar year exceeded \$10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability										
			B \$10,001- \$15,000	C \$15,001- \$50,000	D \$50,001- \$100,000	E \$100,001- \$250,000	F \$250,001- \$500,000	G \$500,001- \$1,000,000	H \$1,000,001- \$5,000,000	I \$5,000,001- \$25,000,000	J \$25,000,001- \$50,000,000	K Over \$50,000,000	
	Example: First Bank of Wilmington, Delaware	Mortgage on 123 Main St., Dover, Del.				X							
	Hampton State Bank	Mortgage of Westhampton			X								
		Rental House											

SCHEDULE VI — GIFTS

Report the source, a brief description, and the value of all gifts totalling more than \$335 received by you, your spouse, or a dependent child from any source during the year. **Exclude:** Gifts from relatives, gifts of personal hospitality of an individual, local meals, and gifts to a spouse or dependent child that are totally independent of his or her relationship to you. Gifts with a value of \$134 or less need not be added towards the \$335 disclosure threshold.

Note: The gift rule (House Rule 25, clause 5) prohibits acceptance of gifts except as specifically provided in the rule.

Source	Description	Value
<i>Example:</i> Mr. Joseph H. Smith, Anytown, Anystate	Silver Platter (determination on personal friendship received from Committee on Standards)	\$345
None		

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Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C. § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

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SCHEDULE VIII — POSITIONS

Name Carolyn McCarthy

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Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any non profit organization, any labor organization, or any educational or other institution other than the United States.

Exclude: Positions listed on Schedule I; positions held in any religious, social, fraternal, or political entities (such as political parties and campaign organizations); and positions solely of an honorary nature.

Position	Name of Organization
Honorary Board Member	New Yorkers Against Gun Violence
Honorary Board Member	Long Island Blood Service

SCHEDULE IX — AGREEMENTS

Identify the date, parties to, and general terms of any agreement or arrangement with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. Government; continuing participation in an employee welfare or benefit plan maintained by a former employer; or publication of a book.

Date	Parties To	Terms of Agreement