HAND DELIVERED

UNITED STATES HOUSE OF REPRESENTATIVES

CALENDAR YEAR 2009 FINANCIAL DISCLOSURE STATEMENT

Form A

For use by Members, officers, and employees

LEGISLATIVE RESOURCE CENTER

2010 MAY 17 PM 2:16

OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES

MC (Office Use Only)

Name: Shelley Berkley **Daytime Telephone:** 202 225-5965

Filer Status	[X] Member of the U.S. House of Representatives — State: Nevada — District: 01	[ ] Officer or Employee — Employing Office:	
Report Type	[X] Annual (May 17, 2010)	[ ] Amendment	[ ] Termination — Termination Date:

A $200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

	Yes	No
I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	[X]	[ ]
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	[ ]	[X]
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	[X]	[ ]
IV. Did you, your spouse, or a dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	[X]	[ ]
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	[X]	[ ]
VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $335 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	[ ]	[X]
VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $335 from one source)? **If yes, complete and attach Schedule VII.**	[X]	[ ]
VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	[ ]	[X]
IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	[ ]	[X]

Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

	Yes	No
TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	[ ]	[X]
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption? Do not answer "yes" unless you have first consulted with the Committee on Standards of Official Conduct.	[ ]	[X]

Name **Shelley Berkley** | Page 2 of 13

SCHEDULE I — EARNED INCOME

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

	Source	Type	Amount
Examples:	Keene State	Approved Teaching Fee	$6,000
	State of Maryland	Legislative Pension	$9,000
	Civil War Roundtable (Oct. 2nd)	Spouse Speech	$1,000
	Ontario County Board of Education	Spouse Salary	NA
	Kidney Specialists of Southern NV (paid by Trinet a payroll company)	Spouse Salary	NA
	Amgen	Spouse Consulting	NA
	Kindred Healthcare	Spouse Consulting	NA
	Healthinsight	Spouse Consulting	NA
	Pharmerica Corp	Spouse Consulting	NA
	Touro University	Spouse Consulting	NA

For payments to charity in lieu of honoraria, use Schedule II.

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

BLOCK A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self-directed IRA (i.e., one where you have the power to select the specific investments), provide information on each asset in the account that exceeds the reporting threshold, and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see the instruction booklet for the reporting year.

Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.

BLOCK B — Value of Asset at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it generated income, the value should be "None."

BLOCK C — Type of Income

BLOCK D — Amount of Income For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.

BLOCK E — Transaction Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.

SP, DC, JT	Asset and/or Income Source	A None	B [illegible]	C $1,001 – $15,000	D [illegible]	E $50,001 – $100,000	F [illegible]	G $250,001 – $500,000	H [illegible]	I $1,000,001 – $5,000,000	J [illegible]	K $25,000,001 – $50,000,000	L [illegible]	DIVIDENDS	[illegible]	INTEREST	[illegible]	EXCEPTED TRUST	[illegible]	Other Type of Income (Specify: For Example, Partnership Income or Farm Income)	I None	II [illegible]	III $201 – $1,000	IV [illegible]	V $2,501 – $5,000	VI [illegible]	VII $15,001 – $50,000	VIII [illegible]	IX $100,001 – $1,000,000	X [illegible]	XI Over $5,000,000	P, S, E
Examples: SP	Mega Corp. Stock					X								X																		P
	Simon & Schuster			Indefinite																Royalties									X			
	1st Bank of Paducah, KY accounts															X																
SP	Bank of Nevada NV						X									X								X								
SP	Pentagon Fed Credit Union		X													X						X										
	Pentagon Fed Credit Union		X													X						X										
	Congressional Fed Credit Union			X												X																
	Nevada State Bank		X																		X											

For additional assets and unearned income, use next page.

SCHEDULE III – ASSETS AND "UNEARNED" INCOME
Continuation Sheet (if needed)

Name Shelley Berkley

SP, DC, JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset: A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	BLOCK C Type of Income: NONE	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED/BLIND TRUST	Other Type of Income (Specify)	BLOCK D Amount of Income: I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	BLOCK E Transaction P, S, E
JT	3466 Legendary LV NV					X								X							X											
JT	150 D St SE Washington DC								X							X										X						
JT	208 D St SE Washington DC									X						X											X					
SP	11932 Orense Dr LV NV						X									X											X					
SP	Emigrant Bank						X										X							X								
SP	Ally Bank						X										X					X										
SP	Centennial-Zim LLC					X										X										X						
	medical office building																															
	8775 Deer Spring Way LV NV																															
SP	Centennial HD LLC						X													LLC distribution						X						
	dialysis unit																															
	8775 Deer Spring Way LV NV																															
SP	2400 Tech Center LLC					X														LLC distribution						X						
	medical office building																															
	2400 Tech Center Way LV NV																															
SP	parcel 78 Green Valley Ranchettes			X										X							X											
	Pahrump NV																															
SP	FSD LLC							X												LLC distribution							X					
	dialysis unit																															
	2400 Tech Center Way LV NV																															

SCHEDULE III – ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name Shelley Berkley

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SP, DC, JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset: A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	BLOCK C Type of Income: NONE	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED/BLIND TRUST	Other Type of Income (Specify)	BLOCK D Amount of Income: I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	BLOCK E Transaction P, S, E
SP	NCA- Nephrology Management	X																			X											
	company																															
	ceased operations in 2009																															
SP	Quail Park V						X													partnership						X						
	office complex Rancho and Alta																															
	500 S Rancho Las Vegas NV																															
SP	The Gin Mill- Tavern	X																X							X							
	final distribution of sale in 2008																															
SP	Metalast International					X														LLC	X											
	anodizing																															
	2241 Park Place Minden NV																															
SP	ITT Industries			X											X							X										P<1000
SP	Anapath			X																	X											
SP	City National Bank				X										X								X									
SP	IBM			X											X							X										P<1000
SP	Pfizer				X										X								X									P<1000
SP	Waterfield	X															X					X										closed

SCHEDULE III – ASSETS AND "UNEARNED" INCOME
Continuation Sheet (if needed)

Name: Shelley Berkley

SP, DC, JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset: A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	BLOCK C Type of Income: NONE	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED/BLIND TRUST	Other Type of Income (Specify)	BLOCK D Amount of Income: I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	BLOCK E Transaction P, S, E
	Morgan Stanley- Attachment E				X																											
	year end value, year end holdings																															
	and transactions see attachment																															
SP	Charles Schwab- Attachment F						X																									
	year end value, year end holdings																															
	and transactions see attachment																															
	Morgan Stanley- Attachment G						X																									
	year end value, year end holdings																															
	and transactions see attachment																															

SCHEDULE III — ASSETS AND "UNEARNED" INCOME
Continuation Sheet (if needed)

Name Shelley Berkley

SP, DC, JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset: A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	BLOCK C Type of Income: NONE	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED/BLIND TRUST	Other Type of Income (Specify)	BLOCK D Amount of Income: I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	BLOCK E Transaction P, S, E
	Morgan Stanley- Attachment E				X																											
	year end value, year end holdings																															
	and transactions see attachment																															
SP	Charles Schwab- Attachment F						X																									
	year end value, year end holdings																															
	and transactions see attachment																															
	Morgan Stanley- Attachment G						X																									
	year end value, year end holdings																															
	and transactions see attachment																															

SCHEDULE III – ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name Shelley Berkley

SP, DC, JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset: A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	BLOCK C Type of Income: NONE	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED/BLIND TRUST	Other Type of Income (Specify)	BLOCK D Amount of Income: I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	BLOCK E Transaction P, S, E
SP	John Hancock- Attachment A								X																							
	year end value, year end holdings																															
	and transactions see attachment																															
SP	Morgan Stanley- Attachment B					X																										
	year end value, year end holdings																															
	and transactions see attachment																															
	all trades by broker without																															
	discussion with client																															
SP	Morgan Stanley- Attachment C								X																							
	year end value, year end holdings																															
	and transactions see attachment																															
SP	Morgan Stanley- Attachment D									X																						
	year end value, year end holdings																															
	and transactions see attachment																															
	all trades by advisor without																															
	discussion with client																															

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name Shelley Berkley

SP, DC, JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset: A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	BLOCK C Type of Income: NONE	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED/BLIND TRUST	Other Type of Income (Specify)	BLOCK D Amount of Income: I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	BLOCK E Transaction P, S, E
SP	RRT, LLC									X										LLC distribution									X			
	4 dialysis units in Las Vegas, NV																															
SP	Kidney Specialists of Southern Nevada						X													salary												
	medical practice Las Vegas, NV																															
SP	SHDC, LLC							X												LLC distribution								X				
	Dialysis Services																															
	9220 W Sunset Rd LV NV																															
SP	DoctorsXL, LLC						X							X																		P
	Physician Management Company																															
	10539 Professional Circle - new address																															
	Reno, NV 89520																															
SP	Peds HD, LLC				X									X																		
	dialysis unit																															
	7271 W. Sahara Las Vegas NV																															

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name Shelley Berkley

SP, DC, JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset: A None	C $1,001 – $15,000	D	E $50,001 – $100,000	G $250,001 – $500,000	I $1,000,001 – $5,000,000	K $25,000,001 – $50,000,000	BLOCK C Type of Income: DIVIDENDS	INTEREST	EXCEPTED TRUST	Other Type of Income (Specify)	BLOCK D Amount of Income: I None	III $201 – $1,000	V $2,501 – $5,000	VII $15,001 – $50,000	IX $100,001 – $1,000,000	XI Over $5,000,000	BLOCK E Transaction P, S, E
SP	VAC, LLC- land and building 3150 Charleston LV, NV				X														
SP	1660 Honeysuckle Pahrump NV		X										X						
SP	2630 E. Harris Farm Rd Pahrump NV				X								X						
SP	Pahrump land- assessor's # 27-211-03				X								X						
JT	1281 Laura Pahrump NV		X										X						
JT	1241 Pascoe Pahrump NV			X									X						
SP	Henderson Dialysis LLC dialysis unit Henderson NV					X						LLC distribution							

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SCHEDULE III — ASSETS AND "UNEARNED" INCOME
Continuation Sheet (if needed)

Name Shelley Berkley

SP, DC, JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset: A None		C $1,001 – $15,000		E $50,001 – $100,000		G $250,001 – $500,000		I $1,000,001 – $5,000,000		K $25,000,001 – $50,000,000		BLOCK C Type of Income: DIVIDENDS		INTEREST		EXCEPTED TRUST		Other Type of Income (Specify)	BLOCK D Amount of Income: I None		III $201 – $1,000		V $2,501 – $5,000		VII $15,001 – $50,000		IX $100,001 – $1,000,000		XI Over $5,000,000	BLOCK E Transaction P, S, E
SP	SFH Investors LLC- assets listed below																															
	11012 Meadow Leaf LV NV						X								X																	
	11234 Revelry Ln LV NV						X								X												X					
	11628 Aruba Beach LV NV						X								X												X					
	3964 Bella Palermo Way LV NV						X								X											X						
	546 Poplar Leaf LV NV						X								X												X					
	11829 Ampucia LV NV						X								X												X					
	489 Melrose Heights Henderson NV						X								X												X					
	7032 Azure Beach LV NV						X								X											X						

This page may be copied if more space is required.

SCHEDULE III – ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name Shelley Berkley

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SP, DC, JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset: A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	BLOCK C Type of Income: NONE	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED/BLIND TRUST	Other Type of Income (Specify)	BLOCK D Amount of Income: I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	BLOCK E Transaction P, S, E
SP	Meadows-Zim LLC				X									X																		P
	medical building																															
	Meadows Mall Las Vegas, NV																															
SP	Pahrump-Zim, LLC					X								X																		P
	medical building																															
	Pahrump NV																															
SP	Nephroceuticals LLC					X								X																		P
	One Prestige Pl																															
	Miamisburg OH 45342																															

SCHEDULE III – ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name Shelley Berkley

SP, DC, JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset												BLOCK C Type of Income							BLOCK D Amount of Income											BLOCK E Transaction
		A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	NONE	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED/BLIND TRUST	Other Type of Income (Specify)	I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	P, S, E
SP	Nephrology & Endocrine	X																		forfeitures						X						
	Associates Defined Benefit Plan																															
	final payment of forfeitures																															
	plan now closed																															

Name Shelley Berkley | Page 13 of 63

SCHEDULE IV— TRANSACTIONS

Report any purchase, sale, or exchange transactions by you, your spouse, or dependent child during the reporting period of any security or real property held for investment that exceeded $1,000. Include transactions that resulted in a capital loss. Provide a brief description of any exchange transaction. Exclude transactions between you, your spouse or dependent children, or the purchase or sale of your personal residence, unless it generates rental income. **If only a portion of an asset is sold, please so indicate (*i.e.*, "partial sale").** See example below.

Capital Gains — if a sales transaction resulted in a capital gain in excess of $200, check the "capital gains" box and disclose this income on Schedule III.

SP, DC, JT	Asset	Type of Transaction: PURCHASE	SALE	EXCHANGE	Check Box if Capital Gain Exceeded $200	Date (MO/DAY/YR) or Quarterly, Monthly, or Bi-weekly, if applicable	Amount of Transaction: B $1,001-$15,000	C $15,001-$50,000	D $50,001-$100,000	E $100,001-$250,000	F $250,001-$500,000	G $500,001-$1,000,000	H $1,000,001-$5,000,000	I $5,000,001-$25,000,000	J $25,000,001-$50,000,000	K Over $50,000,000
SP	*Example:* Mega Coporation Common Stock (partial sale)		X			10-12-09		X								
SP	DoctorsXL, LLC	X				11/20/09		X								
SP	Pahrump-Zim, LLC	X				3/16/09		X								
SP	Meadows-Zim LLC	X				12/15/09		X								
SP	Nephroceuticals LLC	X				12/22/09		X	check send membersip units pending issue							

SCHEDULE V — LIABILITIES

Report liabilities of over $10,000 owed to any one creditor ***at any time*** during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. **Exclude:** Any mortgage on your personal residence (unless it is rented out); loans secured by automobiles, household furniture, or appliances; and liabilities owed to a spouse, *or the child, parent, or sibling of you or your spouse.* Report ***revolving charge accounts*** only if the balance at the close of the preceding calendar year exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	B $10,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
Example:	First Bank of Wilmington, Delaware	Mortgage on 123 Main St., Dover, Del.				x						
JT	Central National Bank	Mortgage on 3466 Legendary LV NV		X								
SP	BAC Home Loans	Mortgage 11932 Orense St				X						
JT	Pentagon Federal Credit Union	Mortgage on 150 D St SE DC					X					
JT	Pentagon Federal Credit Union	Mortgage on 208 D St SE DC						X				
SP	Wells Fargo Home Mortgage	Mortgage 11234 Revelry LV NV				X						

SCHEDULE VI — GIFTS

Report the source, a brief description, and the value of all gifts totalling more than $260 received by you, your spouse, or a dependent child from any source during the year.

Exclude: Gifts from relatives, gifts of personal hospitality of an individual, local meals, and gifts to a spouse or dependent child that are totally independent of his or her relationship to you. Gifts with a value of $100 or less need not be added towards the $260 disclosure threshold.

Note: The gift rule (House Rule 25, clause 5) prohibits acceptance of gifts except as specifically provided in the rule.

Source	Description	Value
Example: Mr. Joseph H. Smith, Anytown, Anystate	Silver Platter (determination on personal friendship received from Committee on Standards)	$270
None		

Use additional sheets if more space is required.

Name **Shelley Berkley** Page 15 of 163

SCHEDULE V — LIABILITIES

Report liabilities of over $10,000 owed to any one creditor ***at any time*** during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. **Exclude:** Any mortgage on your personal residence (unless it is rented out); loans secured by automobiles, household furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report ***revolving charge accounts*** only if the balance at the close of the preceding calendar year exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability									
			[illegible]	C $15,001–$50,000	[illegible]	E $100,001–$250,000	[illegible]	G $500,001–$1,000,000	[illegible]	I $5,000,001–$25,000,000	[illegible]	K Over $50,000,000
	Example: First Bank of Wilmington, Delaware	Mortgage on 123 Main St., Dover, Del.				X						
SP	**Wells Fargo Home Mortgage**	**Mortgage 11012 Meadow Leaf LV NV**				X						
SP	**Wells Fargo Home Mortgage**	**Mortgage 546 Poplar Leaf LV NV**				X						
SP	**CitiMortgage**	**Mortgage 11628 Aruba Beach LV NV**				X						
SP	**Provident Funding**	**Mortgage 3964 Bella Palmero LV NV**				X						

SCHEDULE VI — GIFTS

Report the source, a brief description, and the value of all gifts totalling more than $260 received by you, your spouse, or a dependent child from any source during the year.

Exclude: Gifts from relatives, gifts of personal hospitality of an individual, local meals, and gifts to a spouse or dependent child that are totally independent of his or her relationship to you. Gifts with a value of $100 or less need not be added towards the $260 disclosure threshold.

Note: The gift rule (House Rule 25, clause 5) prohibits acceptance of gifts except as specifically provided in the rule.

Source	Description	Value
Example: Mr. Joseph H. Smith, Anytown, Anystate	Silver Platter (determination on personal friendship received from Committee on Standards)	$270
None		

Use additional sheets if more space is required.

Name Shelley Berkley | Page 16 of 163

SCHEDULE VII — TRAVEL PAYMENTS AND REIMBURSEMENTS

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $335 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were paid directly by the sponsor or were paid by you and reimbursed by the sponsor.

Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C. § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

	Source	Date(s)	City of Departure—Destination—City of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Number of days not at sponsor's expense
Examples:	Chicago Chamber of Commerce	Mar. 2	DC—Chicago—DC	N	N	N	None
	Roycroft Corporation	Aug. 6–11	DC—Los Angeles—Cleveland	Y	Y	Y	2 Days
	Fu Jen Catholic University	Feb 14-20, 2009	DC-Taipei Taiwan-Las Vegas	Y	Y	Y	2
	American Israel Education Found.	Aug 8-16, 2009	Las Vegas-Tel Aviv-Las Vegas	Y	Y	Y	-

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THE TRUSTEES OF DOCTORS XL 401(K) PROFIT SHARING PLAN

Contract Number:

Retirement Account

Your retirement account value as of 12/31/2009
$565,178.35

October 01, 2009 - December 31, 2009

Attachment A

LAWRENCE LEHRNER
9709 Camden Hills Ave
Las Vegas NV 89145

This period

Beginning balance	**$539,020.64**
Money in	
Employee money	4,365.45
Net change	21,792.26
Ending balance	**$565,178.35**

Your projected monthly income

◆Your retirement years aren't that far off. Setting aside what you'll need to help meet your retirement income goals is especially important. Logon to **www.jhpensions.com** for financial and retirement planning information, offered in conjunction with CNNMoney™, under *Personal finance topics*. You just might find a way to add to what you're currently contributing and make your goals that much more attainable.

◆Please note, the Guaranteed Income feature is available to your plan, however you have not elected this option. See the last page of this statement for details of how to report any inaccuracy.

Projections are based on contributions made over the last 12 months and your current account value. They assume you are following a balanced investment strategy (60% stocks, 40% bonds) growing at an assumed average rate of 3.18%, after an adjustment for inflation of 2.3% and consider your years to retirement. This chart is for illustrative purposes only and does not guarantee future account value or future performance. For details, call the number below.

Looking for help ?

Important: Any inaccuracies in this statement must be reported to John Hancock USA within 45 days. See last page for details.

For questions about your account with John Hancock USA, visit **www.jhpensions.com** or 1-800-395-1113 (1-800-363-0530 Español) Monday - Friday, 8am - 8pm ET.

Decl. 178 163

Retirement account of:

LAWRENCE LEHRNER
Attachment A

John Hancock

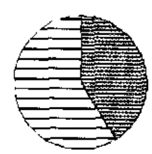
Retirement Account
October 01, 2009 - December 31, 2009
Contract Numbe [redacted]

Your profile at a glance

Your current portfolio allocation

Your current portfolio is following a growth strategy. This strategy places an emphasis on growth and assumes that you're comfortable riding the ups and downs of the stock market. Your portfolio profile description may change as the value of some of your investment options change over time, or if you change your strategy. Check your account profile periodically to help ensure that your current portfolio and your ongoing contributions are allocated in line with your desired investment strategy. Reviewing your strategy can be especially important if your financial circumstances or your life stage change. To make a change to your account, please call us at 1-800-395-1113 (English) or 1-800-363-0530 (Español), or go online at **www.jhpensions.com**.

	Account value	% of account
Income	$231,827.63	41.04%
Aggressive Growth	333,120.09	58.96
†Accrual contributions	$230.63	
Total account value	**$565,178.35**	

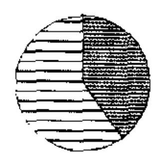


Current

Your ongoing contribution allocation

Your ongoing contributions are following a growth strategy. This strategy places an emphasis on growth and assumes that you're comfortable riding the ups and downs of the stock market. Your portfolio profile description may change as the value of some of your investment options change over time, or if you change your strategy.

	% of ongoing contributions
Income	40.00%
Aggressive Growth	60.00



Ongoing

What investment options make up your account

	Current portfolio	Ongoing Instructions††	Units held as of 10/01/09	Units held as of 12/31/09	Unit value as of ✚ 10/01/09	Unit value as of ✚ 12/31/09	Value as of 10/01/09	Value as of 12/31/09
Income								
PIMCO Global Bond	10.29%	10.00%	4,853.897871	4,887.992876	$11.981270	$11.894159	$58,155.89	$58,138.59
PIMCO Total Return	10.83	10.00	4,629.674684	4,661.145162	13.019840	13.123727	60,277.65	61,171.62
Short-Term Federal	19.92	20.00	9,458.434653	9,528.150240	11.819816	11.808944	111,796.98	112,517.42
Aggressive Growth								
EuroPacific Growth Fund	14.16	15.00	1,783.105779	1,797.241995	43.113578	44.521425	76,876.10	80,015.80
T. Rowe Price Health Sci	14.19	15.00	2,772.587744	2,795.246807	27.054044	28.678180	75,009.72	80,162.62
DFA Emerging Markets Value	15.74	15.00	2,195.360328	2,211.453996	36.965671	40.213581	81,152.99	88,930.52
Allianz RCM Tech Fund		0.00	1,973.572114	0	38.382829	0	75,751.31	0.00
Science & Technology Fund	14.87	15.00	0	6,235.636647	0	13.472741	0.00	84,011.15
† **Accrual contributions:** The contributions made to your account after 12/31/2009 that are applicable to this quarter.								230.63
Total account	**100.00%**	**100.00%**					**$539,020.64**	**$565,178.35**

† *The dollar value of contributions made after quarter-end (accrual contributions) is reflected in your account balance for this quarter. Units purchased with any accrual contributions will be reflected on your next statement, reporting activity for the quarter in which the units were purchased.*

* *The number of units shown are as of 12/31/2009 and do not include any units purchased with contributions that were received and processed after 12/31/2009.*

†† *Your allocation instructions, as of 12/31/2009, are shown above.*

✚ *A unit value is the value of a unit in a sub-account (or Fund). Contributions to a sub-account purchase units of that Fund. A unit represents a portion of the sub-account's total assets.*

You are allowed a maximum of two exchanges per month. After the exchange limit, you may move 100% of your account to a money market or stable value fund where it must remain for 30 days. Trading can resume only once the 30 days have expired. Also, additional restrictions may be imposed if it is determined that any exchange activity may disrupt or be potentially disruptive to an investment option, even though in compliance with our policy. For more information, visit ***www.jhpensions.com****. Also check with your Plan Administrator for additional restrictions that may be imposed by your plan.*

(continued)

Retirement account of:

LAWRENCE LEHRNER

Attachment A

John Hancock

Retirement Account

January 01, 2009 - March 31, 2009

Investment options and performance are as of March 31, 2009.

✦ *Performance data for a sub-account for any period prior to the date introduced is hypothetical based on the performance of the underlying portfolio. other performance data is actual. Returns for any period greater than one year are annualized. Performance data reflects changes in the prices of investments, reinvestment of any dividends and capital gains, and deductions for the Annual Investment Charge. Performance does not reflect any contract-level or participant recordkeeping charges, which would otherwise reduce the total return for an account. Past performance is no guarantee of future results. An investment in a sub-account will fluctuate in value to reflect the investment results of the underlying portfolio and, when redeemed, may be more or less than original cost.*

Your transaction activity detail

You can download your transaction activity from your detailed statement into Intuit Quicken® or Microsoft® Money personal finance software. Log on to **www.jhpensions.com** for details.

Investment date	Investment option	Payroll period end date	Transaction type	Transaction amount	Number of units	Unit value
01/26/2009	Short-Term Federal	01/10/2009	Employee Contributions	$169.23	14.617421	$11.577281
	PIMCO Total Return	01/10/2009	Employee Contributions	84.61	7.222233	11.715213
	PIMCO Global Bond	01/10/2009	Employee Contributions	84.61	8.380397	10.096180
	EuroPacific Growth Fund	01/10/2009	Employee Contributions	126.92	4.313645	29.422907
	T. Rowe Price Health Sci	01/10/2009	Employee Contributions	126.92	5.832854	21.759502
	DFA Emerging Markets Value	01/10/2009	Employee Contributions	126.92	6.680031	18.999909
	Allianz RCM Tech Fund	01/10/2009	Employee Contributions	126.94	4.807017	26.407227
02/06/2009	Short-Term Federal	01/24/2009	Employee Contributions	169.23	14.657877	11.545328
	PIMCO Total Return	01/24/2009	Employee Contributions	84.61	7.220986	11.717236

Berkley

(continued)

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Retirement account of:

LAWRENCE LEHRNER
Attachment A

John Hancock

Retirement Account
January 01, 2009 - March 31, 2009
Contract Number: [redacted]

Your transaction activity detail *(continued)*

Investment date	Investment option	Payroll period end date	Transaction type	Transaction amount	Number of units	Unit value
02/06/2009	PIMCO Global Bond	01/24/2009	Employee Contributions	84 61	8 489678	9 966219
	EuroPacific Growth Fund	01/24/2009	Employee Contributions	126 92	4 149405	30 587515
	T. Rowe Price Health Sci	01/24/2009	Employee Contributions	126 92	5 455589	23 264214
	DFA Emerging Markets Value	01/24/2009	Employee Contributions	126 92	6 173128	20 560077
	Allianz RCM Tech Fund	01/24/2009	Employee Contributions	126 94	4 536465	27 982140
02/19/2009	Short-Term Federal	02/07/2009	Employee Contributions	169 23	14 633228	11 564775
	PIMCO Total Return	02/07/2009	Employee Contributions	84 61	7 228419	11 705186
	PIMCO Global Bond	02/07/2009	Employee Contributions	84 61	8 585435	9 855062
	EuroPacific Growth Fund	02/07/2009	Employee Contributions	126 92	4 463915	28 432435
	T. Rowe Price Health Sci	02/07/2009	Employee Contributions	126 92	5 745209	22 091450
	DFA Emerging Markets Value	02/07/2009	Employee Contributions	126 92	6 821748	18 605200
	Allianz RCM Tech Fund	02/07/2009	Employee Contributions	126 94	5 022030	25 276630
03/03/2009	Short-Term Federal	02/21/2009	Employee Contributions	169 23	14 594576	11 595403
	PIMCO Total Return	02/21/2009	Employee Contributions	84 61	7 300121	11 590218
	PIMCO Global Bond	02/21/2009	Employee Contributions	84 61	8 833365	9 578434
	EuroPacific Growth Fund	02/21/2009	Employee Contributions	126 92	4 865992	26 083067
	T. Rowe Price Health Sci	02/21/2009	Employee Contributions	126 92	6 753541	18 793103
	DFA Emerging Markets Value	02/21/2009	Employee Contributions	126 92	7 593932	16 713343
	Allianz RCM Tech Fund	02/21/2009	Employee Contributions	126 94	5 372633	23 627147

Bulletin board

In April and June 2009 some of your Funds may be changing. To continue to be invested in any of these changing Funds, you do not have to do anything. You may also elect to transfer your assets from any of the Funds prior to the date of the change. For more information, visit our website or call 1-800-395-1113.

Review this statement to ensure it accurately reflects your balances, investments, and any transactions during the period covered You should report any discrepancies or inaccuracy to John Hancock USA within 45 days of the production date of this Statement If you do not, you will be deemed to have approved of the accuracy of the Statement John Hancock USA will not be responsible for any claimed damage resulting from a purported failure to carry out your investment instructions that is not brought to our attention within this 45 day period

Berkley 2009 163

Retirement account of:

LAWRENCE LEHRNER
Attachment A

John Hancock

Retirement Account

April 01, 2009 - June 30, 2009

Contract Number: [redacted]

Investment options and performance are as of June 30, 2009.

✦ Performance data for a sub-account for any period prior to the date introduced is hypothetical based on the performance of the underlying portfolio. All other performance data is actual. Returns for any period greater than one year are annualized. Performance data reflects changes in the prices of investments, reinvestment of any dividends and capital gains, and deductions for the Annual Investment Charge. Performance does not reflect any contract-level or participant recordkeeping charges, which would otherwise reduce the total return for an account. Past performance is no guarantee of future results. An investment in a sub-account will fluctuate in value to reflect the investment results of the underlying portfolio and, when redeemed, may be more or less than original cost.

Your transaction activity detail

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Investment date	Investment option	Payroll period end date	Transaction type	Transaction amount	Number of units	Unit value
04/01/2009	**Short-Term Federal**	03/21/2009	Employee Contributions	$186 15	15 961261	$11 662612
		04/01/2009	Employer Contributions	6,100 00	523 038921	11 662612
	PIMCO Total Return	03/21/2009	Employee Contributions	93 07	7 899997	11 781017
		04/01/2009	Employer Contributions	3,050 00	258 891061	11 781017
	PIMCO Global Bond	03/21/2009	Employee Contributions	93 07	9 477033	9 820584
		04/01/2009	Employer Contributions	3,050 00	310 572161	9 820584
	EuroPacific Growth Fund	03/21/2009	Employee Contributions	139 61	4 636949	30 108159
		04/01/2009	Employer Contributions	4,575 00	151 952166	30 108159
	T. Rowe Price Health Sci	03/21/2009	Employee Contributions	139 61	6 649422	20 995809
		04/01/2009	Employer Contributions	4,575 00	217 900629	20 995809
	DFA Emerging Markets Value	03/21/2009	Employee Contributions	139 61	6 547999	21 321016

(continued)

Retirement account of:

LAWRENCE LEHRNER
Attachment A

John Hancock
Retirement Account
April 01, 2009 - June 30, 2009
Contract Number:

Your transaction activity detail *(continued)*

Investment date	Investment option	Payroll period end date	Transaction type	Transaction amount	Number of units	Unit value
04/01/2009	DFA Emerging Markets Value	04/01/2009	Employer Contributions	4.575 00	214 577016	21 321016
	Allianz RCM Tech Fund	03/21/2009	Employee Contributions	139 65	5 060035	27 598623
		04/01/2009	Funds on Deposit Earnings	5 21	0 188777	27 598623
		04/01/2009	Employer Contributions	4.575 00	165 769140	27 598623
04/14/2009	Short-Term Federal	04/04/2009	Employee Contributions	168 29	14 393095	11 692412
	PIMCO Total Return	04/04/2009	Employee Contributions	84 14	7 072052	11 897536
	PIMCO Global Bond	04/04/2009	Employee Contributions	84 14	8 545026	9 846663
	EuroPacific Growth Fund	04/04/2009	Employee Contributions	126 22	4 006795	31 501481
	T. Rowe Price Health Sci	04/04/2009	Employee Contributions	126 22	5 962696	21 167565
	DFA Emerging Markets Value	04/04/2009	Employee Contributions	126 22	5 328330	23 688472
	Allianz RCM Tech Fund	04/04/2009	Employee Contributions	126 26	4 376698	28 848228
04/27/2009	Short-Term Federal	04/18/2009	Employee Contributions	186 15	15 895306	11 711004
	PIMCO Total Return	04/18/2009	Employee Contributions	93 07	7 799643	11 932597
	PIMCO Global Bond	04/18/2009	Employee Contributions	93 07	9 381046	9 921068
	EuroPacific Growth Fund	04/18/2009	Employee Contributions	139 61	4 386628	31 826263
	T. Rowe Price Health Sci	04/18/2009	Employee Contributions	139 61	6 569680	21 250652
	DFA Emerging Markets Value	04/18/2009	Employee Contributions	139 61	5 926251	23 557893
	Allianz RCM Tech Fund	04/18/2009	Employee Contributions	139 65	4 661509	29 958105
05/11/2009	Short-Term Federal	05/02/2009	Employee Contributions	186 15	15 913054	11 697943
	PIMCO Total Return	05/02/2009	Employee Contributions	93 07	7 679015	12 120044
	PIMCO Global Bond	05/02/2009	Employee Contributions	93 07	9 079175	10 250931
	EuroPacific Growth Fund	05/02/2009	Employee Contributions	139 61	4 039799	34 558644
	T. Rowe Price Health Sci	05/02/2009	Employee Contributions	139 61	6 247834	22 345342
	DFA Emerging Markets Value	05/02/2009	Employee Contributions	139 61	5 158473	27 064208
	Allianz RCM Tech Fund	05/02/2009	Employee Contributions	139 65	4 547672	30 708013
05/27/2009	Short-Term Federal	05/16/2009	Employee Contributions	260 00	22 249568	11 685620
	PIMCO Total Return	05/16/2009	Employee Contributions	130 00	10 784107	12 054776
	PIMCO Global Bond	05/16/2009	Employee Contributions	130 00	12 424708	10 463022
	EuroPacific Growth Fund	05/16/2009	Employee Contributions	195 00	5 438547	35 855160
	T. Rowe Price Health Sci	05/16/2009	Employee Contributions	195 00	8 829132	22 085974
	DFA Emerging Markets Value	05/16/2009	Employee Contributions	195 00	6 717518	29 028576
	Allianz RCM Tech Fund	05/16/2009	Employee Contributions	195 00	6 192022	31 492133
06/15/2009	Short-Term Federal	05/30/2009	Employee Contributions	260 00	22 332878	11 642028
	PIMCO Total Return	05/30/2009	Employee Contributions	130 00	10 651643	12 204689
	PIMCO Global Bond	05/30/2009	Employee Contributions	130 00	12 412046	10 473696
	EuroPacific Growth Fund	05/30/2009	Employee Contributions	195 00	5 422475	35 961433
	T. Rowe Price Health Sci	05/30/2009	Employee Contributions	195 00	8 676561	22 474340
	DFA Emerging Markets Value	05/30/2009	Employee Contributions	195 00	6 584688	29 614155
	Allianz RCM Tech Fund	05/30/2009	Employee Contributions	195 00	5 840454	33 387810
06/23/2009	Short-Term Federal	06/13/2009	Employee Contributions	260 00	22 325624	11 645811
	PIMCO Total Return	06/13/2009	Employee Contributions	130 00	10 618972	12 242239
	PIMCO Global Bond	06/13/2009	Employee Contributions	130 00	12 091431	10 751415
	EuroPacific Growth Fund	06/13/2009	Employee Contributions	195 00	5 552372	35 120124
	T. Rowe Price Health Sci	06/13/2009	Employee Contributions	195 00	8 482660	22 987529
	DFA Emerging Markets Value	06/13/2009	Employee Contributions	195 00	6 909053	28 223836
	Allianz RCM Tech Fund	06/13/2009	Employee Contributions	195 00	5 999130	32 504708

(continued)

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Investment options and performance *(continued)*

Investment options and performance are as of September 30, 2009.

\+ *Performance data for a sub-account for any period prior to the date introduced is hypothetical based on the performance of the underlying portfolio. All other performance data is actual. Returns for any period greater than one year are annualized. Performance data reflects changes in the prices of investments, reinvestment of any dividends and capital gains, and deductions for the Annual Investment Charge. Performance does not reflect any contract-level or participant recordkeeping charges, which would otherwise reduce the total return for an account. Past performance is no guarantee of future results. An investment in a sub-account will fluctuate in value to reflect the investment results of the underlying portfolio and, when redeemed, may be more or less than original cost.*

Your transaction activity detail

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Investment date	Investment option	Payroll period end date	Transaction type	Transaction amount	Number of units	Unit value
07/08/2009	Short-Term Federal	06/27/2009	Employee Contributions	$44 41	3 779527	$11 750145
	PIMCO Total Return	06/27/2009	Employee Contributions	22 20	1 783999	12 443850
	PIMCO Global Bond	06/27/2009	Employee Contributions	22 20	2 020560	10 987049
	EuroPacific Growth Fund	06/27/2009	Employee Contributions	33 31	0 960385	34 683999
	T. Rowe Price Health Sci	06/27/2009	Employee Contributions	33 31	1 440451	23 124694
	DFA Emerging Markets Value	06/27/2009	Employee Contributions	33 31	1 163080	28 639461
	Allianz RCM Tech Fund	06/27/2009	Employee Contributions	33 35	1 033869	32 257465
08/05/2009	Short-Term Federal	07/25/2009	Employee Contributions	260 00	22 245838	11 687579
	PIMCO Total Return	07/25/2009	Employee Contributions	130 00	10 406997	12 491595
	PIMCO Global Bond	07/25/2009	Employee Contributions	130 00	11 568960	11 236965

(continued)

Retirement account of:

LAWRENCE LEHRNER

Attachment A

John Hancock

Retirement Account

October 01, 2009 - December 31, 2009

Your transaction activity detail

You can download your transaction activity from your detailed statement into Intuit Quicken® or Microsoft® Money personal finance software. Log on to **www.jhpensions.com** for details.

Investment date	Investment option	Payroll period end date	Transaction type	Transaction amount	Number of units	Unit value
10/23/2009	PIMCO Global Bond	10/03/2009	Employee Contributions	$21.93	1.821510	$12.039456
	PIMCO Total Return	10/03/2009	Employee Contributions	21.93	1.682607	13.033340
	Short-Term Federal	10/03/2009	Employee Contributions	43.86	3.714349	11.808260
	EuroPacific Growth Fund	10/03/2009	Employee Contributions	32.89	0.740515	44.414999
	T. Rowe Price Health Sci	10/03/2009	Employee Contributions	32.89	1.237804	26.571247
	DFA Emerging Markets Value	10/03/2009	Employee Contributions	32.89	0.843466	38.993860
	Allianz RCM Tech Fund		Existing Balance Changes	-78,728.66	-1,973.572114	39.891446
	Science & Technology Fund		Existing Balance Changes	78,728.66	6,187.334583	12.724164
		10/03/2009	Employee Contributions	32.93	2.587989	12.724164
10/28/2009	PIMCO Global Bond	10/17/2009	Employee Contributions	78.31	6.496842	12.053548
	PIMCO Total Return	10/17/2009	Employee Contributions	78.31	5.990267	13.072873
	Short-Term Federal	10/17/2009	Employee Contributions	156.62	13.226552	11.841332
	EuroPacific Growth Fund	10/17/2009	Employee Contributions	117.46	2.780020	42.251488
	T. Rowe Price Health Sci	10/17/2009	Employee Contributions	117.46	4.599392	25.538154
	DFA Emerging Markets Value	10/17/2009	Employee Contributions	117.46	3.231859	36.344398
	Science & Technology Fund	10/17/2009	Employee Contributions	117.48	9.584769	12.256946
11/10/2009	PIMCO Global Bond	10/31/2009	Employee Contributions	78.31	6.432642	12.173847
	PIMCO Total Return	10/31/2009	Employee Contributions	78.31	5.976224	13.103591
	Short-Term Federal	10/31/2009	Employee Contributions	156.62	13.197438	11.867454
	EuroPacific Growth Fund	10/31/2009	Employee Contributions	117.46	2.647465	44.366968
	T. Rowe Price Health Sci	10/31/2009	Employee Contributions	117.46	4.289026	27.386168
	DFA Emerging Markets Value	10/31/2009	Employee Contributions	117.46	3.043555	38.593019
	Science & Technology Fund	10/31/2009	Employee Contributions	117.48	9.176623	12.802094
11/30/2009	PIMCO Global Bond	11/14/2009	Employee Contributions	78.31	6.285424	12.458984
	PIMCO Total Return	11/14/2009	Employee Contributions	78.31	5.911811	13.246363
	Short-Term Federal	11/14/2009	Employee Contributions	156.62	13.117775	11.939524
	EuroPacific Growth Fund	11/14/2009	Employee Contributions	117.46	2.667665	44.031011
	T. Rowe Price Health Sci	11/14/2009	Employee Contributions	117.46	4.285216	27.410515
	DFA Emerging Markets Value	11/14/2009	Employee Contributions	117.46	3.063562	38.340988
	Science & Technology Fund	11/14/2009	Employee Contributions	117.48	9.242347	12.711056
12/15/2009	PIMCO Global Bond	11/28/2009	Employee Contributions	78.31	6.474684	12.094797

(continued)

Retirement account of:

LAWRENCE LEHRNER
Attachment A

John Hancock

Retirement Account
July 01, 2009 - September 30, 2009
Contract Number:

Your transaction activity detail *(continued)*

Investment date	Investment option	Payroll period end date	Transaction type	Transaction amount	Number of units	Unit value
08/05/2009	EuroPacific Growth Fund	07/25/2009	Employee Contributions	195 00	4 838415	40 302451
	T. Rowe Price Health Sci	07/25/2009	Employee Contributions	195 00	7 631379	25 552392
	DFA Emerging Markets Value	07/25/2009	Employee Contributions	195 00	5 673687	34 369181
	Allianz RCM Tech Fund	07/25/2009	Employee Contributions	195 00	5 477913	35 597494
08/19/2009	Short-Term Federal	08/08/2009	Employee Contributions	260 00	22 131680	11 747865
	PIMCO Total Return	08/08/2009	Employee Contributions	130 00	10 239268	12 696220
	PIMCO Global Bond	08/08/2009	Employee Contributions	130 00	11 368023	11 435585
	EuroPacific Growth Fund	08/08/2009	Employee Contributions	195 00	4 905732	39 749418
	T. Rowe Price Health Sci	08/08/2009	Employee Contributions	195 00	7 603789	25 645106
	DFA Emerging Markets Value	08/08/2009	Employee Contributions	195 00	5 866910	33 237254
	Allianz RCM Tech Fund	08/08/2009	Employee Contributions	195 00	5 545339	35 164665
08/31/2009	Short-Term Federal	08/22/2009	Employee Contributions	260 00	22 081191	11 774727
	PIMCO Total Return	08/22/2009	Employee Contributions	130 00	10 154832	12 801787
	PIMCO Global Bond	08/22/2009	Employee Contributions	130 00	11 235920	11 570036
	EuroPacific Growth Fund	08/22/2009	Employee Contributions	195 00	4 775039	40 837358
	T. Rowe Price Health Sci	08/22/2009	Employee Contributions	195 00	7 467729	26 112355
	DFA Emerging Markets Value	08/22/2009	Employee Contributions	195 00	5 758207	33 864708
	Allianz RCM Tech Fund	08/22/2009	Employee Contributions	195 00	5 422630	35 960407
09/15/2009	Short-Term Federal	09/05/2009	Employee Contributions	260 00	22 048485	11 792193
	PIMCO Total Return	09/05/2009	Employee Contributions	130 00	10 077121	12 900509
	PIMCO Global Bond	09/05/2009	Employee Contributions	130 00	11 011393	11 805954
	EuroPacific Growth Fund	09/05/2009	Employee Contributions	195 00	4 540504	42 946774
	T. Rowe Price Health Sci	09/05/2009	Employee Contributions	195 00	7 200050	27 083145
	DFA Emerging Markets Value	09/05/2009	Employee Contributions	195 00	5 391825	36 165859
	Allianz RCM Tech Fund	09/05/2009	Employee Contributions	195 00	5 146482	37 889960
09/28/2009	Short-Term Federal	09/19/2009	Employee Contributions	192 17	16 273979	11 808421
	PIMCO Total Return	09/19/2009	Employee Contributions	96 08	7 374290	13 029050
	PIMCO Global Bond	09/19/2009	Employee Contributions	96 08	8 045295	11 942383
	EuroPacific Growth Fund	09/19/2009	Employee Contributions	144 13	3 342923	43 114958
	T. Rowe Price Health Sci	09/19/2009	Employee Contributions	144 13	5 282227	27 285835
	DFA Emerging Markets Value	09/19/2009	Employee Contributions	144 13	3 918647	36 780552
	Allianz RCM Tech Fund	09/19/2009	Employee Contributions	144 17	3 756016	38 383750

Bulletin board

In October and November 2009 some of your Funds may be changing. To continue to be invested in any of these changing Funds, you do not have to do anything. You may also elect to transfer your assets from any of the Funds prior to the date of the change. For more information, visit our website or call 1-800-395-1113

Review this statement to ensure it accurately reflects your balances, investments, and any transactions during the period covered You should report any discrepancies or inaccuracy to John Hancock USA within 45 days of the production date of this Statement If you do not, you will be deemed to have approved of the accuracy of the Statement John Hancock USA will not be responsible for any claimed damage resulting from a purported failure to carry out your investment instructions that is not brought to our attention within this 45 day period

MorganStanley
SmithBarney

Client Statement

December 1 - December 31, 2009

Account number

LAWRENCE M. LEHRNER TTEE

Morgan Stanley Smith Barney LLC. Member SIPC.
Your Financial Advisor

Attachment B

Account carried by Citigroup Global Markets Inc. Member SIPC.

Account value	Last period	This period	%
Cash balance	$ 0.00	$ 5,972.47	8.12
Money fund	24,436.94	9,576.58	13.02
Common stocks & options	40,424.35	58,020.75	78.86
Unsettled purchases/sales	0.00	-4,788.50	
Total value	**$ 64,861.29**	**$ 68,781.30**	**100.00**

Unsettled purchases/sales are reflected in the "Portfolio details" section.

	This period		This year	
Earnings summary	Taxable	Non-taxable	Taxable	Non-taxable
Qualified dividends	$ 7.30	$ 0.00	$ 146.06	$ 0.00
Other dividends	24.90	0.00	119.15	0.00
Money fund earnings	.22	3.40	8.27	51.83
Total	**$ 32.42**	**$ 3.40**	**$ 273.48**	**$ 51.83**

Additional summary information	This period	This year
Other income	$ 0.00	$ 92.65

Cash, money fund, bank deposits	This period	This year
Opening balance	**$ 24,436.94**	
Securities bought and other subtractions	(26,406.47)	
Securities sold and other additions	12,696.26	
Net unsettled purchases/sales	4,788.50	
Deposits	0.00	95.00
Withdrawals	0.00	(1,029.50)
Dividends credited	32.20	
Money fund earnings credited	3.40	
Money fund earnings reinvested	.22	
Closing balance	***$ 15,549.05***	

A free credit balance in any securities account may be paid to you on demand. Although properly accounted for, these funds may be used for business purposes.

Portfolio summary	This period	This year
Beginning total value (excl. accr. int.)	$ 64,861.29	$ 71,913.75
Net security deposits/withdrawals	0.00	0.00
Net cash deposits/withdrawals	0.00	(934.50)
Beginning value net of deposits/withdrawals	64,861.29	70,979.25
Total value as of 12/31/2009 (excl. accr. int.)	$ 68,781.30	$ 68,781.30
Change in value	$ 3,920.01	($ 2,197.95)

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Attachment B

MorganStanley
SmithBarney

Client Statement

December 1 - December 31, 2009

LAWRENCE M. LEHRNER TTEE **Account number**

Gain/loss summary		This period	This year
Realized gain or (loss)		($ 144.68)	$ 0.00 LT ($ 4,124.01) ST
Unrealized gain or (loss) to date	1,805.94		

PORTFOLIO DETAILS

Your holdings are valued using the most current prices available to Citigroup Global Markets Inc. (CGMI). In most cases, these values are as of 12/31/09, but in some cases CGMI's sources are unable to provide timely information. To see the date of the most recent price update, please view your account online at www.smithbarney.com.

Securities purchased or sold are included or excluded in this section as of the trade-date. This section may include securities that have not settled as of this statement closing date. Please see the "Unsettled Purchases/Sales" section for more information. Dividend yield is the estimated annual income, assuming the current dividend, divided by the security's market price at the end of the statement period. We do not guarantee the accuracy of the prices reflected on the statement nor do these prices represent levels at which securities can be bought or sold.

Please Note: *unrealized gain/(loss) is being shown for informational purposes only and should not be used for tax preparation without the assistance of your tax advisor.*

Money fund

An investment in a money market fund is neither insured nor guaranteed by the FDIC or any other government agency. Although money market funds seek to preserve the value of your investment at $1.00 per share, there can be no assurance that will occur and it is possible to lose money should the fund value per share fall. Moreover, in some circumstances money market funds may be forced to cease operations when the value of a fund drops below $1.00 per share. In that event, the fund's holdings would be liquidated and distributed to the fund's shareholders. This liquidation process could take up to one month or more. During that time, these funds would not be available to you to support purchases, withdrawals, and if applicable, check writing or ATM debits from your account.

Number of shares	Description	Current value	Accrued dividends	Annualized % dividend yield	Anticipated Income (annualized)
9,676.58	WESTERN ASSET/ CITI PREMIUM U.S. TREASURY RESERVES CLASS A	$ 9,676.58		.01%	$ .96
Total money fund		**$ 9,676.58**	**$ 0.00**	**.01%**	**$ .96**

Common stocks & options

Quantity	Description	Symbol	Date acquired	Cost	Share cost	Current price	Current value	Unrealized gain/(loss)	Average % yield	Anticipated Income (annualized)
79	SEAGATE TECHNOLOGY -USD	STX	11/16/09	$ 1,334.23	$ 16.889	$ 18.19	$ 1,437.01	$ 102.78 ST		
4	WHITE MOUNTAINS INSURANCE GROUP LTD	WTM	11/16/09	1,270.91	317.727	332.66	1,330.64	59.73 ST	.30	4.00
65	AAR CORP	AIR	11/16/09	1,330.20	20.464	22.98	1,493.70	163.50 ST		

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Attachment B MorganStanley SmithBarney

Client Statement

December 1 - December 31, 2009

LAWRENCE M. LEHRNER TTEE ***Account number***

Common stocks & options *continued*

Quantity	Description	Symbol	Date acquired	Cost	Share cost	Current price	Current value	Unrealized gain/(loss)	Average % yield	Anticipated Income (annualized)
37	ACTUANT CORP CLASS A	ATU	12/31/09	$ 688.20	$ 18.60	$ 18.53	$ 685.61	($ 2.59) ST	.215%	$ 1.48
22	ALLERGAN INC	AGN	11/16/09	1,317.32	59.878	63.01	1,386.22	68.90 ST	.317	4.40
26	AMERCO	UHAL	12/30/09	1,339.00	51.50	49.72	1,292.72	(46.28) ST		
20	ANADARKO PETROLEUM CORP	APC	11/16/09	1,290.43	64.521	62.42	1,248.40	(42.03) ST	.576	7.20
14	BANK OF HAWAII CORP	BOH	12/18/09	639.70	45.692	47.06	658.84	19.14 ST	3.824	25.20
117	BREITBURN ENERGY PARTNERS L P UNIT LTD PARTNERSHIP INT	BBEP	11/16/09	1,345.07	11.496	10.59	1,239.03	(106.04) ST		
165	CENVEO INC	CVO	11/16/09	1,341.80	8.132	8.75	1,443.75	101.95 ST		
105	CHENIERE ENERGY PARTNERS L P UNIT REPTG LIMITED PARTNER INT	CQP	11/16/09	1,344.37	12.803	12.91	1,355.55	11.18 ST	13.168	178.50
53	CHESAPEAKE ENERGY CORP	CHK	11/16/09	1,335.49	25.198	25.88	1,371.64	36.15 ST	1.159	15.90
49	CHICOS FAS INC	CHS	12/18/09	662.80	13.526	14.05	688.45	25.65 ST		
18	CLEARWATER PAPER CORP	CLW	12/18/09	1,007.84	55.99	54.97	989.46	(18.38) ST		
23	COCA-COLA CO	KO	12/18/09	1,309.03	56.914	57.00	1,311.00	1.97 ST	2.877	37.72
35	COMMUNITY BK SYSTEMS INC	CBU	12/28/09	692.93	19.798	19.31	675.85	(17.08) ST	4.557	30.80
30	COMPUTER PROGRAMS & SYS INC	CPSI	12/18/09	1,330.86	44.362	46.05	1,381.50	50.64 ST	3.127	43.20
253	CONSECO INC NEW	CNO	11/16/09	1,342.14	5.304	5.00	1,265.00	(77.14) ST		
14	CONSOLIDATED EDISON INC	ED	12/18/09	636.39	45.456	45.43	636.02	(.37) ST	5.194	33.04
78	EMERITUS CORP	ESC	11/16/09	1,332.43	17.082	18.75	1,462.50	130.07 ST		
89	EPICOR SOFTWARE CORP	EPIC	12/18/09	671.93	7.549	7.62	678.18	6.25 ST		
8	ESSEX PROPERTY TRUST INC	ESS	12/30/09	676.80	84.599	83.65	669.20	(7.60) ST	4.925	32.96
28	FLOWERS FOODS INC	FLO	12/18/09	659.62	23.557	23.76	665.28	5.66 ST	2.946	19.60
49	HCC INSURANCE HOLDINGS INC	HCC	12/28/09	1,384.25	28.25	27.97	1,370.53	(13.72) ST	1.93	26.46
51	HANESBRANDS INC	HBI	11/16/09	1,327.84	26.036	24.11	1,229.61	(98.23) ST		
79	INTERACTIVE INTELLIGENCE INC	ININ	12/18/09	1,359.67	17.211	18.45	1,457.55	97.88 ST		

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Attachment B

MorganStanley
SmithBarney

Dow 10012 23 | NASDAQ 2141 12 | S&P 500 1066 19

Forms 1099 for Tax Year 2009
Forms 1099 will be available for download by February 15 2010.

Print

Select: Activity Detail Filters: All Activities Date Range: Date Range View

Activity from 01/01/2009 through 12/31/2009 as of 02/06/2010

Activity Detail - Check Reorder E-mail

Date	Activity	Quantity	Description	Price	Amount	Check
12/31/2009	REINVEST	0 2200	WESTERN ASSET/ CITI PREMIUM U S TREASURY RESERVES CLASS A FOR PERIOD 12/01/09-01/03/10 34 DAYS AVERAGE YIELD 01 %	1 000	- 22	
12/31/2009	AUTOINVEST	531 6500	WESTERN ASSET/ CITI PREMIUM U S TREASURY RESERVES CLASS A	1 000	531 65	
12/29/2009	AUTOINVEST	2 7800	WESTERN ASSET/ CITI PREMIUM U S TREASURY RESERVES CLASS A	1 000	2 78	
12/28/2009	BOUGHT	35 0000	COMMUNITY BK SYSTEMS INC MorganStanley SmithBarney LLC acted as your agent in this transaction	19 798	-692 93	
12/28/2009	SOLD	-62 0000	PSYCHIATRIC SOLUTIONS INC MorganStanley SmithBarney LLC acted as your agent in this transaction	21 764	1,349 33	
12/28/2009	DIVIDEND	0 0000	COACH INC CASH DIV ON 37 0000 SHS X/D 12/03/09	0 000	2 78	
12/28/2009	SOLD	-65 0000	BROWN SHOE INC NEW MorganStanley SmithBarney LLC acted as your agent in this transaction	10 032	652 08	
12/28/2009	SOLD	-89 0000	MONSTER WORLDWIDE INC MorganStanley SmithBarney LLC acted as your agent in this transaction	17 494	1,556 93	
12/28/2009	SOLD	-105 0000	CEPHEID INC MorganStanley SmithBarney LLC acted as your agent in this transaction	12 745	1,338 15	
12/28/2009	SOLD	-97 0000	HELIX ENERGY SOLUTIONS GROUP MorganStanley SmithBarney LLC acted as your agent in this transaction	12 115	1,175 09	
12/28/2009	SOLD	-114 0000	ADVANCE AMERICA CASH ADVANCE CENTERS INC MorganStanley SmithBarney LLC acted as your agent	5 840	665 79	
12/28/2009	BOUGHT	27 0000	ROBBINS & MYERS INC MorganStanley SmithBarney LLC acted as your agent in this transaction	24 948	-673 60	
12/28/2009	BOUGHT	51 0000	JANUS CAPITAL GROUP INC MorganStanley SmithBarney LLC acted as your agent in this transaction	13 580	-692 58	
12/28/2009	SOLD	-26 0000	GOODRICH PETE CORP NEW MorganStanley SmithBarney LLC acted as your agent in this transaction	26 215	681 58	
12/28/2009	BOUGHT	49 0000	HCC INSURANCE HOLDINGS INC MorganStanley SmithBarney LLC acted as your agent in this transaction	28 250	-1,384 25	
12/28/2009	SOLD	-84 0000	GENERAL ELECTRIC CO MorganStanley SmithBarney LLC acted as your agent	15 382	1,292 03	

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Date	Activity	Quantity	Description	Price	Amount	Check
			in this transaction			
12/28/2009	SOLD	-37 0000	COACH INC MorganStanley SmithBarney LLC acted as your agent in this transaction	37 262	1,378 67	
12/28/2009	BOUGHT	18 0000	OGE ENERGY CORP MorganStanley SmithBarney LLC acted as your agent in this transaction	37 434	-673 82	
12/24/2009	SOLD	-154 0000	MOTOROLA INC DE MorganStanley SmithBarney LLC acted as your agent in this transaction	7 990	1,230 42	
12/24/2009	BOUGHT	36 0000	TYLER TECHNOLOGIES INC MorganStanley SmithBarney LLC acted as your agent in this transaction	19 410	-698 77	
12/24/2009	REDEMPTION	-770 6000	WESTERN ASSET/ CITI PREMIUM U S TREASURY RESERVES CLASS A	1 000	-770 60	
12/23/2009	DIVIDEND	0 0000	ANADARKO PETROLEUM CORP CASH DIV ON 20 0000 SHS X/D 12/07/09	0 000	1 80	
12/23/2009	REDEMPTION	-14661 6300	WESTERN ASSET/ CITI PREMIUM U S TREASURY RESERVES CLASS A	1 000	-14,661 63	
12/22/2009	AUTOINVEST	135 1200	WESTERN ASSET/ CITI PREMIUM U S TREASURY RESERVES CLASS A	1 000	135 12	
12/21/2009	BOUGHT	27 0000	SYNNEX CORP MorganStanley SmithBarney LLC acted as your agent in this transaction	28 541	-770 60	
12/21/2009	REV SPLIT	5 0000	STEAK N SHAKE COMPANY	0 000	00	
12/21/2009	REV SPLIT	-109 0000	STEAK N SHAKE COMPANY	0 000	00	
12/21/2009	REV SPLIT	0 0000	STEAK N SHAKE COMPANY	0 000	135 12	
12/18/2009	BOUGHT	114 0000	ADVANCE AMERICA CASH ADVANCE CENTERS INC MorganStanley SmithBarney LLC acted as your agent	5 909	-673 63	
12/18/2009	BOUGHT	35 0000	TYLER TECHNOLOGIES INC MorganStanley SmithBarney LLC acted as your agent in this transaction	19 017	-665 61	
12/18/2009	BOUGHT	49 0000	CHICOS FAS INC MorganStanley SmithBarney LLC acted as your agent in this transaction	13 526	-662 80	
12/18/2009	BOUGHT	34 0000	TEREX CORP NEW MorganStanley SmithBarney LLC acted as your agent in this transaction	19 386	-659 14	
12/18/2009	BOUGHT	23 0000	COCA-COLA CO MorganStanley SmithBarney LLC acted as your agent in this transaction	56 914	-1,309 03	
12/18/2009	BOUGHT	43 0000	RICK'S CABARET INTERNATIONAL INC -NEW- MorganStanley SmithBarney LLC acted as your agent	7 777	-334 42	
12/18/2009	BOUGHT	65 0000	BROWN SHOE INC NEW MorganStanley SmithBarney LLC acted as your agent in this transaction	10 396	-675 74	
12/18/2009	BOUGHT	9 0000	LUFKIN INDUSTRIES INC MorganStanley SmithBarney LLC acted as your agent in this transaction	68 651	-617 86	
12/18/2009	BOUGHT	24 0000	VALEANT PHARMACEUTICALS INTL MorganStanley SmithBarney LLC acted as your agent	31 608	-758 59	
12/18/2009	BOUGHT	79 0000	INTERACTIVE INTELLIGENCE INC MorganStanley SmithBarney LLC acted as your agent in this transaction	17 211	-1,359 67	

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Date	Activity	Quantity	Description	Price	Amount	Check
12/18/2009	BOUGHT	18 0000	CLEARWATER PAPER CORP MorganStanley SmithBarney LLC acted as your agent in this transaction	55 991	-1,007 84	
12/18/2009	BOUGHT	26 0000	GOODRICH PETE CORP NEW MorganStanley SmithBarney LLC acted as your agent in this transaction	25 464	-662 06	
12/18/2009	BOUGHT	105 0000	CEPHEID INC MorganStanley SmithBarney LLC acted as your agent in this transaction	12 748	-1,338 54	
12/18/2009	BOUGHT	28 0000	FLOWERS FOODS INC MorganStanley SmithBarney LLC acted as your agent in this transaction	23 558	-659 62	
12/18/2009	BOUGHT	14 0000	BANK OF HAWAII CORP MorganStanley SmithBarney LLC acted as your agent in this transaction	45 692	-639 70	
12/18/2009	BOUGHT	89 0000	EPICOR SOFTWARE CORP MorganStanley SmithBarney LLC acted as your agent in this transaction	7 550	-671 93	
12/18/2009	BOUGHT	14 0000	CONSOLIDATED EDISON INC MorganStanley SmithBarney LLC acted as your agent in this transaction	45 456	-636 39	
12/18/2009	BOUGHT	30 0000	COMPUTER PROGRAMS & SYS INC MorganStanley SmithBarney LLC acted as your agent in this transaction	44 362	-1,330 86	
12/16/2009	AUTOINVEST	24 9000	WESTERN ASSET/ CITI PREMIUM U S TREASURY RESERVES CLASS A	1 000	24 90	
12/15/2009	AUTOINVEST	3 4000	WESTERN ASSET/ CITI PREMIUM U S TREASURY RESERVES CLASS A	1 000	3 40	
12/15/2009	DIVIDEND	0 0000	PENNSYLVANIA REAL ESTATE INVESMENT TRUST SBI CASH DIV ON 166 0000 SHS X/D 11/27/09	0 000	24 90	
12/14/2009	CHARGE	0 0000	WESTERN ASSET/ CITI PREMIUM U S TREASURY RESERVES CLASS A MUTUAL FUND FEE CREDIT	0 000	3 40	

1 | 2 | 3 | 4 | 5 | 6 | 7 | 8 | 9 | 10 [11-15] Next ▸

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Important Information for Holders of Auction Rate Securities

For more information, please contact your Financial Advisor

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Attachment B

MorganStanley
SmithBarney

Dow 10012 23 | NASDAQ 2141 12 | S&P 500 1066 19

Forms 1099 for Tax Year 2009
Forms 1099 will be available for download by February 15, 2010

Print

Select: Activity Detail **Filters:** All Activities **Date Range:** Date Range View

Activity from 01/01/2009 through 12/31/2009 as of 02/06/2010

Activity Detail - Check Reorder E-mail

Date	Activity	Quantity	Description	Price	Amount	Check
12/11/2009	AUTOINVEST	2 7200	WESTERN ASSET/ CITI PREMIUM U S TREASURY RESERVES CLASS A	1 000	2 72	
12/10/2009	DIVIDEND	0 0000	WYNDHAM WORLDWIDE CORP CASH DIV ON 68 0000 SHS X/D 11/20/09	0 000	2 72	
12/04/2009	REDEMPTION	-128 9200	WESTERN ASSET/ CITI PREMIUM U S TREASURY RESERVES CLASS A	1 000	-128 92	
12/01/2009	BOUGHT	148 0000	OCWEN FINL CORP (NEW) MorganStanley SmithBarney LLC acted as your agent	9 257	-1,369 99	
12/01/2009	SOLD	-100 0000	PATRIOT COAL CORP MorganStanley SmithBarney LLC acted as your agent in this transaction	12 411	1,241 07	
11/30/2009	REINVEST	0 3600	WESTERN ASSET/ CITI PREMIUM U S TREASURY RESERVES CLASS A FOR PERIOD 11/02/09-11/30/09 29 DAYS AVERAGE YIELD 01 %	1 000	- 36	
11/24/2009	AUTOINVEST	3 4800	WESTERN ASSET/ CITI PREMIUM U S TREASURY RESERVES CLASS A	1 000	3 48	
11/23/2009	CHARGE	0 0000	WESTERN ASSET/ CITI PREMIUM U S TREASURY RESERVES CLASS A MUTUAL FUND FEE CREDIT	0 000	3 48	
11/20/2009	REDEMPTION	-254 0400	WESTERN ASSET/ CITI PREMIUM U S TREASURY RESERVES CLASS A	1 000	-254 04	
11/20/2009	FEE	0 0000	INVESTMENT AND MGMT SERVICES FROM 11/01/09 TO 01/31/10	0 000	-254 04	
11/19/2009	REDEMPTION	-42598 0800	WESTERN ASSET/ CITI PREMIUM U S TREASURY RESERVES CLASS A	1 000	-42,598 08	
11/17/2009	AUTOINVEST	92 6500	WESTERN ASSET/ CITI PREMIUM U S TREASURY RESERVES CLASS A	1 000	92 65	
11/17/2009	CASH IN LIEU	0 0000	KINDER MORGAN MANAGEMENT LLC CASH IN LIEU OF 00072 RECORD 10/30/09 PAY 11/13/09	0 000	03	
11/16/2009	BOUGHT	89 0000	MONSTER WORLDWIDE INC MorganStanley SmithBarney LLC acted as your agent in this transaction	14 944	-1,330 00	
11/16/2009	BOUGHT	46 0000	NII HLDGS INC CLASS B NEW MorganStanley SmithBarney LLC acted as your agent in this transaction	29 010	-1,334 44	
11/16/2009	DIVIDEND	0 0000	CHENIERE ENERGY PARTNERS L P UNIT REPTG LIMITED PARTNER INT CASH DIV ON 218 0000 SHS X/D 10/29/09	0 000	92 65	
11/16/2009	BOUGHT	45 0000	JARDEN CORP MorganStanley SmithBarney LLC acted as your agent in this transaction	29 850	-1,343 27	
11/16/2009	BOUGHT	51 0000	HANESBRANDS INC MorganStanley SmithBarney LLC acted as your agent in this transaction	26 036	-1,327 84	

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Date	Activity	Quantity	Description	Price	Amount	Check
11/16/2009	BOUGHT	39 0000	OWENS ILLINOIS INC NEW MorganStanley SmithBarney LLC acted as your agent in this transaction	34 088	-1,329 43	
11/16/2009	BOUGHT	78 0000	EMERITUS CORP MorganStanley SmithBarney LLC acted as your agent in this transaction	17 082	-1,332 43	
11/16/2009	BOUGHT	37 0000	COACH INC MorganStanley SmithBarney LLC acted as your agent in this transaction	36 348	-1,344 88	
11/16/2009	BOUGHT	105 0000	CHENIERE ENERGY PARTNERS L P UNIT REPTG LIMITED PARTNER INT MorganStanley SmithBarney LLC acted as your agent	12 804	-1,344 37	
11/16/2009	BOUGHT	68 0000	WYNDHAM WORLDWIDE CORP MorganStanley SmithBarney LLC acted as your agent in this transaction	19 768	-1,344 22	
11/16/2009	BOUGHT	134 0000	OCWEN FINL CORP (NEW) MorganStanley SmithBarney LLC acted as your agent	9 988	-1,338 46	
11/16/2009	BOUGHT	79 0000	SEAGATE TECHNOLOGY -USD MorganStanley SmithBarney LLC acted as your agent	16 889	-1,334 23	
11/16/2009	BOUGHT	154 0000	MOTOROLA INC DE MorganStanley SmithBarney LLC acted as your agent in this transaction	8 730	-1,344 37	
11/16/2009	BOUGHT	39 0000	VALEANT PHARMACEUTICALS INTL MorganStanley SmithBarney LLC acted as your agent	33 966	-1,324 69	
11/16/2009	BOUGHT	26 0000	KINDER MORGAN MANAGEMENT LLC MorganStanley SmithBarney LLC acted as your agent in this transaction	48 826	-1,269 48	
11/16/2009	BOUGHT	31 0000	UNITED THERAPEUTICS CORP MorganStanley SmithBarney LLC acted as your agent in this transaction	42 936	-1,331 02	
11/16/2009	BOUGHT	97 0000	HELIX ENERGY SOLUTIONS GROUP MorganStanley SmithBarney LLC acted as your agent in this transaction	13 787	-1,337 38	
11/16/2009	BOUGHT	86 0000	UDR INC MorganStanley SmithBarney LLC acted as your agent in this transaction	15 575	-1,339 46	
11/16/2009	BOUGHT	84 0000	GENERAL ELECTRIC CO MorganStanley SmithBarney LLC acted as your agent in this transaction	15 978	-1,342 15	
11/16/2009	BOUGHT	44 0000	SYNNEX CORP MorganStanley SmithBarney LLC acted as your agent in this transaction	30 199	-1,328 77	
11/16/2009	BOUGHT	253 0000	CONSECO INC NEW MorganStanley SmithBarney LLC acted as your agent in this transaction	5 305	-1,342 14	
11/16/2009	BOUGHT	109 0000	STEAK N SHAKE COMPANY MorganStanley SmithBarney LLC acted as your agent in this transaction	12 306	-1,341 39	
11/16/2009	BOUGHT	53 0000	CHESAPEAKE ENERGY CORP MorganStanley SmithBarney LLC acted as your agent in this transaction	25 198	-1,335 49	
11/16/2009	BOUGHT	62 0000	PSYCHIATRIC SOLUTIONS INC MorganStanley SmithBarney LLC acted as your agent	21 668	-1,343 43	

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Date	Activity	Quantity	Description	Price	Amount	Check
			in this transaction			
11/16/2009	BOUGHT	165 0000	CENVEO INC MorganStanley SmithBarney LLC acted as your agent *in this transaction*	8 132	-1,341 80	
11/16/2009	BOUGHT	117 0000	BREITBURN ENERGY PARTNERS L P UNIT LTD PARTNERSHIP INT MorganStanley SmithBarney LLC acted as your agent	11 496	-1,345 07	
11/16/2009	BOUGHT	100 0000	PATRIOT COAL CORP MorganStanley SmithBarney LLC acted as your agent *in this transaction*	13 380	-1,338 00	
11/16/2009	BOUGHT	20 0000	ANADARKO PETROLEUM CORP MorganStanley SmithBarney LLC acted as your agent in this transaction	64 521	-1,290 43	
11/16/2009	BOUGHT	22 0000	ALLERGAN INC MorganStanley SmithBarney LLC acted as your agent in this transaction	59 878	-1,317 32	
11/16/2009	BOUGHT	65 0000	AAR CORP MorganStanley SmithBarney LLC acted as your agent in this transaction	20 465	-1,330 20	
11/16/2009	BOUGHT	4 0000	WHITE MOUNTAINS INSURANCE GROUP LTD MorganStanley SmithBarney LLC acted as your agent	317 727	-1,270 91	
11/16/2009	BOUGHT	166 0000	PENNSYLVANIA REAL ESTATE INVESMENT TRUST SBI MorganStanley SmithBarney LLC acted as your agent	8 128	-1,349 31	
11/16/2009	BOUGHT	19 0000	WALTER ENERGY INC MorganStanley SmithBarney LLC acted as your agent in this transaction	70 091	-1,331 73	
11/13/2009	DIVIDEND	1 0000	KINDER MORGAN MANAGEMENT LLC STK DIV ON 47 SHS	0 000	00	
11/05/2009	AUTOINVEST	65312 0300	WESTERN ASSET/ CITI PREMIUM U S TREASURY RESERVES CLASS A	1 000	65,312 03	
10/30/2009	SOLD	-83 0000	JARDEN CORP MorganStanley SmithBarney LLC acted as your agent in this transaction	28 223	2,342 46	
10/30/2009	SOLD	-145 0000	SEAGATE TECHNOLOGY -USD MorganStanley SmithBarney LLC acted as your agent	14 450	2,095 25	

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Select: Activity Detail **Filters:** All Activities **Date Range:** Date Range View

Activity from 01/01/2009 through 12/31/2009 as of 02/06/2010

Activity Detail

Check Reorder E-mail

Date	Activity	Quantity	Description	Price	Amount	Check
10/30/2009	SOLD	-218 0000	CHENIERE ENERGY PARTNERS L P UNIT REPTG LIMITED PARTNER INT MorganStanley SmithBarney LLC acted as your agent	11 043	2,407 28	
10/30/2009	SOLD	-37 0000	WALTER ENERGY INC MorganStanley SmithBarney LLC acted as your agent in this transaction	60 178	2,226 51	
10/30/2009	SOLD	-96 0000	EMERITUS CORP MorganStanley SmithBarney LLC acted as your agent in this transaction	20 496	1,967 57	
10/30/2009	SOLD	-75 0000	VALEANT PHARMACEUTICALS INTL MorganStanley SmithBarney LLC acted as your agent	29 394	2,204 51	
10/30/2009	SOLD	-12 0000	ALLERGAN INC MorganStanley SmithBarney LLC acted as your agent in this transaction	55 663	667 93	
10/30/2009	SOLD	-53 0000	UNITED THERAPEUTICS CORP MorganStanley SmithBarney LLC acted as your agent in this transaction	43 190	2,289 02	
10/30/2009	SOLD	-99 0000	HANESBRANDS INC MorganStanley SmithBarney LLC acted as your agent in this transaction	22 055	2,183 35	
10/30/2009	SOLD	-152 0000	UDR INC MorganStanley SmithBarney LLC acted as your agent in this transaction	14 237	2,164 02	
10/30/2009	SOLD	-66 0000	COACH INC MorganStanley SmithBarney LLC acted as your agent in this transaction	33 428	2,206 19	
10/30/2009	SOLD	-76 0000	SYNNEX CORP MorganStanley SmithBarney LLC acted as your agent in this transaction	26 201	1,991 20	
10/30/2009	SOLD	-182 0000	BREITBURN ENERGY PARTNERS L P UNIT LTD PARTNERSHIP INT MorganStanley SmithBarney LLC acted as your agent	11 567	2,105 13	
10/30/2009	SOLD	-201 0000	STEAK N SHAKE COMPANY MorganStanley SmithBarney LLC acted as your agent in this transaction	12 068	2,425 60	
10/30/2009	SOLD	-47 0000	KINDER MORGAN MANAGEMENT LLC MorganStanley SmithBarney LLC acted as your agent in this transaction	47 532	2,233 93	
10/30/2009	SOLD	-84 0000	PSYCHIATRIC SOLUTIONS INC MorganStanley SmithBarney LLC acted as your agent in this transaction	20 324	1,707 15	

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Date	Activity	Quantity	Description	Price	Amount	Check
10/30/2009	SOLD	-147 0000	HELIX ENERGY SOLUTIONS GROUP MorganStanley SmithBarney LLC *acted as your agent* in this transaction	13 942	2,049 43	
10/30/2009	SOLD	-286 0000	PENNSYLVANIA REAL ESTATE INVESMENT TRUST SBI *MorganStanley SmithBarney* LLC acted as your agent	7 534	2,154 56	
10/30/2009	SOLD	-139 0000	GENERAL ELECTRIC CO MorganStanley SmithBarney LLC *acted as your agent* in this transaction	14 770	2,052 97	
10/30/2009	SOLD	-176 0000	PATRIOT COAL CORP MorganStanley SmithBarney LLC *acted as your agent* in this transaction	11 950	2,103 21	
10/30/2009	SOLD	-369 0000	CONSECO INC NEW MorganStanley SmithBarney LLC *acted as your agent* in this transaction	5 409	1,995 93	
10/30/2009	SOLD	-60 0000	OWENS ILLINOIS INC NEW MorganStanley SmithBarney LLC *acted as your agent* in this transaction	32 581	1,954 79	
10/30/2009	SOLD	-82 0000	CHESAPEAKE ENERGY CORP MorganStanley SmithBarney LLC *acted as your agent* in this transaction	25 510	2,091 78	
10/30/2009	SOLD	-197 0000	OCWEN FINL CORP (NEW) *MorganStanley SmithBarney LLC* acted as your agent	10 804	2,128 43	
10/30/2009	SOLD	-275 0000	CENVEO INC MorganStanley SmithBarney LLC acted as your agent *in this transaction*	7 117	1,957 09	
10/30/2009	SOLD	-75 0000	NII HLDGS INC CLASS B NEW MorganStanley SmithBarney LLC acted as your agent in this transaction	27 594	2,069 46	
10/30/2009	SOLD	-33 0000	ANADARKO PETROLEUM CORP MorganStanley SmithBarney LLC acted as your agent in this transaction	63 110	2,082 57	
10/30/2009	SOLD	-277 0000	MOTOROLA INC DE MorganStanley SmithBarney LLC acted as your agent in this transaction	8 676	2,403 10	
10/30/2009	REINVEST	0 3600	WESTERN ASSET/ CITI PREMIUM U S TREASURY RESERVES CLASS A FOR PERIOD 10/01/09-11/01/09 32 DAYS AVERAGE YIELD 01 %	1 000	- 36	
10/30/2009	SOLD	-101 0000	AAR CORP MorganStanley SmithBarney LLC *acted as your agent* in this transaction	19 815	2,001 30	
10/30/2009	SOLD	-7 0000	WHITE MOUNTAINS INSURANCE GROUP LTD MorganStanley SmithBarney LLC acted as your agent	307 314	2,151 14	
10/30/2009	SOLD	-41 0000	MONSTER WORLDWIDE INC MorganStanley SmithBarney LLC acted as your agent in this transaction	15 580	638 78	
10/30/2009	SOLD	-127 0000	WYNDHAM WORLDWIDE CORP MorganStanley SmithBarney LLC acted as your agent in this transaction	17 799	2,260 37	
10/27/2009	AUTOINVEST	12 9000	WESTERN ASSET/ CITI PREMIUM U S TREASURY RESERVES CLASS A	1 000	12 90	
10/26/2009	DIVIDEND	0 0000	GENERAL ELECTRIC CO CASH DIV ON 129 0000 SHS X/D 09/17/09	0 000	12 90	
10/19/2009	REDEMPTION	-59804 3000	WESTERN ASSET/ CITI PREMIUM U S TREASURY RESERVES CLASS A	1 000	-59,804 30	

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Date	Activity	Quantity	Description	Price	Amount	Check
10/19/2009	CHARGE	0 0000	WESTERN ASSET/ CITI PREMIUM U S TREASURY RESERVES CLASS A MUTUAL FUND FEE CREDIT	0 000	1 07	
10/14/2009	BOUGHT	96 0000	EMERITUS CORP MorganStanley SmithBarney LLC acted as your agent in this transaction	24 240	-2,327 09	
10/14/2009	BOUGHT	83 0000	JARDEN CORP MorganStanley SmithBarney LLC acted as your agent in this transaction	28 160	-2,337 26	
10/14/2009	BOUGHT	218 0000	CHENIERE ENERGY PARTNERS L P UNIT REPTG LIMITED PARTNER INT MorganStanley SmithBarney LLC acted as your agent	10 904	-2,377 14	
10/14/2009	REDEMPTION	-11439 8100	WESTERN ASSET/ CITI PREMIUM U S TREASURY RESERVES CLASS A	1 000	-11,439 81	
10/14/2009	BOUGHT	41 0000	MONSTER WORLDWIDE INC MorganStanley SmithBarney LLC acted as your agent in this transaction	17 888	-733 43	
10/14/2009	BOUGHT	127 0000	WYNDHAM WORLDWIDE CORP MorganStanley SmithBarney LLC acted as your agent in this transaction	18 354	-2,331 01	
10/14/2009	BOUGHT	99 0000	HANESBRANDS INC MorganStanley SmithBarney LLC acted as your agent in this transaction	23 697	-2,346 01	
10/14/2009	BOUGHT	37 0000	WALTER ENERGY INC MorganStanley SmithBarney LLC acted as your agent in this transaction	62 420	-2,309 53	
10/14/2009	BOUGHT	66 0000	COACH INC MorganStanley SmithBarney LLC acted as your agent in this transaction	35 095	-2,316 30	
10/14/2009	BOUGHT	26 0000	VALEANT PHARMACEUTICALS INTL MorganStanley SmithBarney LLC acted as your agent	31 277	-813 19	
10/14/2009	BOUGHT	182 0000	BREITBURN ENERGY PARTNERS L P UNIT LTD PARTNERSHIP INT MorganStanley SmithBarney LLC acted as your agent	12 972	-2,360 87	
10/14/2009	BOUGHT	53 0000	UNITED THERAPEUTICS CORP MorganStanley SmithBarney LLC acted as your agent in this transaction	44 340	-2,350 02	
10/14/2009	BOUGHT	7 0000	WHITE MOUNTAINS INSURANCE GROUP LTD MorganStanley SmithBarney LLC acted as your agent	325 260	-2,276 82	
10/14/2009	BOUGHT	152 0000	UDR INC MorganStanley SmithBarney LLC acted as your agent in this transaction	15 428	-2,345 07	
10/14/2009	BOUGHT	18 0000	KINDER MORGAN MANAGEMENT LLC MorganStanley SmithBarney LLC acted as your agent in this transaction	49 166	-884 99	

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Activity from 01/01/2009 through 12/31/2009 as of 02/06/2010.

Activity Detail - Check Reorder E-mail

Date	Activity	Quantity	Description	Price	Amount	Check
10/14/2009	BOUGHT	76 0000	SYNNEX CORP MorganStanley SmithBarney LLC acted as your agent in this transaction	30 767	-2,338 26	
10/14/2009	BOUGHT	147 0000	HELIX ENERGY SOLUTIONS GROUP MorganStanley SmithBarney LLC acted as your agent in this transaction	15 944	-2,343 83	
10/14/2009	BOUGHT	201 0000	STEAK N SHAKE COMPANY MorganStanley SmithBarney LLC acted as your agent in this transaction	11 717	-2,355 04	
10/14/2009	BOUGHT	139 0000	GENERAL ELECTRIC CO MorganStanley SmithBarney LLC acted as your agent in this transaction	16 830	-2,339 37	
10/14/2009	BOUGHT	84 0000	PSYCHIATRIC SOLUTIONS INC MorganStanley SmithBarney LLC acted as your agent in this transaction	27 927	-2,345 89	
10/14/2009	BOUGHT	369 0000	CONSECO INC NEW MorganStanley SmithBarney LLC acted as your agent in this transaction	6 373	-2,351 64	
10/14/2009	BOUGHT	286 0000	PENNSYLVANIA REAL ESTATE INVESMENT TRUST SBI MorganStanley SmithBarney LLC acted as your agent	8 254	-2,360 76	
10/14/2009	BOUGHT	82 0000	CHESAPEAKE ENERGY CORP MorganStanley SmithBarney LLC acted as your agent in this transaction	28 550	-2,341 09	
10/14/2009	BOUGHT	176 0000	PATRIOT COAL CORP MorganStanley SmithBarney LLC acted as your agent in this transaction	13 297	-2,340 31	
10/14/2009	BOUGHT	4 0000	CENVEO INC MorganStanley SmithBarney LLC acted as your agent in this transaction	8 555	-34 22	
10/14/2009	BOUGHT	60 0000	OWENS ILLINOIS INC NEW MorganStanley SmithBarney LLC acted as your agent in this transaction	38 635	-2,318 12	
10/14/2009	BOUGHT	33 0000	ANADARKO PETROLEUM CORP MorganStanley SmithBarney LLC acted as your agent in this transaction	69 146	-2,281 80	
10/14/2009	BOUGHT	197 0000	OCWEN FINL CORP (NEW) MorganStanley SmithBarney LLC acted as your agent	11 903	-2,344 81	
10/14/2009	BOUGHT	101 0000	AAR CORP MorganStanley SmithBarney LLC acted as your agent in this transaction	23 166	-2,339 73	

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Date	Activity	Quantity	Description	Price	Amount	Check
10/14/2009	BOUGHT	75 0000	NII HLDGS INC CLASS B NEW MorganStanley SmithBarney LLC acted as your agent in this transaction	30 908	-2,318 12	
10/14/2009	BOUGHT	145 0000	SEAGATE TECHNOLOGY -USD MorganStanley SmithBarney LLC acted as your agent	16 102	-2,334 78	
10/14/2009	BOUGHT	277 0000	MOTOROLA INC DE MorganStanley SmithBarney LLC acted as your agent in this transaction	8 440	-2,337 82	
10/14/2009	BOUGHT	12 0000	ALLERGAN INC MorganStanley SmithBarney LLC acted as your agent in this transaction	57 650	-691 80	
10/13/2009	SOLD	-4 0000	WHITE MOUNTAINS INSURANCE GROUP LTD MorganStanley SmithBarney LLC acted as your agent	321 514	1,286 01	
10/13/2009	SOLD	-37 0000	OWENS ILLINOIS INC NEW MorganStanley SmithBarney LLC acted as your agent in this transaction	38 414	1,421 28	
10/13/2009	SOLD	-217 0000	CHENIERE ENERGY PARTNERS L P UNIT REPTG LIMITED PARTNER INT MorganStanley SmithBarney LLC acted as your agent	10 431	2,263 47	
10/13/2009	SOLD	-81 0000	WYNDHAM WORLDWIDE CORP MorganStanley SmithBarney LLC acted as your agent in this transaction	17 902	1,449 99	
10/08/2009	AUTOINVEST	6330 4800	WESTERN ASSET/ CITI PREMIUM U S TREASURY RESERVES CLASS A	1 000	6,330 48	
10/08/2009	BOUGHT	217 0000	CHENIERE ENERGY PARTNERS L P UNIT REPTG LIMITED PARTNER INT MorganStanley SmithBarney LLC acted as your agent	10 211	-2,215 79	
10/08/2009	BOUGHT	29 0000	KINDER MORGAN MANAGEMENT LLC MorganStanley SmithBarney LLC acted as your agent in this transaction	48 820	-1,415 78	
10/08/2009	BOUGHT	4 0000	WHITE MOUNTAINS INSURANCE GROUP LTD MorganStanley SmithBarney LLC acted as your agent	317 198	-1,268 79	
10/08/2009	BOUGHT	37 0000	OWENS ILLINOIS INC NEW MorganStanley SmithBarney LLC acted as your agent in this transaction	38 833	-1,436 81	
10/08/2009	BOUGHT	81 0000	WYNDHAM WORLDWIDE CORP MorganStanley SmithBarney LLC acted as your agent in this transaction	18 008	-1,458 66	
10/08/2009	BOUGHT	49 0000	VALEANT PHARMACEUTICALS INTL MorganStanley SmithBarney LLC acted as your agent	29 658	-1,453 24	
10/08/2009	BOUGHT	271 0000	CENVEO INC MorganStanley SmithBarney LLC acted as your agent in this transaction	8 084	-2,190 74	
10/06/2009	REDEMPTION	-6537 0700	WESTERN ASSET/ CITI PREMIUM U S TREASURY RESERVES CLASS A	1 000	-6,537 07	
10/02/2009	SOLD	-58 0000	MARSH & MCLENNAN COS INC MorganStanley SmithBarney LLC acted as your agent in this transaction	24 150	1,400 68	
10/02/2009	SOLD	-15 0000	UNITED THERAPEUTICS CORP MorganStanley SmithBarney LLC acted as your agent in this transaction	46 730	700 93	

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Date	Activity	Quantity	Description	Price	Amount	Check
10/02/2009	SOLD	-43 0000	MONSTER WORLDWIDE INC MorganStanley SmithBarney LLC acted as your agent in this transaction	16 240	698 30	
10/02/2009	SOLD	-50 0000	SEAGATE TECHNOLOGY -USD MorganStanley SmithBarney LLC acted as your agent	14 392	719 60	
10/02/2009	AUTOINVEST	82 5600	WESTERN ASSET/ CITI PREMIUM U S TREASURY RESERVES CLASS A	1 000	82 56	
10/02/2009	SOLD	-88 0000	INVENTIV HEALTH INC MorganStanley SmithBarney LLC acted as your agent in this transaction	16 052	1,412 58	
10/02/2009	SOLD	-34 0000	SRA INTERNATIONAL INC CLASS A MorganStanley SmithBarney LLC acted as your agent in this transaction	20 804	707 31	
10/02/2009	SOLD	-53 0000	TRAVELZOO INC MorganStanley SmithBarney LLC acted as your agent in this transaction	13 040	691 08	
10/01/2009	BOUGHT	43 0000	MONSTER WORLDWIDE INC MorganStanley SmithBarney LLC acted as your agent in this transaction	16 745	-720 04	
10/01/2009	DIVIDEND	0 0000	PENNANTPARK INVT CORP CASH DIV ON 344 0000 SHS X/D 09/03/09	0 000	82 56	
10/01/2009	BOUGHT	88 0000	INVENTIV HEALTH INC MorganStanley SmithBarney LLC acted as your agent in this transaction	16 598	-1,460 67	
10/01/2009	BOUGHT	15 0000	UNITED THERAPEUTICS CORP MorganStanley SmithBarney LLC acted as your agent in this transaction	48 317	-724 76	
10/01/2009	BOUGHT	58 0000	MARSH & MCLENNAN COS INC MorganStanley SmithBarney LLC acted as your agent in this transaction	24 900	-1,444 19	
10/01/2009	BOUGHT	53 0000	TRAVELZOO INC MorganStanley SmithBarney LLC acted as your agent in this transaction	13 928	-738 18	
10/01/2009	BOUGHT	50 0000	SEAGATE TECHNOLOGY -USD MorganStanley SmithBarney LLC acted as your agent	14 508	-725 40	
10/01/2009	BOUGHT	34 0000	SRA INTERNATIONAL INC CLASS A MorganStanley SmithBarney LLC acted as your agent in this transaction	21 289	-723 83	
10/01/2009	AUTOINVEST	26034 6400	WESTERN ASSET/ CITI PREMIUM U S TREASURY RESERVES CLASS A	1 000	26,034 64	
09/30/2009	REINVEST	0 0600	WESTERN ASSET/ CITI PREMIUM U S TREASURY RESERVES CLASS A FOR PERIOD 09/01/09-09/30/09 30 DAYS AVERAGE YIELD 01 %	1 000	- 06	
09/30/2009	AUTOINVEST	3156 2300	WESTERN ASSET/ CITI PREMIUM U S TREASURY RESERVES CLASS A	1 000	3,156 23	

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Activity from 01/01/2009 through 12/31/2009 as of 02/06/2010

Activity Detail

Check Reorder E-mail

Date	Activity	Quantity	Description	Price	Amount	Check
09/29/2009	AUTOINVEST	6 9800	WESTERN ASSET/ CITI PREMIUM U S TREASURY RESERVES CLASS A	1 000	6 98	
09/28/2009	AUTOINVEST	30868 1300	WESTERN ASSET/ CITI PREMIUM U S TREASURY RESERVES CLASS A	1 000	30,868 13	
09/28/2009	DIVIDEND	0 0000	COACH INC CASH DIV ON 93 0000 SHS X/D 09/03/09	0 000	6 98	
09/28/2009	STK SPLIT	8 0000	UNITED THERAPEUTICS CORP STK SPLIT ON 8 SHS	0 000	00	
09/25/2009	BOUGHT	64 0000	EV ENERGY PARTNERS LP COM UTS REPSTG LTD PART INTEREST MorganStanley SmithBarney LLC acted as your agent	22 873	-1,463 85	
09/25/2009	SOLD	-115 0000	CHENIERE ENERGY PARTNERS L P UNIT REPTG LIMITED PARTNER INT MorganStanley SmithBarney LLC acted as your agent	9 660	1,110 87	
09/25/2009	SOLD	-42 0000	JARDEN CORP MorganStanley SmithBarney LLC acted as your agent in this transaction	26 453	1,111 01	
09/25/2009	SOLD	-37 0000	ZENITH NATIONAL INSURANCE CORP MorganStanley SmithBarney LLC acted as your agent in this transaction	30 760	1,138 09	
09/25/2009	SOLD	-46 0000	COACH INC MorganStanley SmithBarney LLC acted as your agent in this transaction	31 654	1,456 06	
09/25/2009	SOLD	-23 0000	WALTER ENERGY INC MorganStanley SmithBarney LLC acted as your agent in this transaction	57 256	1,316 84	
09/25/2009	SOLD	-40 0000	AAR CORP MorganStanley SmithBarney LLC acted as your agent in this transaction	20 359	814 34	
09/25/2009	SOLD	-98 0000	UDR INC MorganStanley SmithBarney LLC acted as your agent in this transaction	15 126	1,482 28	
09/25/2009	SOLD	-59 0000	MONSTER WORLDWIDE INC MorganStanley SmithBarney LLC acted as your agent in this transaction	17 487	1,031 71	
09/25/2009	SOLD	-24 0000	SYNNEX CORP MorganStanley SmithBarney LLC acted as your agent in this transaction	30 478	731 44	
09/25/2009	SOLD	-5 0000	ISRAMCO INC (NEW) MorganStanley SmithBarney LLC acted as your agent in this transaction	131 963	659 80	
09/25/2009	SOLD	-204 0000	HUNTSMAN CORP MorganStanley SmithBarney LLC acted as your agent in this transaction	8 961	1,828 03	

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Date	Activity	Quantity	Description	Price	Amount	Check
09/25/2009	SOLD	-50 0000	EMERITUS CORP MorganStanley SmithBarney LLC acted as your agent in this transaction	21 537	1,076 83	
09/25/2009	SOLD	-70 0000	SRA INTERNATIONAL INC CLASS A MorganStanley SmithBarney LLC acted as your agent in this transaction	21 519	1,506 27	
09/25/2009	SOLD	-64 0000	EV ENERGY PARTNERS LP COM UTS REPSTG LTD PART INTEREST MorganStanley SmithBarney LLC acted as your agent	22 872	1,463 75	
09/25/2009	SOLD	-227 0000	PENNANTPARK INVT CORP MorganStanley SmithBarney LLC acted as your agent in this transaction	8 114	1,841 74	
09/25/2009	SOLD	-26 0000	CHESAPEAKE ENERGY CORP MorganStanley SmithBarney LLC acted as your agent in this transaction	27 675	719 53	
09/25/2009	SOLD	-49 0000	OWENS ILLINOIS INC NEW MorganStanley SmithBarney LLC acted as your agent in this transaction	36 409	1,784 00	
09/25/2009	SOLD	-13 0000	ALLERGAN INC MorganStanley SmithBarney LLC acted as your agent in this transaction	56 291	731 76	
09/25/2009	SOLD	-101 0000	OCWEN FINL CORP (NEW) MorganStanley SmithBarney LLC acted as your agent	10 852	1,096 02	
09/25/2009	SOLD	-24 0000	SEAGATE TECHNOLOGY -USD MorganStanley SmithBarney LLC acted as your agent	14 900	357 60	
09/25/2009	SOLD	-36 0000	NII HLDGS INC CLASS B NEW MorganStanley SmithBarney LLC acted as your agent in this transaction	29 622	1,066 37	
09/25/2009	SOLD	-222 0000	MOTOROLA INC DE MorganStanley SmithBarney LLC acted as your agent in this transaction	8 070	1,791 49	
09/25/2009	SOLD	-96 0000	HELIX ENERGY SOLUTIONS GROUP MorganStanley SmithBarney LLC acted as your agent in this transaction	14 109	1,362 66	
09/24/2009	AUTOINVEST	2821 8400	WESTERN ASSET/ CITI PREMIUM U S TREASURY RESERVES CLASS A	1 000	2,821 84	
09/24/2009	SOLD	-16 0000	UNITED THERAPEUTICS CORP MorganStanley SmithBarney LLC acted as your agent in this transaction	48 295	772 71	
09/24/2009	BOUGHT	87 0000	HUNTSMAN CORP MorganStanley SmithBarney LLC acted as your agent in this transaction	9 006	-783 71	
09/24/2009	SOLD	-97 0000	STEAK N SHAKE COMPANY MorganStanley SmithBarney LLC acted as your agent in this transaction	11 060	1,072 79	
09/24/2009	SOLD	-18 0000	ANADARKO PETROLEUM CORP MorganStanley SmithBarney LLC acted as your agent in this transaction	62 790	1,130 19	
09/24/2009	SOLD	-85 0000	PATRIOT COAL CORP MorganStanley SmithBarney LLC acted as your agent in this transaction	12 310	1,046 32	
09/24/2009	BOUGHT	94 0000	MOTOROLA INC DE MorganStanley SmithBarney LLC acted as your agent in this transaction	8 305	-780 67	

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Date	Activity	Quantity	Description	Price	Amount	Check
09/24/2009	SOLD	-66 0000	GENERAL ELECTRIC CO MorganStanley SmithBarney LLC acted as your agent in this transaction	17 090	1,127 91	
09/24/2009	SOLD	-29 0000	FLOWERS FOODS INC MorganStanley SmithBarney LLC acted as your agent in this transaction	26 080	756 31	
09/24/2009	BOUGHT	145 0000	PENNANTPARK INVT CORP MorganStanley SmithBarney LLC acted as your agent in this transaction	8 177	-1,185 62	
09/23/2009	BOUGHT	5 0000	ISRAMCO INC (NEW) MorganStanley SmithBarney LLC acted as your agent in this transaction	136 000	-680 00	
09/23/2009	AUTOINVEST	9096 8500	WESTERN ASSET/ CITI PREMIUM U S TREASURY RESERVES CLASS A	1 000	9,096 85	
09/22/2009	SOLD	-13 0000	JUNIPER NETWORKS INC Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	27 720	360 35	
09/22/2009	SOLD	-37 0000	ZENITH NATIONAL INSURANCE CORP Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	30 731	1,137 02	
09/22/2009	SOLD	-59 0000	CONSECO INC NEW Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	5 890	347 51	
09/22/2009	SOLD	-35 0000	WALTER ENERGY INC Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	66 759	2,336 49	
09/22/2009	SOLD	-63 0000	GENERAL ELECTRIC CO Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	17 012	1,071 73	
09/22/2009	SOLD	-6 0000	UNITED THERAPEUTICS CORP WITH DUE BILL SPLT 2: 1 Morgan Stanley Smi th Barney LLC acted as yo	97 392	584 34	
09/22/2009	SOLD	-16 0000	ANADARKO PETROLEUM CORP Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	64 352	1,029 60	
09/22/2009	SOLD	-79 0000	UDR INC Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	15 223	1,202 58	
09/22/2009	SOLD	-115 0000	HUNTSMAN CORP Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	9 537	1,096 69	
09/22/2009	SOLD	-25 0000	SEAGATE TECHNOLOGY -USD Morgan Stanley Smi th Barney LLC acted as yo	15 671	391 76	

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Attachment B

MorganStanley
SmithBarney

Dow 10012 23 | NASDAQ 2141 12 | S&P 500 1066 19

Forms 1099 for Tax Year 2009
Forms 1099 will be available for download by February 15 2010

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Select: Activity Detail **Filters:** All Activities **Date Range:** Date Range View

Activity from 01/01/2009 through 12/31/2009 as of 02/08/2010.

Activity Detail

Check Reorder E-mail

Date	Activity	Quantity	Description	Price	Amount	Check
09/22/2009	SOLD	-120 0000	ENERGY SERVICES OR AMERICA CORP Morgan Stanley Smith Barney LLC acted as yo	2 763	331 55	
09/22/2009	SOLD	-84 0000	STEAK N SHAKE COMPANY Morgan Stanley Smith Barney LLC acted as your agent in this transa	11 600	974 40	
09/22/2009	SOLD	-37 0000	CHESAPEAKE ENERGY CORP Morgan Stanley Smith Barney LLC acted as your agent in this transa	29 160	1,078 89	
09/22/2009	SOLD	-61 0000	SRA INTERNATIONAL INC CLASS A Morgan Stanley Smith Barney LLC acted as your agent in this transa	21 517	1,312 51	
09/22/2009	AUTOINVEST	356 0000	WESTERN ASSET/ CITI PREMIUM U S TREASURY RESERVES CLASS A	1 000	356 00	
09/22/2009	SOLD	-43 0000	PENNSYLVANIA REAL ESTATE INVESMENT TRUST SBI Morgan Stanley Smith Barney LLC acted as yo	8 659	372 34	
09/22/2009	SOLD	-40 0000	JARDEN CORP Morgan Stanley Smith Barney LLC acted as your agent in this transa	26 863	1,074 47	
09/22/2009	SOLD	-85 0000	PENNANTPARK INVT CORP Morgan Stanley Smith Barney LLC acted as your agent in this transa	9 079	771 69	
09/22/2009	SOLD	-63 0000	HELIX ENERGY SOLUTIONS GROUP Morgan Stanley Smith Barney LLC acted as your agent in this transa	15 212	958 32	
09/22/2009	SOLD	-85 0000	PATRIOT COAL CORP Morgan Stanley Smith Barney LLC acted as your agent in this transa	13 143	1,117 13	
09/22/2009	SOLD	-30 0000	FLOWERS FOODS INC Morgan Stanley Smith Barney LLC acted as your agent in this transa	25 901	777 01	
09/22/2009	SOLD	-49 0000	OWENS ILLINOIS INC NEW Morgan Stanley Smith Barney LLC acted as your agent in this transa	38 068	1,865 29	
09/22/2009	SOLD	-56 0000	EMERITUS CORP Morgan Stanley Smith Barney LLC acted as your agent in this transa	22 674	1,269 71	
09/22/2009	SOLD	-104 0000	OCWEN FINL CORP (NEW) Morgan Stanley Smith Barney LLC acted as yo	11 070	1,151 25	
09/22/2009	SOLD	-47 0000	COACH INC Morgan Stanley Smith Barney LLC acted as your agent in this transa	32 674	1,535 61	

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Date	Activity	Quantity	Description	Price	Amount	Check
09/17/2009	BOUGHT	116 0000	PATRIOT COAL CORP Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	13 180	-1,528 87	
09/17/2009	SOLD	-132 0000	HOLOGIC INC Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	16 952	2,237 66	
09/17/2009	BOUGHT	13 0000	JUNIPER NETWORKS INC Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	27 440	-356 72	
09/17/2009	SOLD	-79 0000	ECLIPSYS CORP Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	19 328	1,526 90	
09/17/2009	BOUGHT	59 0000	CONSECO INC NEW Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	6 269	-369 88	
09/17/2009	SOLD	-212 0000	CYPRESS SEMICONDUCTOR CORP-DEL Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	10 448	2,214 98	
09/17/2009	SOLD	-150 0000	CEPHEID INC Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	14 378	2,156 70	
09/17/2009	BOUGHT	15 0000	NATIONAL RURAL UTILS COOP FIN FIN CRP PFD 6 10% SUB NTS STRIP YIELD = 6 590% 6 1000% DUE 02/01/2044	23 343	-350 15	
09/16/2009	BOUGHT	28 0000	EMERITUS CORP Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	20 715	-580 02	
09/16/2009	SOLD	-51 0000	SYNNEX CORP Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	31 247	1,593 57	
09/16/2009	BOUGHT	81 0000	MONSTER WORLDWIDE INC Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	17 807	-1,442 39	
09/16/2009	SOLD	-64 0000	OCWEN FINL CORP (NEW) Morgan Stanley Smi th Barney LLC acted as yo	10 755	688 31	
09/16/2009	BOUGHT	58 0000	STEAK N SHAKE COMPANY Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	12 156	-705 02	
09/16/2009	SOLD	-87 0000	MOTOROLA INC DE Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	9 205	800 81	
09/16/2009	BOUGHT	91 0000	HELIX ENERGY SOLUTIONS GROUP Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	16 036	-1,459 29	

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Date	Activity	Quantity	Description	Price	Amount	Check
09/22/2009	SOLD	-15 0000	NATIONAL RURAL UTILS COOP FIN FIN CRP PFD 6 10% SUB NTS STRIP YIELD = 6 609% 6 1000% DUE 02/01/2044	23 300	349 49	
09/22/2009	SOLD	-112 0000	CHENIERE ENERGY PARTNERS L P UNIT REPTG LIMITED PARTNER INT Morgan Stanley Smi th Barney LLC acted as yo	9 683	1,084 51	
09/22/2009	SOLD	-38 0000	NII HLDGS INC CLASS B NEW Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	30 951	1,176 11	
09/22/2009	SOLD	-18 0000	ALLERGAN INC Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	57 798	1,040 33	
09/22/2009	SOLD	-42 0000	AAR CORP Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	18 997	797 86	
09/22/2009	SOLD	-64 0000	MONSTER WORLDWIDE INC Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	19 030	1,217 89	
09/22/2009	SOLD	-112 0000	MOTOROLA INC DE Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	8 750	979 97	
09/22/2009	SOLD	-24 0000	SYNNEX CORP Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	31 406	753 73	
09/21/2009	AUTOINVEST	20 3000	WESTERN ASSET/ CITI PREMIUM U S TREASURY RESERVES CLASS A	1 000	20 30	
09/21/2009	CHARGE	0 0000	WESTERN ASSET/ CITI PREMIUM U S TREASURY RESERVES CLASS A MUTUAL FUND FEE CREDIT	0 000	1 47	
09/18/2009	SOLD	-33 0000	CONTINENTAL RESOURCES INC Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	42 501	1,402 48	
09/18/2009	AUTOINVEST	650 8800	WESTERN ASSET/ CITI PREMIUM U S TREASURY RESERVES CLASS A	1 000	650 88	
09/18/2009	DIVIDEND	0 0000	FLOWERS FOODS INC CASH DIV ON 116 0000 SHS X/D 09/02/09	0 000	20 30	
09/18/2009	SOLD	-94 0000	SEAGATE TECHNOLOGY -USD Morgan Stanley Smi th Barney LLC acted as yo	15 100	1,419 36	
09/17/2009	BOUGHT	120 0000	ENERGY SERVICES OR AMERICA CORP Morgan Stanley Smi th Barney LLC acted as yo	3 197	-383 66	
09/17/2009	BOUGHT	43 0000	PENNSYLVANIA REAL ESTATE INVESMENT TRUST SBI Morgan Stanley Smi th Barney LLC acted as yo	8 548	-367 56	
09/17/2009	SOLD	-200 0000	BREITBURN ENERGY PARTNERS L P UNIT LTD PARTNERSHIP INT Morgan Stanley Smi th Barney LLC acted as yo	10 994	2,198 72	
09/17/2009	BOUGHT	73 0000	BREITBURN ENERGY PARTNERS L P UNIT LTD PARTNERSHIP INT Morgan Stanley Smi th Barney LLC acted as yo	11 143	-813 47	
09/17/2009	SOLD	-182 0000	AMERICAN AXLE & MFG HLDGS INC Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	7 458	1,357 30	
09/17/2009	SOLD	-177 0000	PENNANTPARK INVT CORP Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	8 898	1,574 90	

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MorganStanley
SmithBarney

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Forms 1099 for Tax Year 2009
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Select: Activity Detail Filters: All Activities Date Range: Date Range View

Activity from 01/01/2009 through 12/31/2009 as of 02/06/2010

Check Reorder E-mail

Activity Detail -

Date	Activity	Quantity	Description	Price	Amount	Check
09/16/2009	SOLD	-28.0000	JARDEN CORP Morgan Stanley Smith Barney LLC acted as your agent in this transa	26.971	755.16	
09/16/2009	BOUGHT	24.0000	CEPHEID INC Morgan Stanley Smith Barney LLC acted as your agent in this transa	14.695	-352.68	
09/16/2009	SOLD	-75.0000	HOLOGIC INC Morgan Stanley Smith Barney LLC acted as your agent in this transa	16.764	1,257.27	
09/16/2009	BOUGHT	22.0000	NII HLDGS INC CLASS B NEW Morgan Stanley Smith Barney LLC acted as your agent in this transa	29.629	-651.84	
09/16/2009	SOLD	-96.0000	GFI GROUP INC Morgan Stanley Smith Barney LLC acted as your agent in this transa	7.474	717.51	
09/16/2009	BOUGHT	149.0000	HUNTSMAN CORP Morgan Stanley Smith Barney LLC acted as your agent in this transa	9.544	-1,422.00	
09/16/2009	SOLD	-57.0000	FLOWERS FOODS INC Morgan Stanley Smith Barney LLC acted as your agent in this transa	25.044	1,427.46	
09/16/2009	BOUGHT	83.0000	GENERAL ELECTRIC CO Morgan Stanley Smith Barney LLC acted as your agent in this transa	17.020	-1,412.65	
09/16/2009	SOLD	-32.0000	CYPRESS SEMICONDUCTOR CORP-DEL Morgan Stanley Smith Barney LLC acted as your agent in this transa	10.432	333.81	
09/16/2009	BOUGHT	25.0000	CHESAPEAKE ENERGY CORP Morgan Stanley Smith Barney LLC acted as your agent in this transa	28.858	-721.45	
09/16/2009	SOLD	-175.0000	CHENIERE ENERGY PARTNERS L P UNIT REPTG LIMITED PARTNER INT Morgan Stanley Smith Barney LLC acted as yo	9.700	1,697.38	
09/16/2009	SOLD	-81.0000	SEAGATE TECHNOLOGY -USD Morgan Stanley Smith Barney LLC acted as yo	15.482	1,252.38	
09/16/2009	BOUGHT	12.0000	ANADARKO PETROLEUM CORP Morgan Stanley Smith Barney LLC acted as your agent in this transa	64.627	-775.52	
09/16/2009	BOUGHT	10.0000	ANADARKO PETROLEUM CORP Morgan Stanley Smith Barney LLC acted as your agent in this transa	64.627	-646.27	
09/16/2009	REDEMPTION	-3425.9400	WESTERN ASSET/ CITI PREMIUM U S TREASURY RESERVES CLASS A	1.000	-3,425.94	

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Date	Activity	Quantity	Description	Price	Amount	Check
09/15/2009	BOUGHT	123 0000	STEAK N SHAKE COMPANY Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	11 851	-1,457 72	
09/15/2009	DIVIDEND	0 0000	AVISTA CORP CASH DIV ON 74 0000 SHS X/D 08/25/09	0 000	15 54	
09/15/2009	BOUGHT	46 0000	GENERAL ELECTRIC CO Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	15 606	-717 87	
09/15/2009	SOLD	-187 0000	SPECTRUM PHARMACEUTICALS INC Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	7 444	1,391 95	
09/15/2009	BOUGHT	52 0000	NII HLDGS INC CLASS B NEW Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	27 840	-1,447 66	
09/15/2009	SOLD	-134 0000	GFI GROUP INC Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	7 562	1,013 32	
09/15/2009	BOUGHT	182 0000	AMERICAN AXLE & MFG HLDGS INC Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	8 008	-1,457 46	
09/15/2009	SOLD	-130 0000	BRUKER CORP Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	10 217	1,328 13	
09/15/2009	BOUGHT	54 0000	PATRIOT COAL CORP Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	13 425	-724 96	
09/15/2009	SOLD	-124 0000	BOSTON SCIENTIFIC CORP Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	11 280	1,398 68	
09/15/2009	BOUGHT	46 0000	HELIX ENERGY SOLUTIONS GROUP Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	15 556	-715 57	
09/15/2009	BOUGHT	33 0000	CONTINENTAL RESOURCES INC Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	43 575	-1,437 99	
09/15/2009	REDEMPTION	-1395 0700	WESTERN ASSET/ CITI PREMIUM U S TREASURY RESERVES CLASS A	1 000	-1,395 07	
09/15/2009	BOUGHT	25 0000	CHESAPEAKE ENERGY CORP Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	28 419	-710 48	
09/15/2009	BOUGHT	12 0000	ANADARKO PETROLEUM CORP Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	59 148	-709 78	
09/15/2009	SOLD	-33 0000	AMERCO Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	44 264	1,460 68	
09/15/2009	SOLD	-268 0000	ACTUATE CORPORATION Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	5 688	1,524 34	
09/15/2009	SOLD	-43 0000	BANK OF AMERICA CORP Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	17 010	731 41	
09/14/2009	BOUGHT	61 0000	SRA INTERNATIONAL INC CLASS A Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	21 986	-1,341 18	

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Date	Activity	Quantity	Description	Price	Amount	Check
09/14/2009	SOLD	-41 0000	MONSTER WORLDWIDE INC Morgan Stanley Smith Barney LLC acted as your agent in this transa	17 271	708 06	
09/14/2009	BOUGHT	24 0000	HELIX ENERGY SOLUTIONS GROUP Morgan Stanley Smith Barney LLC acted as your agent in this transa	14 750	-354 00	
09/14/2009	SOLD	-75 0000	DIODES INC Morgan Stanley Smith Barney LLC acted as your agent in this transa	20 031	1,502 29	
09/14/2009	BOUGHT	152 0000	MOTOROLA INC DE Morgan Stanley Smith Barney LLC acted as your agent in this transa	8 810	-1,339 12	
09/14/2009	BOUGHT	6 0000	ALLERGAN INC Morgan Stanley Smith Barney LLC acted as your agent in this transa	56 978	-341 87	
09/14/2009	REDEMPTION	-1403 7300	WESTERN ASSET/ CITI PREMIUM U S TREASURY RESERVES CLASS A	1 000	-1,403 73	
09/14/2009	SOLD	-39 0000	CLEARWATER PAPER CORP Morgan Stanley Smith Barney LLC acted as your agent in this transa	41 675	1,625 26	
09/14/2009	BOUGHT	7 0000	OWENS ILLINOIS INC NEW Morgan Stanley Smith Barney LLC acted as your agent in this transa	36 829	-257 80	
09/14/2009	SOLD	-37 0000	BANK OF AMERICA CORP Morgan Stanley Smith Barney LLC acted as your agent in this transa	16 950	627 15	
09/14/2009	BOUGHT	81 0000	HOLOGIC INC Morgan Stanley Smith Barney LLC acted as your agent in this transa	17 358	-1,405 96	
09/14/2009	SOLD	-28 0000	AMERCO Morgan Stanley Smith Barney LLC acted as your agent in this transa	44 630	1,249 60	
09/14/2009	BOUGHT	13 0000	CHESAPEAKE ENERGY CORP Morgan Stanley Smith Barney LLC acted as your agent in this transa	27 038	-351 49	
09/14/2009	SOLD	-64 0000	AAR CORP Morgan Stanley Smith Barney LLC acted as your agent in this transa	17 779	1,137 80	
09/14/2009	BOUGHT	122 0000	UDR INC Morgan Stanley Smith Barney LLC acted as your agent in this transa	14 225	-1,735 47	
09/14/2009	SOLD	-35 0000	CLEARWATER PAPER CORP Morgan Stanley Smith Barney LLC acted as your agent in this transa	41 675	1,458 57	
09/11/2009	SOLD	-70 0000	COMPUTER PROGRAMS & SYS INC Morgan Stanley Smith Barney LLC acted as your agent in this transa	39 931	2,795 11	

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Important Information for Holders of Auction Rate Securities

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Forms 1099 for Tax Year 2009
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Select: Activity Detail **Filters:** All Activities **Date Range:** Date Range View

Activity from 01/01/2009 through 12/31/2009 as of 02/06/2010.

Activity Detail - Check Reorder E-mail

Date	Activity	Quantity	Description	Price	Amount	Check
09/11/2009	DIVIDEND	0 0000	WALTER ENERGY INC CASH DIV ON 58 0000 SHS X/D 08/05/09	0 000	5 80	
09/11/2009	BOUGHT	25 0000	ZENITH NATIONAL INSURANCE CORP Morgan Stanley Smith Barney LLC acted as your agent in this transa	29 026	-725 66	
09/11/2009	SOLD	-96 0000	L-1 IDENTITY SOLUTIONS INC (HOLDING COMPANY) Morgan Stanley Smith Barney LLC acted as yo	7 355	706 03	
09/11/2009	BOUGHT	83 0000	HUNTSMAN CORP Morgan Stanley Smith Barney LLC acted as your agent in this transa	8 630	-716 28	
09/11/2009	BOUGHT	242 0000	CHENIERE ENERGY PARTNERS L P UNIT REPTG LIMITED PARTNER INT Morgan Stanley Smith Barney LLC acted as yo	9 196	-2,225 48	
09/11/2009	BOUGHT	230 0000	GFI GROUP INC Morgan Stanley Smith Barney LLC acted as your agent in this transa	7 893	-1,815 37	
09/11/2009	BOUGHT	130 0000	BRUKER CORP Morgan Stanley Smith Barney LLC acted as your agent in this transa	11 110	-1,444 29	
09/11/2009	REDEMPTION	-7575 2500	WESTERN ASSET/ CITI PREMIUM U S TREASURY RESERVES CLASS A	1 000	-7,575 25	
09/10/2009	BOUGHT	124 0000	BOSTON SCIENTIFIC CORP Morgan Stanley Smith Barney LLC acted as your agent in this transa	11 376	-1,410 61	
09/10/2009	REDEMPTION	-1929 0600	WESTERN ASSET/ CITI PREMIUM U S TREASURY RESERVES CLASS A	1 000	-1,929 06	
09/09/2009	BOUGHT	79 0000	ECLIPSYS CORP Morgan Stanley Smith Barney LLC acted as your agent in this transa	17 769	-1,403 73	
09/08/2009	BOUGHT	127 0000	BREITBURN ENERGY PARTNERS L P UNIT LTD PARTNERSHIP INT Morgan Stanley Smith Barney LLC acted as yo	10 906	-1,385 06	
09/08/2009	BOUGHT	187 0000	SPECTRUM PHARMACEUTICALS INC Morgan Stanley Smith Barney LLC acted as your agent in this transa	7 500	-1,402 50	
09/08/2009	BOUGHT	14 0000	UNITED THERAPEUTICS CORP Morgan Stanley Smith Barney LLC acted as your agent in this transa	94 505	-1,323 07	
09/08/2009	BOUGHT	160 0000	CHENIERE ENERGY PARTNERS L P UNIT REPTG LIMITED PARTNER INT Morgan Stanley Smith Barney LLC acted as yo	8 660	-1,385 52	
09/08/2009	BOUGHT	96 0000	L-1 IDENTITY SOLUTIONS INC (HOLDING COMPANY) Morgan Stanley Smi	7 260	-696 99	

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Date	Activity	Quantity	Description	Price	Amount	Check
			th Barney LLC acted as yo			
09/08/2009	BOUGHT	78 0000	EMERITUS CORP Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	17 794	-1,387 91	
09/04/2009	BOUGHT	126 0000	HOLOGIC INC Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	16 400	-2,066 40	
09/04/2009	BOUGHT	49 0000	ZENITH NATIONAL INSURANCE CORP Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	27 848	-1,364 57	
09/04/2009	BOUGHT	83 0000	MONSTER WORLDWIDE INC Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	16 439	-1,364 45	
09/04/2009	BOUGHT	55 0000	UDR INC Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	12 440	-684 19	
09/04/2009	SOLD	-64 0000	ALTISOURCE PROTFOLIO SOLUTIONS S A Morgan Stanley Smi th Barney LLC acted as yo	13 655	873 94	
09/04/2009	BOUGHT	175 0000	MOTOROLA INC DE Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	7 859	-1,375 38	
09/04/2009	BOUGHT	80 0000	BANK OF AMERICA CORP Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	17 058	-1,364 64	
09/04/2009	BOUGHT	25 0000	ALLERGAN INC Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	54 759	-1,368 98	
09/03/2009	SOLD	-372 0000	ENTEGRIS INC Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	3 837	1,427 40	
09/03/2009	SOLD	-74 0000	AVISTA CORP Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	19 094	1,412 95	
09/03/2009	SOLD	-83 0000	STEEL DYNAMICS INC Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	16 062	1,333 15	
09/03/2009	SOLD	-56 0000	FISERV INC Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	46 645	2,612 11	
09/02/2009	AUTOINVEST	1 8500	WESTERN ASSET/ CITI PREMIUM U S TREASURY RESERVES CLASS A	1 000	1 85	
09/01/2009	CASH IN LIEU	0 0000	ALTISOURCE PROTFOLIO SOLUTIONS S A CASH IN LIEU OF 33333 RECORD 08/04/09 PAY 08/11/09	0 000	1 65	
08/31/2009	REINVEST	0 0800	WESTERN ASSET/ CITI PREMIUM U S TREASURY RESERVES CLASS A FOR PERIOD 08/03/09-08/31/09 29 DAYS AVERAGE YIELD 01 %	1 000	- 08	
08/21/2009	DIVIDEND	0 0000	COMPUTER PROGRAMS & SYS INC CASH DIV ON 70 0000 SHS X/D 08/04/09	0 000	25 20	
08/21/2009	FEE	0 0000	INVESTMENT AND MGMT SERVICES FROM 08/01/09 TO 10/31/09	0 000	-260 13	
08/21/2009	REDEMPTION	-234 9300	WESTERN ASSET/ CITI PREMIUM U S TREASURY RESERVES CLASS A	1 000	-234 93	
08/20/2009	AUTOINVEST	5482 4100	WESTERN ASSET/ CITI PREMIUM U S TREASURY RESERVES CLASS A	1 000	5,482 41	
08/18/2009	AUTOINVEST	5 0400	WESTERN ASSET/ CITI PREMIUM U S TREASURY RESERVES CLASS A	1 000	5 04	

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Date	Activity	Quantity	Description	Price	Amount	Check
08/17/2009	CHARGE	0 0000	WESTERN ASSET/ CITI PREMIUM U S TREASURY RESERVES CLASS A MUTUAL FUND FEE CREDIT	0 000	5 04	
08/14/2009	SOLD	-74 0000	INFORMATICA CORP Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	18 500	1,369 00	
08/14/2009	SOLD	-443 0000	PHOENIX TECHNOLOGIES LTD Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	2 874	1,273 23	
08/14/2009	SOLD	-198 0000	SPARTAN MOTORS INC Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	5 543	1,097 57	
08/14/2009	SOLD	-157 0000	INTERACTIVE INTELLIGENCE INC Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	15 693	2,463 79	
08/14/2009	BOUGHT	76 0000	OCWEN FINL CORP (NEW) Morgan Stanley Smi th Barney LLC acted as yo	9 489	-721 18	
08/13/2009	SPINOFF	64 0000	ALTISOURCE PROTFOLIO SOLUTIONS S A SPINOFF ON 193 SHS	0 000	00	
08/04/2009	REDEMPTION	-47588 0700	WESTERN ASSET/ CITI PREMIUM U S TREASURY RESERVES CLASS A	1 000	-47,588 07	
07/31/2009	REINVEST	0 6100	WESTERN ASSET/ CITI PREMIUM U S TREASURY RESERVES CLASS A FOR PERIOD 07/01/09-08/02/09 33 DAYS AVERAGE YIELD 01 %	1 000	-61	
07/30/2009	BOUGHT	51 0000	SRA INTERNATIONAL INC CLASS A Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	19 867	-1,013 24	
07/30/2009	BOUGHT	49 0000	FISERV INC Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	49 167	-2,409 20	
07/30/2009	BOUGHT	193 0000	OCWEN FINL CORP (NEW) Morgan Stanley Smi th Barney LLC acted as yo	14 325	-2,764 71	
07/30/2009	BOUGHT	55 0000	DIODES INC Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	18 598	-1,022 90	

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Attachment B

MorganStanley
SmithBarney

Dow 10012 23 | NASDAQ 2141 12 | S&P 500 1066 19

Forms 1099 for Tax Year 2009
Forms 1099 will be available for download by February 15, 2010.

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Select: Activity Detail **Filters:** All Activities **Date Range:** Date Range View

Activity from 01/01/2009 through 12/31/2009 as of 02/06/2010.

Activity Detail - Check Reorder E-mail

Date	Activity	Quantity	Description	Price	Amount	Check
07/30/2009	BOUGHT	344 0000	PENNANTPARK INVT CORP Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	8 369	-2,878 83	
07/30/2009	BOUGHT	53 0000	COMPUTER PROGRAMS & SYS INC Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	39 962	-2,118 01	
07/30/2009	BOUGHT	55 0000	INFORMATICA CORP Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	18 493	-1,017 14	
07/30/2009	BOUGHT	74 0000	CLEARWATER PAPER CORP Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	38 844	-2,874 49	
07/30/2009	BOUGHT	333 0000	PHOENIX TECHNOLOGIES LTD Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	3 122	-1,039 79	
07/30/2009	BOUGHT	55 0000	AVISTA CORP Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	18 583	-1,022 08	
07/30/2009	BOUGHT	79 0000	OWENS ILLINOIS INC NEW Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	30 644	-2,420 89	
07/30/2009	BOUGHT	132 0000	ACTUATE CORPORATION Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	5 228	-690 10	
07/30/2009	BOUGHT	110 0000	JARDEN CORP Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	24 793	-2,727 21	
07/30/2009	BOUGHT	160 0000	SEAGATE TECHNOLOGY -USD Morgan Stanley Smi th Barney LLC acted as yo	12 400	-1,983 94	
07/30/2009	BOUGHT	165 0000	SPARTAN MOTORS INC Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	7 092	-1,170 15	
07/30/2009	BOUGHT	86 0000	FLOWERS FOODS INC Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	23 717	-2,039 65	
07/30/2009	SOLD	-45 0000	VICOR CORP Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	7 205	324 24	
07/30/2009	BOUGHT	258 0000	ENTEGRIS INC Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	3 814	-984 12	

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Date	Activity	Quantity	Description	Price	Amount	Check
07/30/2009	SOLD	-22 0000	PACCAR INC -DEL- Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	33 903	745 86	
07/30/2009	BOUGHT	165 0000	CYPRESS SEMICONDUCTOR CORP-DEL Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	11 457	-1,890 34	
07/30/2009	SOLD	-37 0000	KAMAN CORP Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	18 501	684 54	
07/30/2009	BOUGHT	93 0000	COACH INC Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	29 280	-2,723 02	
07/30/2009	SOLD	-15 0000	HAYNES INTL INC-NEW Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	23 437	351 56	
07/30/2009	BOUGHT	92 0000	CEPHEID INC Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	11 117	-1,022 75	
07/30/2009	SOLD	-7 0000	BADGER METER INC Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	37 665	263 66	
07/30/2009	BOUGHT	61 0000	AMERCO Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	45 207	-2,757 61	
07/30/2009	BOUGHT	58 0000	WALTER ENERGY INC Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	47 606	-2,761 17	
07/30/2009	BOUGHT	146 0000	AAR CORP Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	19 227	-2,807 19	
07/30/2009	BOUGHT	75 0000	SYNNEX CORP Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	27 943	-2,095 71	
07/30/2009	BOUGHT	83 0000	STEEL DYNAMICS INC Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	16 669	-1,383 55	
07/30/2009	BOUGHT	131 0000	INTERACTIVE INTELLIGENCE INC Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	17 864	-2,340 14	
07/21/2009	AUTOINVEST	4 9300	WESTERN ASSET/ CITI PREMIUM U S TREASURY RESERVES CLASS A	1 000	4 93	
07/20/2009	CHARGE	0 0000	WESTERN ASSET/ CITI PREMIUM U S TREASURY RESERVES CLASS A MUTUAL FUND FEE CREDIT	0 000	4 93	
07/07/2009	REDEMPTION	-5676 6900	WESTERN ASSET/ CITI PREMIUM U S TREASURY RESERVES CLASS A	1 000	-5,676 69	
07/06/2009	DIVIDEND	0 0000	KAMAN CORP CASH DIV ON 37 0000 SHS X/D 06/18/09	0 000	5 18	
07/01/2009	BOUGHT	7 0000	FISERV INC Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	46 528	-325 70	
07/01/2009	BOUGHT	24 0000	SYNNEX CORP Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	27 742	-665 80	
07/01/2009	BOUGHT	20 0000	DIODES INC Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	16 668	-333 35	

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Date	Activity	Quantity	Description	Price	Amount	Check
07/01/2009	BOUGHT	19 0000	SRA INTERNATIONAL INC CLASS A Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	17 988	-341 76	
07/01/2009	BOUGHT	114 0000	ENTEGRIS INC Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	2 996	-341 52	
07/01/2009	BOUGHT	26 0000	INTERACTIVE INTELLIGENCE INC Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	13 113	-340 94	
07/01/2009	BOUGHT	45 0000	VICOR CORP Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	7 472	-336 22	
07/01/2009	BOUGHT	17 0000	COMPUTER PROGRAMS & SYS INC Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	39 390	-669 63	
07/01/2009	BOUGHT	34 0000	CEPHEID INC Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	9 826	-334 08	
07/01/2009	BOUGHT	7 0000	BADGER METER INC Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	42 984	-300 89	
07/01/2009	BOUGHT	64 0000	SEAGATE TECHNOLOGY -USD Morgan Stanley Smi th Barney LLC acted as yo	10 660	-682 23	
07/01/2009	BOUGHT	19 0000	INFORMATICA CORP Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	17 238	-327 52	
07/01/2009	BOUGHT	136 0000	ACTUATE CORPORATION Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	5 016	-682 23	
06/30/2009	REINVEST	0 4800	WESTERN ASSET/ CITI PREMIUM U S TREASURY RESERVES CLASS A FOR PERIOD 06/01/09-06/30/09 30 DAYS AVERAGE YIELD 01 %	1 000	- 48	
06/30/2009	AUTOINVEST	11692 1700	WESTERN ASSET/ CITI PREMIUM U S TREASURY RESERVES CLASS A	1 000	11,692 17	
06/29/2009	DIVIDEND	0 0000	COACH INC CASH DIV ON 14 0000 SHS X/D 06/04/09	0 000	1 05	
06/26/2009	REDEMPTION	-5012 0100	WESTERN ASSET/ CITI PREMIUM U S TREASURY RESERVES CLASS A	1 000	-5,012 01	
06/24/2009	SOLD	-30 0000	MATRIX SVC CO Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	11 016	330 46	
06/24/2009	REDEMPTION	-694 9900	WESTERN ASSET/ CITI PREMIUM U S TREASURY RESERVES CLASS A	1 000	-694 99	

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Important Information for Holders of Auction Rate Securities

For more information, please contact your Financial Advisor.

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MorganStanley
SmithBarney

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Forms 1099 for Tax Year 2009
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Select: Activity Detail **Filters:** All Activities **Date Range:** Date Range View

Activity from 01/01/2009 through 12/31/2009 as of 02/06/2010

Check Reorder E-mail

Activity Detail -

Date	Activity	Quantity	Description	Price	Amount	Check
06/24/2009	SOLD	-19 0000	AK STEEL HOLDING CORP Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	18 077	343 46	
06/24/2009	SOLD	-10 0000	WALTER ENERGY INC Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	31 884	318 83	
06/24/2009	SOLD	-27 0000	GRACE W R & CO DEL NEW Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	12 222	330 00	
06/24/2009	SOLD	-42 0000	TYLER TECHNOLOGIES INC Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	15 173	637 26	
06/24/2009	SOLD	-7 0000	ANADARKO PETROLEUM CORP Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	44 204	309 42	
06/24/2009	SOLD	-23 0000	STEEL DYNAMICS INC Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	14 824	340 94	
06/24/2009	SOLD	-34 0000	MCDERMOTT INTERNATIONAL INC Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	19 535	664 17	
06/24/2009	SOLD	-30 0000	SPARTAN MOTORS INC Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	10 547	316 41	
06/24/2009	SOLD	-36 0000	M/I HOMES INC -NEW- Morgan Stanley Smi th Barney LLC acted as yo	9 153	329 51	
06/24/2009	SOLD	-9 0000	PRAXAIR INC Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	69 496	625 44	
06/24/2009	SOLD	-42 0000	DYNAMEX INC Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	15 500	650 98	
06/24/2009	SOLD	-71 0000	CYPRESS SEMICONDUCTOR CORP-DEL Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	8 747	621 02	
06/24/2009	SOLD	-68 0000	COMMERCIAL METALS CO Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	15 022	1,021 44	
06/24/2009	SOLD	-24 0000	PARKER-HANNIFIN CORP Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	41 122	986 90	

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Date	Activity	Quantity	Description	Price	Amount	Check
06/24/2009	SOLD	-15 0000	BADGER METER INC Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	42 024	630 34	
06/24/2009	SOLD	-13 0000	OLYMPIC STEEL INC Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	24 265	315 43	
06/24/2009	SOLD	-24 0000	ACADIA RLTY TR Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	13 412	321 89	
06/24/2009	SOLD	-111 0000	OCWEN FINL CORP (NEW) Morgan Stanley Smi th Barney LLC acted as yo	12 334	1,369 02	
06/24/2009	SOLD	-19 0000	NATIONAL OILWELL VARCO INC Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	31 799	604 16	
06/24/2009	SOLD	-17 0000	COMPUTER PROGRAMS & SYS INC Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	36 709	624 04	
06/23/2009	BOUGHT	30 0000	FLOWERS FOODS INC Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	22 510	-675 30	
06/23/2009	BOUGHT	23 0000	STEEL DYNAMICS INC Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	14 369	-330 49	
06/23/2009	BOUGHT	10 0000	WALTER ENERGY INC Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	31 119	-311 19	
06/23/2009	BOUGHT	12 0000	PACCAR INC -DEL- Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	30 690	-368 28	
06/23/2009	BOUGHT	19 0000	AVISTA CORP Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	17 586	-334 13	
06/23/2009	BOUGHT	12 0000	OWENS ILLINOIS INC NEW Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	27 408	-328 90	
06/23/2009	BOUGHT	27 0000	GRACE W R & CO DEL NEW Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	12 291	-331 86	
06/23/2009	BOUGHT	13 0000	OLYMPIC STEEL INC Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	24 467	-318 07	
06/23/2009	BOUGHT	25 0000	COMMERCIAL METALS CO Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	14 916	-372 96	
06/23/2009	BOUGHT	19 0000	AK STEEL HOLDING CORP Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	17 508	-332 65	
06/23/2009	BOUGHT	7 0000	ANADARKO PETROLEUM CORP Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	43 649	-305 54	
06/23/2009	BOUGHT	36 0000	M/I HOMES INC -NEW- Morgan Stanley Smi th Barney LLC acted as yo	9 422	-339 19	
06/23/2009	BOUGHT	15 0000	HAYNES INTL INC-NEW Morgan Stanley Smi th Barney LLC acted as yo	21 861	-327 92	

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Date	Activity	Quantity	Description	Price	Amount	Check
			ur agent in this transa			
06/23/2009	BOUGHT	30 0000	MATRIX SVC CO Morgan Stanley Smi th Barney LLC acted as yo *ur agent in this transa*	11 184	-335 53	
06/22/2009	DIVIDEND	0 0000	MCDONALDS CORP CASH DIV ON 6 0000 SHS X/D 06/04/09	0 000	3 00	
06/22/2009	CHARGE	0 0000	WESTERN ASSET/ CITI PREMIUM U S TREASURY RESERVES CLASS A MUTUAL FUND FEE CREDIT	0 000	5 02	
06/22/2009	REDEMPTION	-10831 5600	WESTERN ASSET/ CITI PREMIUM *U S TREASURY RESERVES CLASS A*	1 000	-10,831 56	
06/19/2009	BOUGHT	10 0000	PACCAR INC -DEL- Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	31 278	-312 78	
06/19/2009	BOUGHT	9 0000	PARKER-HANNIFIN CORP Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	42 468	-382 21	
06/19/2009	AUTOINVEST	1 8000	WESTERN ASSET/ CITI PREMIUM U S TREASURY RESERVES CLASS A	1 000	1 80	
06/18/2009	DIVIDEND	0 0000	ALLEGHENY TECHNOLOGIES INC CASH DIV ON 10 0000 SHS X/D 05/26/09	0 000	1 80	
06/17/2009	BOUGHT	19 0000	NATIONAL OILWELL VARCO INC Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	34 801	-661 21	
06/17/2009	BOUGHT	37 0000	KAMAN CORP Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	18 346	-678 79	
06/17/2009	BOUGHT	111 0000	OCWEN FINL CORP (NEW) Morgan Stanley Smi th Barney LLC acted as yo	12 370	-1,373 08	
06/17/2009	BOUGHT	150 0000	CYPRESS SEMICONDUCTOR CORP-DEL Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	9 128	-1,369 13	
06/17/2009	BOUGHT	43 0000	COMMERCIAL METALS CO Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	15 928	-684 89	
06/17/2009	BOUGHT	34 0000	MCDERMOTT INTERNATIONAL INC Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	20 069	-682 34	
06/17/2009	BOUGHT	42 0000	TYLER TECHNOLOGIES INC Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	16 355	-686 91	
06/17/2009	BOUGHT	42 0000	DYNAMEX INC Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	16 501	-693 05	
06/17/2009	BOUGHT	63 0000	SPARTAN MOTORS INC Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	10 876	-685 28	

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Important Information for Holders of Auction Rate Securities

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MorganStanley
SmithBarney

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Forms 1099 for Tax Year 2009
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Select: Activity Detail **Filters:** All Activities **Date Range:** Date Range View

Activity from 01/01/2009 through 12/31/2009 as of 02/06/2010

Activity Detail - Check Reorder E-mail

Date	Activity	Quantity	Description	Price	Amount	Check
06/17/2009	BOUGHT	17 0000	COMPUTER PROGRAMS & SYS INC Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	39 881	-677 98	
06/17/2009	BOUGHT	24 0000	ACADIA RLTY TR Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	13 936	-334 46	
06/17/2009	BOUGHT	15 0000	BADGER METER INC Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	44 088	-661 33	
06/17/2009	BOUGHT	110 0000	PHOENIX TECHNOLOGIES LTD Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	3 147	-346 20	
06/17/2009	BOUGHT	15 0000	PARKER-HANNIFIN CORP Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	43 449	-651 74	
06/17/2009	BOUGHT	9 0000	PRAXAIR INC Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	72 577	-653 19	
06/16/2009	AUTOINVEST	4 7200	WESTERN ASSET/ CITI PREMIUM U S TREASURY RESERVES CLASS A	1 000	4 72	
06/15/2009	AUTOINVEST	3 6000	WESTERN ASSET/ CITI PREMIUM U S TREASURY RESERVES CLASS A	1 000	3 60	
06/15/2009	DIVIDEND	0 0000	BADGER METER INC CASH DIV ON 9 0000 SHS X/D 05/28/09	0 000	99	
06/15/2009	DIVIDEND	0 0000	PRAXAIR INC CASH DIV ON 4 0000 SHS X/D 06/03/09	0 000	1 60	
06/15/2009	DIVIDEND	0 0000	COMPASS MINERALS INTL INC CASH DIV ON 6 0000 SHS X/D 05/28/09	0 000	2 13	
06/12/2009	DIVIDEND	0 0000	BANK OF HAWAII CORP CASH DIV ON 8 0000 SHS X/D 05/27/09	0 000	3 60	
06/11/2009	AUTOINVEST	2 0000	WESTERN ASSET/ CITI PREMIUM U S TREASURY RESERVES CLASS A	1 000	2 00	
06/10/2009	AUTOINVEST	16247 6900	WESTERN ASSET/ CITI PREMIUM U S TREASURY RESERVES CLASS A	1 000	16,247 69	
06/10/2009	DIVIDEND	0 0000	LUFKIN INDUSTRIES INC CASH DIV ON 8 0000 SHS X/D 05/28/09	0 000	2 00	
06/08/2009	AUTOINVEST	20 4700	WESTERN ASSET/ CITI PREMIUM U S TREASURY RESERVES CLASS A	1 000	20 47	
06/05/2009	DIVIDEND	0 0000	PARKER-HANNIFIN CORP CASH DIV ON 7 0000 SHS X/D 05/19/09	0 000	1 75	
06/05/2009	DIVIDEND	0 0000	PACCAR INC -DEL- CASH DIV ON 104 0000 SHS X/D 05/15/09	0 000	18 72	

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Date	Activity	Quantity	Description	Price	Amount	Check
06/04/2009	SOLD	-7 0000	COCA-COLA CO Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	49 110	343 76	
06/04/2009	SOLD	-32 0000	CEPHEID INC Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	10 210	326 71	
06/04/2009	SOLD	-19 0000	DYNAMEX INC Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	17 095	324 79	
06/04/2009	SOLD	-13 0000	OGE ENERGY CORP Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	26 456	343 92	
06/04/2009	SOLD	-15 0000	FLOWERS FOODS INC Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	21 590	323 85	
06/04/2009	SOLD	-4 0000	METTLER TOLEDO INTL INC Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	76 515	306 05	
06/04/2009	SOLD	-6 0000	COMPASS MINERALS INTL INC Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	55 725	334 34	
06/04/2009	SOLD	-18 0000	MCDERMOTT INTERNATIONAL INC Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	21 394	385 08	
06/04/2009	SOLD	-7 0000	ANADARKO PETROLEUM CORP Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	47 374	331 60	
06/04/2009	SOLD	-5 0000	HESS CORP Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	58 792	293 95	
06/04/2009	SOLD	-10 0000	ALLEGHENY TECHNOLOGIES INC Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	39 108	391 06	
06/04/2009	SOLD	-16 0000	WOODWARD GOVERNOR CO DELAWARE Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	22 491	359 85	
06/04/2009	SOLD	-26 0000	OCWEN FINL CORP (NEW) Morgan Stanley Smi th Barney LLC acted as yo	12 664	329 25	
06/04/2009	SOLD	-11 0000	WALTER ENERGY INC Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	31 960	351 55	
06/04/2009	SOLD	-9 0000	NATIONAL OILWELL VARCO INC Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	38 224	344 00	
06/04/2009	SOLD	-13 0000	UNITED NATURAL FOODS INC Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	26 120	339 55	
06/04/2009	SOLD	-6 0000	MCDONALDS CORP Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	60 202	361 20	
06/04/2009	SOLD	-21 0000	TYLER TECHNOLOGIES INC Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	16 864	354 14	
06/04/2009	SOLD	-8 0000	LUFKIN INDUSTRIES INC Morgan Stanley Smi th Barney LLC acted as yo	46 108	368 85	

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Date	Activity	Quantity	Description	Price	Amount	Check
			ur agent in this transa			
06/04/2009	SOLD	-6 0000	STERICYCLE INC Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	50 656	303 92	
06/04/2009	SOLD	-27 0000	GRACE W R & CO DEL NEW Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	14 143	381 86	
06/04/2009	SOLD	-29 0000	STEEL DYNAMICS INC Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	13 841	401 36	
06/04/2009	SOLD	-10 0000	FASTENAL CO Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	34 776	347 75	
06/04/2009	SOLD	-39 0000	SPARTAN MOTORS INC Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	9 983	389 30	
06/04/2009	SOLD	-46 0000	CYPRESS SEMICONDUCTOR CORP-DEL Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	8 775	403 61	
06/04/2009	SOLD	-17 0000	ROBBINS & MYERS INC Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	21 508	365 63	
06/04/2009	SOLD	-23 0000	COMMERCIAL METALS CO Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	17 064	392 46	
06/04/2009	SOLD	-49 0000	RICK'S CABARET INTERNATIONAL INC -NEW- Morgan Stanley Smi th Barney LLC acted as yo	6 548	320 83	
06/04/2009	SOLD	-14 0000	COACH INC Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	26 910	376 73	
06/04/2009	SOLD	-5 0000	PUBLIC STORAGE (MD) Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	67 173	335 86	
06/04/2009	SOLD	-9 0000	BADGER METER INC Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	41 204	370 83	
06/04/2009	SOLD	-4 0000	PRAXAIR INC Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	75 235	300 93	

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Forms 1099 for Tax Year 2009
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Select: Activity Detail **Filters:** All Activities **Date Range:** Date Range View

Activity from 01/01/2009 through 12/31/2009 as of 02/08/2010.

Activity Detail - Check Reorder E-mail

Date	Activity	Quantity	Description	Price	Amount	Check
06/04/2009	SOLD	-19 0000	AMERICAN ECOLOGY CORP-NEW Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	19 225	365 26	
06/04/2009	SOLD	-7 0000	PARKER-HANNIFIN CORP Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	46 310	324 16	
06/04/2009	SOLD	-28 0000	AK STEEL HOLDING CORP Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	15 262	427 32	
06/04/2009	SOLD	-104 0000	PACCAR INC -DEL- Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	34 002	3,536 15	
06/04/2009	SOLD	-13 0000	OWENS ILLINOIS INC NEW Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	30 019	390 23	
06/03/2009	AUTOINVEST	1579 8700	WESTERN ASSET/ CITI PREMIUM U S TREASURY RESERVES CLASS A	1 000	1,579 87	
05/29/2009	REINVEST	0 5100	CITIFUNDS PREMIUM US TREASURY RESERVES FD FOR PERIOD 05/01/09-05/31/09 31 DAYS AVERAGE YIELD 01 %	1 000	. 51	
05/28/2009	SOLD	-8 0000	BANK OF HAWAII CORP We acted as your agent in this transaction	36 420	291 35	
05/28/2009	SOLD	-14 0000	HCC INSURANCE HOLDINGS INC We acted as your agent in this transaction	24 380	341 31	
05/28/2009	SOLD	-21 0000	SOUTHERN UNION COMPANY We acted as your agent in this transaction	16 550	347 54	
05/28/2009	SOLD	-9 0000	COMPUTER PROGRAMS & SYS INC We acted as your agent in this transaction	34 860	313 73	
05/28/2009	SOLD	-5 0000	ARCH CAPITAL GROUP LTD We acted as your agent in this transaction	57 190	285 94	
05/20/2009	REDEMPTION	-12821 5000	CITIFUNDS PREMIUM US TREASURY RESERVES FD	1 000	-12,821 50	
05/18/2009	REDEMPTION	-327 8000	CITIFUNDS PREMIUM US TREASURY RESERVES FD	1 000	-327 80	
05/18/2009	CHARGE	0 0000	CITIFUNDS PREMIUM US TREASURY RESERVES FD MUTUAL FUND FEE CREDIT	0 000	5 44	
05/15/2009	BOUGHT	6 0000	MCDONALDS CORP We acted as your agent in this transaction	54 060	-324 36	
05/15/2009	BOUGHT	15 0000	FLOWERS FOODS INC We acted as your agent in this transaction	22 068	-331 02	
05/15/2009	BOUGHT	7 0000	ANADARKO PETROLEUM CORP We acted as your agent in this transaction	43 393	-303 75	

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Date	Activity	Quantity	Description	Price	Amount	Check
05/15/2009	BOUGHT	9 0000	COMPUTER PROGRAMS & SYS INC We acted as your agent in this transaction	34 260	-308 34	
05/15/2009	BOUGHT	8 0000	LUFKIN INDUSTRIES INC We acted as your agent in this transaction	38 225	-305 80	
05/15/2009	BOUGHT	14 0000	COACH INC We acted as your agent in this transaction	23 652	-331 13	
05/15/2009	BOUGHT	14 0000	HCC INSURANCE HOLDINGS INC We acted as your agent in this transaction	23 684	-331 58	
05/15/2009	FEE	0 0000	INVESTMENT AND MGMT SERVICES FROM 05/01/09 TO 07/31/09	0 000	-257 22	
05/15/2009	BOUGHT	19 0000	DYNAMEX INC We acted as your agent in this transaction	17 216	-327 10	
05/15/2009	BOUGHT	5 0000	ARCH CAPITAL GROUP LTD We acted as your agent in this transaction	58 750	-293 75	
05/15/2009	BOUGHT	23 0000	COMMERCIAL METALS CO We acted as your agent in this transaction	14 697	-338 03	
05/15/2009	BOUGHT	16 0000	WOODWARD GOVERNOR CO DELAWARE We acted as your agent in this transaction	20 026	-320 42	
05/15/2009	BOUGHT	8 0000	BANK OF HAWAII CORP We acted as your agent in this transaction	37 825	-302 60	
05/15/2009	BOUGHT	11 0000	WALTER ENERGY INC We acted as your agent in this transaction	29 745	-327 19	
05/15/2009	BOUGHT	10 0000	ALLEGHENY TECHNOLOGIES INC We acted as your agent in this transaction	33 492	-334 92	
05/15/2009	BOUGHT	13 0000	UNITED NATURAL FOODS INC We acted as your agent in this transaction	24 375	-316 88	
05/15/2009	BOUGHT	4 0000	METTLER TOLEDO INTL INC We acted as your agent in this transaction	72 354	-289 42	
05/15/2009	BOUGHT	21 0000	TYLER TECHNOLOGIES INC We acted as your agent in this transaction	15 873	-333 33	
05/15/2009	BOUGHT	18 0000	MCDERMOTT INTERNATIONAL INC We acted as your agent in this transaction	18 373	-330 71	
05/15/2009	BOUGHT	6 0000	STERICYCLE INC We acted as your agent in this transaction	51 718	-310 31	
05/15/2009	BOUGHT	5 0000	HESS CORP We acted as your agent in this transaction	59 429	-297 14	
05/15/2009	BOUGHT	29 0000	STEEL DYNAMICS INC We acted as your agent in this transaction	11 625	-337 12	
05/15/2009	BOUGHT	27 0000	GRACE W R & CO DEL NEW We acted as your agent in this transaction	12 240	-330 48	
05/15/2009	BOUGHT	39 0000	SPARTAN MOTORS INC We acted as your agent in this transaction	8 606	-335 63	
05/15/2009	BOUGHT	10 0000	FASTENAL CO We acted as your agent in this transaction	33 112	-331 12	
05/15/2009	BOUGHT	17 0000	ROBBINS & MYERS INC We acted as your agent in this transaction	19 500	-331 50	
05/15/2009	BOUGHT	46 0000	CYPRESS SEMICONDUCTOR CORP-DEL We acted as your agent in this transaction	7 248	-333 41	

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Date	Activity	Quantity	Description	Price	Amount	Check
05/15/2009	BOUGHT	49 0000	RICK'S CABARET INTERNATIONAL INC -NEW- We acted as your agent in this transaction	6 859	-336 08	
05/15/2009	BOUGHT	6 0000	COMPASS MINERALS INTL INC We acted as your agent in this transaction	49 550	-297 30	
05/15/2009	BOUGHT	5 0000	PUBLIC STORAGE (MD) We acted as your agent in this transaction	62 324	-311 62	
05/15/2009	BOUGHT	7 0000	COCA-COLA CO We acted as your agent in this transaction	44 845	-313 92	
05/15/2009	BOUGHT	4 0000	PRAXAIR INC We acted as your agent in this transaction	70 820	-283 28	
05/15/2009	BOUGHT	32 0000	CEPHEID INC We acted as your agent in this transaction	10 240	-327 68	
05/15/2009	BOUGHT	7 0000	PARKER-HANNIFIN CORP We acted as your agent in this transaction	43 484	-304 39	
05/15/2009	BOUGHT	9 0000	BADGER METER INC We acted as your agent in this transaction	36 026	-324 24	

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Activity from 01/01/2009 through 12/31/2009 as of 02/06/2010

Activity Detail - Check Reorder E-mail

Date	Activity	Quantity	Description	Price	Amount	Check
05/15/2009	BOUGHT	13 0000	OWENS ILLINOIS INC NEW We acted as your agent in this transaction	25 770	-335 01	
05/15/2009	BOUGHT	19 0000	AMERICAN ECOLOGY CORP-NEW We acted as your agent in this transaction	17 383	-330 28	
05/15/2009	BOUGHT	26 0000	OCWEN FINL CORP (NEW) We acted as your agent in this transaction	12 654	-329 01	
05/15/2009	BOUGHT	28 0000	AK STEEL HOLDING CORP We acted as your agent in this transaction	11 750	-329 00	
05/15/2009	BOUGHT	13 0000	OGE ENERGY CORP We acted as your agent in this transaction	25 768	-334 98	
05/15/2009	BOUGHT	9 0000	NATIONAL OILWELL VARCO INC We acted as your agent in this transaction	34 186	-307 67	
05/15/2009	REDEMPTION	-257 2200	CITIFUNDS PREMIUM US TREASURY RESERVES FD	1 000	-257 22	
05/13/2009	BOUGHT	21 0000	SOUTHERN UNION COMPANY We acted as your agent in this transaction	15 868	-333 24	
04/30/2009	REINVEST	0 5900	CITIFUNDS PREMIUM US TREASURY RESERVES FD FOR PERIOD 04/01/09-04/30/09 30 DAYS AVERAGE YIELD 01 %	1 000	- 59	
04/24/2009	AUTOINVEST	9486 1600	CITIFUNDS PREMIUM US TREASURY RESERVES FD	1 000	9,486 16	
04/21/2009	REDEMPTION	-13613 2600	CITIFUNDS PREMIUM US TREASURY RESERVES FD	1 000	-13,613 26	
04/20/2009	CHARGE	0 0000	CITIFUNDS PREMIUM US TREASURY RESERVES FD MUTUAL FUND FEE CREDIT	0 000	5 62	
04/20/2009	SOLD	-326 0000	BANK OF AMERICA CORP We acted as your agent in this transaction	9 109	2,969 42	
04/20/2009	SOLD	-78 0000	UTS SPDR TRUST SER 1 We acted as your agent in this transaction	83 550	6,516 74	
04/16/2009	BOUGHT	78 0000	UTS SPDR TRUST SER 1 PROSPECTUS ENCLOSED We acted as your agent in this transaction	87 119	-6,795 31	
04/16/2009	BOUGHT	41 0000	PACCAR INC -DEL- We acted as your agent in this transaction	32 698	-1,340 61	
04/16/2009	BOUGHT	63 0000	PACCAR INC -DEL- We acted as your agent in this transaction	32 698	-2,059 96	
04/16/2009	BOUGHT	326 0000	BANK OF AMERICA CORP We acted as your agent in this transaction	10 500	-3,423 00	
04/01/2009	AUTOINVEST	1 7600	CITIFUNDS PREMIUM US TREASURY RESERVES FD	1 000	1 76	

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Date	Activity	Quantity	Description	Price	Amount	Check
03/31/2009	AUTOINVEST	4 7700	CITIFUNDS PREMIUM US TREASURY RESERVES FD	1 000	4 77	
03/31/2009	DIVIDEND	0 0000	AMERICAN PHYSICIANS CAPITAL INC CASH DIV ON 16 0000 SHS X/D 03/11/09	0 000	1 76	
03/31/2009	REINVEST	0 6000	CITIFUNDS PREMIUM US TREASURY RESERVES FD FOR PERIOD 03/02/09-03/31/09 30 DAYS AVERAGE YIELD 01 %	1 000	-60	
03/30/2009	CHARGE	0 0000	CITIFUNDS PREMIUM US TREASURY RESERVES FD MUTUAL FUND FEE CREDIT	0 000	4 77	
03/27/2009	AUTOINVEST	1187 8400	CITIFUNDS PREMIUM US TREASURY RESERVES FD	1 000	1,187 84	
03/23/2009	SOLD	-15 0000	PROSHARES ULTRASHORT S&P500 We acted as your agent in this transaction	79 190	1,187 84	
03/20/2009	REDEMPTION	-1318 5000	CITIFUNDS PREMIUM US TREASURY RESERVES FD	1 000	-1,318 50	
03/19/2009	AUTOINVEST	7438 4700	CITIFUNDS PREMIUM US TREASURY RESERVES FD	1 000	7,438 47	
03/17/2009	BOUGHT	15 0000	PROSHARES ULTRASHORT S&P500 PROSPECTUS ENCLOSED We acted as your agent in this transaction	87 900	-1,318 50	
03/13/2009	SOLD	-33 0000	HCC INSURANCE HOLDINGS INC We acted as your agent in this transaction	22 504	742 63	
03/13/2009	SOLD	-42 0000	VALEANT PHARMACEUTICALS INTL We acted as your agent in this transaction	17 182	721 61	
03/13/2009	SOLD	-35 0000	JB HUNT TRANSPORT SERVICES INC We acted as your agent in this transaction	21 787	762 54	
03/13/2009	SOLD	-235 0000	STEEL DYNAMICS INC We acted as your agent in this transaction	7 130	1,675 54	
03/13/2009	REDEMPTION	-7491 1200	CITIFUNDS PREMIUM US TREASURY RESERVES FD	1 000	-7,491 12	
03/13/2009	SOLD	-54 0000	GRANITE CONSTRUCTION INC We acted as your agent in this transaction	40 170	2,169 13	
03/13/2009	SOLD	-13 0000	MCDONALDS CORP We acted as your agent in this transaction	52 474	682 15	
03/13/2009	SOLD	-16 0000	AMERICAN PHYSICIANS CAPITAL INC We acted as your agent in this transaction	42 805	684 87	
03/10/2009	BOUGHT	54 0000	GRANITE CONSTRUCTION INC We acted as your agent in this transaction	37 984	-2,051 14	
03/10/2009	BOUGHT	235 0000	STEEL DYNAMICS INC We acted as your agent in this transaction	8 751	-2,056 44	
03/10/2009	BOUGHT	33 0000	HCC INSURANCE HOLDINGS INC We acted as your agent in this transaction	20 751	-684 78	
03/10/2009	BOUGHT	13 0000	MCDONALDS CORP We acted as your agent in this transaction	52 769	-686 00	
03/10/2009	BOUGHT	16 0000	AMERICAN PHYSICIANS CAPITAL INC We acted as your agent in this transaction	40 722	-651 56	
03/10/2009	BOUGHT	35 0000	JB HUNT TRANSPORT SERVICES INC We acted as your agent in this transaction	19 394	-678 79	
03/10/2009	BOUGHT	42 0000	VALEANT PHARMACEUTICALS INTL We acted as your agent	16 248	-682 40	

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Date	Activity	Quantity	Description	Price	Amount	Check
			in this transaction			
03/09/2009	AUTOINVEST	1721 8500	CITIFUNDS PREMIUM US TREASURY RESERVES FD	1 000	1,721 85	
03/05/2009	REDEMPTION	-1721 2300	CITIFUNDS PREMIUM US TREASURY RESERVES FD	1 000	-1,721 23	
03/04/2009	AUTOINVEST	2800 9400	CITIFUNDS PREMIUM US TREASURY RESERVES FD	1 000	2,800 94	
03/03/2009	AUTOINVEST	2809 5000	CITIFUNDS PREMIUM US TREASURY RESERVES FD	1 000	2,809 50	
03/03/2009	BOUGHT	3 0000	PROSHARES ULTRASHORT FINANCIALS PROSPECTUS ENCLOSED We acted as your agent	188 310	-564 93	
03/03/2009	DIVIDEND	0 0000	PACCAR INC -DEL- CASH DIV ON 47 0000 SHS X/D 02/17/09	0 000	8 46	
03/03/2009	SOLD	-2 0000	POWERSHARES DB CRUDE OIL DOUBLE SHORT ETN We acted as your agent in this transaction	220 860	441 71	

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Activity from 01/01/2009 through 12/31/2009 as of 02/06/2010

Activity Detail - Check Reorder E-mail

Date	Activity	Quantity	Description	Price	Amount	Check
03/03/2009	SOLD	-12 0000	PROSHARES ULTRASHORT BASIC MATERIALS We acted as your agent in this transaction	54 370	652 43	
03/03/2009	SOLD	-3 0000	PROSHARES ULTRASHORT FINANCIALS We acted as your agent in this transaction	198 777	596 32	
03/03/2009	SOLD	-3 0000	PROSHARES ULTRASHORT FINANCIALS We acted as your agent in this transaction	198 777	596 32	
03/02/2009	BOUGHT	12 0000	PROSHARES ULTRASHORT BASIC MATERIALS PROSPECTUS ENCLOSED We acted as your agent	55 956	-671 47	
03/02/2009	BOUGHT	3 0000	PROSHARES ULTRASHORT FINANCIALS PROSPECTUS ENCLOSED We acted as your agent	195 612	-586 83	
03/02/2009	BOUGHT	2 0000	POWERSHARES DB CRUDE OIL DOUBLE SHORT ETN PROSPECTUS ENCLOSED We acted as your agent	231 464	-462 93	
02/27/2009	REINVEST	0 5000	CITIFUNDS PREMIUM US TREASURY RESERVES FD FOR PERIOD 02/02/09-03/01/09 28 DAYS AVERAGE YIELD 01 %	1 000	- 50	
02/26/2009	AUTOINVEST	6009 5300	CITIFUNDS PREMIUM US TREASURY RESERVES FD	1 000	6,009 53	
02/26/2009	SOLD	-1404 0000	U S GOLD CORP PAR $0 10 We acted as your agent in this transaction FULL PRICE IS 1 98896000	1 989	2,792 46	
02/25/2009	SOLD	-115 0000	POWERSHARES DB MULTI-SECTOR COMMODITY TR POWERSHS DB SILVER FUND We acted as your agent	24 431	2,809 50	
02/24/2009	AUTOINVEST	21322 1500	CITIFUNDS PREMIUM US TREASURY RESERVES FD	1 000	21,322 15	
02/23/2009	CHARGE	0 0000	CITIFUNDS PREMIUM US TREASURY RESERVES FD MUTUAL FUND FEE CREDIT	0 000	5 55	
02/20/2009	FEE	0 0000	INVESTMENT AND MGMT SERVICES FROM 02/01/09 TO 04/30/09	0 000	-258 11	
02/20/2009	SOLD	-113 0000	PRICE SMART INC We acted as your agent in this transaction	16 613	1,877 28	
02/20/2009	SOLD	-21 0000	PROSHARES ULTRASHORT FINANCIALS We acted as your agent in this transaction	196 775	4,132 25	
02/20/2009	REDEMPTION	-3676 3000	CITIFUNDS PREMIUM US TREASURY RESERVES FD	1 000	-3,676 30	
02/19/2009	REDEMPTION	-29074 9200	CITIFUNDS PREMIUM US TREASURY RESERVES FD	1 000	-29,074 92	

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Date	Activity	Quantity	Description	Price	Amount	Check
02/18/2009	SOLD	-146 0000	HOLOGIC INC We acted as your agent in this transaction	13 408	1,957 33	
02/18/2009	SOLD	-39 0000	TELEFLEX INC We acted as your agent in this transaction	51 622	2,013 23	
02/18/2009	SOLD	-28 0000	HUDSON CITY BANCORP INC We acted as your agent in this transaction	10 972	307 21	
02/18/2009	SOLD	-78 0000	SYNAPTICS INC We acted as your agent in this transaction	22 992	1,793 33	
02/18/2009	SOLD	-94 0000	VALEANT PHARMACEUTICALS INTL We acted as your agent in this transaction	21 867	2,055 47	
02/18/2009	SOLD	-300 0000	BANK OF AMERICA CORP We acted as your agent in this transaction	4 750	1,424 99	
02/18/2009	SOLD	-108 0000	SCHERING PLOUGH CORP We acted as your agent in this transaction	18 333	1,979 94	
02/18/2009	SOLD	-66 0000	HORMEL FOODS CORP We acted as your agent in this transaction	30 086	1,985 66	
02/18/2009	SOLD	-37 0000	PEPSIAMERICAS INC We acted as your agent in this transaction	17 263	638 73	
02/18/2009	SOLD	-84 0000	HCC INSURANCE HOLDINGS INC We acted as your agent in this transaction	23 640	1,985 74	
02/18/2009	SOLD	-47 0000	PACCAR INC -DEL- We acted as your agent in this transaction	27 507	1,292 83	
02/18/2009	SOLD	-98 0000	MARSH & MCLENNAN COS INC We acted as your agent in this transaction	19 524	1,913 34	
02/18/2009	SOLD	-91 0000	JB HUNT TRANSPORT SERVICES INC We acted as your agent in this transaction	21 635	1,968 80	
02/17/2009	BOUGHT	13 0000	PROSHARES ULTRASHORT FINANCIALS PROSPECTUS ENCLOSED We acted as your agent	162 771	-2,116 02	
02/17/2009	BOUGHT	8 0000	PROSHARES ULTRASHORT FINANCIALS PROSPECTUS ENCLOSED We acted as your agent	162 771	-1,302 17	
02/13/2009	BOUGHT	94 0000	VALEANT PHARMACEUTICALS INTL We acted as your agent in this transaction	22 667	-2,130 69	
02/13/2009	BOUGHT	1096 0000	U S GOLD CORP PAR $0 10 We acted as your agent in this transaction FULL PRICE IS 1 97356000	1 974	-2,163 02	
02/13/2009	BOUGHT	66 0000	HORMEL FOODS CORP We acted as your agent in this transaction	31 470	-2,076 99	
02/13/2009	BOUGHT	308 0000	U S GOLD CORP PAR $0 10 We acted as your agent in this transaction	2 039	-628 07	
02/13/2009	BOUGHT	91 0000	JB HUNT TRANSPORT SERVICES INC We acted as your agent in this transaction	23 053	-2,097 82	
02/13/2009	BOUGHT	39 0000	TELEFLEX INC We acted as your agent in this transaction	53 925	-2,103 08	
02/13/2009	BOUGHT	84 0000	HCC INSURANCE HOLDINGS INC We acted as your agent in this transaction	25 095	-2,107 98	
02/13/2009	BOUGHT	78 0000	SYNAPTICS INC We acted as your agent in this transaction	26 844	-2,093 82	

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Date	Activity	Quantity	Description	Price	Amount	Check
02/13/2009	BOUGHT	98 0000	MARSH & MCLENNAN COS INC We acted as your agent in this transaction	21 460	-2,103 07	
02/13/2009	BOUGHT	108 0000	SCHERING PLOUGH CORP We acted as your agent in this transaction	19 470	-2,102 76	
02/13/2009	BOUGHT	28 0000	HUDSON CITY BANCORP INC We acted as your agent in this transaction	12 084	-338 37	
02/13/2009	BOUGHT	113 0000	PRICE SMART INC We acted as your agent in this transaction	19 226	-2,172 49	
02/13/2009	BOUGHT	146 0000	HOLOGIC INC We acted as your agent in this transaction	14 447	-2,109 26	
02/13/2009	BOUGHT	115 0000	POWERSHARES DB MULTI-SECTOR COMMODITY TR POWERSHS DB SILVER FUND PROSPECTUS ENCLOSED	24 240	-2,787 60	
02/13/2009	BOUGHT	37 0000	PEPSIAMERICAS INC We acted as your agent in this transaction	18 464	-683 18	
02/13/2009	BOUGHT	47 0000	PACCAR INC -DEL- We acted as your agent in this transaction	29 292	-1,376 74	
02/12/2009	REDEMPTION	-2112 2400	CITIFUNDS PREMIUM US TREASURY RESERVES FD	1 000	-2,112 24	
02/09/2009	BOUGHT	300 0000	BANK OF AMERICA CORP We acted as your agent in this transaction FULL PRICE IS 7 04081000	7 041	-2,112 24	

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Dow 10012.23 | NASDAQ 2141.12 | S&P 500 1066.19

Forms 1099 for Tax Year 2009
Forms 1099 will be available for download by February 15 2010.

Print

Select: Activity Detail Filters: All Activities Date Range: Date Range View

Activity from 01/01/2009 through 12/31/2009 as of 02/06/2010

Activity Detail - Check Reorder E-mail

Date	Activity	Quantity	Description	Price	Amount	Check
02/02/2009	AUTOINVEST	11 6900	CITIFUNDS PREMIUM US TREASURY RESERVES FD	1 000	11 69	
01/30/2009	REINVEST	3 9000	CITIFUNDS PREMIUM US TREASURY RESERVES FD FOR PERIOD 01/02/09-02/01/09 31 DAYS AVERAGE YIELD 07 %	1 000	-3 90	
01/30/2009	DIVIDEND	0 0000	MID-AMERICA APARTMENT CMNTYS CASH DIV ON 19 0000 SHS X/D 01/13/09	0 000	11 69	
01/27/2009	AUTOINVEST	5679 5700	CITIFUNDS PREMIUM US TREASURY RESERVES FD	1 000	5,679 57	
01/23/2009	REDEMPTION	-5494 0700	CITIFUNDS PREMIUM US TREASURY RESERVES FD	1 000	-5,494 07	
01/22/2009	DEPOSIT	0 0000	TO ADJUST BALANCE	0 000	95 00	
01/21/2009	SOLD	-14 0000	STERICYCLE INC We acted as your agent in this transaction	48 576	680 05	
01/21/2009	SOLD	-36 0000	GRANITE CONSTRUCTION INC We acted as your agent in this transaction	37 502	1,350 07	
01/21/2009	SOLD	-6 0000	MCDONALDS CORP We acted as your agent in this transaction	57 766	346 59	
01/21/2009	SOLD	-20 0000	METTLER TOLEDO INTL INC We acted as your agent in this transaction	67 874	1,357 48	
01/21/2009	SOLD	-10 0000	HOME PROPERTIES INC We acted as your agent in this transaction	33 059	330 58	
01/21/2009	AUTOINVEST	6 0400	CITIFUNDS PREMIUM US TREASURY RESERVES FD	1 000	6 04	
01/21/2009	SOLD	-7 0000	CONTANGO OIL & GAS INC (DE) We acted as your agent in this transaction	44 839	313 87	
01/21/2009	SOLD	-10 0000	WORLD FUEL SVCS CORP We acted as your agent in this transaction	31 823	318 22	
01/21/2009	SOLD	-16 0000	AMERICAN PHYSICIANS CAPITAL INC We acted as your agent in this transaction	39 981	639 68	
01/21/2009	SOLD	-20 0000	UNITED NATURAL FOODS INC We acted as your agent in this transaction	17 152	343 03	
01/20/2009	BOUGHT	8 0000	AMERICAN PHYSICIANS CAPITAL INC We acted as your agent in this transaction	42 777	-342 21	
01/20/2009	BOUGHT	8 0000	AMERICAN PHYSICIANS CAPITAL INC We acted as your agent in this transaction	42 777	-342 21	
01/20/2009	BOUGHT	18 0000	GRANITE CONSTRUCTION INC We acted as your agent in this transaction	39 326	-707 87	

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Date	Activity	Quantity	Description	Price	Amount	Check
01/20/2009	BOUGHT	10 0000	WORLD FUEL SVCS CORP We acted as your agent in this transaction	32 607	-326 07	
01/20/2009	BOUGHT	5 0000	HOME PROPERTIES INC We acted as your agent in this transaction	34 573	-172 86	
01/20/2009	BOUGHT	11 0000	UNITED NATURAL FOODS INC We acted as your agent in this transaction	17 571	-193 29	
01/20/2009	BOUGHT	17 0000	GRANITE CONSTRUCTION INC We acted as your agent in this transaction	39 326	-668 54	
01/20/2009	BOUGHT	9 0000	UNITED NATURAL FOODS INC We acted as your agent in this transaction	17 571	-158 14	
01/20/2009	BOUGHT	2 0000	MCDONALDS CORP We acted as your agent in this transaction	58 938	-117 88	
01/20/2009	BOUGHT	8 0000	STERICYCLE INC We acted as your agent in this transaction	50 095	-400 76	
01/20/2009	BOUGHT	5 0000	HOME PROPERTIES INC We acted as your agent in this transaction	34 573	-172 86	
01/20/2009	BOUGHT	6 0000	STERICYCLE INC We acted as your agent in this transaction	50 095	-300 57	
01/20/2009	BOUGHT	1 0000	GRANITE CONSTRUCTION INC We acted as your agent in this transaction	39 326	-39 33	
01/20/2009	BOUGHT	10 0000	METTLER TOLEDO INTL INC We acted as your agent in this transaction	69 058	-690 58	
01/20/2009	BOUGHT	7 0000	CONTANGO OIL & GAS INC (DE) We acted as your agent in this transaction	46 000	-322 00	
01/20/2009	BOUGHT	10 0000	METTLER TOLEDO INTL INC We acted as your agent in this transaction	69 058	-690 58	
01/20/2009	AUTOINVEST	4700 4800	CITIFUNDS PREMIUM US TREASURY RESERVES FD	1 000	4,700 48	
01/20/2009	BOUGHT	4 0000	MCDONALDS CORP We acted as your agent in this transaction	58 938	-235 75	
01/20/2009	CHARGE	0 0000	CITIFUNDS PREMIUM US TREASURY RESERVES FD MUTUAL FUND FEE CREDIT	0 000	6 04	
01/16/2009	AUTOINVEST	13824 3700	CITIFUNDS PREMIUM US TREASURY RESERVES FD	1 000	13,824 37	
01/16/2009	SOLD	-24 0000	ROCHESTER MED CORP We acted as your agent in this transaction	12 268	294 43	
01/13/2009	SOLD	-15 0000	CONTANGO OIL & GAS INC (DE) We acted as your agent in this transaction	49 942	749 12	
01/13/2009	REDEMPTION	-7202 3400	CITIFUNDS PREMIUM US TREASURY RESERVES FD	1 000	-7,202 34	
01/13/2009	SOLD	-19 0000	GREEN MOUNTAIN COFFEE ROASTER INC We acted as your agent in this transaction	33 599	638 37	
01/13/2009	SOLD	-19 0000	MID-AMERICA APARTMENT CMNTYS We acted as your agent in this transaction	29 518	560 83	
01/13/2009	SOLD	-12 0000	COMPASS MINERALS INTL INC We acted as your agent in this transaction	60 057	720 67	
01/13/2009	SOLD	-47 0000	HUDSON CITY BANCORP INC We acted as your agent in this transaction	13 934	654 89	
01/13/2009	SOLD	-5 0000	PARTNERRE LTD -BMD We acted as your agent in this transaction	70 417	352 08	

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Date	Activity	Quantity	Description	Price	Amount	Check
01/13/2009	SOLD	-16 0000	HANCOCK HOLDING CO We acted as your agent *in this transaction*	38 775	620 39	
01/13/2009	SOLD	-27 0000	CANTEL MEDICAL CORP We acted as your agent in this transaction	14 968	404 13	
01/12/2009	REDEMPTION	-7282 5800	CITIFUNDS PREMIUM US TREASURY RESERVES FD	1 000	-7,282 58	
01/12/2009	SOLD	-274 0000	ISHARES RUS 3000 INDEX FUND We acted as your agent *in this transaction*	50 454	13,824 37	
01/08/2009	BOUGHT	138 0000	ISHARES RUS 3000 INDEX FUND PROSPECTUS ENCLOSED PROSPECTUS ENCLOSED We acted as your agent	52 191	-7,202 34	
01/07/2009	BOUGHT	266 0000	ISHARES RUS 3000 INDEX FUND PROSPECTUS ENCLOSED PROSPECTUS ENCLOSED We acted as your agent	53 180	-14,145 88	
01/07/2009	SOLD	-130 0000	ISHARES RUS 3000 INDEX FUND We acted as your agent in this transaction	52 795	6,863 30	
01/05/2009	AUTOINVEST	688 0600	CITIFUNDS PREMIUM US TREASURY RESERVES FD	1 000	688 06	

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Select: 2009 Gain/Loss (Realized) Positions View

Data as of 5/5/2010.

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2009 (Realized) Positions - 482-24349

All + -	Description	Quantity	Date Acquired	Purchase Price	Cost	Date Sold	Sale Price	Proceeds	Realized Gain/Loss	ST/LT
+	AAR CORP	247			5,146.92			4,751.30	-395.62	
	ACADIA RLTY TR 482-24349	24.0000	6/17/09	13.94	334.46	6/24/09	13.412	321.89	-12.57	ST
+	ACTUATE CORPORATION	268			1,372.33			1,524.34	152.01	
	ADVANCE AMERICA CASH ADVANCE 482-24349	114.0000	12/18/09	5.91	673.63	12/28/09	5.840	665.79	-7.84	ST
+	AK STEEL HOLDING CORP	47			661.65			770.78	109.13	
	ALLEGHENY TECHNOLOGIES INC 482-24349	10.0000	5/15/09	33.49	334.92	6/04/09	39.108	391.06	56.14	ST
+	ALLERGAN INC	43			2,402.65			2,440.02	37.37	
+	ALTISOURCE PROTFOLIO	64.3333			791.96			875.79	83.83	
+	AMERCO	61			2,757.61			2,710.28	-47.33	
	AMERICAN AXLE & MFG HLDGS INC 482-24349	182.0000	9/15/09	8.01	1,457.46	9/17/09	7.458	1,357.30	-100.16	ST
	AMERICAN ECOLOGY CORP-NEW 482-24349	19.0000	5/15/09	17.38	330.28	6/04/09	19.225	365.26	34.98	ST
+	AMERICAN PHYSICIANS CAPITAL	32			1,335.98			1,324.55	-11.43	
+	ANADARKO PETROLEUM CORP	81			5,022.66			4,883.38	-139.28	
	ARCH CAPITAL GROUP LTD 482-24349	5.0000	5/15/09	58.75	293.75	5/28/09	57.190	285.94	-7.81	ST
+	AVISTA CORP	74			1,356.21			1,412.95	56.74	
+	BADGER METER INC	31			1,286.46			1,264.83	-21.63	
+	BANK OF AMERICA CORP	706			6,899.88			5,752.97	-1,146.91	
	BANK OF HAWAII CORP 482-24349	8.0000	5/15/09	37.82	302.60	5/28/09	36.420	291.35	-11.25	ST
	BOSTON SCIENTIFIC CORP 482-24349	124.0000	9/10/09	11.38	1,410.61	9/15/09	11.280	1,398.68	-11.93	ST
+	BREITBURN ENERGY PARTNERS L P	382			4,559.40			4,303.85	-255.55	
	BROWN SHOE INC NEW 482-24349	65.0000	12/18/09	10.40	675.74	12/28/09	10.032	652.08	-23.66	ST
	BRUKER CORP 482-24349	130.0000	9/11/09	11.11	1,444.29	9/15/09	10.217	1,328.13	-116.16	ST
	CANTEL MEDICAL CORP 482-24349	27.0000	12/24/08	13.36	360.72	1/13/09	14.968	404.13	43.41	ST
+	CENVEO INC	275			2,224.96			1,957.09	-267.87	
+	CEPHEID INC	287			3,375.73			3,821.56	445.83	
+	CHENIERE ENERGY PARTNERS L P	837			8,203.93			8,563.51	359.58	
+	CHESAPEAKE ENERGY CORP	145			4,124.51			3,890.20	-234.31	
+	CLEARWATER PAPER CORP	74			2,874.49			3,083.83	209.34	
+	COACH INC	210			6,715.33			6,953.26	237.93	
	COCA-COLA CO 482-24349	7.0000	5/15/09	44.84	313.92	6/04/09	49.110	343.76	29.84	ST
+	COMMERCIAL METALS CO	91			1,395.88			1,413.90	18.02	
+	COMPASS MINERALS INTL INC	18			976.20			1,055.01	78.81	
+	COMPUTER PROGRAMS & SYS INC	96			3,773.96			3,732.88	-41.08	

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All + -	Description	Quantity	Date Acquired	Purchase Price	Cost	Date Sold	Sale Price	Proceeds	Realized Gain/Loss	ST/LT
+	CONSECO INC NEW	428			2,721.52			2,343.44	-378.08	
+	CONTANGO OIL & GAS INC (DE)	22			1,017.64			1,062.99	45.35	
	CONTINENTAL RESOURCES INC 482-24349	33.0000	9/15/09	43.58	1,437.99	9/18/09	42.501	1,402.48	-35.51	ST
+	CYPRESS SEMICONDUCTOR CORP-DEL	361			3,592.88			3,573.42	-19.46	
+	DIODES INC	75			1,356.25			1,502.29	146.04	
+	DYNAMEX INC	61			1,020.15			975.77	-44.38	
	ECLIPSYS CORP 482-24349	79.0000	9/09/09	17.77	1,403.73	9/17/09	19.328	1,526.90	123.17	ST
+	EMERITUS CORP	202			4,295.02			4,314.11	19.09	
	ENERGY SERVICES OR AMERICA 482-24349	120.0000	9/17/09	3.20	383.66	9/22/09	2.763	331.55	-52.11	ST
+	ENTEGRIS INC	372			1,325.64			1,427.40	101.76	
	EV ENERGY PARTNERS LP COM UTS 482-24349	64.0000	9/25/09	22.87	1,463.85	9/25/09	22.872	1,463.75	-0.10	ST
	FASTENAL CO 482-24349	10.0000	5/15/09	33.11	331.12	6/04/09	34.776	347.75	16.63	ST
+	FISERV INC	56			2,734.90			2,612.11	-122.79	
+	FLOWERS FOODS INC	131			3,045.97			3,284.63	238.66	
+	GENERAL ELECTRIC CO	352			5,812.04			5,544.64	-267.40	
+	GFI GROUP INC	230			1,815.37			1,730.83	-84.54	
	GOODRICH PETE CORP NEW 482-24349	26.0000	12/18/09	25.46	662.06	12/28/09	26.215	681.58	19.52	ST

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LT/ST Indicates whether a realized or unrealized gain/loss is long-term or short-term. Long-term gains or losses reflect positions held for greater than 12 months. Short-term gains or losses reflect positions held for less than or equal to 12 months

Date sold reflects trade date, however these positions will not appear as realized until the settlement date

* Account contains securities for which cost basis and/or values and quantity are temporarily unavailable. The average cost per share is not displayed because this security is missing cost basis. Totals will not incorporate gains (or losses) for those securities. For more information, please call your Financial Advisor or the Online Service Center at 800-221-3636

** Please provide your Financial Advisor with the missing cost basis for this position.

Gain/loss amounts as calculated for income tax purposes may not reflect the overall return on your fund holdings, particularly if you have received capital gain distributions with respect to those funds

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Data as of 5/5/2010

E-mail

2009 (Realized) Positions - 482-24349

All + -	Description	Quantity	Date Acquired	Purchase Price	Cost	Date Sold	Sale Price	Proceeds	Realized Gain/Loss	ST/LT
+	GRACE W R & CO DEL NEW	54			662.34			711.86	49.52	
+	GRANITE CONSTRUCTION INC	90			3,466.88			3,519.20	52.32	
+	GREEN MOUNTAIN COFFEE	19			714.49			638.37	-76.12	
	HANCOCK HOLDING CO 482-24349	16 0000	12/23/08	42.62	681.89	1/13/09	38.775	620.39	-61.50	ST
	HANESBRANDS INC 482-24349	99.0000	10/14/09	23.70	2,346.01	10/30/09	22.055	2,183.35	-162.66	ST
	HAYNES INTL INC-NEW 482-24349	15.0000	6/23/09	21.86	327.92	7/30/09	23.437	351.56	23.64	ST
+	HCC INSURANCE HOLDINGS INC	131			3,124.33			3,069.68	-54.65	
+	HELIX ENERGY SOLUTIONS GROUP	405			6,210.07			5,565.50	-644.57	
	HESS CORP 482-24349	5.0000	5/15/09	59.43	297 14	6/04/09	58.792	293.95	-3 19	ST
+	HOLOGIC INC	353			5,581.62			5,452.26	-129.36	
+	HOME PROPERTIES INC	10			345.72			330.58	-15.14	
	HORMEL FOODS CORP 482-24349	66.0000	2/13/09	31.47	2,076.99	2/18/09	30.086	1,985.66	-91.33	ST
+	HUDSON CITY BANCORP INC	75			1,046.57			962 10	-84.47	
+	HUNTSMAN CORP	319			2,921.99			2,924.72	2.73	
+	INFORMATICA CORP	74			1,344.66			1,369.00	24.34	
+	INTERACTIVE INTELLIGENCE INC	157			2,681.08			2,463.79	-217.29	
	INVENTIV HEALTH INC 482-24349	88.0000	10/01/09	16.60	1,460.67	10/02/09	16.052	1,412.58	-48.09	ST
+	ISHARES RUS 3000 INDEX FUND	404			21,348.22			20,687.67	-660.55	
	ISRAMCO INC (NEW) 482-24349	5.0000	9/23/09	136.00	680.00	9/25/09	131.963	659.80	-20.20	ST
+	JARDEN CORP	193			5,064.47			5,283.10	218.63	
+	JB HUNT TRANSPORT SERVICES INC	126			2,776.61			2,731.34	-45.27	
	JUNIPER NETWORKS INC 482-24349	13.0000	9/17/09	27.44	356.72	9/22/09	27.720	360.35	3.63	ST
	KAMAN CORP 482-24349	37.0000	6/17/09	18.35	678.79	7/30/09	18.501	684.54	5.75	ST
+	KINDER MORGAN MANAGEMENT LLC	47.0007			2,251.60*			2,233.96	-65.17*	
	L-1 IDENTITY SOLUTIONS INC 482-24349	96.0000	9/08/09	7.26	696.99	9/11/09	7 355	706 03	9.04	ST
	LUFKIN INDUSTRIES INC 482-24349	8.0000	5/15/09	38.23	305.80	6/04/09	46.108	368.85	63.05	ST
	M/I HOMES INC 482-24349	36.0000	6/23/09	9.42	339.19	6/24/09	9.153	329.51	-9.68	ST
+	MARSH & MCLENNAN COS INC	156			3,547.26			3,314.02	-233 24	
	MATRIX SVC CO 482-24349	30.0000	6/23/09	11.18	335.53	6/24/09	11.016	330.46	-5.07	ST
+	MCDERMOTT INTERNATIONAL INC	52			1,013.05			1,049.25	36.20	
+	MCDONALDS CORP	25			1,363.99			1,389.94	25.95	
+	METTLER TOLEDO INTL INC	24			1,670.58			1,663.53	-7.05	
	MID-AMERICA APARTMENT CMNTYS 482-24349	19.0000	12/23/08	36.94	701.85	1/13/09	29.518	560.83	-141.02	ST
+	MONSTER WORLDWIDE INC	337			5,590.31			5,851.69	261.38	

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All + -	Description▲	Quantity	Date Acquired	Purchase Price	Cost	Date Sold	Sale Price	Proceeds	Realized Gain/Loss	ST/LT
+	MOTOROLA INC DE	852			7,177.36			7,205.79	28.43	
+	NATIONAL OILWELL VARCO INC	28			968.88			948.16	-20.72	
	NATIONAL RURAL UTILS COOP FIN 482-24349	15.0000	9/17/09	23.34	350.15	9/22/09	23.300	349.49	-0.66	ST
+	NII HLDGS INC CLASS B NEW	149			4,417.62			4,311.94	-105.68	
+	OCWEN FINL CORP	603			6,740.83			6,762.28	21.45	
	OGE ENERGY CORP 482-24349	13.0000	5/15/09	25.77	334.98	6/04/09	26.456	343.92	8.94	ST
	OLYMPIC STEEL INC 482-24349	13.0000	6/23/09	24.47	318.07	6/24/09	24.265	315.43	-2.64	ST
+	OWENS ILLINOIS INC NEW	208			7,097.53			7,415.59	318.06	
+	PACCAR INC -DEL-	173			5,458.37			5,574.84	116.47	
+	PARKER-HANNIFIN CORP	31			1,338.34			1,311.06	-27.28	
	PARTNERRE LTD -BMD 482-24349	5.0000	12/24/08	69.00	345.00	1/13/09	70.417	352.08	7.08	ST
+	PATRIOT COAL CORP	446			5,932.14			5,507.73	-424.41	
+	PENNANTPARK INVT CORP	489			4,064.45			4,188.33	123.88	
+	PENNSYLVANIA REAL ESTATE	329			2,728.32			2,526.92	-201.40	
	PEPSIAMERICAS INC 482-24349	37.0000	2/13/09	18.46	683.18	2/18/09	17.263	638.73	-44.45	ST
+	PHOENIX TECHNOLOGIES LTD	443			1,385.99			1,273.23	-112.76	

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The information on this page is updated with the prior trading day's closing prices when they become available

LT/ST indicates whether a realized or unrealized gain/loss is long-term or short-term Long-term gains or losses reflect positions held for greater than 12 months Short-term gains or losses reflect positions held for less than or equal to 12 months

Date sold reflects trade date, however, these positions will not appear as realized until the settlement date

* Account contains securities for which cost basis and/or values and quantity are temporarily unavailable The average cost per share is not displayed because this security is missing cost basis Totals will not incorporate gains (or losses) for those securities For more information, please call your Financial Advisor or the Online Service Center at 800-221-3636

** Please provide your Financial Advisor with the missing cost basis for this position

Gain/loss amounts as calculated for income tax purposes may not reflect the overall return on your fund holdings, particularly if you have received capital gain distributions with respect to those funds

For more information, please contact your **Financial Advisor**.

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MorganStanley
SmithBarney

Dow 10779.47 -88.65 11:34am | NASDAQ 2376.42 -25.87 11:34am | S&P 500 1154.90 -11.00 11:33am

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Select: 2009 Gain/Loss (Realized) Positions View

Data as of 5/5/2010.

E-mail

2009 (Realized) Positions - 482-24349

All + -	Description	Quantity	Date Acquired	Purchase Price	Cost	Date Sold	Sale Price	Proceeds	Realized Gain/Loss	ST/LT
	POWERSHARES DB CRUDE OIL 482-24349	2.0000	3/02/09	231.46	462.93	3/03/09	220.860	441.71	-21.22	ST
	POWERSHARES DB MULTI-SECTOR 482-24349	115.0000	2/13/09	24.24	2,787.60	2/25/09	24.431	2,809.50	21.90	ST
+	PRAXAIR INC	13			936.47			926.37	-10.10	
	PRICE SMART INC 482-24349	113.0000	2/13/09	19.23	2,172.49	2/20/09	16.613	1,877.28	-295.21	ST
	PROSHARES ULTRASHORT BASIC 482-24349	12.0000	3/02/09	55.96	671.47	3/03/09	54.370	652.43	-19.04	ST
	PROSHARES ULTRASHORT S&P500 482-24349	15.0000	3/17/09	87.90	1,318.50	3/23/09	79.190	1,187.84	-130.66	ST
+	PROSHARES ULTRASHORT	27			4,569.95			5,324.89	754.94	
+	PSYCHIATRIC SOLUTIONS INC	146			3,689.32			3,056.48	-632.84	
	PUBLIC STORAGE (MD) 482-24349	5.0000	5/15/09	62.32	311.62	6/04/09	67.173	335.86	24.24	ST
	RICK'S CABARET INTERNATIONAL 482-24349	49.0000	5/15/09	6.86	336.08	6/04/09	6.548	320.83	-15.25	ST
	ROBBINS & MYERS INC 482-24349	17.0000	5/15/09	19.50	331.50	6/04/09	21.508	365.63	34.13	ST
	ROCHESTER MED CORP 482-24349	24.0000	12/24/08	14.86	356.74	1/16/09	12.268	294.43	-62.31	ST
	SCHERING PLOUGH CORP 482-24349	108.0000	2/13/09	19.47	2,102.76	2/18/09	18.333	1,979.94	-122.82	ST
+	SEAGATE TECHNOLOGY	419			5,726.35			6,235.95	509.60	
	SOUTHERN UNION COMPANY 482-24349	21.0000	5/13/09	15.87	333.24	5/28/09	16.550	347.54	14.30	ST
+	SPARTAN MOTORS INC	267			2,191.06			1,803.28	-387.78	
	SPECTRUM PHARMACEUTICALS INC 482-24349	187.0000	9/08/09	7.50	1,402.50	9/15/09	7.444	1,391.95	-10.55	ST
+	SRA INTERNATIONAL INC CLASS A	165			3,420.01			3,526.09	106.08	
+	STEAK N SHAKE COMPANY	382.45			4,628.54			4,607.91	-20.63	
+	STEEL DYNAMICS INC	370			4,107.60			3,750.99	-356.61	
+	STERICYCLE INC	20			1,011.64			983.97	-27.67	
	SYNAPTICS INC 482-24349	78.0000	2/13/09	26.84	2,093.82	2/18/09	22.992	1,793.33	-300.49	ST
+	SYNNEX CORP	175			5,099.77			5,069.94	-29.83	
	TELEFLEX INC 482-24349	39.0000	2/13/09	53.92	2,103.08	2/18/09	51.622	2,013.23	-89.85	ST
	TRAVELZOO INC 482-24349	53.0000	10/01/09	13.93	738.18	10/02/09	13.040	691.08	-47.10	ST
+	TYLER TECHNOLOGIES INC	63			1,020.24			991.40	-28.84	
+	U S GOLD CORP PAR $0.10	1,404			2,791.09			2,792.48	1.39	
+	UDR INC	329			4,764.73			4,848.88	84.15	
+	UNITED NATURAL FOODS INC	33			668.31			682.58	14.27	
+	UNITED THERAPEUTICS CORP	90			4,397.65			4,347.00	-50.65	
	Total for Shares Missing Cost							47.53		
	Short-Term Total				337,502.98			333,378.97	-4,124.01	
	Total				337,502.98*			333,426.50	-4,124.01*	

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All	Description	Quantity	Date Acquired	Purchase Price	Cost	Date Sold	Sale Price	Proceeds	Realized Gain/Loss	ST/LT
	UTS SPDR TRUST SER 1 482-24349	78.0000	4/16/09	87.12	6,795.31	4/20/09	83.550	6,516.74	-278.57	ST
+	VALEANT PHARMACEUTICALS	211			5,079.52			4,981.59	-97.93	
	VICOR CORP 482-24349	45.0000	7/01/09	7.47	336.22	7/30/09	7.205	324.24	-11.98	ST
+	WALTER ENERGY INC	116			5,709.08			6,550.22	841.14	
+	WHITE MOUNTAINS INSURANCE	11			3,545.61			3,437.15	-108.46	
	WOODWARD GOVERNOR CO DELAWARE 482-24349	16.0000	5/15/09	20.03	320.42	6/04/09	22.491	359.85	39.43	ST
	WORLD FUEL SVCS CORP 482-24349	10.0000	1/20/09	32.81	328.07	1/21/09	31.823	318.22	-9.85	ST
+	WYNDHAM WORLDWIDE CORP	208			3,789.67			3,710.36	-79.31	
+	ZENITH NATIONAL INSURANCE CORP	74			2,090.23			2,275.11	184.88	
	Total for Shares Missing Cost							47.53		
	Short-Term Total				337,502.98			333,378.97	-4,124.01	
	Total				337,502.98*			333,426.50	-4,124.01*	

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** Please provide your Financial Advisor with the missing cost basis for this position

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For more information, please contact your **Financial Advisor**.

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CLIENT STATEMENT | For the Period December 1-31, 2009

MorganStanley SmithBarney

Consolidated Summary

LAWRENCE M LEHRNER TTEE
FOR THE LEHRNER FAMILY LIVING

OVERVIEW OF YOUR ACCOUNTS

	Beginning Value (12/1/09)	Net Contributions/ Withdrawals (12/1/09-12/31/09)	Portfolio Income & Appreciation (12/1/09-12/31/09)	Ending Value (12/31/09)	Begins on Page
TOTAL ACCOUNTS	**$587,307.78**	**$(173.50)**	**$721.30**	**$587,855.58**	
Retirement Accounts	**$567,848.01**	**$(23.50)**	**$903.47**	**$568,727.98**	
LARRY LEHRNER 9709 CAMDEN HILLS AVENUE Morgan Stanley Advisory Retirement Account *(Investment Advisory)* ROLLOVER IRA	514,128.97	(23.50)	1,668.96	515,774.43	7
LARRY LEHRNER 9709 CAMDEN HILLS AVENUE Retirement Account *(Brokerage)* ROLLOVER IRA	53,719.04	—	(765.49)	52,953.55	21
Trust Accounts	**$19,459.77**	**$(150.00)**	**$(182.17)**	**$19,127.60**	
LAWRENCE M LEHRNER TTEE FOR THE LEHRNER FAMILY LIVING Active Assets Account *(Brokerage)*	—	—	—	—	*
LAWRENCE M LEHRNER TTEE FOR THE LEHRNER FAMILY LIVING TRUST Active Assets Account *(Brokerage)* *Annual Review section included*	19,459.77	(150.00)	(182.17)	19,127.60	31

*This summary may include assets held in either brokerage and/or advisory (i.e., managed) accounts. For additional information about the differences between brokerage and advisory accounts, please visit http://www.morganstanley.com/ourcommitment *This account had no activity and held no assets this period, so a statement has not been included.*

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MorganStanley SmithBarney

CLIENT STATEMENT | For the Period December 1-31, 2009

LARRY LEHRNER

Holdings

The "Market Value" and "Unrealized Gain/(Loss)" figures shown are representative values as of the last business day of the period shown above which may not reflect the value that could actually be obtained in the market. See "Pricing of Securities" in the Disclosures section at the end of this statement.
Estimated annual income and estimated yield for certain securities can include return of principal or capital gains which could overstate such estimates. Estimated yield and estimated annual income are estimates and the actual income or yield may be lower or higher than the estimates. Estimated yield reflects only the income generated by an investment. It does not reflect changes in its price, which may fluctuate.
If you hold structured products, please refer to the Special Considerations Regarding Structured Products section of the Disclosure section.
Gain / loss information is provided for informational purposes only and should not be used for tax preparation. Please refer to the gain / loss section of the disclosures for important information about gain / loss reporting.

CASH, DEPOSITS AND MONEY MARKET FUNDS

Description	Value	Estimated Annual Income	7-Day Current Yield %	Annual Percentage Yield %
MORGAN STANLEY BANK N.A. #	$30,142.22	$10.00	—	0.032

	Percentage of Assets %	Market Value	Estimated Annual Income / Accrued Income
TOTAL CASH, DEPOSITS AND MONEY MARKET FUNDS	5.8%	$30,142.22	$10.00 $0.00

 # Bank deposits are at Morgan Stanley Bank, N.A. and Morgan Stanley Trust (Members FDIC), affiliates of Morgan Stanley & Co. Incorporated and Morgan Stanley Smith Barney.
Cash holdings shown exclude cash holdings in custody at another firm for which you receive a separate statement.

STOCKS

COMMON STOCKS

Security Description		Quantity	Total Cost	Share Price	Market Value	Unrealized Gain/(Loss)	Estimated Annual Income	Dividend Yield %
HOST HOTEL & RESORTS INC (HST)	Purchases	94.000	$1,922.77	$11.67	$1,096.98	$(825.79)		
Reinvestments		2.000	23.50	11.67	23.34	(0.16)		
	Total	96.000	1,946.27	11.67	1,120.32	(825.95)	—	—
ISHARES BARCLAYS 1-3 YR TSY BD (SHY) *Next Dividend Payable 01/05/10*		450.000	37,421.10	82.96	37,332.00	(89.10)	630.00	1.68
STARWOOD HTLS & RSTS WW INC (HOT) *Next Dividend Payable 01/14/10*		155.000	Please Provide	36.57	5,668.35	N/A	31.00	0.54

	Percentage of Assets %	Total Cost	Market Value	Unrealized Gain/(Loss)	Estimated Annual Income / Accrued Income	Yield %
TOTAL STOCKS	8.6%	$39,367.37	$44,120.67	$(915.05)	$661.00 $0.00	1.50%

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CLIENT STATEMENT | *For the Period December 1-31, 2009*

MorganStanley
SmithBarney

Holdings

LARRY LEHRNER

CORPORATE FIXED INCOME

CORPORATE BONDS

Security Description	Face Value	Orig. Total Cost / Adj. Total Cost	Unit Price	Market Value	Unrealized Gain/(Loss)	Estimated Annual Income / Accrued Income	Yield %
JOHN DEERE CAPITAL CORP SER D	20,000.000	$19,833.80				$825.00	4.12
CUSIP 24422EPM5		$19,833.80	$100.09	**$20,017.80**	$184.00	$380.41	
Coupon Rate 4.125%; Matures 01/15/10; Int. Semi-Annually Jan/Jul 15; Yield to Maturity 1.801%; Moody A2 S&P A; Issued 01/07/05							
MERRILL LYNCH & CO	20,000.000	19,500.00				958.00	4.69
CUSIP 59018YVW0		19,500.00	102.07	**20,413.40**	913.40	391.18	
Coupon Rate 4.790%; Matures 08/04/10; Int. Semi-Annually Feb/Aug 04; Yield to Maturity 1.270%; Moody A2 S&P A; Issued 08/04/05							
BOEING CAPITAL	20,000.000	20,996.20				1,475.00	7.01
CUSIP 097014AC8		20,996.20	105.14	**21,028.40**	32.20	385.13	
Coupon Rate 7.375%; Matures 09/27/10; Int. Semi-Annually Mar/Sep 27; Moody A2 S&P A; Issued 09/27/00							
BANK OF AMERICA CORP	20,000.000	19,991.80				850.00	4.14
CUSIP 060505BP8		19,991.80	102.56	**20,511.20**	519.40	212.50	
Coupon Rate 4.250%; Matures 10/01/10; Int. Semi-Annually Apr/Oct 01; Yield to Maturity .823%; Moody A2 S&P A; Issued 08/26/04							
AT&T CORP	20,000.000	20,635.14				1,460.00	6.62
CUSIP 001957BC2		20,635.14	110.11	**22,021.40**	1,386.26	186.55	
Coupon Rate 7.300%; Matures 11/15/11; Int. Semi-Annually May/Nov 15; Yield to Maturity 1.785%; Moody A2 S&P A; Issued 05/15/02							
CATERPILLAR FIN SERV CORP	14,000.000	14,000.00				623.00	4.41
CUSIP 14911QB91		14,000.00	100.89	**14,125.02**	125.02	27.68	
Coupon Rate 4.450%; Matures 06/15/12; Int. Semi-Annually Jun/Dec 15; Callable $100.00 on 06/15/10; Yield to Maturity 4.063%; Moody A2 S&P A; Issued 06/16/05							

	Percentage of Assets %	Orig. Total Cost / Adj. Total Cost	Market Value	Unrealized Gain/(Loss)	Estimated Annual Income / Accrued Income	Yield %
TOTAL CORPORATE FIXED INCOME	**22.9%**	**$114,956.94**			**$6,191.00**	**5.24%**
		$114,956.94	**$118,117.22**	**$3,160.28**	**$1,583.45**	

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MorganStanley SmithBarney

CLIENT STATEMENT | For the Period December 1-31, 2009

LARRY LEHRNER

Holdings

GOVERNMENT SECURITIES

TREASURY SECURITIES

Security Description	Face Value	Orig. Total Cost / Adj. Total Cost	Unit Price	Market Value	Unrealized Gain/(Loss)	Estimated Annual Income / Accrued Income	Yield %
UNITED STATES TREASURY NOTE	6,000.000	$6,070.78				$217.50	3.57
CUSIP 912828DX5		$6,070.78	$101.53	**$6,091.62**	$20.84	$9.56	
Coupon Rate 3.625%; Matures 06/15/10; Int. Semi-Annually Jun/Dec 15; Moody AAA S&P AAA; Issued 06/15/05							
UNITED STATES TREASURY NOTE	17,000.000	17,826.16				680.00	3.74
CUSIP 912828CA6		17,826.16	106.93	**18,178.10**	351.94	255.00	
Coupon Rate 4.000%; Matures 02/15/14; Int. Semi-Annually Feb/Aug 15; Yield to Maturity 2.231%; Moody AAA S&P AAA; Issued 02/15/04							

	Percentage of Assets %	Orig. Total Cost / Adj. Total Cost	Market Value	Unrealized Gain/(Loss)	Estimated Annual Income / Accrued Income	Yield %
TOTAL GOVERNMENT SECURITIES	**4.7%**	**$23,896.94**			**$897.50**	**3.70%**
		$23,896.94	**$24,269.72**	**$372.78**	**$264.56**	

CERTIFICATES OF DEPOSIT

Security Description	Face Value	Orig. Total Cost / Adj. Total Cost	Unit Price	Market Value	Unrealized Gain/(Loss)	Estimated Annual Income / Accrued Income	Yield %
MERCANTILE BK MICH GRAND RAPIDS CD	76,000.000	$75,696.00				$2,622.00	3.37
CUSIP 58740XTR1		$75,696.00	$102.18	**$77,660.60**	$1,964.60	$14.40	
Coupon Rate 3.450%; Matures 12/29/10; Int. Semi-Annually Jun/Dec 29; Yield to Maturity 1.233%; Issued 12/29/08; Maturity Value = $76,000.00							
COUNTYBANK GREENWOOD S C CD	76,000.000	75,620.00				2,584.00	3.31
CUSIP 22264PAF6		75,620.00	102.63	**77,996.52**	2,376.52	55.56	
Coupon Rate 3.400%; Matures 06/23/11; Interest Paid Monthly Jan 23; Yield to Maturity 1.594%; Issued 12/23/08; Maturity Value = $76,000.00							
PARKWAY BANK AND TRUST COMPANY	76,000.000	75,544.00				2,850.00	3.64
HARWOOD HEIGHTS CD		75,544.00	103.02	**78,293.68**	2,749.68	53.62	
CUSIP 70153RFH6							
Coupon Rate 3.750%; Matures 12/27/11; Interest Paid Monthly Feb 24; Yield to Maturity 2.191%; Issued 12/24/08; Maturity Value = $76,000.00							

CONTINUED

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Holdings

LARRY LEHRNER

CERTIFICATES OF DEPOSIT (CONTINUED)

Security Description	Face Value	Orig. Total Cost / Adj. Total Cost	Unit Price	Market Value	Unrealized Gain/(Loss)	Estimated Annual Income / Accrued Income	Yield %
AMERICAN EXPRESS CENTURION BANK	20,000.000	20,000.00				620.00	3.05
SALT LAKE CITY UT CD		20,000.00	101.62	**20,323.80**	323.80	70.22	
CUSIP 02586TPX6							
Coupon Rate 3.100%; Matures 05/21/12; Int. Semi-Annually May/Nov 20; Yield to Maturity 2.398%; Issued 05/20/09; Maturity Value = $20,000.00							
LASALLE NATL BANK NA S&P 500 IDX CTF	46,000.000	46,000.00				—	—
CUSIP 51804GLQ6		46,000.00	97.50	**44,850.00**	(1,150.00)	—	
Zero Coupon; Matures 07/13/12; Issued 07/13/05; Maturity Value = $46,000.00							

	Percentage of Assets %	Orig. Total Cost / Adj. Total Cost	Market Value	Unrealized Gain/(Loss)	Estimated Annual Income / Accrued Income	Yield %
TOTAL CERTIFICATES OF DEPOSIT	**58.0%**	**$292,860.00**			**$8,676.00**	**2.90%**
		$292,860.00	**$299,124.60**	**$6,264.60**	**$193.80**	

	Percentage of Assets %	Total Cost	Market Value	Unrealized Gain/(Loss)	Estimated Annual Income / Accrued Income	Yield %
TOTAL ENDING MARKET VALUE	**100.0%**	**$471,081.25**	**$515,774.43**	**$8,882.61**	**$16,435.50**	**3.19%**
					$2,041.81	

Unrealized Gain/Loss Totals only reflect positions that have cost basis information available. Cash, MMF, Deposits and positions stating 'Please Provide' are not included.

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MorganStanley
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CLIENT STATEMENT | For the Period December 1-31, 2009

Holdings

LARRY LEHRNER

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CASH, DEPOSITS AND MONEY MARKET FUNDS

Description	Value	Estimated Annual Income	7-Day Current Yield %	Annual Percentage Yield %
MORGAN STANLEY BANK N.A. #	$527.85	$1.00	—	0.100

	Percentage of Assets %	Market Value	Estimated Annual Income Accrued Income
TOTAL CASH, DEPOSITS AND MONEY MARKET FUNDS	1.0%	$527.85	$1.00 $0.00

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MUTUAL FUNDS

MORGAN STANLEY MUTUAL FUNDS

Security Description		Quantity	Total Cost	Share Price	Market Value	Unrealized Gain/(Loss)	Estimated Annual Income	Dividend Yield %
MS U.S. GOVERNMENT SEC TR A (USGAX)	Purchases	2,832.774	$24,383.63	$8.41	$23,823.62	$(560.01)		
Reinvestments		150.510	1,267.36	8.41	1,265.80	(1.56)		
	Total	2,983.284	25,650.99	8.41	**25,089.42**	(561.57)	756.00	3.01
Market Value vs Total Purchases +			24,383.63		25,089.42			
Net Value Increase/(Decrease)					705.79			

Enrolled in MS Dividend Reinvestment; Capital Gains Reinvest

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MorganStanley
SmithBarney

CLIENT STATEMENT | For the Period December 1-31, 2009

Holdings

LARRY LEHRNER

MUTUAL FUNDS

OTHER MUTUAL FUNDS

Security Description		Quantity	Total Cost	Share Price	Market Value	Unrealized Gain/(Loss)	Estimated Annual Income	Dividend Yield %
PIMCO TOTAL RETURN C (PTTCX)	Purchases	2,281.044	$23,500.00	$10.80	**$24,635.27**	$1,135.27		
Reinvestments		250.093	2,563.23	10.80	2,701.01	137.78		
	Total	2,531.137	26,063.23	10.80	**27,336.28**	1,273.05	816.00	2.98
Market Value vs Total Purchases +			23,500.00		27,336.28			
Net Value Increase/(Decrease)					3,836.28			

Enrolled in MS Dividend Reinvestment; Capital Gains Reinvest

	Percentage of Assets %	Total Cost	Market Value	Unrealized Gain/(Loss)	Estimated Annual Income / Accrued Income	Yield %
TOTAL MUTUAL FUNDS	**99.0%**	**$51,714.22**	**$52,425.70**	**$711.48**	**$1,572.00** / **$0.00**	**3.00%**

Transactions in mutual fund positions held directly at the Fund Company are not included in the total above and are not reflected on the Summary Page.
For more information about the pricing of Money Market Funds, please see the Disclosures section of the statement.
+ Net Value Increase/(Decrease) compares your Total Purchases (excluding Dividend Reinvestments) with the Market Value of all shares you hold of the fund. This calculation is for informational purposes only, does not reflect your total unrealized gain or loss and should not be used for tax purposes.

	Percentage of Assets %	Total Cost	Market Value	Unrealized Gain/(Loss)	Estimated Annual Income / Accrued Income	Yield %
TOTAL ENDING MARKET VALUE	**100.0%**	**$51,714.22**	**$52,953.55**	**$711.48**	**$1,573.00** / **$0.00**	**2.97%**

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CLIENT STATEMENT | For the Period December 1-31, 2009

MorganStanley
SmithBarney

Holdings

LAWRENCE M LEHRNER TTEE

The "Market Value" and "Unrealized Gain/(Loss)" figures shown are representative values as of the last business day of the period shown above which may not reflect the value that could actually be obtained in the market. See "Pricing of Securities" in the Disclosures section at the end of this statement.
Estimated annual income and estimated yield for certain securities can include return of principal or capital gains which could overstate such estimates. Estimated yield and estimated annual income are estimates and the actual income or yield may be lower or higher than the estimates. Estimated yield reflects only the income generated by an investment. It does not reflect changes in its price, which may fluctuate.
If you hold structured products, please refer to the Special Considerations Regarding Structured Products section of the Disclosure section.
Gain / loss information is provided for informational purposes only and should not be used for tax preparation. Please refer to the gain / loss section of the disclosures for important information about gain / loss reporting.

CASH, DEPOSITS AND MONEY MARKET FUNDS

Description	Value	Estimated Annual Income	7-Day Current Yield %	Annual Percentage Yield %
CASH	**$(147.40)**			

	Percentage of Assets %	Market Value	Estimated Annual Income Accrued Income
TOTAL CASH, DEPOSITS AND MONEY MARKET FUNDS	**(0.8)%**	**$(147.40)**	**$0.00** **$0.00**
TOTAL CASH, DEPOSITS, MMFS (CREDIT)		$0.00	
TOTAL CASH, DEPOSITS, MMFS (DEBIT)		$(147.40)	

Cash holdings shown exclude cash holdings in custody at another firm for which you receive a separate statement.

MUTUAL FUNDS

OTHER MUTUAL FUNDS

Security Description	Trade Date	Quantity	Total Cost	Share Price	Market Value	Unrealized Gain/(Loss)	Estimated Annual Income	Dividend Yield %
PIMCO TOTAL RETURN C (PTTCX)	6/18/09	1,734.632	$17,924.00	$10.80	$18,734.02	$810.02 ST		
	Purchases	1,734.632	17,924.00	10.80	**18,734.02**	810.02 ST		
Short Term Reinvestments		50.090	543.21	10.80	540.98	(2.23) ST		
	Total	1,784.722	18,467.21	10.80	**19,275.00**	807.79 ST	576.00	2.98
Market Value vs Total Purchases +			17,924.00		19,275.00			
Net Value Increase/(Decrease)					1,351.00			

Enrolled in MS Dividend Reinvestment; Capital Gains Reinvest

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CLIENT STATEMENT | For the Period December 1-31, 2009

MorganStanley
SmithBarney

Holdings

LAWRENCE M LEHRNER TTEE

	Percentage of Assets %	Total Cost	Market Value	Unrealized Gain/(Loss)	Estimated Annual Income Accrued Income	Yield %
TOTAL MUTUAL FUNDS	100.8%	$18,467.21	$19,275.00	$807.79 ST	$576.00 $0.00	2.99%

Transactions in mutual fund positions held directly at the Fund Company are not included in the total above and are not reflected on the Summary Page.
For more information about the pricing of Money Market Funds, please see the Disclosures section of the statement.
+ Net Value Increase/(Decrease) compares your Total Purchases (excluding Dividend Reinvestments) with the Market Value of all shares you hold of the fund. This calculation is for informational purposes only, does not reflect your total unrealized gain or loss and should not be used for tax purposes.

	Percentage of Assets %	Total Cost	Market Value	Unrealized Gain/(Loss)	Estimated Annual Income Accrued Income	Yield %
TOTAL ENDING MARKET VALUE	100.0%	$18,467.21	$19,127.60	$807.79 ST	$576.00 $0.00	3.01%

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All Account Activity for from 1/1/2009 to 12/31/2009

Date	Activity	Quantity	Description	Symbol	Amount
12/31/2009	Automatic Investment	9.4	MS U.S. GOVERNMENT SEC TR A REINVESTMENT	USGAX	-79.02
12/31/2009	Automatic Investment	5.3	PIMCO TOTAL RETURN C REINVESTMENT	PTTCX	-57.27
12/30/2009	Interest		MORGAN STANLEY BANK N.A. (Period 11/28-12/30)	MSBNK	0.05
12/10/2009	S/T Capital Gain		PIMCO TOTAL RETURN C	PTTCX	217.71
12/10/2009	L/T Capital Gain		PIMCO TOTAL RETURN C	PTTCX	60.56
12/9/2009	Automatic Investment	19.99	PIMCO TOTAL RETURN C REINVESTMENT	PTTCX	-217.71
12/9/2009	Automatic Investment	5.56	PIMCO TOTAL RETURN C REINVESTMENT	PTTCX	-60.56
12/1/2009	Dividend		PIMCO TOTAL RETURN C DIV PAYMENT	PTTCX	66.99
11/30/2009	Automatic Investment	6.07	PIMCO TOTAL RETURN C REINVESTMENT	PTTCX	-66.99
11/27/2009	Interest		MORGAN STANLEY BANK N.A. (Period 10/30-11/27)	MSBNK	0.04
11/27/2009	Dividend		MS U.S. GOVERNMENT SEC TR A DIV PAYMENT	USGAX	62.52
11/25/2009	Automatic Investment	7.3	MS U.S. GOVERNMENT SEC TR A REINVESTMENT	USGAX	-62.52
11/2/2009	Dividend		PIMCO TOTAL RETURN C DIV PAYMENT	PTTCX	86.4
10/30/2009	Automatic Investment	7.9	PIMCO TOTAL RETURN C REINVESTMENT	PTTCX	-86.4
10/29/2009	Interest		MORGAN STANLEY BANK N.A. (Period 09/30-10/29)	MSBNK	0.04
10/29/2009	Dividend		MS U.S. GOVERNMENT SEC TR A DIV PAYMENT	USGAX	64.65
10/28/2009	Automatic Investment	7.6	MS U.S. GOVERNMENT SEC TR A REINVESTMENT	USGAX	-64.65
10/1/2009	Dividend		PIMCO TOTAL RETURN C DIV PAYMENT	PTTCX	98.77
9/30/2009	Automatic Investment	9.05	PIMCO TOTAL RETURN C REINVESTMENT	PTTCX	-98.77
9/29/2009	Interest		MORGAN STANLEY BANK N.A. (Period 08/29-09/29)	MSBNK	0.05
9/29/2009	Dividend		MS U.S. GOVERNMENT SEC TR A DIV PAYMENT	USGAX	68.33
9/28/2009	Automatic Investment	8.04	MS U.S. GOVERNMENT SEC TR A REINVESTMENT	USGAX	-68.33
9/1/2009	Dividend		PIMCO TOTAL RETURN C DIV PAYMENT	PTTCX	106.84

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8/31/2009	Automatic Investment	9.91	PIMCO TOTAL RETURN C REINVESTMENT	PTTCX	-106.84
8/28/2009	Interest		MORGAN STANLEY BANK N.A. (Period 07/31-08/28)	MSBNK	0.04
8/28/2009	Dividend		MS U.S. GOVERNMENT SEC TR A DIV PAYMENT	USGAX	62.23
8/27/2009	Automatic Investment	7.37	MS U.S. GOVERNMENT SEC TR A REINVESTMENT	USGAX	-62.23
8/3/2009	Dividend		PIMCO TOTAL RETURN C DIV PAYMENT	PTTCX	106.38
7/31/2009	Automatic Investment	10.01	PIMCO TOTAL RETURN C REINVESTMENT	PTTCX	-106.38
7/30/2009	Interest		MORGAN STANLEY BANK N.A. (Period 06/30-07/30)	MSBNK	0.05
7/30/2009	Dividend		MS U.S. GOVERNMENT SEC TR A DIV PAYMENT	USGAX	63.82
7/29/2009	Automatic Investment	7.63	MS U.S. GOVERNMENT SEC TR A REINVESTMENT	USGAX	-63.82
7/1/2009	Dividend		PIMCO TOTAL RETURN C DIV PAYMENT	PTTCX	102.53
6/30/2009	Automatic Investment	9.81	PIMCO TOTAL RETURN C REINVESTMENT	PTTCX	-102.53
6/29/2009	Interest		MORGAN STANLEY BANK N.A. (Period 05/29-06/29)	MSBNK	0.04
6/29/2009	Dividend		MS U.S. GOVERNMENT SEC TR A DIV PAYMENT	USGAX	53.79
6/26/2009	Automatic Investment	6.42	MS U.S. GOVERNMENT SEC TR A REINVESTMENT	USGAX	-53.79
6/1/2009	Dividend		PIMCO TOTAL RETURN FUND CL C DIV PAYMENT	PTTCX	102.36
5/29/2009	Automatic Investment	9.81	PIMCO TOTAL RETURN FUND CL C REINVESTMENT	PTTCX	-102.36
5/28/2009	Interest		MORGAN STANLEY BANK N.A. (Period 04/30-05/28)	MSBNK	1.2
5/28/2009	Dividend		MS U.S. GOVERNMENT SEC TR A DIV PAYMENT	USGAX	51.92
5/27/2009	Automatic Investment	6.23	MS U.S. GOVERNMENT SEC TR A REINVESTMENT	USGAX	-51.92
5/20/2009	Acct Transfer		FUNDS TRANSFERRED IRA/MAINT TO 101-093325-000		-20,000.00
5/1/2009	Dividend		PIMCO TOTAL RETURN FUND CL C DIV PAYMENT	PTTCX	104.36
4/30/2009	Automatic Investment	10.21	PIMCO TOTAL RETURN FUND CL C REINVESTMENT	PTTCX	-104.36
4/29/2009	Interest		MORGAN STANLEY BANK N.A. (Period 03/31-04/29)	MSBNK	1.68

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All Account Activity for from 1/1/2009 to 12/31/2009

Date	Activity	Description	Symbol	Amount
12/30/2009	Interest	MORGAN STANLEY BANK N.A. (Period 11/28-12/30)	MSBNK	0.86
12/29/2009	Interest	MERCANTILE BK MICH GRAND RAPIDS CD RATE:N/A/N/A 3.450% DUE:2010-12-29		1,314.59
12/24/2009	Interest	PARKWAY BANK AND TRUST COMPANYHARWOOD HEIGHTS CD RATE:N/A/N/A 3.750% DUE:2011-12-27		234.25
12/23/2009	Interest	COUNTYBANK GREENWOOD S C CD RATE:N/A/N/A 3.400% DUE:2011-06-23		212.38
12/22/2009	Cash in Lieu	HOST HOTEL & RESORTS INC CASH IN LIEU FRACTIONAL SHARE FULL SHARE PRICE $10.45	HST	2.57
12/18/2009	Dividend	HOST HOTEL & RESORTS INC	HST	23.5
12/18/2009	Journal Out	HOST HOTEL & RESORTS INC	HST	-23.5
12/15/2009	Interest	UNITED STATES TREASURY NOTE RATE:AAA/AAA 3.625% DUE:2010-06-15		108.75
12/15/2009	Interest	CATERPILLAR FIN SERV CORP RATE:A2/A 4.450% DUE:2012-06-15		311.5
12/10/2009	Capital Gain	ISHARES BARCLAYS 1-3 YR TSY BD CAPITAL GAIN DISTRIBUTION	SHY	178.52
12/10/2009	Tax Exempt Interest	ISHARES BARCLAYS 1-3 YR TSY BD	SHY	-166.37
12/7/2009	Capital Gain	ISHARES BARCLAYS 1-3 YR TSY BD CAPITAL GAIN DISTRIBUTION	SHY	166.37
12/7/2009	Dividend	ISHARES BARCLAYS 1-3 YR TSY BD	SHY	82.56
11/27/2009	Interest	MORGAN STANLEY BANK N.A. (Period 10/30-11/27)	MSBNK	0.85
11/24/2009	Interest	PARKWAY BANK AND TRUST COMPANYHARWOOD HEIGHTS CD RATE:N/A/N/A 3.750% DUE:2011-12-27		242.05
11/23/2009	Interest	COUNTYBANK GREENWOOD S C CD RATE:N/A/N/A 3.400% DUE:2011-06-23		219.46

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11/20/2009	Interest	AMERICAN EXPRESS CENTURION BANK SALT LAKE CITY UT CD RATE:N/A/N/A 3.100% DUE:2012-05-21		312.55
11/16/2009	Interest	AT&T CORP RATE:A2/A 7.300% DUE:2011-11-15		730
11/6/2009	Capital Gain	ISHARES BARCLAYS 1-3 YR TSY BD CAPITAL GAIN DISTRIBUTION	SHY	45.53
11/6/2009	Dividend	ISHARES BARCLAYS 1-3 YR TSY BD	SHY	40.03
10/29/2009	Interest	MORGAN STANLEY BANK N.A. (Period 09/30-10/29)	MSBNK	1.13
10/26/2009	Interest	PARKWAY BANK AND TRUST COMPANYHARWOOD HEIGHTS CD RATE:N/A/N/A 3.750% DUE:2011-12-27		234.25
10/23/2009	Interest	COUNTYBANK GREENWOOD S C CD RATE:N/A/N/A 3.400% DUE:2011-06-23		212.38
10/14/2009	Managed AcctFee	MSA 4QTR FEE IRA/653		-1,937.09
10/7/2009	Dividend	ISHARES BARCLAYS 1-3 YR TSY BD	SHY	46.82
10/1/2009	Interest	BANK OF AMERICA CORP RATE:A2/A 4.250% DUE:2010-10-01		425
9/29/2009	Interest	MORGAN STANLEY BANK N.A. (Period 08/29-09/29)	MSBNK	1.37
9/28/2009	Interest	BOEING CAPITAL RATE:A2/A 7.375% DUE:2010-09-27		737.5
9/24/2009	Interest	PARKWAY BANK AND TRUST COMPANYHARWOOD HEIGHTS CD RATE:N/A/N/A 3.750% DUE:2011-12-27		242.05
9/23/2009	Interest	COUNTYBANK GREENWOOD S C CD RATE:N/A/N/A 3.400% DUE:2011-06-23		219.46
9/8/2009	Dividend	ISHARES BARCLAYS 1-3 YR TSY BD	SHY	48.42
8/28/2009	Interest	MORGAN STANLEY BANK N.A. (Period 07/31-08/28)	MSBNK	1.57
8/24/2009	Interest	COUNTYBANK GREENWOOD S C CD RATE:N/A/N/A 3.400% DUE:2011-06-23		219.46
8/24/2009	Interest	PARKWAY BANK AND TRUST COMPANYHARWOOD HEIGHTS CD RATE:N/A/N/A 3.750% DUE:2011-12-27		242.05

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8/17/2009	Interest	UNITED STATES TREASURY NOTE RATE:AAA/AAA 4.000% DUE:2014-02-15		340
8/7/2009	Dividend	ISHARES BARCLAYS 1-3 YR TSY BD	SHY	50.28
8/4/2009	Interest	MERRILL LYNCH & CO RATE:A2/A 4.790% DUE:2010-08-04		479
7/30/2009	Interest	MORGAN STANLEY BANK N.A. (Period 06/30-07/30)	MSBNK	2.35
7/24/2009	Interest	PARKWAY BANK AND TRUST COMPANYHARWOOD HEIGHTS CD RATE:N/A/N/A 3.750% DUE:2011-12-27		234.25
7/23/2009	Interest	COUNTYBANK GREENWOOD S C CD RATE:N/A/N/A 3.400% DUE:2011-06-23		212.38
7/15/2009	Interest	JOHN DEERE CAPITAL CORP SER D RATE:A2/A 4.125% DUE:2010-01-15		412.5
7/15/2009	Managed AcctFee	MSA 3QTR FEE IRA/653		-1,905.46
7/8/2009	Dividend	ISHARES BARCLAYS 1-3 YR TSY BD	SHY	50.47
6/29/2009	Interest	MORGAN STANLEY BANK N.A. (Period 05/29-06/29)	MSBNK	3.06
6/29/2009	Interest	MERCANTILE BK MICH GRAND RAPIDS CD RATE:N/A/N/A 3.450% DUE:2010-12-29		1,307.41
6/24/2009	Interest	PARKWAY BANK AND TRUST COMPANYHARWOOD HEIGHTS CD RATE:N/A/N/A 3.750% DUE:2011-12-27		242.05
6/23/2009	Interest	COUNTYBANK GREENWOOD S C CD RATE:N/A/N/A 3.400% DUE:2011-06-23		219.46
6/15/2009	Interest	UNITED STATES TREASURY NOTE RATE:AAA/AAA 3.625% DUE:2010-06-15		108.75
6/15/2009	Interest	CATERPILLAR FIN SERV CORP RATE:A2/A 4.450% DUE:2012-06-15		311.5
6/5/2009	Dividend	ISHARES BARCLAYS 1-3 YR TSY BD	SHY	58.87
5/28/2009	Interest	MORGAN STANLEY BANK N.A. (Period 04/30-05/28)	MSBNK	2.52
5/26/2009	Interest	COUNTYBANK GREENWOOD S C CD RATE:N/A/N/A 3.400% DUE:2011-06-23		212.38

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5/26/2009	Interest	PARKWAY BANK AND TRUST COMPANYHARWOOD HEIGHTS CD RATE:N/A/N/A 3.750% DUE:2011-12-27		234.25
5/21/2009	Automatic Investment	AMERICAN EXPRESS CENTURION BANK SALT LAKE CITY UT CD RATE:N/A/N/A 3.100% DUE:2012-05-21		-20,011.89
5/21/2009	Managed AcctFee	MSA CAP FEE IRA/653		-34.52
5/20/2009	Acct Transfer	FUNDS TRANSFERRED IRA/MAINT FROM 101-216732-000		20,000.00
5/15/2009	Interest	AT&T CORP RATE:A2/A 7.300% DUE:2011-11-15		730
5/8/2009	Branch Deposit	FUNDS RECEIVED		8,543.98
5/7/2009	Dividend	ISHARES BARCLAYS 1-3 YR TSY BD	SHY	75.06
4/29/2009	Interest	MORGAN STANLEY BANK N.A. (Period 03/31-04/29)	MSBNK	0.12
4/24/2009	Interest	PARKWAY BANK AND TRUST COMPANYHARWOOD HEIGHTS CD RATE:N/A/N/A 3.750% DUE:2011-12-27		242.05
4/23/2009	Interest	COUNTYBANK GREENWOOD S C CD RATE:N/A/N/A 3.400% DUE:2011-06-23		219.46
4/15/2009	Managed AcctFee	MSA 2QTR FEE IRA/653		-1,759.86
4/7/2009	Dividend	ISHARES BARCLAYS 1-3 YR TSY BD	SHY	63.53
4/1/2009	Interest	BANK OF AMERICA CORP RATE:A2/A 4.250% DUE:2010-10-01		425
3/30/2009	Interest	MORGAN STANLEY BANK N.A. (Period 02/27-03/30)	MSBNK	0.23
3/27/2009	Interest	BOEING CAPITAL RATE:A2/A+ 7.375% DUE:2010-09-27		737.5
3/24/2009	Interest	PARKWAY BANK AND TRUST COMPANYHARWOOD HEIGHTS CD RATE:N/A/N/A 3.750% DUE:2011-12-27		218.63
3/23/2009	Interest	COUNTYBANK GREENWOOD S C CD RATE:N/A/N/A 3.400% DUE:2011-06-23		198.22
3/6/2009	Dividend	ISHARES BARCLAYS 1-3 YR TSY BD	SHY	58.19
2/26/2009	Interest	MORGAN STANLEY BANK N.A. (Period 01/30-02/26)	MSBNK	0.38

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Brokerage Account
All Account Activity for from 1/1/2009 to 12/31/2009

Date	Activity	Quantity	Description	Symbol	Price	Amount
12/31/2009	Automatic Investment	3.74	PIMCO TOTAL RETURN C REINVESTMENT	PTTCX	10.8	-40.39
12/14/2009	Account Fee		AAA ANNUAL SERVICE CHG			-150
12/10/2009	S/T Capital Gain		PIMCO TOTAL RETURN C	PTTCX		153.51
12/10/2009	L/T Capital Gain		PIMCO TOTAL RETURN C	PTTCX		42.7
12/9/2009	Automatic Investment	14.1	PIMCO TOTAL RETURN C REINVESTMENT	PTTCX	10.89	-153.51
12/9/2009	Automatic Investment	3.92	PIMCO TOTAL RETURN C REINVESTMENT	PTTCX	10.89	-42.7
12/1/2009	Dividend		PIMCO TOTAL RETURN C DIV	PTTCX		47.25
11/30/2009	Automatic Investment	4.28	PIMCO TOTAL RETURN C REINVESTMENT	PTTCX	11.04	-47.25
11/2/2009	Dividend		PIMCO TOTAL RETURN C DIV	PTTCX		60.95
10/30/2009	Automatic Investment	5.57	PIMCO TOTAL RETURN C REINVESTMENT	PTTCX	10.94	-60.95
10/1/2009	Dividend		PIMCO TOTAL RETURN C DIV	PTTCX		69.64
9/30/2009	Automatic Investment	6.38	PIMCO TOTAL RETURN C REINVESTMENT	PTTCX	10.92	-69.64
9/1/2009	Dividend		PIMCO TOTAL RETURN C DIV	PTTCX		75.36
8/31/2009	Automatic Investment	6.99	PIMCO TOTAL RETURN C REINVESTMENT	PTTCX	10.78	-75.36
8/3/2009	Dividend		PIMCO TOTAL RETURN C DIV	PTTCX		75.02
7/31/2009	Automatic Investment	7.06	PIMCO TOTAL RETURN C REINVESTMENT	PTTCX	10.63	-75.02
7/1/2009	Dividend		PIMCO TOTAL RETURN C DIV	PTTCX		18.78
6/30/2009	Automatic Investment	1.8	PIMCO TOTAL RETURN C REINVESTMENT	PTTCX	10.45	-18.78
6/29/2009	Interest		MORGAN STANLEY BANK N.A. (Period 05/29-06/29)	MSBNK		1.87
6/18/2009	Automatic Investment	1,734.63	PIMCO TOTAL RETURN C CONFIRM NBR 007009447	PTTCX	10.33	-17,924.00
6/16/2009	Managed AcctFee		CXL MSA 2QTR			13.42
6/16/2009	Managed AcctFee		MSA TERM FEE			-11.35
5/28/2009	Interest		MORGAN STANLEY BANK N.A. (Period 04/30-05/28)	MSBNK		1.67
4/29/2009	Interest		MORGAN STANLEY BANK N.A. (Period 03/31-04/29)	MSBNK		0.15
4/15/2009	Managed AcctFee		MSA 2QTR FEE			-13.42
3/30/2009	Interest		MORGAN STANLEY BANK N.A. (Period 02/27-03/30)	MSBNK		0.32

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2/26/2009	Interest	MORGAN STANLEY BANK N.A. (Period 01/30-02/26)	MSBNK	0.55
1/29/2009	Interest	MORGAN STANLEY BANK N.A. (Period 12/31-01/29)	MSBNK	3.64
1/15/2009	Managed AcctFee	MSA 1QTR FEE		-13.27

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2/24/2009	Interest	PARKWAY BANK AND TRUST COMPANYHARWOOD HEIGHTS CD RATE:N/A/N/A 3.750% DUE:2011-12-27		242.05
2/23/2009	Interest	COUNTYBANK GREENWOOD S C CD RATE:N/A/N/A 3.400% DUE:2011-06-23		219.46
2/17/2009	Interest	UNITED STATES TREASURY NOTE RATE:AAA/AAA 4.000% DUE:2014-02-15		340
2/6/2009	Dividend	ISHARES BARCLAYS 1-3 YR TSY BD	SHY	61.88
2/4/2009	Interest	MERRILL LYNCH & CO RATE:A1/A+ 4.790% DUE:2010-08-04		479
1/29/2009	Interest	MORGAN STANLEY BANK N.A. (Period 12/31-01/29)	MSBNK	0.61
1/26/2009	Interest	PARKWAY BANK AND TRUST COMPANYHARWOOD HEIGHTS CD RATE:N/A/N/A 3.750% DUE:2011-12-27		242.05
1/23/2009	Interest	COUNTYBANK GREENWOOD S C CD RATE:N/A/N/A 3.400% DUE:2011-06-23		219.46
1/15/2009	Interest	JOHN DEERE CAPITAL CORP SER D RATE:A2/A 4.125% DUE:2010-01-15		412.5
1/15/2009	Dividend	HOST HOTEL & RESORTS INC	HST	4.7
1/15/2009	Managed AcctFee	MSA 1QTR FEE IRA/653		-1,737.04
1/9/2009	Dividend	STARWOOD HTLS & RSTS WW INC	HOT	139.5
1/2/2009	Dividend	ISHARES LEHMAN 1-3 YR TSY BD	SHY	151.3

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4/29/2009	Dividend		MS U.S. GOVERNMENT SEC TR A DIV PAYMENT	USGAX	62.53
4/28/2009	Automatic Investment	7.41	MS U.S. GOVERNMENT SEC TR A REINVESTMENT	USGAX	-62.53
4/1/2009	Dividend		PIMCO TOTAL RETURN FUND CL C DIV PAYMENT	PTTCX	104.69
3/31/2009	Automatic Investment	10.34	PIMCO TOTAL RETURN FUND CL C REINVESTMENT	PTTCX	-104.69
3/30/2009	Interest		MORGAN STANLEY BANK N.A. (Period 02/27-03/30)	MSBNK	2.03
3/30/2009	Dividend		MS U.S. GOVERNMENT SEC TR A DIV PAYMENT	USGAX	59.63
3/27/2009	Automatic Investment	7.02	MS U.S. GOVERNMENT SEC TR A REINVESTMENT	USGAX	-59.63
3/2/2009	Dividend		PIMCO TOTAL RETURN FUND CL C DIV PAYMENT	PTTCX	109.32
2/27/2009	Automatic Investment	10.92	PIMCO TOTAL RETURN FUND CL C REINVESTMENT	PTTCX	-109.32
2/26/2009	Interest		MORGAN STANLEY BANK N.A. (Period 01/30-02/26)	MSBNK	3.26
2/26/2009	Dividend		MS U.S. GOVERNMENT SEC TR A DIV PAYMENT	USGAX	55.36
2/25/2009	Automatic Investment	6.61	MS U.S. GOVERNMENT SEC TR A REINVESTMENT	USGAX	-55.36
2/2/2009	Dividend		PIMCO TOTAL RETURN FUND CL C DIV PAYMENT	PTTCX	100.27
1/30/2009	Automatic Investment	9.88	PIMCO TOTAL RETURN FUND CL C REINVESTMENT	PTTCX	-100.27
1/29/2009	Interest		MORGAN STANLEY BANK N.A. (Period 12/31-01/29)	MSBNK	4.58
1/29/2009	Dividend		MS U.S. GOVERNMENT SEC TR A DIV PAYMENT	USGAX	48.63
1/28/2009	Automatic Investment	5.8	MS U.S. GOVERNMENT SEC TR A REINVESTMENT	USGAX	-48.63
1/2/2009	Dividend		MS U.S. GOVERNMENT SEC TR A	USGAX	69.57
1/2/2009	Dividend		MS U.S. GOVERNMENT SEC TR A	USGAX	58.55
1/2/2009	Dividend		PIMCO TOTAL RETURN FUND CL C	PTTCX	72.8

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Prime Broker Statement

December 1 - December 31, 2009

Account number 5

DR. LAWRENCE LEHRNER, TTEE

Account carried by Citigroup Global Markets Inc. Member SIPC.

Account value	
Cash balance	$ 1,098.87
Money fund	22,880.20
Stocks - Long	2,730,829.53
Short trading account balance	0.00
Total value this period	**$ 2,754,808.60**
Total value last period*	**$ 2,702,989.62**

**Does not include unpriced securities*

Settlement date information is only displayed in the "Settlement date cash balance" section.

Cash, money fund, bank deposits	Cash/margin account	Short account
Opening balance	**$ 13,660.52**	**$ 0.00**
Securities bought and other subtractions	(17.99)	0.00
Securities sold and other additions	3,166.52	0.00
Withdrawals	(77.20)	
Dividends credited	7,245.98	
Money fund earnings reinvested	1.24	
Closing balance	**$ 23,979.07**	**$ 0.00**

A free credit balance in any securities account may be paid to you on demand.
Although properly accounted for, these funds may be used for business purposes.

	This period		This year	
Earnings summary	*Taxable*	*Non-taxable*	*Taxable*	*Non-taxable*
Qualified dividends	$ 6,841.63	$ 0.00	$ 46,645.52	$ 0.00
Other dividends	1,027.22	0.00	10,839.57	0.00
Money fund earnings	1.24	0.00	59.80	0.00
Total	**$ 7,870.09**	**$ 0.00**	**$ 57,544.89**	**$ 0.00**

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SmithBarney

Prime Broker Statement

December 1 - December 31, 2009

DR. LAWRENCE LEHRNER, TTEE *Account number*

SETTLEMENT DATE CASH BALANCE

The information provided on this document is based upon trade date activity. Listed below are your settlement date balances.

	Amount
Cash	$ 1,098.87

PORTFOLIO DETAILS

Your holdings are valued using the most current prices available to Citigroup Global Markets Inc. (CGMI). In most cases, these values are as of 12/31/09, but in some cases CGMI's sources are unable to provide timely information. To see the date of the most recent price update, please view your account online at www.smithbarney.com.

Securities purchased or sold are included or excluded in this section as of the trade-date. This section may include securities that have not settled as of this statement closing date. Please see the "Unsettled Purchases/Sales" section for more information. Dividend yield is the estimated annual income, assuming the current dividend, divided by the security's market price at the end of the statement period. We do not guarantee the accuracy of the prices reflected on the statement nor do these prices represent levels at which securities can be bought or sold.
Please Note: *unrealized gain/(loss) is being shown for informational purposes only and should not be used for tax preparation without the assistance of your tax advisor.*

Money fund

An investment in a money market fund is neither insured nor guaranteed by the FDIC or any other government agency. Although money market funds seek to preserve the value of your investment at $1.00 per share, there can be no assurance that will occur and it is possible to lose money should the fund value per share fall. Moreover, in some circumstances money market funds may be forced to cease operations when the value of a fund drops below $1.00 per share. In that event, the fund's holdings would be liquidated and distributed to the fund's shareholders. This liquidation process could take up to one month or more. During that time, these funds would not be available to you to support purchases, withdrawals, and if applicable, check writing or ATM debits from your account.

Description	No. of shares	Market value	Accrued dividends	Annualized Dividend Yield	Comment
WESTERN ASSET MONEY MARKET FUND CLASS A	22,880.20	$ 22,880.20		.07%	
Total money fund		**$ 22,880.20**	**$ 0.00**		

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MorganStanley SmithBarney

Prime Broker Statement

December 1 - December 31, 2009

DR. LAWRENCE LEHRNER, TTEE | Account number

Stocks

Common stocks - long	No. of shares	Price	Yield	Anticipated income (annualized)	U.S. Dollar Market value	Comment
TRANSOCEAN LTD SWITZERLAND NEW	332	$ 82.80			$ 27,489.60	Symbol: RIG
UBS AG (NEW)	735	15.51	8.11	924.63	11,399.85	Symbol: UBS
ABB LTD SPONS ADR	2,100	19.10	2.256	905.10	40,110.00	Symbol: ABB
AOL INC	72	23.28			1,676.16	Symbol: AOL
AMERICA MOVIL S.A.B DE CV SER L SPONS ADR	550	46.98	.966	249.70	25,839.00	Symbol: AMX
ANADARKO PETROLEUM CORP	275	62.42	.576	99.00	17,165.50	Symbol: APC
ANADARKO PETROLEUM CORP	800	62.42	.576	288.00	49,936.00	Symbol: APC
ANGLO AMERICAN PLC ADR	1,274	21.68	.922	254.80	27,620.32	Symbol: AAUKY
APPLIED MATERIALS INC DELAWARE	2,400	13.94	1.721	576.00	33,456.00	Symbol: AMAT
AXA S.A.SPONS ADR	600	23.68	1.929	274.20	14,208.00	Symbol: AXA
AXA S.A.SPONS ADR	650	23.68	1.929	297.05	15,392.00	Symbol: AXA
BASF SE COMMON STOCK	100	62.10	3.045	189.10	6,210.00	Symbol: BASFY
BASF SE COMMON STOCK	1,050	62.10	3.045	1,985.55	65,205.00	Symbol: BASFY
BNP PARIBAS SPON ADR	725	40.16	1.292	376.28	29,116.00	Symbol: BNPQY
BAIDU INC SPON ADR RPTNG ORD SHS CL A	84	411.23			34,543.32	Symbol: BIDU
BANCO SANTANDER S.A.	353	16.44	5.328	309.23	5,803.32	Symbol: STD
BANCO SANTANDER S.A.	3,235	16.44	5.328	2,833.86	53,183.40	Symbol: STD
BANK OF NOVA SCOTIA EURO#19279	935	46.74	3.988	1,742.84	43,701.90	Symbol: BNS
BHP BILLITON LTD SPONS ADR	750	76.58	2.141	1,230.00	57,435.00	Symbol: BHP
CARNIVAL CORP (PAIRED STOCK)	975	31.69			30,897.75	Symbol: CCL
CATERPILLAR INC	775	56.99	2.947	1,302.00	44,167.25	Symbol: CAT
CENOVUS ENERGY INC	575	25.20	.793	115.00	14,490.00	Symbol: CVE
CHEUNG KONG HOLDING-ADR	1,850	12.82	2.207	523.55	23,717.00	Symbol: CHEUY
CHINA MOBILE LTD SPONSORED ADR	555	46.43	3.437	885.78	25,768.65	Symbol: CHL
CISCO SYS INC	2,850	23.94			68,229.00	Symbol: CSCO
COACH INC	1,050	36.53	.821	315.00	38,356.50	Symbol: COH
CREDIT SUISSE GROUP ADR	1,100	49.16	.12	64.90	54,076.00	Symbol: CS
DEVON ENERGY CORP NEW	500	73.50	.87	320.00	36,750.00	Symbol: DVN
DOVER CORP	875	41.61	2.499	910.00	36,408.75	Symbol: DOV
EMC CORP-MASS	2,200	17.47			38,434.00	Symbol: EMC

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MorganStanley SmithBarney

Prime Broker Statement

December 1 - December 31, 2009

DR. LAWRENCE LEHRNER, TTEE *Account number*

Stocks *continued*

Common stocks - long	No. of shares	Price	Yield	Anticipated income (annualized)	U.S. Dollar Market value	Comment
E.ON AG SPONS ADR	1,050	$ 41.75	3.535 %	$ 1,549.80	$ 43,837.50	Symbol: EONGY
ELECTRONIC ARTS	1,600	17.75			28,400.00	Symbol: ERTS
ENCANA CORP-CAD	575	32.39	2.469	460.00	18,624.25	Symbol: ECA
FORTUNE BRANDS INC	925	43.20	1.759	703.00	39,960.00	Symbol: FO
FREEPORT MCMORAN COPPER & GOLD CL B	734	80.29	.747	440.40	58,932.86	Symbol: FCX
GENERAL ELECTRIC CO	1,800	15.13	2.643	720.00	27,234.00	Symbol: GE
GLAXOSMITHKLINE PLC SP ADR	50	42.25	4.39	92.75	2,112.50	Symbol: GSK
GLAXOSMITHKLINE PLC SP ADR	625	42.25	4.39	1,159.38	26,406.25	Symbol: GSK
HEWLETT PACKARD CO	950	51.51	.621	304.00	48,934.50	Symbol: HPQ
HONDA MOTOR CO LTD ADR-NEW	1,250	33.90	.997	422.50	42,375.00	Symbol: HMC
HONEYWELL INTL INC	75	39.20	3.086	90.75	2,940.00	Symbol: HON
HONEYWELL INTL INC	475	39.20	3.086	574.75	18,620.00	Symbol: HON
ING GROEP NV SPONS ADR	179	9.81			1,755.99	Symbol: ING
ING GROEP NV SPONS ADR	846	9.81			8,299.26	Symbol: ING
INTEL CORP	1,950	20.40	2.745	1,092.00	39,780.00	Symbol: INTC
INTESA SANPAOLO S P A SPONSORED ADR	207	27.10	9.542	535.30	5,609.70	Symbol: ISNPY
INTESA SANPAOLO S P A SPONSORED ADR	752	27.10	9.542	1,944.67	20,379.20	Symbol: ISNPY
ISHARES MSCI EMERGING MKTS INDEX FD	2,550	41.50	.057	61.20	105,825.00	Equity portfolio Symbol: EEM
JOHNSON & JOHNSON	700	64.41	3.043	1,372.00	45,087.00	Symbol: JNJ
MACYS INC	2,300	16.76	1.193	460.00	38,548.00	Symbol: M
MERCK & CO INC NEW	875	36.54	4.159	1,330.00	31,972.50	Symbol: MRK
MICROSOFT CORP	2,000	30.48	1.706	1,040.00	60,960.00	Symbol: MSFT
MITSUBISHI UFJ FINANCIAL GROUP INC ADR	350	4.92	2.215	38.15	1,722.00	Symbol: MTU
MITSUBISHI UFJ FINANCIAL GROUP INC ADR	3,200	4.92	2.215	348.80	15,744.00	Symbol: MTU
NESTLE S A SPONSORED ADR	1,125	48.35	1.662	904.50	54,393.75	Symbol: NSRGY
NOKIA CORP SPONSORED ADR	2,000	12.85	3.058	786.00	25,700.00	Symbol: NOK
NOMURA HOLDINGS INC ADR	1,750	7.40	1.635	211.75	12,950.00	Symbol: NMR
NUCOR CORP	750	46.65	3.086	1,080.00	34,987.50	Symbol: NUE
OCCIDENTAL PETROLEUM CORP-DEL	150	81.35	1.622	198.00	12,202.50	Symbol: OXY
OCCIDENTAL PETROLEUM CORP-DEL	850	81.35	1.622	1,122.00	69,147.50	Symbol: OXY

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Prime Broker Statement

December 1 - December 31, 2009

DR. LAWRENCE LEHRNER, TTEE **Account number**

Stocks *continued*

Common stocks - long	No. of shares	Price	Yield	Anticipated income (annualized)	U.S. Dollar Market value	Comment
ORACLE CORP	2,200	$ 24.53	.815%	$ 440.00	$ 53,966.00	Symbol: ORCL
PPG INDUSTRIES INC	775	58.54	3.689	1,674.00	45,368.50	Symbol: PPG
PACCAR INC -DEL-	925	36.27	.992	333.00	33,549.75	Symbol: PCAR
PANASONIC CORP ADR	1,850	14.35	.857	227.55	26,547.50	Symbol: PC
PETROBRAS	1,250	47.68	.746	445.00	59,600.00	Symbol: PBR
PROCTER & GAMBLE CO	50	60.63	2.902	88.00	3,031.50	Symbol: PG
PROCTER & GAMBLE CO	350	60.63	2.902	616.00	21,220.50	Symbol: PG
RIO TINTO PLC-GBP	150	215.39	2.525	816.00	32,308.50	Symbol: RTP
ROCHE HLDG LTD SPON ADR	1,450	42.20	1.575	964.25	61,190.00	Symbol: RHHBY
SCHLUMBERGER LTD	900	65.09	1.29	756.00	58,581.00	Symbol: SLB
SIEMENS A G SPONS ADR	375	91.70	1.913	658.13	34,387.50	Symbol: SI
SIEMENS A G SPONS ADR	300	91.70	1.913	526.50	27,510.00	Symbol: SI
SONY CORP SPON ADR-NEW	550	29.00	.865	138.05	15,950.00	Symbol: SNE
SUMITOMO MITSUI FINL GROUP INC ADR	4,100	2.85	1.789	209.10	11,685.00	Symbol: SMFJY
TARGET CORP	650	48.37	1.405	442.00	31,440.50	Symbol: TGT
TIME WARNER INC	800	29.14	2.573	600.00	23,312.00	Symbol: TWX
TIME WARNER CABLE INC	200	41.39			8,278.00	Symbol: TWC
TOTAL S.A SPONS ADR	50	64.04	4.337	138.90	3,202.00	Symbol: TOT
TOTAL S.A SPONS ADR	700	64.04	4.337	1,944.60	44,828.00	Symbol: TOT
UNILEVER NV NY SHS-NEW	1,800	32.33	2.858	1,663.20	58,194.00	Symbol: UN
UNION PACIFIC CORP	620	63.90	1.69	669.60	39,618.00	Symbol: UNP
UNITED TECHNOLOGIES CORP	700	69.41	2.218	1,078.00	48,587.00	Symbol: UTX
VALE S A SPON ADR	1,400	29.03	.775	315.00	40,642.00	Symbol: VALE
WAL-MART STORES INC	625	53.45	2.039	681.25	33,406.25	Symbol: WMT
Total stocks - Long				**$ 51,437.40**	**$ 2,730,828.83**	

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Dow 10012 23 | NASDAQ 2141 12 | S&P 500 1066 19

Forms 1099 for Tax Year 2009
Forms 1099 will be available for download by February 15, 2010.

Print

Select: Activity Detail Filters: All Activities Date Range: Date Range View

Activity from 01/01/2009 through 12/31/2009 as of 02/06/2010.

Activity Detail - Check Reorder E-mail

Date	Activity	Quantity	Description	Price	Amount	Check
12/08/2009	FEE	0 0000	AXA S A SPONS ADR ADR FEE OF 02000 PER SHR RECORD 11/13/09 PAY 12/04/09	0 000	-12 00	
12/08/2009	DIV- TAX W/H	0 0000	SONY CORP SPON ADR-NEW CASH DIV ON 550 0000 SHS TAX HELD BY FGN GOVTS 5 54 X/D 09/25/09	0 000	73 55	
12/08/2009	REDEMPTION	0 0000	AXA S A SPONS ADR SALE OF RTS ON 600 0000 SHS RECORD 11/13/09 PAY 12/04/09	0 000	347 84	
12/08/2009	FEE	0 0000	AXA S A SPONS ADR ADR FEE OF 02000 PER SHR RECORD 11/13/09 PAY 12/04/09	0 000	-13 00	
12/08/2009	DIV- TAX W/H	0 0000	TOTAL S A SPONS ADR CASH DIV ON 700 0000 SHS TAX HELD BY FGN GOVTS 296 69 X/D 11/09/09	0 000	890 08	
12/08/2009	AUTOINVEST	161 7500	WESTERN ASSET MONEY MARKET FUND CLASS A	1 000	161 75	
12/08/2009	REDEMPTION	0 0000	AXA S A SPONS ADR SALE OF RTS ON 650 0000 SHS RECORD 11/13/09 PAY 12/04/09	0 000	376 82	
12/07/2009	CASH IN LIEU	0 0000	BANCO SANTANDER S A REV CIL OF 16483 RECORD 10/15/09 PAY 11/06/09	0 000	-2 93	
12/07/2009	DIV- TAX W/H	0 0000	PANASONIC CORP ADR CASH DIV ON 1850 0000 SHS TAX HELD BY FGN GOVTS 7 47 X/D 09/25/09	0 000	99 27	
12/07/2009	DIVIDEND	0 0000	PACCAR INC -DEL- CASH DIV ON 925 0000 SHS X/D 11/17/09	0 000	83 25	
12/07/2009	CASH IN LIEU	0 0000	BANCO SANTANDER S A REV CIL OF 84615 RECORD 10/15/09 PAY 11/06/09	0 000	-15 06	
12/07/2009	FEE	0 0000	PANASONIC CORP ADR ADR FEE OF 00150 PER SHR RECORD 09/29/09 PAY 12/07/09	0 000	-2 78	
12/04/2009	AUTOINVEST	248 7800	WESTERN ASSET MONEY MARKET FUND CLASS A	1 000	248 78	
12/03/2009	DIVIDEND	0 0000	APPLIED MATERIALS INC DELAWARE CASH DIV ON 2400 0000 SHS X/D 11/09/09	0 000	144 00	
12/03/2009	FEE	0 0000	HONDA MOTOR CO LTD ADR-NEW ADR FEE OF 00100 PER SHR RECORD 09/29/09 PAY 12/03/09	0 000	-1 25	
12/03/2009	DIV- TAX W/H	0 0000	HONDA MOTOR CO LTD ADR-NEW CASH DIV ON 1250 0000 SHS TAX HELD BY FGN GOVTS 7 98 X/D 09/25/09	0 000	106 03	
12/02/2009	AUTOINVEST	448 7500	WESTERN ASSET MONEY MARKET FUND CLASS A	1 000	448 75	
12/01/2009	DIVIDEND	0 0000	INTEL CORP CASH DIV ON 1950 0000 SHS X/D 11/04/09	0 000	273 00	

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Date	Activity	Quantity	Description	Price	Amount	Check
12/01/2009	DIVIDEND	0 0000	FORTUNE BRANDS INC CASH DIV ON 925 0000 SHS X/D 11/02/09	0 000	175 75	
11/30/2009	RTS AWARD	846 0000	RTS ING GROEP EXP 12/11/2009 RTS DIST ON 846 SHS	0 000	00	
11/30/2009	REINVEST	0 7200	WESTERN ASSET MONEY MARKET FUND CLASS A FOR PERIOD 11/02/09-11/30/09 29 DAYS AVERAGE YIELD 07 %	1 000	-72	
11/30/2009	RTS AWARD	179 0000	RTS ING GROEP EXP 12/11/2009 RTS DIST ON 179 SHS	0 000	00	
11/24/2009	REDEMPTION	-1 3400	WESTERN ASSET MONEY MARKET FUND CLASS A	1 000	-1 34	
11/24/2009	YTD ADJ	0 0000	BANCO SANTANDER S A ISSUANCE FEE OF 0 035 PER SHR RD 10/15/09 . PAY DT 11/06/09	0 000	-1 23	
11/24/2009	YTD ADJ	0 0000	BANCO SANTANDER S A ISSUANCE FEE OF 0 035 PER SHR RD 10/15/09 . PAY DT 11/06/09	0 000	-11	
11/23/2009	AUTOINVEST	325 5000	WESTERN ASSET MONEY MARKET FUND CLASS A	1 000	325 50	
11/20/2009	DIVIDEND	0 0000	CATERPILLAR INC CASH DIV ON 775 0000 SHS X/D 10/22/09	0 000	325 50	
11/17/2009	AUTOINVEST	193 9900	WESTERN ASSET MONEY MARKET FUND CLASS A	1 000	193 99	
11/16/2009	DIVIDEND	0 0000	PROCTER & GAMBLE CO CASH DIV ON 350 0000 SHS X/D 10/21/09	0 000	154 00	
11/16/2009	CASH IN LIEU	0 0000	BANCO SANTANDER S A CASH IN LIEU OF 84615 RECORD 10/15/09 PAY 11/06/09	0 000	15 08	
11/16/2009	CASH IN LIEU	0 0000	BANCO SANTANDER S A CASH IN LIEU OF 16483 RECORD 10/15/09 PAY 11/06/09	0 000	2 93	
11/16/2009	DIVIDEND	0 0000	PROCTER & GAMBLE CO CASH DIV ON 50 0000 SHS X/D 10/21/09	0 000	22 00	
11/13/2009	AUTOINVEST	456 5500	WESTERN ASSET MONEY MARKET FUND CLASS A	1 000	456 55	
11/12/2009	STOCK DIV	3 0000	BANCO SANTANDER S A STK DIV ON 350 SHS RECORD 10/15/09 PAY 11/06/09	0 000	00	
11/12/2009	STOCK DIV	35 0000	BANCO SANTANDER S A STK DIV ON 3200 SHS RECORD 10/15/09 PAY 11/06/09	0 000	00	
11/12/2009	AUTOINVEST	262 5000	WESTERN ASSET MONEY MARKET FUND CLASS A	1 000	262 50	
11/11/2009	DIVIDEND	0 0000	NUCOR CORP CASH DIV ON 750 0000 SHS X/D 09/28/09	0 000	262 50	
11/11/2009	AUTOINVEST	685 0700	WESTERN ASSET MONEY MARKET FUND CLASS A	1 000	685 07	
11/10/2009	REDEMPTION	0 0000	BNP PARIBAS SPON ADR SALE OF RTS ON 725 0000 SHS RECORD 11/02/09 PAY 11/09/09	0 000	699 57	
11/10/2009	FEE	0 0000	BNP PARIBAS SPON ADR ADR FEE OF 02000 PER SHR RECORD 11/02/09 PAY 11/09/09	0 000	-14 50	
11/09/2009	AUTOINVEST	311 7400	WESTERN ASSET MONEY MARKET FUND CLASS A	1 000	311 74	
11/06/2009	FEE	0 0000	VALE S A SPON ADR ADR FEE OF 01500 PER SHR RECORD 10/20/09 PAY 11/06/09	0 000	-21 00	
11/06/2009	BOUGHT	620 0000	UNION PACIFIC CORP 0010034034000093400USD01	61 833	-38,354 81	
11/06/2009	SOLD	-400 0000	BURLINGTON NORTHERN SANTA FE 0010034034000093400USD01 CTSY UBS SECURITIES LLC PRIN INCL COMM OF 16 00	97 108	38,811 36	

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MorganStanley
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Forms 1099 for Tax Year 2009
Forms 1099 will be available for download by February 15 2010.

Print

Select: Activity Detail Filters: All Activities Date Range: Date Range View

Activity from 01/01/2009 through 12/31/2009 as of 02/06/2010.

Activity Detail - Check Reorder E-mail

Date	Activity	Quantity	Description	Price	Amount	Check
12/31/2009	DIVIDEND	0 0000	DEVON ENERGY CORP NEW CASH DIV ON 500 0000 SHS X/D 12/11/09	0 000	80 00	
12/31/2009	DIVIDEND	0 0000	ISHARES MSCI EMERGING MKTS INDEX FD CASH DIV ON 2550 0000 SHS X/D 12/22/09	0 000	823 37	
12/31/2009	DIV- TAX W/H	0 0000	ENCANA CORP-CAD CASH DIV ON 575 0000 SHS TAX HELD BY FGN GOVTS 17 25 X/D 12/17/09	0 000	97 75	
12/31/2009	DIV- TAX W/H	0 0000	CENOVUS ENERGY INC CASH DIV ON 575 0000 SHS TAX HELD BY FGN GOVTS 17 25 X/D 12/17/09	0 000	97 75	
12/31/2009	REINVEST	1 2400	WESTERN ASSET MONEY MARKET FUND CLASS A FOR PERIOD 12/01/09-01/03/10 34 DAYS AVERAGE YIELD 07 %	1 000	-1 24	
12/30/2009	AUTOINVEST	238 1800	WESTERN ASSET MONEY MARKET FUND CLASS A	1 000	238 18	
12/29/2009	DIV- TAX W/H	0 0000	PETROBRAS CASH DIV ON 1250 0000 SHS TAX HELD BY FGN GOVTS 42 03 X/D 10/01/09	0 000	238 18	
12/29/2009	AUTOINVEST	78 7500	WESTERN ASSET MONEY MARKET FUND CLASS A	1 000	78 75	
12/28/2009	DIVIDEND	0 0000	COACH INC CASH DIV ON 1050 0000 SHS X/D 12/03/09	0 000	78 75	
12/24/2009	AUTOINVEST	113 5600	WESTERN ASSET MONEY MARKET FUND CLASS A	1 000	113 56	
12/23/2009	DIVIDEND	0 0000	ANADARKO PETROLEUM CORP CASH DIV ON 275 0000 SHS X/D 12/07/09	0 000	24 75	
12/23/2009	CASH IN LIEU	0 0000	AOL INC CASH IN LIEU OF 72727 RECORD 11/27/09 PAY 12/09/09	0 000	16 81	
12/23/2009	DIVIDEND	0 0000	ANADARKO PETROLEUM CORP CASH DIV ON 800 0000 SHS X/D 12/07/09	0 000	72 00	
12/22/2009	AUTOINVEST	2627 3600	WESTERN ASSET MONEY MARKET FUND CLASS A	1 000	2,627 36	
12/21/2009	FEE	0 0000	MITSUBISHI UFJ FINANCIAL GROUP INC ADR ADR FEE OF 00100 PER SHR RECORD 09/29/09 PAY 12/21/09	0 000	-3 20	
12/21/2009	DIV- TAX W/H	0 0000	MITSUBISHI UFJ FINANCIAL GROUP INC ADR CASH DIV ON 350 0000 SHS TAX HELD BY FGN GOVTS 1 66	0 000	22 07	
12/21/2009	RIGHTS	-179 0000	RTS ING GROEP EXP 12/11/2009	0 000	420 35	
12/21/2009	RIGHTS	-846 0000	RTS ING GROEP EXP 12/11/2009	0 000	1,986 71	
12/21/2009	AUTOINVEST	146 9400	WESTERN ASSET MONEY MARKET FUND CLASS A	1 000	146 94	

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Date	Activity	Quantity	Description	Price	Amount	Check
12/21/2009	DIV- TAX W/H	0 0000	MITSUBISHI UFJ FINANCIAL GROUP INC ADR CASH DIV ON 3200 0000 SHS TAX HELD BY FGN GOVTS 15 19	0 000	201 78	
12/21/2009	FEE	0 0000	MITSUBISHI UFJ FINANCIAL GROUP INC ADR ADR FEE OF 00100 PER SHR RECORD 09/29/09 PAY 12/21/09	0 000	- 35	
12/18/2009	FEE	0 0000	SUMITOMO MITSUI FINL GROUP INC ADR ADR FEE OF 01040 PER SHR RECORD 09/29/09 PAY 12/18/09	0 000	-42 64	
12/18/2009	AUTOINVEST	424 0600	WESTERN ASSET MONEY MARKET FUND CLASS A	1 000	424 06	
12/18/2009	DIV- TAX W/H	0 0000	SUMITOMO MITSUI FINL GROUP INC ADR CASH DIV ON 4100 0000 SHS TAX HELD BY FGN GOVTS 14 27	0 000	189 58	
12/17/2009	AUTOINVEST	604 4400	WESTERN ASSET MONEY MARKET FUND CLASS A	1 000	604 44	
12/17/2009	DIVIDEND	0 0000	AMERICA MOVIL S A B DE CV SER L SPONS ADR CASH DIV ON 550 0000 SHS X/D 12/07/09	0 000	424 06	
12/16/2009	AUTOINVEST	227 5000	WESTERN ASSET MONEY MARKET FUND CLASS A	1 000	227 50	
12/16/2009	DIV- TAX W/H	0 0000	UNILEVER NV NY SHS-NEW CASH DIV ON 1800 0000 SHS TAX HELD BY FGN GOVTS 106 67 X/D 11/18/09	0 000	604 44	
12/15/2009	SPINOFF	72 0000	AOL INC SPINOFF ON 800 SHS	0 000	00	
12/15/2009	DIVIDEND	0 0000	DOVER CORP CASH DIV ON 875 0000 SHS X/D 11/25/09	0 000	227 50	
12/14/2009	AUTOINVEST	492 1700	WESTERN ASSET MONEY MARKET FUND CLASS A	1 000	492 17	
12/14/2009	SPINOFF	575 0000	CENOVUS ENERGY INC SPINOFF ON 575 SHS	0 000	00	
12/11/2009	DIVIDEND	0 0000	PPG INDUSTRIES INC CASH DIV ON 775 0000 SHS X/D 11/06/09	0 000	418 50	
12/11/2009	FEE	0 0000	NOMURA HOLDINGS INC ADR DSC FEE OF 00050 PER SHR RECORD 09/29/09 PAY 12/11/09	0 000	- 88	
12/11/2009	AUTOINVEST	824 3700	WESTERN ASSET MONEY MARKET FUND CLASS A	1 000	824 37	
12/11/2009	DIV- TAX W/H	0 0000	NOMURA HOLDINGS INC ADR CASH DIV ON 1750 0000 SHS TAX HELD BY FGN GOVTS 5 61 X/D 09/25/09	0 000	74 55	
12/10/2009	DIVIDEND	0 0000	TARGET CORP CASH DIV ON 650 0000 SHS X/D 11/18/09	0 000	110 50	
12/10/2009	DIVIDEND	0 0000	MICROSOFT CORP CASH DIV ON 2000 0000 SHS X/D 11/17/09	0 000	260 00	
12/10/2009	DIVIDEND	0 0000	HONEYWELL INTL INC CASH DIV ON 75 0000 SHS X/D 11/18/09	0 000	22 69	
12/10/2009	DIVIDEND	0 0000	HONEYWELL INTL INC CASH DIV ON 475 0000 SHS X/D 11/18/09	0 000	143 69	
12/10/2009	CASH IN LIEU	0 0000	BANCO SANTANDER S A CASH IN LIEU OF 16483	0 000	2 93	
12/10/2009	AUTOINVEST	513 0600	WESTERN ASSET MONEY MARKET FUND CLASS A	1 000	513 06	
12/10/2009	DIVIDEND	0 0000	UNITED TECHNOLOGIES CORP CASH DIV ON 700 0000 SHS X/D 11/18/09	0 000	269 50	
12/10/2009	CASH IN LIEU	0 0000	BANCO SANTANDER S A CASH IN LIEU OF 84615	0 000	15 06	

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Date	Activity	Quantity	Description	Price	Amount	Check
12/09/2009	DIV- TAX W/H	0 0000	PETROBRAS CASH DIV ON 1250 0000 SHS RECORD 07/08/09 PAY 12/07/09 TAX HELD BY FGN GOVTS 64 07	0 000	363 06	
12/09/2009	DIVIDEND	0 0000	TIME WARNER INC CASH DIV ON 800 0000 SHS X/D 11/24/09	0 000	150 00	
12/09/2009	AUTOINVEST	2068 7700	WESTERN ASSET MONEY MARKET FUND CLASS A	1 000	2,068 77	
12/08/2009	DIV- TAX W/H	0 0000	TOTAL S A SPONS ADR CASH DIV ON 50 0000 SHS TAX HELD BY FGN GOVTS 21 19 X/D 11/09/09	0 000	63 58	
12/08/2009	FEE	0 0000	SONY CORP SPON ADR-NEW ADR FEE OF 00200 PER SHR RECORD 09/28/09 PAY 12/08/09	0 000	-1 10	
12/08/2009	DIVIDEND	0 0000	JOHNSON & JOHNSON CASH DIV ON 700 0000 SHS X/D 11/20/09	0 000	343 00	

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Date	Activity	Quantity	Description	Price	Amount	Check
11/06/2009	DIV- TAX W/H	0 0000	VALE S A SPON ADR CASH DIV ON 1400 0000 SHS TAX HELD BY FGN GOVTS 58 72 X/D 10/16/09	0 000	332 74	
11/05/2009	AUTOINVEST	110 0000	WESTERN ASSET MONEY MARKET FUND CLASS A	1 000	110 00	
11/05/2009	MERGER	-875 0000	MERCK & CO INC	0 000	00	
11/05/2009	MERGER	875 0000	MERCK & CO INC NEW	0 000	00	
11/04/2009	DIVIDEND	0 0000	ORACLE CORP CASH DIV ON 2200 0000 SHS X/D 10/09/09	0 000	110 00	
11/03/2009	AUTOINVEST	206 4300	WESTERN ASSET MONEY MARKET FUND CLASS A	1 000	206 43	

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Activity from 01/01/2009 through 12/31/2009 as of 02/06/2010.

Activity Detail - Check Reorder E-mail

Date	Activity	Quantity	Description	Price	Amount	Check
10/30/2009	REINVEST	0 5900	WESTERN ASSET MONEY MARKET FUND CLASS A FOR PERIOD 10/01/09-11/01/09 32 DAYS AVERAGE YIELD 06 %	1 000	- 59	
10/29/2009	AUTOINVEST	362 2900	WESTERN ASSET MONEY MARKET FUND CLASS A	1 000	362 29	
10/28/2009	SOLD	-695 0000	CHINA MOBILE LTD SPONSORED ADR 0010034034000093400OUSD01 CTSY INSTINET, LLC	48 017	33,327 88	
10/28/2009	DIV- TAX W/H	0 0000	BANK OF NOVA SCOTIA EURO#19279 CASH DIV ON 935 0000 SHS TAX HELD BY FGN GOVTS 63 93	0 000	362 29	
10/28/2009	BOUGHT	84 0000	BAIDU INC SPON ADR RPTNG ORD SHS CL A 0010034034000093400OUSD01 CGMI AND/OR ITS AFFILIATES	394 005	-33,121 45	
10/27/2009	AUTOINVEST	180 0000	WESTERN ASSET MONEY MARKET FUND CLASS A	1 000	180 00	
10/26/2009	DIVIDEND	0 0000	GENERAL ELECTRIC CO CASH DIV ON 1800 0000 SHS X/D 09/17/09	0 000	180 00	
10/21/2009	AUTOINVEST	95 4600	WESTERN ASSET MONEY MARKET FUND CLASS A	1 000	95 46	
10/20/2009	FEE	0 0000	CHEUNG KONG HOLDING-ADR ADR FEE OF 01290 PER SHR RECORD 09/24/09 PAY 10/20/09	0 000	-23 87	
10/20/2009	DIVIDEND	0 0000	CHEUNG KONG HOLDING-ADR CASH DIV ON 1850 0000 SHS X/D 09/22/09	0 000	119 33	
10/15/2009	FEE	0 0000	MANAGEMENT FEE PER AGREEMENT	0 000	-7,390 84	
10/15/2009	REDEMPTION	-7060 8400	WESTERN ASSET MONEY MARKET FUND CLASS A	1 000	-7,060 84	
10/15/2009	DIVIDEND	0 0000	OCCIDENTAL PETROLEUM CORP-DEL CASH DIV ON 850 0000 SHS X/D 09/08/09	0 000	280 50	
10/15/2009	DIVIDEND	0 0000	OCCIDENTAL PETROLEUM CORP-DEL CASH DIV ON 150 0000 SHS X/D 09/08/09	0 000	49 50	
10/14/2009	AUTOINVEST	976 7100	WESTERN ASSET MONEY MARKET FUND CLASS A	1 000	976 71	
10/13/2009	DIV- TAX W/H	0 0000	CHINA MOBILE LTD SPONSORED ADR CASH DIV ON 1250 0000 SHS TAX HELD BY FGN GOVTS 108 52	0 000	976 71	
10/09/2009	AUTOINVEST	311 1400	WESTERN ASSET MONEY MARKET FUND CLASS A	1 000	311 14	
10/08/2009	AUTOINVEST	76 0000	WESTERN ASSET MONEY MARKET FUND CLASS A	1 000	76 00	
10/08/2009	DIVIDEND	0 0000	GLAXOSMITHKLINE PLC SP ADR CASH DIV ON 625 0000 SHS X/D 07/29/09	0 000	288 09	
10/08/2009	DIVIDEND	0 0000	GLAXOSMITHKLINE PLC SP ADR CASH DIV ON 50 0000 SHS X/D 07/29/09	0 000	23 05	

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Date	Activity	Quantity	Description	Price	Amount	Check
10/07/2009	DIVIDEND	0 0000	HEWLETT PACKARD CO CASH DIV ON 950 0000 SHS X/D 09/14/09	0 000	76 00	
10/05/2009	AUTOINVEST	189 0000	WESTERN ASSET MONEY MARKET FUND CLASS A	1 000	189 00	
10/02/2009	AUTOINVEST	607 5000	WESTERN ASSET MONEY MARKET FUND CLASS A	1 000	607 50	
10/02/2009	DIVIDEND	0 0000	SCHLUMBERGER LTD CASH DIV ON 900 0000 SHS X/D 08/31/09	0 000	189 00	
10/01/2009	DIVIDEND	0 0000	MACYS INC CASH DIV ON 2300 0000 SHS X/D 09/11/09	0 000	115 00	
10/01/2009	DIVIDEND	0 0000	MERCK & CO INC CASH DIV ON 875 0000 SHS X/D 09/02/09	0 000	332 50	
10/01/2009	DIVIDEND	0 0000	BURLINGTON NORTHERN SANTA FE CASH DIV ON 400 0000 SHS X/D 09/08/09	0 000	160 00	
10/01/2009	AUTOINVEST	275 5000	WESTERN ASSET MONEY MARKET FUND CLASS A	1 000	275 50	
09/30/2009	REINVEST	0 2700	WESTERN ASSET MONEY MARKET FUND CLASS A FOR PERIOD 09/01/09-09/30/09 30 DAYS AVERAGE YIELD 02 %	1 000	- 27	
09/30/2009	DIV- TAX W/H	0 0000	ENCANA CORP-CAD CASH DIV ON 575 0000 SHS TAX HELD BY FGN GOVTS 34 50 X/D 09/11/09	0 000	195 50	
09/30/2009	DIVIDEND	0 0000	DEVON ENERGY CORP NEW CASH DIV ON 500 0000 SHS X/D 09/11/09	0 000	80 00	
09/29/2009	AUTOINVEST	78 7500	WESTERN ASSET MONEY MARKET FUND CLASS A	1 000	78 75	
09/28/2009	AUTOINVEST	615 0000	WESTERN ASSET MONEY MARKET FUND CLASS A	1 000	615 00	
09/28/2009	DIVIDEND	0 0000	COACH INC CASH DIV ON 1050 0000 SHS X/D 09/03/09	0 000	78 75	
09/25/2009	DIVIDEND	0 0000	BHP BILLITON LTD SPONS ADR CASH DIV ON 750 0000 SHS X/D 09/02/09	0 000	615 00	
09/24/2009	AUTOINVEST	96 7500	WESTERN ASSET MONEY MARKET FUND CLASS A	1 000	96 75	
09/23/2009	DIVIDEND	0 0000	ANADARKO PETROLEUM CORP CASH DIV ON 275 0000 SHS X/D 09/04/09	0 000	24 75	
09/23/2009	DIVIDEND	0 0000	ANADARKO PETROLEUM CORP CASH DIV ON 800 0000 SHS X/D 09/04/09	0 000	72 00	
09/17/2009	REDEMPTION	-9112 1700	WESTERN ASSET MONEY MARKET FUND CLASS A	1 000	-9,112 17	
09/16/2009	AUTOINVEST	377 5000	WESTERN ASSET MONEY MARKET FUND CLASS A	1 000	377 50	
09/15/2009	DIVIDEND	0 0000	TIME WARNER INC CASH DIV ON 800 0000 SHS X/D 08/27/09	0 000	150 00	
09/15/2009	DIVIDEND	0 0000	DOVER CORP CASH DIV ON 875 0000 SHS X/D 08/27/09	0 000	227 50	
09/14/2009	BOUGHT	935 0000	BANK OF NOVA SCOTIA EURO#19279 CTSY UBS SECURITIES, LLC PRIN INCL COMM OF 37 40	42 648	-39,928 09	
09/14/2009	AUTOINVEST	410 7500	WESTERN ASSET MONEY MARKET FUND CLASS A	1 000	410 75	
09/14/2009	SOLD	-675 0000	CONOCOPHILLIPS	45 704	30,815 92	
09/11/2009	AUTOINVEST	806 3800	WESTERN ASSET MONEY MARKET FUND CLASS A	1 000	806 38	

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Date	Activity	Quantity	Description	Price	Amount	Check
09/11/2009	DIVIDEND	0 0000	PPG INDUSTRIES INC CASH DIV ON 775 0000 SHS X/D 08/06/09	0 000	410 75	
09/10/2009	DIVIDEND	0 0000	UNITED TECHNOLOGIES CORP CASH DIV ON 700 0000 SHS X/D 08/19/09	0 000	269 50	
09/10/2009	DIVIDEND	0 0000	HONEYWELL INTL INC CASH DIV ON 475 0000 SHS X/D 08/18/09	0 000	143 69	
09/10/2009	DIVIDEND	0 0000	HONEYWELL INTL INC CASH DIV ON 75 0000 SHS X/D 08/18/09	0 000	22 69	

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Activity from 01/01/2009 through 12/31/2009 as of 02/06/2010.

Activity Detail Check Reorder E-mail

Date	Activity	Quantity	Description	Price	Amount	Check
09/10/2009	DIVIDEND	0 0000	TARGET CORP CASH DIV ON 650 0000 SHS X/D 08/18/09	0 000	110 50	
09/10/2009	DIVIDEND	0 0000	MICROSOFT CORP CASH DIV ON 2000 0000 SHS X/D 08/18/09	0 000	260 00	
09/09/2009	AUTOINVEST	595 3100	WESTERN ASSET MONEY MARKET FUND CLASS A	1 000	595 31	
09/08/2009	FEE	0 0000	HONDA MOTOR CO LTD ADR-NEW ADR FEE OF 00100 PER SHR RECORD 06/29/09 PAY 08/31/09	0 000	-1 25	
09/08/2009	AUTOINVEST	12 5000	WESTERN ASSET MONEY MARKET FUND CLASS A	1 000	12 50	
09/08/2009	DIVIDEND	0 0000	JOHNSON & JOHNSON CASH DIV ON 700 0000 SHS X/D 08/21/09	0 000	343 00	
09/08/2009	DIVIDEND	0 0000	WAL-MART STORES INC CASH DIV ON 625 0000 SHS X/D 08/12/09	0 000	170 31	
09/08/2009	DIVIDEND	0 0000	PACCAR INC -DEL- CASH DIV ON 925 0000 SHS X/D 08/14/09	0 000	83 25	
09/04/2009	FEE	0 0000	HONDA MOTOR CO LTD ADR-NEW REV ADR FEE OF 01000/SHR RECORD 06/29/09 PAY 08/31/09	0 000	12 50	
09/04/2009	AUTOINVEST	144 0000	WESTERN ASSET MONEY MARKET FUND CLASS A	1 000	144 00	
09/03/2009	DIVIDEND	0 0000	APPLIED MATERIALS INC DELAWARE CASH DIV ON 2400 0000 SHS X/D 08/11/09	0 000	144 00	
09/02/2009	AUTOINVEST	766 0000	WESTERN ASSET MONEY MARKET FUND CLASS A	1 000	766 00	
09/01/2009	DIVIDEND	0 0000	FORTUNE BRANDS INC CASH DIV ON 925 0000 SHS X/D 08/10/09	0 000	175 75	
09/01/2009	AUTOINVEST	85 5400	WESTERN ASSET MONEY MARKET FUND CLASS A	1 000	85 54	
09/01/2009	DIVIDEND	0 0000	CONOCOPHILLIPS CASH DIV ON 675 0000 SHS X/D 07/29/09	0 000	317 25	
09/01/2009	DIVIDEND	0 0000	INTEL CORP CASH DIV ON 1950 0000 SHS X/D 08/05/09	0 000	273 00	
08/31/2009	FEE	0 0000	HONDA MOTOR CO LTD ADR-NEW ADR FEE OF 01000 PER SHR RECORD 06/29/09 PAY 08/31/09	0 000	-12 50	
08/31/2009	REINVEST	0 1800	WESTERN ASSET MONEY MARKET FUND CLASS A FOR PERIOD 08/03/09-08/31/09 29 DAYS AVERAGE YIELD 01 %	1 000	-18	
08/31/2009	DIV- TAX W/H	0 0000	HONDA MOTOR CO LTD ADR-NEW CASH DIV ON 1250 0000 SHS TAX HELD BY FGN GOVTS 7 38 X/D 06/25/09	0 000	98 04	
08/25/2009	AUTOINVEST	468 2600	WESTERN ASSET MONEY MARKET FUND CLASS A	1 000	468 26	

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Date	Activity	Quantity	Description	Price	Amount	Check
08/24/2009	DIV- TAX W/H	0 0000	PETROBRAS CASH DIV ON 1250 0000 SHS TAX HELD BY FGN GOVTS 5 62 X/D 04/09/09	0 000	468 26	
08/24/2009	AUTOINVEST	48 6500	WESTERN ASSET MONEY MARKET FUND CLASS A	1 000	48 65	
08/21/2009	AUTOINVEST	325 5000	WESTERN ASSET MONEY MARKET FUND CLASS A	1 000	325 50	
08/21/2009	DIV- TAX W/H	0 0000	PETROBRAS CASH DIV ON 1250 0000 SHS TAX HELD BY FGN GOVTS 8 79 X/D 12/29/08	0 000	48 65	
08/20/2009	DIVIDEND	0 0000	CATERPILLAR INC CASH DIV ON 775 0000 SHS X/D 07/16/09	0 000	325 50	
08/18/2009	AUTOINVEST	176 0000	WESTERN ASSET MONEY MARKET FUND CLASS A	1 000	176 00	
08/17/2009	DIVIDEND	0 0000	PROCTER & GAMBLE CO CASH DIV ON 350 0000 SHS X/D 07/22/09	0 000	154 00	
08/17/2009	DIVIDEND	0 0000	PROCTER & GAMBLE CO CASH DIV ON 50 0000 SHS X/D 07/22/09	0 000	22 00	
08/14/2009	AUTOINVEST	110 0000	WESTERN ASSET MONEY MARKET FUND CLASS A	1 000	110 00	
08/13/2009	DIVIDEND	0 0000	ORACLE CORP CASH DIV ON 2200 0000 SHS X/D 07/13/09	0 000	110 00	
08/12/2009	AUTOINVEST	262 5000	WESTERN ASSET MONEY MARKET FUND CLASS A	1 000	262 50	
08/11/2009	DIVIDEND	0 0000	NUCOR CORP CASH DIV ON 750 0000 SHS X/D 06/26/09	0 000	262 50	
08/11/2009	AUTOINVEST	644 6300	WESTERN ASSET MONEY MARKET FUND CLASS A	1 000	644 63	
08/10/2009	DEPOSIT	0 0000	BASF SE COMMON STOCK TAX REFUND OF 1562942 FOR RCD 05/04/06, PAY 05/15/06	0 000	82 05	
08/10/2009	DIV- TAX W/H	0 0000	BANCO SANTANDER S A CASH DIV ON 3200 0000 SHS TAX HELD BY FGN GOVTS 111 53 X/D 07/29/09	0 000	508 08	
08/10/2009	FEE	0 0000	BANCO SANTANDER S A ADR FEE OF 00250 PER SHR RECORD 07/31/09 PAY 08/10/09	0 000	-8 00	
08/10/2009	DEPOSIT	0 0000	BASF SE COMMON STOCK TAX REFUND OF 1562942 FOR RCD 05/04/06, PAY 05/15/06	0 000	7 81	
08/10/2009	FEE	0 0000	BANCO SANTANDER S A ADR FEE OF 00250 PER SHR RECORD 07/31/09 PAY 08/10/09	0 000	- 88	
08/10/2009	DIV- TAX W/H	0 0000	BANCO SANTANDER S A CASH DIV ON 350 0000 SHS TAX HELD BY FGN GOVTS 12 20 X/D 07/29/09	0 000	55 57	
08/04/2009	AUTOINVEST	1163 0800	WESTERN ASSET MONEY MARKET FUND CLASS A	1 000	1,163 08	
08/03/2009	FEE	0 0000	ABB LTD SPONS ADR ADR FEE OF 01000 PER SHR RECORD 07/24/09 PAY 08/03/09	0 000	-21 00	
08/03/2009	DIVIDEND	0 0000	AMERICA MOVIL S A B DE CV SER L SPONS ADR CASH DIV ON 550 0000 SHS X/D 07/21/09	0 000	249 62	
08/03/2009	DIVIDEND	0 0000	ABB LTD SPONS ADR CASH DIV ON 2100 0000 SHS X/D 07/22/09	0 000	934 46	
07/31/2009	REINVEST	0 9600	WESTERN ASSET MONEY MARKET FUND CLASS A FOR PERIOD 07/01/09-08/02/09 33 DAYS AVERAGE YIELD 06 %	1 000	- 96	
07/28/2009	AUTOINVEST	180 0000	WESTERN ASSET MONEY MARKET FUND CLASS A	1 000	180 00	

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Date	Activity	Quantity	Description	Price	Amount	Check
07/27/2009	DIVIDEND	0 0000	GENERAL ELECTRIC CO CASH DIV ON 1800 0000 SHS X/D 06/18/09	0 000	180 00	
07/20/2009	AUTOINVEST	3420 9200	WESTERN ASSET MONEY MARKET FUND CLASS A	1 000	3,420 92	
07/17/2009	REDEMPTION	0 0000	RIO TINTO PLC-GBP SALE OF RTS ON 150 0000 SHS	0 000	3,424 86	
07/17/2009	FEE	0 0000	RIO TINTO PLC-GBP ADR FEE OF 02625 PER SHR RECORD 07/10/09 PAY 07/17/09	0 000	-3 94	
07/16/2009	AUTOINVEST	330 0000	WESTERN ASSET MONEY MARKET FUND CLASS A	1 000	330 00	

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Activity from 01/01/2009 through 12/31/2009 as of 02/06/2010.

Activity Detail - Check Reorder E-mail

Date	Activity	Quantity	Description	Price	Amount	Check
07/15/2009	DIVIDEND	0 0000	OCCIDENTAL PETROLEUM CORP-DEL CASH DIV ON 150 0000 SHS X/D 06/08/09	0 000	49 50	
07/15/2009	DIVIDEND	0 0000	OCCIDENTAL PETROLEUM CORP-DEL CASH DIV ON 850 0000 SHS X/D 06/08/09	0 000	280 50	
07/14/2009	REDEMPTION	-6231 4400	WESTERN ASSET MONEY MARKET FUND CLASS A	1 000	-6,231 44	
07/14/2009	AUTOINVEST	61 8300	WESTERN ASSET MONEY MARKET FUND CLASS A	1 000	61 83	
07/14/2009	FEE	0 0000	MANAGEMENT FEE PER AGREEMENT	0 000	-6,231 44	
07/13/2009	DIV- TAX W/H	0 0000	SUMITOMO MITSUI FINL GROUP INC ADR CASH DIV ON 4100 0000 SHS TAX HELD BY FGN GOVTS 5 98	0 000	79 46	
07/13/2009	FEE	0 0000	SUMITOMO MITSUI FINL GROUP INC ADR ADR FEE OF 00430 PER SHR RECORD 03/30/09 PAY 07/13/09	0 000	-17 63	
07/13/2009	AUTOINVEST	189 0000	WESTERN ASSET MONEY MARKET FUND CLASS A	1 000	189 00	
07/10/2009	AUTOINVEST	443 8500	WESTERN ASSET MONEY MARKET FUND CLASS A	1 000	443 85	
07/10/2009	DIVIDEND	0 0000	SCHLUMBERGER LTD CASH DIV ON 900 0000 SHS X/D 06/01/09	0 000	189 00	
07/09/2009	DIV- TAX W/H	0 0000	MITSUBISHI UFJ FINANCIAL GROUP INC ADR CASH DIV ON 350 0000 SHS TAX HELD BY FGN GOVTS 1 27	0 000	16 87	
07/09/2009	FEE	0 0000	MITSUBISHI UFJ FINANCIAL GROUP INC ADR ADR FEE OF 00100 PER SHR RECORD 03/30/09 PAY 07/09/09	0 000	-3 20	
07/09/2009	DIVIDEND	0 0000	GLAXOSMITHKLINE PLC SP ADR CASH DIV ON 625 0000 SHS X/D 04/29/09	0 000	255 82	
07/09/2009	FEE	0 0000	MITSUBISHI UFJ FINANCIAL GROUP INC ADR ADR FEE OF 00100 PER SHR RECORD 03/30/09 PAY 07/09/09	0 000	- 35	
07/09/2009	DIVIDEND	0 0000	GLAXOSMITHKLINE PLC SP ADR CASH DIV ON 50 0000 SHS X/D 04/29/09	0 000	20 47	
07/09/2009	AUTOINVEST	426 5800	WESTERN ASSET MONEY MARKET FUND CLASS A	1 000	426 58	
07/09/2009	DIV- TAX W/H	0 0000	MITSUBISHI UFJ FINANCIAL GROUP INC ADR CASH DIV ON 3200 0000 SHS TAX HELD BY FGN GOVTS 11 61	0 000	154 24	
07/08/2009	DIV- TAX W/H	0 0000	PETROBRAS CASH DIV ON 1250 0000 SHS RECORD 12/31/08 PAY 07/01/09 TAX HELD BY FGN GOVTS 77 48	0 000	426 58	
07/02/2009	AUTOINVEST	779 4700	WESTERN ASSET MONEY MARKET FUND CLASS A	1 000	779 47	

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Date	Activity	Quantity	Description	Price	Amount	Check
07/01/2009	DIVIDEND	0 0000	MACYS INC CASH DIV ON 2300 0000 SHS X/D 06/11/09	0 000	115 00	
07/01/2009	AUTOINVEST	275 5000	WESTERN ASSET MONEY MARKET FUND CLASS A	1 000	275 50	
07/01/2009	DIV- TAX W/H	0 0000	HONDA MOTOR CO LTD ADR-NEW CASH DIV ON 1250 0000 SHS TAX HELD BY FGN GOVTS 7 32 X/D 03/26/09	0 000	97 22	
07/01/2009	DIVIDEND	0 0000	MERCK & CO INC CASH DIV ON 875 0000 SHS X/D 06/04/09	0 000	332 50	
07/01/2009	DIVIDEND	0 0000	HEWLETT PACKARD CO CASH DIV ON 950 0000 SHS X/D 06/08/09	0 000	76 00	
07/01/2009	DIVIDEND	0 0000	BURLINGTON NORTHERN SANTA FE CASH DIV ON 400 0000 SHS X/D 06/08/09	0 000	160 00	
07/01/2009	FEE	0 0000	HONDA MOTOR CO LTD ADR-NEW ADR FEE OF 00100 PER SHR RECORD 03/30/09 PAY 07/01/09	0 000	-1 25	
06/30/2009	DIV- TAX W/H	0 0000	ENCANA CORP-CAD CASH DIV ON 575 0000 SHS TAX HELD BY FGN GOVTS 34 50 X/D 06/11/09	0 000	195 50	
06/30/2009	DIVIDEND	0 0000	DEVON ENERGY CORP NEW CASH DIV ON 500 0000 SHS X/D 06/11/09	0 000	80 00	
06/30/2009	REINVEST	1 4700	WESTERN ASSET MONEY MARKET FUND CLASS A FOR PERIOD 06/01/09-06/30/09 30 DAYS AVERAGE YIELD 23 %	1 000	-1 47	
06/30/2009	AUTOINVEST	1085 5600	WESTERN ASSET MONEY MARKET FUND CLASS A	1 000	1,085 56	
06/29/2009	DIVIDEND	0 0000	ISHARES MSCI EMERGING MKTS INDEX FD CASH DIV ON 2550 0000 SHS X/D 06/23/09	0 000	630 57	
06/29/2009	DIVIDEND	0 0000	COACH INC CASH DIV ON 1050 0000 SHS X/D 06/04/09	0 000	78 75	
06/28/2009	DIV- TAX W/H	0 0000	BNP PARIBAS SPON ADR CASH DIV ON 725 0000 SHS TAX HELD BY FGN GOVTS 125 42 X/D 05/15/09	0 000	376 24	
06/25/2009	AUTOINVEST	434 2500	WESTERN ASSET MONEY MARKET FUND CLASS A	1 000	434 25	
06/24/2009	DEPOSIT	0 0000	BASF SE COMMON STOCK TAX RECLAIM OF 373075 FOR RCD 04/24/08, PAY 05/05/08	0 000	195 86	
06/24/2009	DIVIDEND	0 0000	ANADARKO PETROLEUM CORP CASH DIV ON 275 0000 SHS X/D 06/08/09	0 000	24 75	
06/24/2009	DIVIDEND	0 0000	ANADARKO PETROLEUM CORP CASH DIV ON 800 0000 SHS X/D 06/08/09	0 000	72 00	
06/24/2009	DEPOSIT	0 0000	E ON AG SPONS ADR TAX RECLAIM OF 117130 FOR RCD 04/30/08, PAY 05/09/08	0 000	122 99	
06/24/2009	DEPOSIT	0 0000	BASF SE COMMON STOCK TAX RECLAIM OF 373075 FOR RCD 04/24/08, PAY 05/05/08	0 000	18 65	
06/23/2009	AUTOINVEST	1018 7000	WESTERN ASSET MONEY MARKET FUND CLASS A	1 000	1,018 70	
06/22/2009	DIV- TAX W/H	0 0000	CHINA MOBILE LTD SPONSORED ADR CASH DIV ON 1250 0000 SHS TAX HELD BY FGN GOVTS 113 19	0 000	1,018 70	
06/19/2009	AUTOINVEST	1318 2200	WESTERN ASSET MONEY MARKET FUND CLASS A	1 000	1,318 22	
06/18/2009	DIV- TAX W/H	0 0000	UNILEVER NV NY SHS-NEW CASH DIV ON 1800 0000 SHS TAX HELD BY FGN GOVTS 186 74	0 000	1,058 22	

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Date	Activity	Quantity	Description	Price	Amount	Check
			X/D 05/18/09			
06/18/2009	DIVIDEND	0 0000	MICROSOFT CORP CASH DIV ON 2000 0000 SHS X/D 05/19/09	0 000	260 00	
06/16/2009	AUTOINVEST	368 7500	WESTERN ASSET MONEY MARKET FUND CLASS A	1 000	368 75	
06/15/2009	DIVIDEND	0 0000	TIME WARNER INC CASH DIV ON 800 0000 SHS X/D 05/27/09	0 000	150 00	
06/15/2009	AUTOINVEST	1810 0100	WESTERN ASSET MONEY MARKET FUND CLASS A	1 000	1,810 01	
06/15/2009	DIVIDEND	0 0000	DOVER CORP CASH DIV ON 875 0000 SHS X/D 05/27/09	0 000	218 75	
06/12/2009	DIV- TAX W/H	0 0000	CREDIT SUISSE GROUP ADR CASH DIV ON 1100 0000 SHS TAX HELD BY FGN GOVTS 15 02 X/D 04/27/09	0 000	65 14	
06/12/2009	FEE	0 0000	CHEUNG KONG HOLDING-ADR ADR FEE OF 02000 PER SHR RECORD 05/12/09 PAY 06/09/09	0 000	-37 00	

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Activity from 01/01/2009 through 12/31/2009 as of 02/06/2010.

Activity Detail - Check Reorder E-mail

Date	Activity	Quantity	Description	Price	Amount	Check
06/12/2009	DIVIDEND	0 0000	PPG INDUSTRIES INC CASH DIV ON 775 0000 SHS X/D 05/07/09	0 000	410 75	
06/12/2009	DIV- TAX W/H	0 0000	TOTAL S A SPONS ADR CASH DIV ON 700 0000 SHS TAX HELD BY FGN GOVTS 276 81 X/D 05/14/09	0 000	830 41	
06/12/2009	DIV- TAX W/H	0 0000	TOTAL S A SPONS ADR CASH DIV ON 50 0000 SHS TAX HELD BY FGN GOVTS 19 77 X/D 05/14/09	0 000	59 32	
06/12/2009	DIVIDEND	0 0000	CHEUNG KONG HOLDING-ADR CASH DIV ON 1850 0000 SHS RECORD 05/12/09 PAY 06/09/09 X/D 05/08/09	0 000	465 24	
06/12/2009	FEE	0 0000	CREDIT SUISSE GROUP ADR ADR FEE OF 00350 PER SHR RECORD 04/29/09 PAY 06/12/09	0 000	-3 85	
06/11/2009	AUTOINVEST	539 8800	WESTERN ASSET MONEY MARKET FUND CLASS A	1 000	539 88	
06/10/2009	DIVIDEND	0 0000	TARGET CORP CASH DIV ON 650 0000 SHS X/D 05/18/09	0 000	104 00	
06/10/2009	AUTOINVEST	408 4400	WESTERN ASSET MONEY MARKET FUND CLASS A	1 000	408 44	
06/10/2009	DIVIDEND	0 0000	HONEYWELL INTL INC CASH DIV ON 75 0000 SHS X/D 05/18/09	0 000	22 69	
06/10/2009	DIVIDEND	0 0000	UNITED TECHNOLOGIES CORP CASH DIV ON 700 0000 SHS X/D 05/13/09	0 000	269 50	
06/10/2009	DIVIDEND	0 0000	HONEYWELL INTL INC CASH DIV ON 475 0000 SHS X/D 05/18/09	0 000	143 69	
06/09/2009	DIV- TAX W/H	0 0000	SONY CORP SPON ADR-NEW CASH DIV ON 550 0000 SHS TAX HELD BY FGN GOVTS 5 01 X/D 03/26/09	0 000	66 54	
06/09/2009	REDEMPTION	-10026 8200	WESTERN ASSET GOVERNMENT MONEY MARKET FUND CLASS A EXCHANGE REDEMPTION	1 000	-10,026 82	
06/09/2009	DIVIDEND	0 0000	WESTERN ASSET GOVERNMENT MONEY MARKET FUND CLASS A CASH DIV	1 000	99	
06/09/2009	INVESTMENT	10027 8100	WESTERN ASSET MONEY MARKET FUND CLASS A	1 000	10,027 81	
06/09/2009	DIVIDEND	0 0000	JOHNSON & JOHNSON CASH DIV ON 700 0000 SHS X/D 05/21/09	0 000	343 00	
06/09/2009	AUTOINVEST	130 8700	WESTERN ASSET MONEY MARKET FUND CLASS A	1 000	130 87	
06/09/2009	FEE	0 0000	SONY CORP SPON ADR-NEW ADR FEE OF 00200 PER SHR RECORD 03/30/09 PAY 06/09/09	0 000	-1 10	
06/08/2009	DIV- TAX W/H	0 0000	PANASONIC CORP ADR CASH DIV ON 1850 0000 SHS TAX HELD BY FGN GOVTS 10 06	0 000	133 65	

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Date	Activity	Quantity	Description	Price	Amount	Check
			X/D 03/26/09			
06/08/2009	FEE	0 0000	PANASONIC CORP ADR ADR FEE OF 00150 PER SHR RECORD 03/30/09 PAY 06/08/09	0 000	-2 78	
06/05/2009	REDEMPTION	-14616 0500	WESTERN ASSET GOVERNMENT MONEY MARKET FUND CLASS A	1 000	-14,616 05	
06/04/2009	DIVIDEND	0 0000	APPLIED MATERIALS INC DELAWARE CASH DIV ON 2400 0000 SHS X/D 05/12/09	0 000	144 00	
06/04/2009	DIV- TAX W/H	0 0000	NESTLE S A SPONSORED ADR CASH DIV ON 1125 0000 SHS TAX HELD BY FGN GOVTS 208 74 X/D 04/24/09	0 000	1,182 83	
06/04/2009	FEE	0 0000	NESTLE S A SPONSORED ADR ADR FEE OF 00350 PER SHR RECORD 04/28/09 PAY 06/04/09	0 000	-3 94	
06/03/2009	AUTOINVEST	312 0000	WESTERN ASSET GOVERNMENT MONEY MARKET FUND CLASS A	1 000	312 00	
06/02/2009	BOUGHT	2300 0000	MACYS INC CTSY PERSHING, LLC PRIN INCL COMM OF 69 00	13 666	-31,516 26	
06/02/2009	DIVIDEND	0 0000	PFIZER INC CASH DIV ON 1950 0000 SHS X/D 05/06/09	0 000	312 00	
06/02/2009	BOUGHT	925 0000	PACCAR INC -DEL- CGMI AND/OR ITS AFFILIATES	34 375	-31,824 35	
06/02/2009	AUTOINVEST	936 3100	WESTERN ASSET GOVERNMENT MONEY MARKET FUND CLASS A	1 000	936 31	
06/01/2009	BOUGHT	400 0000	BURLINGTON NORTHERN SANTA FE CTSY KNIGHT EQUITY MARKETS, L PRIN INCL COMM OF 12 00	75 681	-30,299 28	
06/01/2009	SOLD	-375 0000	TELEFONICA S A SPON ADR CTSY UBS SECURITIES, LLC PRIN INCL COMM OF 15 00 AND SEC FEE OF 0 63	65 166	24,406 47	
06/01/2009	SOLD	-1100 0000	COCA-COLA CO	49 062	53,912 03	
06/01/2009	AUTOINVEST	508 9400	WESTERN ASSET GOVERNMENT MONEY MARKET FUND CLASS A	1 000	508 94	
06/01/2009	SOLD	-1950 0000	PFIZER INC CTSY INSTINET, LLC PRIN INCL COMM OF 78 00 AND SEC FEE OF 0 75	14 887	28,935 32	
06/01/2009	DIVIDEND	0 0000	CONOCOPHILLIPS CASH DIV ON 675 0000 SHS X/D 05/21/09	0 000	317 25	
06/01/2009	BOUGHT	1050 0000	COACH INC CTSY KNIGHT EQUITY MARKETS, L PRIN INCL COMM OF 31 50	28 101	-29,552 87	
06/01/2009	DIVIDEND	0 0000	WAL-MART STORES INC CASH DIV ON 625 0000 SHS X/D 05/13/09	0 000	170 31	
06/01/2009	DIVIDEND	0 0000	INTEL CORP CASH DIV ON 1950 0000 SHS X/D 05/05/09	0 000	273 00	
06/01/2009	DIVIDEND	0 0000	FORTUNE BRANDS INC CASH DIV ON 925 0000 SHS X/D 05/11/09	0 000	175 75	
05/29/2009	REINVEST	3 2500	WESTERN ASSET GOVERNMENT MONEY MARKET FUND CLASS A FOR PERIOD 05/01/09-05/31/09 31 DAYS AVERAGE YIELD 21 %	1 000	-3 25	
05/29/2009	DIV- TAX W/H	0 0000	AXA S A SPONS ADR CASH DIV ON 600 0000 SHS TAX HELD BY FGN GOVTS 81 43 X/D 05/04/09	0 000	244 29	
05/29/2009	DIV- TAX W/H	0 0000	AXA S A SPONS ADR CASH DIV ON 850 0000 SHS TAX HELD BY FGN GOVTS 88 22 X/D 05/04/09	0 000	264 65	
05/28/2009	NAME CHANGE	-1400 0000	COMPANHIA VALE DI RIO ADR DOCE	0 000	00	
05/28/2009	NAME CHANGE	1400 0000	VALE S A SPON ADR	0 000	00	

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Date	Activity	Quantity	Description	Price	Amount	Check
05/21/2009	AUTOINVEST	941 8000	WESTERN ASSET GOVERNMENT MONEY MARKET FUND CLASS A	1 000	941 80	
05/20/2009	DIV- TAX W/H	0 0000	TELEFONICA S A SPON ADR CASH DIV ON 375 0000 SHS TAX HELD BY FGN GOVTS 136 93 X/D 05/07/09	0 000	623 60	
05/20/2009	DIVIDEND	0 0000	CATERPILLAR INC CASH DIV ON 775 0000 SHS X/D 04/16/09	0 000	325 50	
05/20/2009	FEE	0 0000	TELEFONICA S A SPON ADR ADR FEE OF 02000 PER SHR RECORD 05/11/09 PAY 05/20/09	0 000	-7 50	
05/19/2009	AUTOINVEST	920 9400	WESTERN ASSET GOVERNMENT MONEY MARKET FUND CLASS A	1 000	920 94	
05/18/2009	AUTOINVEST	176 0000	WESTERN ASSET GOVERNMENT MONEY MARKET FUND CLASS A	1 000	176 00	

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Forms 1099 for Tax Year 2009
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Activity from 01/01/2009 through 12/31/2009 as of 02/06/2010.

Activity Detail - Check Reorder E-mail

Date	Activity	Quantity	Description	Price	Amount	Check
05/18/2009	DIV- TAX W/H	0 0000	NOKIA CORP SPONSORED ADR CASH DIV ON 2000 0000 SHS TAX HELD BY FGN GOVTS 163 75 X/D 04/24/09	0 000	927 94	
05/18/2009	FEE	0 0000	NOKIA CORP SPONSORED ADR ADR FEE OF 00350 PER SHR RECORD 04/28/09 PAY 05/18/09	0 000	-7 00	
05/15/2009	DIVIDEND	0 0000	PROCTER & GAMBLE CO CASH DIV ON 350 0000 SHS X/D 04/22/09	0 000	154 00	
05/15/2009	DIVIDEND	0 0000	PROCTER & GAMBLE CO CASH DIV ON 50 0000 SHS X/D 04/22/09	0 000	22 00	
05/15/2009	AUTOINVEST	3724 5500	WESTERN ASSET GOVERNMENT MONEY MARKET FUND CLASS A	1 000	3,724 55	
05/14/2009	DIV- TAX W/H	0 0000	BASF SE COMMON STOCK CASH DIV ON 1050 0000 SHS TAX HELD BY FGN GOVTS 718 88 X/D 04/28/09	0 000	2,006 75	
05/14/2009	FEE	0 0000	BASF SE COMMON STOCK ADR FEE OF 02000 PER SHR RECORD 04/30/09 PAY 05/14/09	0 000	-2 00	
05/14/2009	DIV- TAX W/H	0 0000	E ON AG SPONS ADR CASH DIV ON 1050 0000 SHS TAX HELD BY FGN GOVTS 555 15 X/D 05/04/09	0 000	1,549 68	
05/14/2009	DIV- TAX W/H	0 0000	BASF SE COMMON STOCK CASH DIV ON 100 0000 SHS TAX HELD BY FGN GOVTS 68 46 X/D 04/28/09	0 000	191 12	
05/14/2009	FEE	0 0000	BASF SE COMMON STOCK ADR FEE OF 02000 PER SHR RECORD 04/30/09 PAY 05/14/09	0 000	-21 00	
05/13/2009	AUTOINVEST	262 5000	WESTERN ASSET GOVERNMENT MONEY MARKET FUND CLASS A	1 000	262 50	
05/12/2009	DIVIDEND	0 0000	NUCOR CORP CASH DIV ON 750 0000 SHS X/D 03/27/09	0 000	262 50	
05/12/2009	AUTOINVEST	984 6600	WESTERN ASSET GOVERNMENT MONEY MARKET FUND CLASS A	1 000	984 66	
05/11/2009	DIV- TAX W/H	0 0000	BANCO SANTANDER S A CASH DIV ON 3200 0000 SHS TAX HELD BY FGN GOVTS 196 59 X/D 04/28/09	0 000	895 58	
05/11/2009	FEE	0 0000	BANCO SANTANDER S A ADR FEE OF 00250 PER SHR RECORD 04/30/09 PAY 05/11/09	0 000	-.88	
05/11/2009	FEE	0 0000	BANCO SANTANDER S A ADR FEE OF 00250 PER SHR RECORD 04/30/09 PAY 05/11/09	0 000	-8 00	
05/11/2009	AUTOINVEST	110 0000	WESTERN ASSET GOVERNMENT MONEY MARKET FUND CLASS A	1 000	110 00	
05/11/2009	DIV- TAX W/H	0 0000	BANCO SANTANDER S A CASH DIV ON 350 0000 SHS TAX HELD BY FGN GOVTS 21 50 X/D 04/28/09	0 000	97 96	

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Date	Activity	Quantity	Description	Price	Amount	Check
05/08/2009	AUTOINVEST	314 7800	WESTERN ASSET GOVERNMENT MONEY MARKET FUND CLASS A	1 000	314 78	
05/08/2009	DIVIDEND	0 0000	ORACLE CORP CASH DIV ON 2200 0000 SHS X/D 04/06/09	0 000	110 00	
05/07/2009	DIVIDEND	0 0000	COMPANHIA VALE DI RIO ADR DOCE CASH DIV ON 1400 0000 SHS X/D 04/16/09	0 000	335 78	
05/07/2009	FEE	0 0000	COMPANHIA VALE DI RIO ADR DOCE ADR FEE OF 01500 PER SHR RECORD 04/20/09 PAY 05/07/09	0 000	-21 00	
05/07/2009	AUTOINVEST	381 7900	WESTERN ASSET GOVERNMENT MONEY MARKET FUND CLASS A	1 000	381 79	
05/06/2009	DIV- TAX W/H	0 0000	PETROBRAS CASH DIV ON 1250 0000 SHS TAX HELD BY FGN GOVTS 68 81 X/D 12/29/08	0 000	381 79	
04/30/2009	REINVEST	5 6300	WESTERN ASSET GOVERNMENT MONEY MARKET FUND CLASS A FOR PERIOD 04/01/09-04/30/09 30 DAYS AVERAGE YIELD 26 %	1 000	-5 63	
04/29/2009	AUTOINVEST	1265 1400	WESTERN ASSET GOVERNMENT MONEY MARKET FUND CLASS A	1 000	1,265 14	
04/28/2009	AUTOINVEST	558 0000	WESTERN ASSET GOVERNMENT MONEY MARKET FUND CLASS A	1 000	558 00	
04/28/2009	FEE	0 0000	ROCHE HLDG LTD SPON ADR ADR FEE OF 02350 PER SHR RECORD 03/13/09 PAY 04/28/09	0 000	-34 08	
04/28/2009	DIV- TAX W/H	0 0000	ROCHE HLDG LTD SPON ADR CASH DIV ON 1450 0000 SHS TAX HELD BY FGN GOVTS 229 28 X/D 03/11/09	0 000	1,299 22	
04/27/2009	DIVIDEND	0 0000	GENERAL ELECTRIC CO CASH DIV ON 1800 0000 SHS X/D 02/19/09	0 000	558 00	
04/20/2009	AUTOINVEST	22 1100	WESTERN ASSET GOVERNMENT MONEY MARKET FUND CLASS A	1 000	22 11	
04/17/2009	CASH IN LIEU	0 0000	TIME WARNER CABLE INC CASH IN LIEU OF 80800 RECORD 03/12/09 PAY 03/27/09	0 000	22 11	
04/16/2009	AUTOINVEST	320 0000	WESTERN ASSET GOVERNMENT MONEY MARKET FUND CLASS A	1 000	320 00	
04/16/2009	FEE	0 0000	MANAGEMENT FEE PER AGREEMENT	0 000	-5,159 41	
04/16/2009	REDEMPTION	-5159 4100	WESTERN ASSET GOVERNMENT MONEY MARKET FUND CLASS A	1 000	-5,159 41	
04/15/2009	DIVIDEND	0 0000	OCCIDENTAL PETROLEUM CORP-DEL CASH DIV ON 850 0000 SHS X/D 03/06/09	0 000	272 00	
04/15/2009	DIVIDEND	0 0000	OCCIDENTAL PETROLEUM CORP-DEL CASH DIV ON 150 0000 SHS X/D 03/06/09	0 000	48 00	
04/13/2009	REDEMPTION	-24694 9800	WESTERN ASSET GOVERNMENT MONEY MARKET FUND CLASS A	1 000	-24,694 98	
04/09/2009	DIVIDEND	0 0000	GLAXOSMITHKLINE PLC SP ADR CASH DIV ON 625 0000 SHS X/D 02/11/09	0 000	309 10	
04/09/2009	DIVIDEND	0 0000	RIO TINTO PLC-GBP CASH DIV ON 150 0000 SHS X/D 02/18/09	0 000	408 00	
04/09/2009	DIVIDEND	0 0000	GLAXOSMITHKLINE PLC SP ADR CASH DIV ON 50 0000 SHS X/D 02/11/09	0 000	24 73	
04/08/2009	REDEMPTION	-27314 2700	WESTERN ASSET GOVERNMENT MONEY MARKET FUND CLASS A	1 000	-27,314 27	
04/07/2009	BOUGHT	875 0000	DOVER CORP CTSY LIQUIDNET INC PRIN INCL COMM OF 17 50	29 034	-25,436 81	
04/07/2009	AUTOINVEST	40069 7800	WESTERN ASSET GOVERNMENT MONEY MARKET FUND CLASS A	1 000	40,069 78	

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Date	Activity	Quantity	Description	Price	Amount	Check
04/06/2009	DIVIDEND	0 0000	WAL-MART STORES INC CASH DIV ON 625 0000 SHS X/D 03/11/09	0 000	170 31	
04/06/2009	AUTOINVEST	189 0000	WESTERN ASSET GOVERNMENT MONEY MARKET FUND CLASS A	1 000	189 00	
04/03/2009	BOUGHT	775 0000	PPG INDUSTRIES INC CTSY JEFFERIES & CO INC PRIN INCL COMM OF 23 25	43 315	-33,607 45	
04/03/2009	DIVIDEND	0 0000	SCHLUMBERGER LTD CASH DIV ON 900 0000 SHS X/D 02/13/09	0 000	189 00	
04/02/2009	AUTOINVEST	859 5000	WESTERN ASSET GOVERNMENT MONEY MARKET FUND CLASS A	1 000	859 50	
04/02/2009	REV SPLIT	800 0000	TIME WARNER INC	0 000	00	

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Dow 10012 23 4 30pm | NASDAQ 2141 12 5 30pm | S&P 500 1066 19 4 58pm

Forms 1099 for Tax Year 2009
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Select: Activity Detail **Filters:** All Activities **Date Range:** Date Range View

Activity from 01/01/2009 through 12/31/2009 as of 02/06/2010.

Check Reorder E-mail

Activity Detail -

Date	Activity	Quantity	Description	Price	Amount	Check
04/02/2009	BOUGHT	925 0000	FORTUNE BRANDS INC CTSY WEEDEN & CO L P PRIN INCL COMM OF 27 75	28 800	-26,682 57	
04/02/2009	SOLD	-475 0000	LOCKHEED MARTIN CORP CTSY UBS SECURITIES, LLC PRIN INCL COMM OF 19 00 AND SEC FEE OF 0 19	69 495	32,975 75	
04/02/2009	SPINOFF	200 0000	TIME WARNER CABLE INC SPINOFF ON 2400 SHS	0 000	00	
04/02/2009	REV SPLIT	-2400 0000	TIME WARNER INC	0 000	00	
04/01/2009	DIVIDEND	0 0000	COCA-COLA CO CASH DIV ON 1100 0000 SHS X/D 03/11/09	0 000	451 00	
04/01/2009	DIVIDEND	0 0000	MERCK & CO INC CASH DIV ON 875 0000 SHS X/D 03/04/09	0 000	332 50	
04/01/2009	AUTOINVEST	275 5000	WESTERN ASSET GOVERNMENT MONEY MARKET FUND CLASS A	1 000	275 50	
04/01/2009	SOLD	-5050 0000	ISHARES MSCI JAPAN INDEX FUND CTSY UBS SECURITIES, LLC PRIN INCL COMM OF 50 50 AND SEC FEE OF 0 23	7 914	39,899 47	
04/01/2009	DIVIDEND	0 0000	HEWLETT PACKARD CO CASH DIV ON 950 0000 SHS X/D 03/09/09	0 000	76 00	
03/31/2009	REINVEST	11 5800	WESTERN ASSET GOVERNMENT MONEY MARKET FUND CLASS A FOR PERIOD 03/02/09-03/31/09 30 DAYS AVERAGE YIELD 50 %	1 000	-11 58	
03/31/2009	DIV- TAX W/H	0 0000	ENCANA CORP-CAD CASH DIV ON 575 0000 SHS TAX HELD BY FGN GOVTS 34 50 X/D 03/12/09	0 000	195 50	
03/31/2009	DIVIDEND	0 0000	DEVON ENERGY CORP NEW CASH DIV ON 500 0000 SHS X/D 03/12/09	0 000	80 00	
03/30/2009	AUTOINVEST	270 7500	WESTERN ASSET GOVERNMENT MONEY MARKET FUND CLASS A	1 000	270 75	
03/27/2009	DIVIDEND	0 0000	LOCKHEED MARTIN CORP CASH DIV ON 350 0000 SHS X/D 02/26/09	0 000	199 50	
03/27/2009	DIVIDEND	0 0000	LOCKHEED MARTIN CORP CASH DIV ON 125 0000 SHS X/D 02/26/09	0 000	71 25	
03/26/2009	AUTOINVEST	96 7500	WESTERN ASSET GOVERNMENT MONEY MARKET FUND CLASS A	1 000	96 75	
03/25/2009	DIVIDEND	0 0000	ANADARKO PETROLEUM CORP CASH DIV ON 800 0000 SHS X/D 03/09/09	0 000	72 00	
03/25/2009	DIVIDEND	0 0000	ANADARKO PETROLEUM CORP CASH DIV ON 275 0000 SHS X/D 03/09/09	0 000	24 75	
03/18/2009	AUTOINVEST	615 0000	WESTERN ASSET GOVERNMENT MONEY MARKET FUND CLASS A	1 000	615 00	

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Date	Activity	Quantity	Description	Price	Amount	Check
03/17/2009	DIVIDEND	0 0000	BHP BILLITON LTD SPONS ADR CASH DIV ON 750 0000 SHS X/D 02/25/09	0 000	615 00	
03/17/2009	AUTOINVEST	150 0000	WESTERN ASSET GOVERNMENT MONEY MARKET FUND CLASS A	1 000	150 00	
03/16/2009	DIVIDEND	0 0000	TIME WARNER INC CASH DIV ON 2400 0000 SHS X/D 02/25/09	0 000	150 00	
03/13/2009	AUTOINVEST	397 7200	WESTERN ASSET GOVERNMENT MONEY MARKET FUND CLASS A	1 000	397 72	
03/12/2009	FEE	0 0000	NOMURA HOLDINGS INC ADR TAX RECL FEE OF 00150 PER SHR RECORD 12/30/08 PAY 03/12/09	0 000	-2 63	
03/12/2009	DIVIDEND	0 0000	MICROSOFT CORP CASH DIV ON 2000 0000 SHS X/D 02/17/09	0 000	260 00	
03/12/2009	DIV- TAX W/H	0 0000	NOMURA HOLDINGS INC ADR CASH DIV ON 1750 0000 SHS TAX HELD BY FGN GOVTS 10 56 X/D 12/26/08	0 000	140 35	
03/11/2009	AUTOINVEST	1081 8800	WESTERN ASSET GOVERNMENT MONEY MARKET FUND CLASS A	1 000	1,081 88	
03/10/2009	DIVIDEND	0 0000	HONEYWELL INTL INC CASH DIV ON 75 0000 SHS X/D 02/18/09	0 000	22 69	
03/10/2009	DIVIDEND	0 0000	JOHNSON & JOHNSON CASH DIV ON 700 0000 SHS X/D 02/20/09	0 000	322 00	
03/10/2009	DIVIDEND	0 0000	TARGET CORP CASH DIV ON 650 0000 SHS X/D 02/18/09	0 000	104 00	
03/10/2009	DIVIDEND	0 0000	EXXON MOBIL CORP CASH DIV ON 550 0000 SHS X/D 02/06/09	0 000	220 00	
03/10/2009	DIVIDEND	0 0000	UNITED TECHNOLOGIES CORP CASH DIV ON 700 0000 SHS X/D 02/18/09	0 000	269 50	
03/10/2009	DIVIDEND	0 0000	HONEYWELL INTL INC CASH DIV ON 475 0000 SHS X/D 02/18/09	0 000	143 69	
03/06/2009	AUTOINVEST	125 2800	WESTERN ASSET GOVERNMENT MONEY MARKET FUND CLASS A	1 000	125 28	
03/05/2009	FEE	0 0000	HONDA MOTOR CO LTD ADR-NEW ADR FEE OF 00350 PER SHR RECORD 12/30/08 PAY 03/05/09	0 000	-4 38	
03/05/2009	DIV- TAX W/H	0 0000	HONDA MOTOR CO LTD ADR-NEW CASH DIV ON 1250 0000 SHS TAX HELD BY FGN GOVTS 9 76 X/D 12/26/08	0 000	129 66	
03/04/2009	AUTOINVEST	624 0000	WESTERN ASSET GOVERNMENT MONEY MARKET FUND CLASS A	1 000	624 00	
03/03/2009	DIVIDEND	0 0000	PFIZER INC CASH DIV ON 1950 0000 SHS X/D 02/04/09	0 000	624 00	
03/02/2009	DIVIDEND	0 0000	INTEL CORP CASH DIV ON 1950 0000 SHS X/D 02/04/09	0 000	273 00	
03/02/2009	DIVIDEND	0 0000	CONOCOPHILLIPS CASH DIV ON 675 0000 SHS X/D 02/19/09	0 000	317 25	
03/02/2009	REDEMPTION	-21324 7500	WESTERN ASSET GOVERNMENT MONEY MARKET FUND CLASS A	1 000	-21,324 75	
02/27/2009	REINVEST	18 7500	WESTERN ASSET GOVERNMENT MONEY MARKET FUND CLASS A FOR PERIOD 02/02/09-03/01/09 28 DAYS AVERAGE YIELD 71 %	1 000	-18 75	
02/25/2009	BOUGHT	2400 0000	APPLIED MATERIALS INC DELAWARE CTSY CANTOR, FITZGERALD & CO PRIN INCL COMM OF 36 00	9 110	-21,915 00	
02/23/2009	AUTOINVEST	325 5000	WESTERN ASSET GOVERNMENT MONEY MARKET FUND CLASS A	1 000	325 50	

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Date	Activity	Quantity	Description	Price	Amount	Check
02/20/2009	DIVIDEND	0 0000	CATERPILLAR INC CASH DIV ON 775 0000 SHS X/D 01/15/09	0 000	325 50	
02/18/2009	AUTOINVEST	160 0000	WESTERN ASSET GOVERNMENT MONEY MARKET FUND CLASS A	1 000	160 00	
02/17/2009	DIVIDEND	0 0000	PROCTER & GAMBLE CO CASH DIV ON 350 0000 SHS X/D 01/21/09	0 000	140 00	
02/17/2009	DIVIDEND	0 0000	PROCTER & GAMBLE CO CASH DIV ON 50 0000 SHS X/D 01/21/09	0 000	20 00	
02/17/2009	AUTOINVEST	22119 9300	WESTERN ASSET GOVERNMENT MONEY MARKET FUND CLASS A	1 000	22,119 93	
02/12/2009	AUTOINVEST	262 5000	WESTERN ASSET GOVERNMENT MONEY MARKET FUND CLASS A	1 000	262 50	

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Important Information for Holders of Auction Rate Securities

The "Available Cash" value excludes any uncleared funds, such as uncleared checks and pending deposits or fund transfers

For more information, please contact your **Financial Advisor**

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Dow 10012 23 | NASDAQ 2141 12 | S&P 500 1066 19

Forms 1099 for Tax Year 2009
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Select: Activity Detail Filters: All Activities Date Range: Date Range View

Activity from 01/01/2009 through 12/31/2009 as of 02/06/2010

Activity Detail Check Reorder E-mail

Date	Activity	Quantity	Description	Price	Amount	Check
02/11/2009	DIVIDEND	0 0000	NUCOR CORP CASH DIV ON 750 0000 SHS X/D 12/29/08	0 000	262 50	
02/10/2009	SOLD	-550 0000	EXXON MOBIL CORP	77 779	42,750 82	
02/10/2009	BOUGHT	975 0000	CARNIVAL CORP (PAIRED STOCK) CTSY MERRILL LYNCH, PIERCE, FE PRIN INCL COMM OF 29 25	21 114	-20,630 89	
02/09/2009	REDEMPTION	-3888 3000	WESTERN ASSET GOVERNMENT MONEY MARKET FUND CLASS A	1 000	-3,888 30	
02/09/2009	DIV- TAX W/H	0 0000	BANCO SANTANDER S A CASH DIV ON 3200 0000 SHS TAX HELD BY FGN GOVTS 90 29 X/D 01/28/09	0 000	411 34	
02/09/2009	FEE	0 0000	BANCO SANTANDER S A ADR FEE OF 00250 PER SHR RECORD 01/30/09 PAY 02/09/09	0 000	-8 00	
02/09/2009	FEE	0 0000	BANCO SANTANDER S A ADR FEE OF 00250 PER SHR RECORD 01/30/09 PAY 02/09/09	0 000	- 88	
02/09/2009	DIV- TAX W/H	0 0000	BANCO SANTANDER S A CASH DIV ON 350 0000 SHS TAX HELD BY FGN GOVTS 9 88 X/D 01/28/09	0 000	44 99	
02/05/2009	AUTOINVEST	1034 2700	WESTERN ASSET GOVERNMENT MONEY MARKET FUND CLASS A	1 000	1,034 27	
02/04/2009	DIV- TAX W/H	0 0000	SIEMENS A G SPONS ADR CASH DIV ON 375 0000 SHS TAX HELD BY FGN GOVTS 208 53 X/D 01/23/09	0 000	582 09	
02/04/2009	BOUGHT	1600 0000	ELECTRONIC ARTS CTSY JEFFERIES & CO INC PRIN INCL COMM OF 48 00	17 143	-27,491 96	
02/04/2009	FEE	0 0000	SIEMENS A G SPONS ADR ADR FEE OF 02000 PER SHR RECORD 01/27/09 PAY 02/04/09	0 000	-6 00	
02/04/2009	SOLD	-925 0000	AT&T INC CTSY WEEDEN & CO L P PRIN INCL COMM OF 9 25 AND SEC FEE OF 0 13	25 060	23,156 21	
02/04/2009	DIV- TAX W/H	0 0000	SIEMENS A G SPONS ADR CASH DIV ON 300 0000 SHS TAX HELD BY FGN GOVTS 166 82 X/D 01/23/09	0 000	465 68	
02/04/2009	FEE	0 0000	SIEMENS A G SPONS ADR ADR FEE OF 02000 PER SHR RECORD 01/27/09 PAY 02/04/09	0 000	-7 50	
02/03/2009	REDEMPTION	-9483 8200	WESTERN ASSET GOVERNMENT MONEY MARKET FUND CLASS A	1 000	-9,483 82	
02/02/2009	DIVIDEND	0 0000	AT&T INC CASH DIV ON 925 0000 SHS X/D 01/07/09	0 000	379 25	
01/30/2009	REINVEST	14 1700	WESTERN ASSET GOVERNMENT MONEY MARKET FUND CLASS A FOR PERIOD 01/02/09-02/01/09 31 DAYS AVERAGE YIELD 91 %	1 000	-14 17	
01/29/2009	BOUGHT	2000 0000	NOKIA CORP SPONSORED ADR	12 353	-24,806 20	

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Date	Activity	Quantity	Description	Price	Amount	Check
01/29/2009	BOUGHT	725 0000	BNP PARIBAS SPON ADR CTSY CREDIT SUISSE FIRST BOSTO PRIN INCL COMM OF 21 75	19 196	-13 954 94	
01/29/2009	SOLD	-975 0000	ISHARES TR MSCI ACWI INDEX FD CTSY MORGAN, STANLEY AND COMPA PRIN INCL COMM OF 29 25 AND SEC FEE OF 0 17	29 685	28,896 07	
01/27/2009	AUTOINVEST	558 0000	WESTERN ASSET GOVERNMENT MONEY MARKET FUND CLASS A	1 000	558 00	
01/26/2009	DIVIDEND	0 0000	GENERAL ELECTRIC CO CASH DIV ON 1800 0000 SHS X/D 12/24/08	0 000	558 00	
01/16/2009	AUTOINVEST	320 0000	WESTERN ASSET GOVERNMENT MONEY MARKET FUND CLASS A	1 000	320 00	
01/15/2009	DIVIDEND	0 0000	OCCIDENTAL PETROLEUM CORP-DEL CASH DIV ON 850 0000 SHS X/D 12/08/08	0 000	272 00	
01/15/2009	AUTOINVEST	35180 1400	WESTERN ASSET GOVERNMENT MONEY MARKET FUND CLASS A	1 000	35,180 14	
01/15/2009	DIVIDEND	0 0000	OCCIDENTAL PETROLEUM CORP-DEL CASH DIV ON 150 0000 SHS X/D 12/08/08	0 000	48 00	
01/14/2009	FEE	0 0000	MANAGEMENT FEE PER AGREEMENT	0 000	-5,756 21	
01/14/2009	STK SPLIT	725 0000	ROCHE HLDG LTD SPON ADR STK SPLIT ON 725 SHS	0 000	00	
01/09/2009	DIVIDEND	0 0000	SCHLUMBERGER LTD CASH DIV ON 900 0000 SHS X/D 12/01/08	0 000	189 00	
01/08/2009	DIVIDEND	0 0000	GLAXOSMITHKLINE PLC SP ADR CASH DIV ON 50 0000 SHS X/D 10/29/08	0 000	23 74	
01/08/2009	DIVIDEND	0 0000	GLAXOSMITHKLINE PLC SP ADR CASH DIV ON 625 0000 SHS X/D 10/29/08	0 000	296 75	
01/07/2009	DIVIDEND	0 0000	HEWLETT PACKARD CO CASH DIV ON 950 0000 SHS X/D 12/15/08	0 000	76 00	
01/02/2009	DIVIDEND	0 0000	WAL-MART STORES INC CASH DIV ON 625 0000 SHS X/D 12/11/08	0 000	148 44	
01/02/2009	DIVIDEND	0 0000	MERCK & CO INC CASH DIV ON 875 0000 SHS X/D 12/03/08	0 000	332 50	
01/02/2009	DIV- TAX W/H	0 0000	CANADIAN NATURAL RES LTD CASH DIV ON 450 0000 SHS TAX HELD BY FGN GOVTS 5 58 X/D 12/10/08	0 000	31 60	

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Important Information for Holders of Auction Rate Securities

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Dow 10793.68 -74.44 11:27am | NASDAQ 2379.42 -22.67 11:27am | S&P 500 1156.66 -9.24 11:26am

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Select: 2009 Gain/Loss (Realized) Positions View

Data as of 5/5/2010.

E-mail

2009 (Realized) Positions - 530-15178

All + -	Description	Quantity	Date Acquired	Purchase Price	Cost	Date Sold	Sale Price	Proceeds	Realized Gain/Loss	ST/LT
	AOL INC 530-15178	0.7272	12/10/08	16.52	11.89	12/10/09	0	16.81	4.92	ST
	AT&T INC 530-15178	925.0000	3/17/08	35.93	33,284.37	2/04/09	25.060	23,156.21	-10,128.16	ST
+	AXA S.A.SPONS ADR	1,250			0.02			724.66	724.64	
	BNP PARIBAS SPON ADR 530-15178	725.0000	11/02/09	0	0.01	11/02/09	0	699.57	699.56	ST
	BURLINGTON NORTHERN SANTA FE 530-15178	400.0000	6/01/09	75.68	30,299.28	11/06/09	97.108	38,811.36	8,512.08	ST
	CHINA MOBILE LTD 530-15178	695.0000	3/29/06	26.29	18,304.57	10/28/09	48.017	33,327.88	15,023.31	LT
	COCA-COLA CO 530-15178	1,100.0000	9/22/08	51.73	56,961.96	6/01/09	49.062	53,912.03	-3,049.93	ST
+	CONOCOPHILLIPS	675			17,340.19			30,815.92	13,475.73	
	EXXON MOBIL CORP 530-15178	550.0000	5/21/08	94.81	52,172.84	2/10/09	77.779	42,750.82	-9,422.02	ST
+	ISHARES MSCI JAPAN INDEX FUND	5,050			61,933.99			39,899.47	-22,034.52	
	ISHARES TR MSCI ACWI INDEX FD 530-15178	975.0000	12/16/08	31.35	30,614.79	1/29/09	29.685	28,898.07	-1,716.72	ST
+	LOCKHEED MARTIN CORP	475			32,714.32			32,975.75	261.43	
	PFIZER INC 530-15178	1,950.0000	5/01/08	20.41	39,901.68	6/01/09	14.887	28,935.32	-10,966.36	LT
	RIO TINTO PLC-GBP 530-15178	150.0000	7/10/09	0	0.01	7/10/09	0	3,424.86	3,424.85	ST
+	RTS ING GROEP	1,025			3,025.68			2,407.06	-618.62	
	TELEFONICA S.A. SPON ADR 530-15178	375.0000	7/11/07	68.53	25,729.01	6/01/09	65.166	24,406.47	-1,322.54	LT
	TIME WARNER CABLE INC 530-15178	0.8080	12/10/08	29.86	24.03	3/30/09	0	22.11	-1.92	ST
	Short-Term Total				213,632.06			201,094.33	-12,537.73	
	Long-Term Total				188,686.58			184,090.04	-4,596.54	
	Total				402,318.64			385,184.37	-17,134.27	

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The information on this page is updated, with the prior trading day's closing prices when they become available

LT/ST indicates whether a realized or unrealized gain/loss is long-term or short-term. Long-term gains or losses reflect positions held for greater than 12 months. Short-term gains or losses reflect positions held for less than or equal to 12 months

Date sold reflects trade date, however, these positions will not appear as realized until the settlement date

Gain/loss amounts as calculated for income tax purposes may not reflect the overall return on your fund holdings, particularly if you have received capital gain distributions with respect to those funds

For more information, please contact your **Financial Advisor**.

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Attachment E

CLIENT STATEMENT | For the Period December 1-31, 2009

MorganStanley SmithBarney

Consolidated Summary

ROCHELLE L BERKLEY
9709 CAMDEN HILLS AVE

OVERVIEW OF YOUR ACCOUNTS

		Beginning Value (12/1/09)	Net Contributions/ Withdrawals (12/1/09-12/31/09)	Portfolio Income & Appreciation (12/1/09-12/31/09)	Ending Value (12/31/09)	Begins on Page
TOTAL ACCOUNTS		**$28,226.52**	**$(10,487.69)**	**$(70.22)**	**$17,668.61**	
Personal Accounts		—	—	—	—	
ROCHELLE L BERKLEY 9709 CAMDEN HILLS AVE Basic Securities Account	(Brokerage)	—	—	—	—	*
Retirement Accounts		**$28,226.52**	**$(10,487.69)**	**$(70.22)**	**$17,668.61**	
ROCHELLE L BERKLEY 9709 CAMDEN HILLS AVE Retirement Account ROLLOVER IRA	(Brokerage)	15,305.23	—	(308.71)	14,996.52	7
ROCHELLE BERKLEY 9709 CAMDEN HILLS AVE Retirement Account ROTH COMBINED IRA	(Brokerage)	12,921.29	(10,487.69)	238.49	2,672.09	15

*This summary may include assets held in either brokerage and/or advisory (i.e., managed) accounts. For additional information about the differences between brokerage and advisory accounts, please visit http://www.morganstanley.com/ourcommitment *This account had no activity and held no assets this period, so a statement has not been included.*

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Attachment E

MorganStanley
SmithBarney

CLIENT STATEMENT | For the Period October 1- December 31, 2009

Holdings

ROCHELLE L BERKLEY
9709 CAMDEN HILLS AVE

The "Market Value" and "Unrealized Gain/(Loss)" figures shown are re•resentative values as of the last business day of the •eriod shown above which may not reflect the value that could actually be obtained in the market. See "Pricing of Securities" in the Disclosures section at the end of this statement.
Estimated annual income and estimated yield for certain securities can include return of •rinci•al or ca•ital gains which could overstate such estimates. Estimated yield and estimated annual income are estimates and the actual income or yield may be lower or higher than the estimates. Estimated yield reflects only the income generated by an investment. It does not reflect changes in its •rice, which may fluctuate.
If you hold structured •roducts, •lease refer to the S•ecial Considerations Regarding Structured Products section of the Disclosure section.
Gain / loss information is •rovided for informational •ur•oses only and should not be used for tax •re•aration. Please refer to the gain / loss section of the disclosures for im•ortant information about gain / loss re•orting.

OTHER

MANAGED FUTURES

Security Descri•tion	Quantity	Total Cost	Estimated NAV	Estimated Value	Unrealized Gain/(Loss)	Valuation Date	
MSSB SPCTRM SELECT (EST.VAL)	193.798	$0.00	$37.97	$7,358.51	$0.00	12/30/09	
MSSB SPCTRM TECH (EST.VAL)	214.961	0.00	20.53	4,413.14	0.00	12/30/09	
MSSB SPCTRM CURRENCY (EST.VAL)	322.165	0.00	10.01	3,224.87	0.00	12/30/09	
	Percentage of Assets %	Total Cost		Market Value	Unrealized Gain/(Loss)	Estimated Annual Income Accrued Income	Yield %
TOTAL OTHER	100.0%	$0.00		$14,996.52	$0.00	$0.00 $0.00	—

Your interests in Alternative Investments may not be held at Morgan Stanley & Co. Incor•orated, but may have been •urchased through us, and are not covered by SIPC. The information •rovided to you: 1) is included solely as a service to you and certain transactions may not be re•orted, 2) is derived from you or another external source for which we are not res•onsible, 3) may not reflect actual shares, share •rices or values, 4) may include invested or distributed amounts in addition to a fair value estimate, and 5) should not be relied u•on for tax re•orting •ur•oses. Alternative Investments are illiquid and may not be valued daily, therefore the estimated valuation •rovided will be as of the most recent date available and will be included in summaries of your assets. No re•resentation is made that the valuation is a market value or that the interest could be liquidated at this value.
For Managed Futures and alternative investments there are likely to be restrictions on redem•tions; •lease see a••licable offering document.

	Percentage of Assets %	Total Cost	Market Value	Unrealized Gain/(Loss)	Estimated Annual Income Accrued Income	Yield %
TOTAL ENDING MARKET VALUE	100.0%	$0.00	$14,996.52	$0.00	$0.00 $0.00	—

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Attachment E

CLIENT STATEMENT | For the Period October 1- December 31, 2009

MorganStanley SmithBarney

Holdings

ROCHELLE BERKLEY
9709 CAMDEN HILLS AVE

The "Market Value" and "Unrealized Gain/(Loss)" figures shown are re•resentative values as of the last business day of the •eriod shown above which may not reflect the value that could actually be obtained in the market. See "Pricing of Securities" in the Disclosures section at the end of this statement.
Estimated annual income and estimated yield for certain securities can include return of •rinci•al or ca•ital gains which could overstate such estimates. Estimated yield and estimated annual income are estimates and the actual income or yield may be lower or higher than the estimates. Estimated yield reflects only the income generated by an investment. It does not reflect changes in its •rice, which may fluctuate.
If you hold structured •roducts, •lease refer to the S•ecial Considerations Regarding Structured Products section of the Disclosure section.
Gain / loss information is •rovided for informational •ur•oses only and should not be used for tax •re•aration. Please refer to the gain / loss section of the disclosures for im•ortant information about gain / loss re•orting.

MUTUAL FUNDS

OTHER MUTUAL FUNDS

Security Descri•tion	Quantity	Total Cost	Share Price	Market Value	Unrealized Gain/(Loss)	Estimated Annual Income	Dividend Yield %
MSIF INTERNATL SMALL CAP INST (MSISX)	223.232	$0.00	$11.97	$2,672.09	$0.00	$32.00	1.19
Enrolled in MS Dividend Reinvestment; Ca•ital Gains Reinvest							

	Percentage of Assets %	Total Cost	Market Value	Unrealized Gain/(Loss)	Estimated Annual Income / Accrued Income	Yield %
TOTAL MUTUAL FUNDS	100.0%	$0.00	$2,672.09	$0.00	$32.00 $0.00	1.20%

Transactions in mutual fund •ositions held directly at the Fund Com•any are not included in the total above and are not reflected on the Summary Page.
For more information about the •ricing of Money Market Funds, •lease see the Disclosures section of the statement.

	Percentage of Assets %	Total Cost	Market Value	Unrealized Gain/(Loss)	Estimated Annual Income / Accrued Income	Yield %
TOTAL ENDING MARKET VALUE	100.0%	$0.00	$2,672.09	$0.00	$32.00 $0.00	1.20%

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Attachment E

MorganStanley SmithBarney ClientServ

Account Activity

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To search for activity, first select the account you're interested in. You may search by activity, by type, or by activity for a specific security. Adjust the time period to limit the number of items returned. For activity before October 2002, check your statements.

Select an account IRA 137 074310

Search by Type or Symbol • All activity types

Enter Symbol Symbol Lookup

Time period 30 Day View

• 01/01/2009 to 12/31/2009

Search

Results: Items 1 - 7 of 7

Activities

Date ▼	Activity	Quantity	Description	Symbol	Price	Amount	Details
12/21/2009	Dividend		MSIF INTERNATL SMALL CAP INST DIV PAYMENT	MSISX		32.02	
12/18/2009	Automatic Investment	2.71	MSIF INTERNATL SMALL CAP INST REINVESTMENT	MSISX	11.81	32.02	
12/9/2009	Transfer(Out)	180.56	MSIF TR US SMALL CAP VAL INST	MPSCX			
12/9/2009	Transfer(Out)	198.71	MSIF EMERGING MARKETS INST	MGEMX			
12/2/2009	Transfer(Out)	114.74	MSIF TR US SMALL CAP VAL INST	MPSCX			
7/6/2009	Dividend		MSIF INTERNATL SMALL CAP INST DIV PAYMENT	MSISX		5.43	
7/2/2009	Automatic Investment	0.52	MSIF INTERNATL SMALL CAP INST REINVESTMENT	MSISX	10.43	-5.43	

TLGP Debt: Bonds issued under the FDIC's Temporary Liquidity Guarantee Program are backed by the full faith and credit of the United States through the earlier of the maturity date of the debt or June 30, 2012. For more information visit www.fdic.gov/tlgp. Guarantees do not eliminate market risk.

Important Notice Regarding Pricing of Auction Rate Securities

The following message applies only to holders of Auction Rate Securities. Depending on your current holdings, this message may/may not be applicable to you.

Due to recent market conditions, certain Auction Rate Securities are experiencing no or limited liquidity. Therefore, the price(s) for any Auction Rate Securities shown on ClientServ may not reflect the price(s) you would receive upon a sale at auction or in a secondary market transaction. There can be no assurance that a successful auction will occur or that a secondary market exists or will develop for a particular security. The prices of any Auction Rate Securities on ClientServ are derived from various sources, and may differ from prices provided to Morgan Stanley Smith Barney by outside pricing services and/or from Morgan Stanley Smith Barney's own internal bookkeeping valuations. Please contact your Financial Advisor for more information about current conditions in the Auction Rate Securities market.

Account: IRA 137 074310
Page Retrieved On 3/7/2010 12:00:27 PM Eastern Time

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Attachment F

charles SCHWAB

Schwab One® Trust Account of
LAWRENCE M LEHRNER TTEE

Account Number

Statement Period
December 1-31, 2009

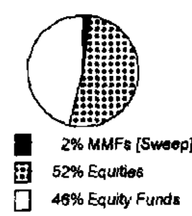
Change in Account Value

	This Period	Year to Date
Starting Value	**$ 132,304.67**	**$ 151,005.85**
Cash Value of Purchases & Sales	(697.71)	26,619.84
Investments Purchased/Sold	697.71	(26,619.84)
Deposits & Withdrawals	33.68	(45,330.58)
Dividends & Interest	698.06	1,424.71
Fees & Charges	0.00	0.00
Transfers	0.00	0.00
Income Reinvested	(698.06)	(1,424.61)
Change in Value of Investments	1,183.40	27,846.38
Ending Value on 12/31/2009	**$ 133,521.75**	**$ 133,521.75**
Total Change in Account Value	**$ 1,217.08**	**$ (17,484.10)**
(Totals include Deposits & Withdrawals)	**<1%**	**(11.58)%**

Asset Composition

	Market Value	% of Account Assets
Money Market Funds [Sweep]	$ 3,139.81	2%
Equities	69,368.24	52%
Equity Funds	61,013.70	46%
Total Assets Long	**$ 133,521.75**	
Total Account Value	**$ 133,521.75**	**100%**

Overview



Schwab has provided accurate gain and loss information wherever possible for most investments. *Cost basis data may be incomplete or unavailable for some of your holdings.* Please see "Endnotes for Your Account" section for an explanation of the endnote codes and symbols on this statement.

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Attachment F

charles SCHWAB

Rollover IRA of
LAWRENCE M LEHRNER

Account Number

Statement Period
December 1-31, 2009

Change in Account Value

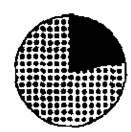
	This Period	Year to Date
Starting Value	**$ 18,302.45**	**$ 10,916.83**
Cash Value of Purchases & Sales	(16.82)	(29.14)
Investments Purchased/Sold	16.82	29.14
Deposits & Withdrawals	0.00	0.00
Dividends & Interest	16.82	29.14
Fees & Charges	0.00	0.00
Transfers	0.00	0.00
Income Reinvested	(16.82)	(29.14)
Change in Value of Investments	422.34	7,807.96
Ending Value on 12/31/2009	**$ 18,724.79**	**$ 18,724.79**
Total Change in Account Value	**$ 422.34**	**$ 7,807.96**
(Totals Include Deposits & Withdrawals)	**2.31%**	**71.52%**

Account Value ($) Over Last 12 Months

Asset Composition

	Market Value	% of Account Assets
Money Market Funds [Sweep]	$ 11.12	<1%
Equities	4,200.00	22%
Equity Funds	14,513.67	78%
Total Assets Long	**$ 18,724.79**	
Total Account Value	**$ 18,724.79**	**100%**

Overview



22% Equities
78% Equity Funds

Schwab has provided accurate gain and loss information wherever possible for most investments. Cost basis data may be incomplete or unavailable for some of your holdings. Please see "Endnotes for Your Account" section for an explanation of the endnote codes and symbols on this statement.

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Attachment F

charles SCHWAB

Schwab One® Trust Account of
LAWRENCE M LEHRNER TTEE

Account Number

Statement Period
December 1-31, 2009

	This Period		Year to Date	
Income Summary	Federally Tax-Exempt[1]	Federally Taxable	Federally Tax-Exempt[1]	Federally Taxable
Money Funds Dividends	0.35	0.00	28.00	0.00
Cash Dividends	0.00	697.71	0.00	1,396.71
Total Income	**0.35**	**697.71**	**28.00**	**1,396.71**

[1]Certain income in this category may qualify for state tax exemption; consult your tax advisor.

Investment Detail - Money Market Funds [Sweep]

Money Market Funds [Sweep]	Quantity	Market Price	Market Value	Current Yield	% of Account Assets
SCH MUNI MONEY FUND: SWXXX	3,139.8100	1.0000	3,139.81	0.01%	2%
Total Money Market Funds [Sweep]			**3,139.81**		**2%**
Total Money Market Funds [Sweep]			**3,139.81**		**2%**

Investment Detail - Equities

Accounting Method
Equities: First In First Out [FIFO]

Equities	Quantity / Units Purchased	Market Price / Cost Per Share	Market Value / Cost Basis	% of Account Assets / Acquired	Unrealized Gain or (Loss)	Estimated Yield / Holding Days	Estimated Annual Income / Holding Period
DAVITA INC	**750.0000**	**58.7400**	**44,055.00**	**33%**	**42,400.05**	**0.00%**	**0.00**
SYMBOL: DVA	750.0000	2.2066	1,654.95	03/09/00	42,400.05	3584	Long-Term
HARTFORD FINL SVCS GRP ◊	**104.6561**	**23.2600**	**2,434.30**	**2%**	**(782.64)** ⁱ	**0.86%**	**20.93**
SYMBOL: HIG	3.2652	14.7127 '	48.04		27.91		Short-Term
	20.5268	62.7472 '	1,288.00		(810.55)		Long-Term
	80.8641	N/A	please provide	05/12/97	N/A	4616	Long-Term
Cost Basis			*1,336.04* ⁱ				

Schwab has provided accurate gain and loss information wherever possible for most investments. Cost basis data may be incomplete or unavailable for some of your holdings.
Please see "Endnotes for Your Account" section for an explanation of the endnote codes and symbols on this statement

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Attachment F

charles SCHWAB

Schwab One® Trust Account of
LAWRENCE M LEHRNER TTEE

Account Number

Statement Period
December 1-31, 2009

Investment Detail - Equities (continued)

Accounting Method
Equities: First In First Out [FIFO]

Equities (continued)	Quantity / Units Purchased	Market Price / Cost Per Share	Market Value / Cost Basis	% of Account Assets / Acquired	Unrealized Gain or (Loss)	Estimated Yield / Holding Days	Estimated Annual Income / Holding Period
I T T CORPORATION NEW	**41.8929**	**49.7400**	**2,083.75**	**2%**	**98.76**	**1.70%**	**35.61**
INDIANA	0.7573	44.4341	33.65		4.02		Short-Term
SYMBOL: ITT	5.2106	31.5568	164.43		94.75		Long-Term
	35.9250	N/A	please provide	06/21/96	N/A	4941	Long-Term
Cost Basis			*198.08*				
INTL BUSINESS MACHINES	**45.0223**	**130.9000**	**5,893.42**	**4%**	**221.57**	**1.68%**	**99.05**
SYMBOL: IBM	0.8687	110.1646	95.70		18.01		Short-Term
	4.6746	87.3550	408.35		203.56		Long-Term
	39.4790	N/A	please provide	06/03/96	N/A	4959	Long-Term
Cost Basis			*504.05*				
PFIZER INCORPORATED	**819.2285**	**18.1900**	**14,901.77**	**11%**	**(1,140.95)**	**3.51%**	**524.31**
SYMBOL: PFE	43.9911	14.4138	634.08		166.12		Short-Term
	171.2374	25.8230	4,421.88		(1,307.07)		Long-Term
	604.0000	N/A	please provide	06/21/00	N/A	3480	Long-Term
Cost Basis			*5,055.96*				
Total Equities			**69,368.24**	**52%**	**40,796.79**		**679.90**
		Total Cost Basis:	**8,749.08**				

Estimated Annual Income ("EAI") and Estimated Yield ("EY") calculations are for informational purposes only. The actual income and yield might be lower or higher than the estimated amounts. EY is based upon EAI and the current price of the security and will fluctuate. For certain types of securities, the calculations could include a return of principal or capital gains in which case EAI and EY would be overstated. EY and EAI are not promptly updated to reflect when an issuer has missed a regular payment or announced changes to future payments, in which case EAI and EY will continue to display at a prior rate.

Schwab has provided accurate gain and loss information wherever possible for most investments. Cost basis data may be incomplete or unavailable for some of your holdings. Please see "Endnotes for Your Account" section for an explanation of the endnote codes and symbols on this statement.

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Attachment F

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Schwab One® Trust Account of
LAWRENCE M LEHRNER TTEE

Account Number

Statement Period
December 1-31, 2009

Investment Detail - Mutual Funds

Accounting Method
Mutual Funds: Average

Equity Funds	Quantity	Market Price	Market Value	% of Account Assets	Average Cost Basis	Cost Basis	Unrealized Gain or (Loss)
DREYFUS S&P 500 INDEX ◊ SYMBOL: PEOPX	544.0440	31.2900	17,023.14	13%	37.93	20,635.93	(3,612.79)
WILLIAM BLAIR INTL ◊ GROWTH FUND CLASS N SYMBOL: WBIGX	2,371.4590	18.5500	43,990.56	33%	17.46	41,401.73	2,588.83
Total Equity Funds			**61,013.70**	**46%**		**62,037.66**	**(1,023.96)**
Total Mutual Funds			**61,013.70**	**46%**		**62,037.66**	**(1,023.96)**

Total Investment Detail	**133,521.75**
Total Account Value	**133,521.75**
Total Cost Basis	70,786.74[1]

Schwab has provided accurate gain and loss information wherever possible for most investments. Cost basis data may be incomplete or unavailable for some of your holdings. Please see "Endnotes for Your Account" section for an explanation of the endnote codes and symbols on this statement.

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charles SCHWAB

Rollover IRA of
LAWRENCE M LEHRNER

Account Number

Statement Period
December 1-31, 2009

Income Summary

	This Period	Year To Date
Cash Dividends	16.82	29.14
Total Income	**16.82**	**29.14**

Investment Detail - Money Market Funds [Sweep]

Money Market Funds [Sweep]	Quantity	Market Price	Market Value	Current Yield	% of Account Assets
SCHWAB CASH RESERVES: SWSXX	11.1200	1.0000	11.12	0.06%	<1%
Total Money Market Funds [Sweep]			**11.12**		**<1%**
Total Money Market Funds [Sweep]			**11.12**		**<1%**

Investment Detail - Equities

Accounting Method
Equities: First In First Out [FIFO]

Equities	Quantity / Units Purchased	Market Price / Cost Per Share	Market Value / Cost Basis	% of Account Assets / Acquired	Unrealized Gain or (Loss)	Estimated Yield	Estimated Annual Income
SIRIUS XM RADIO INC	**7,000.0000**	**0.6000**	**4,200.00**	**22%**	**(25,662.30)**	**0.00%**	**0.00**
SYMBOL: SIRI	1,100.0000	4.8990	5,388.95	05/02/06	(4,728.95)		
	1,200.0000	4.0782	4,893.95	05/18/06	(4,173.95)		
	1,100.0000	4.5375	4,991.30	05/31/06	(4,331.30)		
	500.0000	4.4475	2,223.77	07/07/06	(1,923.77)		
	600.0000	4.4490	2,669.43	07/07/06	(2,309.43)		
	1,250.0000	3.8479	4,809.95	07/24/06	(4,059.95)		
	1,250.0000	3.9079	4,884.95	09/11/06	(4,134.95)		
Cost Basis			*29,862.30*				
Total Equities			**4,200.00**	**22%**	**(25,662.30)**		**0.00**
		Total Cost Basis:	**29,862.30**				

Schwab has provided accurate gain and loss information wherever possible for most investments. Cost basis data may be incomplete or unavailable for some of your holdings. Please see "Endnotes for Your Account" section for an explanation of the endnote codes and symbols on this statement.

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Attachment F

charles SCHWAB

Rollover IRA of
LAWRENCE M LEHRNER

Account Number

Statement Period
December 1-31, 2009

Investment Detail - Equities (continued)

Accounting Method
Equities: First In First Out [FIFO]

Estimated Annual Income ("EAI") and Estimated Yield ("EY") calculations are for informational purposes only. The actual income and yield might be lower or higher than the estimated amounts. EY is based upon EAI and the current price of the security and will fluctuate. For certain types of securities, the calculations could include a return of principal or capital gains in which case EAI and EY would be overstated. EY and EAI are not promptly updated to reflect when an issuer has missed a regular payment or announced changes to future payments, in which case EAI and EY will continue to display at a prior rate.

Investment Detail - Mutual Funds

Accounting Method
Mutual Funds: Average

Equity Funds	Quantity	Market Price	Market Value	% of Account Assets	Average Cost Basis	Cost Basis	Unrealized Gain or (Loss)
MUHLENKAMP FUND ◊ SYMBOL: MUHLX	119.1550	50.6900	6,039.97	32%	77.73	9,261.64	(3,221.67)
THE DELAFIELD FUND ◊ SYMBOL: DEFIX	363.3660	23.3200	8,473.70	45%	25.37	9,217.64	(743.94)
Total Equity Funds			**14,513.67**	**78%**		**18,479.28**	**(3,965.61)**
Total Mutual Funds			**14,513.67**	**78%**		**18,479.28**	**(3,965.61)**

Total Investment Detail	**18,724.79**
Total Account Value	**18,724.79**
Total Cost Basis	48,341.58

Schwab has provided accurate gain and loss information wherever possible for most investments. Cost basis data may be incomplete or unavailable for some of your holdings. Please see "Endnotes for Your Account" section for an explanation of the endnote codes and symbols on this statement.

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Show: All Transactions | Symbol (Optional): | Select Date Range: Previous Year | Search

Transactions From 01/01/2009 To 12/31/2009 Up to 24 Months (beyond 24 months)

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Date	Action	Qty	Symbol	Description	Price	Amount	Fees & Comm
Specified Transactions							
12/31/2009		0.3500	SWXXX	SCH MUNI MONEY FUND SWEEP SHARES type: ORD DIV			
12/29/2009			PEOPX	DREYFUS S&P 500 INDEX type: CASH DIVIDEND		$275.16	
12/29/2009	Buy	8.7100	PEOPX	DREYFUS S&P 500 INDEX type: REINVEST DIVIDEND Details	$31 59000	-$275.16	
12/17/2009			WBIGX	WILLIAM BLAIR INTL GROWTH FUND CLASS N type: CASH DIVIDEND		$267.91	
12/17/2009	Buy	14.7850	WBIGX	WILLIAM BLAIR INTL GROWTH FUND CLASS N type: REINVEST DIVIDEND Details	$18.12000	-$267 91	
12/11/2009	Buy	0.1905	IBM	INTL BUSINESS MACHINES type: REINVEST DIVIDEND Details	$129.44330	-$24.66	
12/10/2009			IBM	INTL BUSINESS MACHINES type: QUALIFIED DIV		$24.66	
12/04/2009	Buy	33.6800	SWXXX	SCH MUNI MONEY FUND SWEEP SHARES Details	$1.00000	-$33.68	
12/03/2009				SCHWAB CASH BACK type: MONEYLINK DEPOSIT		$33.68	
12/02/2009	Buy	6.8810	PFE	PFIZER INCORPORATED type: REINVEST DIVIDEND Details	$18.88970	-$129.98	
12/01/2009			PFE	PFIZER INCORPORATED type: QUALIFIED DIV		$129.98	
11/04/2009	Buy	81.2600	SWXXX	SCH MUNI MONEY FUND SWEEP SHARES Details	$1 00000	-$81 26	
11/03/2009				SCHWAB CASH BACK type: MONEYLINK DEPOSIT		$81.26	
10/06/2009	Buy	34.9600	SWXXX	SCH MUNI MONEY FUND SWEEP SHARES Details	$1 00000	-$34.96	
10/05/2009				SCHWAB CASH BACK type: MONEYLINK DEPOSIT		$34.96	
10/02/2009	Buy	0.1802	ITT	I T T CORPORATION NEW INDIANA type: REINVEST	$49.17400	-$8.86	

Quote | Show Indices Symbol Lookup | DJIA 11,008.61 -158.71 (-1.44%) | NASDAQ 2,461.19 -50.73 (-2.06%) | S&P 500 1,186.69 -20.09 (-1.66%) | Russell 2000 716.60 -21.14 (-2.87%) | Time (ET) 6:38:27 PM Refresh

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				DIVIDEND Details		
10/02/2009	Buy	0.2180	HIG	HARTFORD FINL SVCS GRP type: REINVEST DIVIDEND Details	$23.94000	-$5.22
10/01/2009			ITT	I T T CORPORATION NEW INDIANA type: QUALIFIED DIV		$8.86
10/01/2009			HIG	HARTFORD FINL SVCS GRP type: QUALIFIED DIV		$5.22
09/11/2009	Buy	0.2059	IBM	INTL BUSINESS MACHINES type: REINVEST DIVIDEND Details	$119.16520	-$24.54
09/10/2009			IBM	INTL BUSINESS MACHINES type: QUALIFIED DIV		$24.54
09/04/2009	Buy	19.6200	SWXXX	SCH MUNI MONEY FUND SWEEP SHARES Details	$1.00000	-$19.62
09/03/2009	Buy	7.9457	PFE	PFIZER INCORPORATED type: REINVEST DIVIDEND Details	$16.19740	-$128.70
09/03/2009				SCHWAB CASH BACK type: MONEYLINK DEPOSIT		$19.52
09/02/2009			PFE	PFIZER INCORPORATED type: QUALIFIED DIV		$128.70
07/02/2009	Buy	0.2028	ITT	I T T CORPORATION NEW INDIANA type: REINVEST DIVIDEND Details	$43.49240	-$8.82
07/02/2009	Buy	0.4502	HIG	HARTFORD FINL SVCS GRP type: REINVEST DIVIDEND Details	$11.54960	-$5.20
07/01/2009			ITT	I T T CORPORATION NEW INDIANA type: QUALIFIED DIV		$8.82
07/01/2009			HIG	HARTFORD FINL SVCS GRP type: QUALIFIED DIV		$5.20
06/16/2009 as of 06/15/2009		1.6400	SWXXX	SCH MUNI MONEY FUND SWEEP SHARES type: ORD DIV		
06/15/2009			SW2XX	SCHWAB INVESTOR MONEY FD type: ORD DIV - CASH		$0.10
06/11/2009	Buy	0.2221	IBM	INTL BUSINESS MACHINES type: REINVEST DIVIDEND Details	$109.97460	-$24.42
06/10/2009			IBM	INTL BUSINESS MACHINES type: QUALIFIED DIV		$24.42
06/03/2009	Buy	8.6537	PFE	PFIZER INCORPORATED type: REINVEST DIVIDEND Details	$14.71270	-$127.32
06/02/2009			PFE	PFIZER INCORPORATED type: QUALIFIED DIV		$127.32
05/27/2009	Sell	27,500.0000	SWXXX	SCH MUNI MONEY FUND SWEEP SHARES Details	$1.00000	$27,500.00
05/26/2009				Tfr BANK OF NEVADA, DR LAWRENCE M LE...		-$27,500.00

Quote Show Indices Symbol Lookup DJIA 11,008.61 -158.71 (-1.44%) NASDAQ 2,461.19 -50.73 (-2.06%) S&P 500 1,188.69 -20.09 (-1.66%) Russell 2000 716.60 -21.14 (-2.87%) Time (ET) 8:38:27 PM Refresh

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			type. MONEYLINK TRANSFER		
05/20/2009	Buy	28,016.4500 SWXXX	SCH MUNI MONEY FUND SWEEP SHARES Details	$1.00000	-$28,016.45
05/18/2009	Sell	28,016.4500 SW2XX	SCHWAB INVESTOR MONEY FD Details	$1.00000	$28,016.45
05/18/2009 as of 05/15/2009		0.8100 SWXXX	SCH MUNI MONEY FUND SWEEP SHARES type: ORD DIV		
05/15/2009		SW2XX	SCHWAB INVESTOR MONEY FD type: ORD INC DIV REINV.		$2.73
05/15/2009	Buy	2.7300 SW2XX	SCHWAB INVESTOR MONEY FD type: REINVEST DIVIDEND Details	$1.00000	-$2.73
04/16/2009 as of 04/15/2009		6.1600 SWXXX	SCH MUNI MONEY FUND SWEEP SHARES type. ORD DIV		
04/15/2009		SW2XX	SCHWAB INVESTOR MONEY FD type: ORD INC DIV REINV.		$4.71
04/15/2009	Buy	4.7100 SW2XX	SCHWAB INVESTOR MONEY FD type: REINVEST DIVIDEND Details	$1.00000	-$4.71
04/08/2009	Sell	18,000.0000 SWXXX	SCH MUNI MONEY FUND SWEEP SHARES Details	$1.00000	$18,000.00
04/08/2009			Tfr BANK OF NEVADA, DR LAWRENCE M LE type. MONEYLINK TRANSFER		-$18,000.00
04/02/2009	Buy	0.2290 ITT	I T T CORPORATION NEW INDIANA type. REINVEST DIVIDEND Details	$38.28960	-$8.77
04/02/2009	Buy	0.6147 HIG	HARTFORD FINL SVCS GRP type: REINVEST DIVIDEND Details	$8.41000	-$5.17
04/01/2009		ITT	I T T CORPORATION NEW INDIANA type: QUALIFIED DIV		$8.77

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(0307-7604)

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Account 5492-6319
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The Bank Sweep feature on your brokerage account is not subject to the FDIC's Transaction Account Guarantee Program. Customers with funds held in deposit accounts at Schwab Bank under the Bank Sweep program will continue to be insured until December 31, 2013, for up to $250,000 under the FDIC's general deposit insurance rules.

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	Quote	Show indices Symbol Lookup	DJIA 11,008.61 -158.71 (-1.44%)	NASDAQ 2,461.19 -50.73 (-2.06%)	S&P 500 1,188.69 -20.09 (-1.66%)	Russell 2000 716.60 -21.14 (-2.87%)	Time (ET) 6:38:27 PM	Refresh

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Attachment F

History

Living Trust

Quick Links

Transactions Statements & Reports Realized Gain / Loss

Show: All Transactions | Symbol (Optional): | Select Date Range: Previous Year | Search

Transactions From 01/01/2009 To 12/31/2009 Up to 24 Months (beyond 24 months)

<Previous

Date	Action	Qty	Symbol	Description	Price	Amount	Fees & Comm
Specified Transactions							
04/01/2009			HIG	HARTFORD FINL SVCS GRP type. QUALIFIED DIV		$5.17	
03/17/2009 as of 03/16/2009		7 1800	SWXXX	SCH MUNI MONEY FUND SWEEP SHARES type: ORD DIV			
03/16/2009			SW2XX	SCHWAB INVESTOR MONEY FD type ORD INC DIV REINV.		$6.65	
03/16/2009	Buy	6.6500	SW2XX	SCHWAB INVESTOR MONEY FD type: REINVEST DIVIDEND Details	$1.00000	-$6.65	
03/11/2009	Buy	0 2502	IBM	INTL BUSINESS MACHINES type: REINVEST DIVIDEND Details	$88 25680	-$22 08	
03/10/2009			IBM	INTL BUSINESS MACHINES type: QUALIFIED DIV		$22.08	
03/04/2009	Buy	20.5107	PFE	PFIZER INCORPORATED type: REINVEST DIVIDEND Details	$12.09510	-$248.08	
03/03/2009			PFE	PFIZER INCORPORATED type: QUALIFIED DIV		$248 08	
02/18/2009 as of 02/17/2009		6.5400	SWXXX	SCH MUNI MONEY FUND SWEEP SHARES type: ORD DIV			
02/17/2009			SW2XX	SCHWAB INVESTOR MONEY FD type: ORD INC DIV REINV		$15 99	
02/17/2009	Buy	15.9900	SW2XX	SCHWAB INVESTOR MONEY FD type: REINVEST DIVIDEND Details	$1 00000	-$15 99	
01/16/2009 as of 01/15/2009		5 3200	SWXXX	SCH MUNI MONEY FUND SWEEP SHARES type: ORD DIV			
01/15/2009			SW2XX	SCHWAB INVESTOR MONEY FD type: ORD INC DIV REINV.		$11.99	
01/15/2009	Buy	11.9900	SW2XX	SCHWAB INVESTOR MONEY FD type: REINVEST DIVIDEND Details	$1.00000	-$11 99	
01/05/2009	Buy	0.1453	ITT	I T T CORPORATION NEW INDIANA type. REINVEST DIVIDEND Details	$49.56600	-$7.20	

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Attachment F

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Rollover IRA

Quick Links

Transactions Statements & Reports Realized Gain / Loss

Show: All Transactions | Symbol (Optional): | Select Date Range: Previous Year | Search

Transactions From 01/01/2009 To 12/31/2009 Up to 24 Months (beyond 24 months)

Date	Action	Qty	Symbol	Description	Price	Amount	Fees & Comm
Specified Transactions							
12/29/2009			MUHLX	MUHLENKAMP FUND type: CASH DIVIDEND		$7.99	
12/29/2009	Buy	0.1560	MUHLX	MUHLENKAMP FUND type: REINVEST DIVIDEND Details	$51.27000	-$7.99	
12/15/2009			DEFIX	THE DELAFIELD FUND type: CASH DIVIDEND		$8.83	
12/15/2009	Buy	0.3850	DEFIX	THE DELAFIELD FUND type: REINVEST DIVIDEND Details	$22.93000	-$8.83	
09/10/2009 as of 09/09/2009			DEFIX	DELAFIELD FUND type: CASH DIVIDEND		$12.32	
09/10/2009 as of 09/09/2009	Buy	0.5740	DEFIX	DELAFIELD FUND type: REINVEST DIVIDEND Details	$21.46000	-$12.32	

Accounts | Trade | Research | Products | Guidance | Service
Summary | Balances | Positions | History | Portfolio Analysis | Transfers & Payments | Message Center

Brokerage Products: Not FDIC Insured • No Bank Guarantee • May Lose Value

Agreements | Fees & Commissions | Privacy | SchwabSafe | USA Patriot Act | SIPC® | FDIC Insurance | Site Map | Site Help

Quote | Show Indices / Symbol Lookup | DJIA 11,008.61 -158.71 (-1.44%) | NASDAQ 2,461.19 -50.73 (-2.06%) | S&P 500 1,188.69 -20.09 (-1.66%) | Russell 2000 716.60 -21.14 (-2.87%) | Time (ET) 6:41:37 PM | Refresh

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01/05/2009	Buy	1.9823	HIG	HARTFORD FINL SVCS GRP type: REINVEST DIVIDEND Details	$16.36970	-$32.45
01/02/2009 as of 01/01/2009			ITT	I T T CORPORATION NEW INDIANA type: QUALIFIED DIV		$7.20
01/02/2009			HIG	HARTFORD FINL SVCS GRP type: QUALIFIED DIV		$32.45

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(0007 7604)

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Brokerage Products: Not FDIC Insured • No Bank Guarantee • May Lose Value

Account 5492-6319
Today's Date: 05/02/10 06 37 PM EDT

Charles Schwab & Co Inc and Charles Schwab Bank are separate but affiliated companies and wholly-owned subsidiaries of The Charles Schwab Corporation Brokerage products and services are offered by Charles Schwab & Co Inc (Schwab Brokerage) Member SIPC Deposit and lending products and services are offered by Charles Schwab Bank Member FDIC and Equal Housing Lender

Bank sweep accounts are generally held at Charles Schwab Bank Funds deposited at Schwab Bank are insured by the Federal Deposit Insurance Corporation (FDIC) up to $250 000 when aggregated with all other deposits held by you in the same capacity at Schwab Bank Funds on deposit at Schwab Bank are not deposits or obligations of Charles Schwab & Co Inc and may not be covered by the Securities Investor Protection Corporation (SIPC) NOTE The temporary increase of FDIC insurance coverage to $250 000 for all insurable capacities has been extended through December 31 2013 If not further extended FDIC coverage will revert to $100,000 on January 1 2014 for all insurable capacities except IRAs and certain other self-directed retirement accounts and plans

The Bank Sweep feature on your brokerage account is not subject to the FDIC's Transaction Account Guarantee Program. Customers with funds held in deposit accounts at Schwab Bank under the Bank Sweep program will continue to be insured until December 31 2013 for up to $250,000 under the FDIC s general deposit insurance rules

Agreements | Fees & Commissions | Privacy | SchwabSafe | USA Patriot Act SIPC® FDIC Insurance | Site Map | Site Help

Quote Show Indices Symbol Lookup DJIA 11,008.61 -158.71 (-1.44%) NASDAQ 2,481.19 -50.73 (-2.06%) S&P 500 1,188.69 -20.09 (-1.66%) Russell 2000 716.60 -21.14 (-2.87%) Time (ET) 6:40:46 PM Refresh

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MorganStanley
SmithBarney

Consolidation Summary

December 31, 2009

ROCHELLE BERKLEY

Morgan Stanley Smith Barney LLC. Member SIPC.

Accounts carried by Citigroup Global Markets Inc. Member SIPC.

Summary

Enclosed are statements for the following accounts in your consolidated household. "Total Value Comparison" and "Year to Date Summary" may contain information for previously existing accounts which have been recently consolidated. Unpriced securities are not included in the "Net Value" columns. Unless otherwise indicated, values shown are for "This Period." Accrued interest and dividends, earned but not paid, are excluded from the Adjusted Net Value.

Account Number	Abbreviated Name	Account Type	Total Value Prior Month/ Adj.Net Value	Total Value This Period/ Adj.Net Value	Net Securities Deposited/ Withdrawn	Net Capital Deposits/ Withdrawals	Total Income Taxable/ Non-Taxable	Unrealized Gain or (Loss)	Adjusted YTD Realized Gain or (Loss)
50	ROCHELLE BERKLEY	FMA	$ 775.76 **$ 775.76**	$ 775.76 **$ 775.76**	$ 0.00	$ 0.00	$ 0.00 $ 0.00	$ 0.00	$ 0.00 ST $ 0.00 LT
'87C	ROCHELLE BERKLEY CGM ROTH CONVERSION IRA CUST	IRA	129,744.69 **129,744.69**	134,190.37 **134,190.37**	0.00	0.00	0.00 453.03	1,425.83	0.00 ST 0.00 LT
88C	ROCHELLE BERKLEY CGM IRA ROLLOVER CUSTODIAN	IRA	40,536.28 **40,536.28**	41,406.01 **41,406.01**	0.00	0.00	0.00 305.86	(31,759.34)	0.00 ST 0.00 LT
Total			**$ 171,056.73** **$ 171,056.73**	**$ 176,372.14** **$ 176,372.14**	**$ 0.00**	**$ 0.00**	**$ 0.00** **$ 758.89**	**($ 30,333.51)**	**$ 0.00 ST** **$ 0.00 LT**

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Attachment G MorganStanley SmithBarney

Financial Management Account

December 1 - December 31, 2009

ROCHELLE BERKLEY **Account number**

PORTFOLIO DETAILS

Your holdings are valued using the most current prices available to Citigroup Global Markets Inc. (CGMI). In most cases, these values are as of 12/31/09, but in some cases CGMI's sources are unable to provide timely information. To see the date of the most recent price update, please view your account online at www.smithbarney.com.

Securities purchased or sold are included or excluded in this section as of the trade-date. This section may include securities that have not settled as of this statement closing date. Please see the "Unsettled Purchases/Sales" section for more information. Dividend yield is the estimated annual income, assuming the current dividend, divided by the security's market price at the end of the statement period. We do not guarantee the accuracy of the prices reflected on the statement nor do these prices represent levels at which securities can be bought or sold.

***Please Note:** unrealized gain/(loss) is being shown for informational purposes only and should not be used for tax preparation without the assistance of your tax advisor.*

Bank Deposit Program℠

The Federal Deposit Insurance Corporation (FDIC) provides insurance on deposits up to $250,000 per account owner, per bank. However, the Smith Barney Bank Deposit Program (BDP) applies certain limits to the maximum deposit amount permitted in certain banks, which may be below the maximum FDIC insurance limits for certain types of accounts. In those instances where an account type exceeds the maximum FDIC insurance limits, those deposits will be uninsured. Balances maintained in Deposit Accounts at each Affiliated Program Bank are not protected by the Securities Investor Protection Corporation ("SIPC") or any excess-SIPC coverage provided by Citigroup Global Markets Inc. For complete details please refer to the "Bank Deposit Program Disclosure Statement."

Principal	Description	Current value	Accrued interest	Annualized % return	Anticipated income (annualized)
775.76	CITIBANK NA SOUTH DAKOTA BANK DEPOSIT PROGRAM	$ 775.76		.03%	$ .23
Total Bank Deposit Program		**$ 775.76**	**$ 0.00**	**.03%**	**$ .23**

Message: *Obtaining the Official Statement for your Municipal Security*

The Municipal Securities Rulemaking Board developed a website called Electronic Municipal Market Access, or EMMA, to provide a comprehensive, centralized online source for free access to municipal disclosures, market transparency data and educational materials about the municipal securities market. The website, www.emma.msrb.org, allows you to obtain any official statement for a municipal security that has been posted on EMMA. More specifically, you can search on EMMA for a particular official statement by entering either the security name or CUSIP, view the official statement online, save the official statement electronically on your computer, and print the official statement directly.

Given the accessibility of official statements on EMMA, during Q1 2010 we expect to cease sending physical copies of the official statement to all of our customers that purchase a municipal security during the primary offering disclosure period. Upon your request, however, we will continue to send you a physical copy of the official statement by mail during the primary offering disclosure period. To either request a physical copy of a particular official statement, or to request to receive a physical copy for every municipal security you purchase during a primary offering disclosure period, please call 1-866-830-8180.

The CUSIP is an identification number assigned to each maturity of an issue intended to help facilitate the identification and clearance of securities.

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Attachment G MorganStanley SmithBarney

ROTH Conversion IRA

December 1 - December 31, 2009

ROCHELLE BERKLEY ***Account number***

ROTH IRA ACTIVITY SUMMARY

Total contributions, rollovers, conversions, recharacterizations and distributions are reported to the IRS. The following summary of contributions, rollovers, conversions, recharacterizations, distributions, and transfers made into and out of your ROTH IRA, is provided for your convenience.

	2009	2008	Since inception*
Rollovers and Transfers			
Transfers into your IRA (Does not include value of direct investments)	$ 10,310.31	$ 98,755.97	

**Note: This column is provided for informational purposes only. The column does not include incoming or outgoing transfers of cash and securities. Please contact your Financial Advisor if you wish to adjust this column. We are not responsible for any information you provide.*

BENEFICIARIES

Please contact your Financial Advisor for the documents necessary to change or update your beneficiary information. CGMI is not the custodian of any annuities that may have been purchased through this account. Please contact the annuity company for your annuity beneficiary designation.

Primary Beneficiary	Contingent Beneficiary
MAXWELL BERKLEY SAMUEL BERKLEY	LAWRENCE LEHRNER

PORTFOLIO DETAILS

Your holdings are valued using the most current prices available to Citigroup Global Markets Inc. (CGMI). In most cases, these values are as of 12/31/09, but in some cases CGMI's sources are unable to provide timely information. To see the date of the most recent price update, please view your account online at www.smithbarney.com.

Securities purchased or sold are included or excluded in this section as of the trade-date. This section may include securities that have not settled as of this statement closing date. Please see the "Unsettled Purchases/Sales" section for more information. Dividend yield is the estimated annual income, assuming the current dividend, divided by the security's market price at the end of the statement period. We do not guarantee the accuracy of the prices reflected on the statement nor do these prices represent levels at which securities can be bought or sold.

Please Note: *unrealized gain/(loss) is being shown for informational purposes only and should not be used for tax preparation without the assistance of your tax advisor.*

Bank Deposit Program℠

The Federal Deposit Insurance Corporation (FDIC) provides insurance on deposits up to $250,000 per account owner, per bank. However, the Smith Barney Bank Deposit Program (BDP) applies certain limits to the maximum deposit amount permitted in certain banks, which may be below the maximum FDIC insurance limits for certain types of accounts. In those instances where an account type exceeds the maximum FDIC insurance limits, those deposits will be uninsured. Balances maintained in Deposit Accounts at each Affiliated Program Bank are not protected by the Securities Investor Protection Corporation ("SIPC") or any excess-SIPC coverage provided by Citigroup Global Markets Inc. For complete details please refer to the "Bank Deposit Program Disclosure Statement."

Principal	Description	Current value	Accrued interest	Annualized % return	Anticipated income (annualized)
96.06	CITIBANK NA SOUTH DAKOTA BANK DEPOSIT PROGRAM	$ 96.06		.03%	$ .02
Total Bank Deposit Program		**$ 96.06**	**$ 0.00**	**.03%**	**$ .02**

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MorganStanley
SmithBarney

ROTH Conversion IRA

December 1 - December 31, 2009

ROCHELLE BERKLEY ***Account number***

Mutual funds

An investment in a money market fund is neither insured nor guaranteed by the FDIC or any other government agency. Although money market funds seek to preserve the value of your investment at $1.00 per share, there can be no assurance that will occur and it is possible to lose money should the fund value per share fall. Moreover, in some circumstances money market funds may be forced to cease operations when the value of a fund drops below $1.00 per share. In that event, the fund's holdings would be liquidated and distributed to the fund's shareholders. This liquidation process could take up to one month or more. During that time, these funds would not be available to you to support purchases, withdrawals, and if applicable, check writing or ATM debits from your account.

Certain mutual funds may not be transferable to other broker-dealers. For further information, please refer to the fund's Prospectus or call your Financial Advisor. Consulting Group Research (CGR) conducts on-going research on a wide variety of mutual funds for certain investment advisory programs. Your individual mutual fund holdings in your brokerage account may or may not be covered by CGR. Please contact your Financial Advisor for further information regarding whether your mutual fund holdings are covered by CGR.

Yield is the current distribution annualized, divided by the fund's net asset value at the end of the statement period. Distributions may consist of income, capital gains or the return of capital. Distributions and current dividend for funds are based upon information provided by an outside vendor and are not verified by us. **"Tax-Based Cost vs. Current Value"** *is being provided for information purposes only.* **"Cash Distributions (since inception)"** *when shown, may reflect distributions on positions no longer held in the account, and may not reflect all distributions received in cash due to but not limited to the following: investments made prior to 1/1/89, asset transfers, recent activity and certain adjustments made in your account.* **"Total Purchases vs. Current Value"** *is provided to assist you in comparing your* **"Total purchases"** *excluding reinvested distributions, with the current value of the fund's shares in your account.* **"Fund Value Increase/Decrease"** *reflects the difference between your total purchases and the current value of the fund's shares, plus cash distributions since inception.*

Number of shares	Description	Symbol	Date acquired	Cost	Share cost	Current price	Current value	Unrealized gain/(loss)	Net Value Increase/ Decrease	Yield	Anticipated income (annualized)
1,258.088	DAVIS NEW YORK VENTURE FUND CLASS A	NYVTX	01/06/04	$ 35,000.00	$ 27.82	$ 30.98	$ 38,975.57	$ 3,975.57* LT			
1,258.088	**Total Purchases**			**36,000.00**	**27.82**	**30.98**	**38,975.57**	**3,975.57**			
63.272	Reinvestments to date			1,969.02 r	31.119	30.98	1,960.17	(8.85) LT			
9.092	Reinvestments to date			277.49 r	30.52	30.98	281.67	4.18 ST			
1,330.452	**Tax-based Cost vs. Current Value**			**37,246.51**	**27.996**		**41,217.41**	**3,970.90**		**.677**	**279.39**
	Total Purchases vs. Current Value			**36,000.00**			**41,217.41**		**6,217.41**		
	Fund Value Increase/Decrease								**6,217.41**		
203.046	DELAWARE GROWTH OPPORTUNITIES FUND CL A	DFCIX	01/06/04	4,000.00	19.70	16.66	3,382.75	(617.25)* LT			
203.046	**Total Purchases**			**4,000.00**	**19.70**	**16.66**	**3,382.75**	**(617.25)**			
88.18	Reinvestments to date			1,601.06 r	18.156	16.66	1,469.08	(131.98) LT			
291.226	**Tax-based Cost vs. Current Value**			**5,601.06**	**19.233**		**4,851.83**	**(749.23)**		**.18**	**8.73**
	Total Purchases vs. Current Value			***4,000.00***			***4,851.83***		***851.83***		
	Fund Value Increase/Decrease								**851.83**		
300.075	FORWARD GROWTH FUND CL A	HSPGX	01/06/04	4,000.00	13.33	10.63	3,189.80	(810.20)* LT			
300.075	**Total Purchases**			**4,000.00**	**13.33**	**10.63**	**3,189.80**	**(810.20)**			
99.814	Reinvestments to date			1,314.19 r	13.166	10.63	1,061.02	(253.17) LT			
399.889	**Tax-based Cost vs. Current Value**			**5,314.19**	**13.289**		**4,250.82**	**(1,063.37)**		**8.682**	**369.09**

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Attachment G

MorganStanley
SmithBarney

ROTH Conversion IRA

December 1 - December 31, 2009

ROCHELLE BERKLEY *Account numbe*

Mutual funds *continued*

Number of shares	Description	Symbol	Date acquired	Cost	Share cost	Current price	Current value	Unrealized gain/(loss)	Net Value Increase/ Decrease	Yield	Anticipated income (annualized)
	FORWARD GROWTH FUND CL A	HSPGX									
	Total Purchases vs. Current Value			**$ 4,000.00**			**$ 4,250.82**		**$ 250.82**		
	Fund Value Increase/Decrease								**250.82**		
213.561	JP MORGAN MID CAP VALUE FUND CLASS A	JAMCX	01/06/04	4,000.00	18.73	18.98	4,053.39	53.39* LT			
213.561	**Total Purchases**			**4,000.00**	**18.73**	**18.98**	**4,053.39**	**53.39**			
57.334	Reinvestments to date			1,265.82 r	22.077	18.98	1,088.20	(177.62) LT			
5.091	Reinvestments to date			74.79 r	14.69	18.98	96.63	21.84 ST			
275.986	**Tax-based Cost vs. Current Value**			**5,340.61**	**19.351**		**5,238.22**	**(102.39)**		**.331**	**17.38**
	Total Purchases vs. Current Value			**4,000.00**			**5,238.22**		**1,238.22**		
	Fund Value Increase/Decrease								**1,238.22**		
5,088.757	GOLDMAN SACHS STRATEGIC GROWTH FUND CL A	GGRAX	01/06/04	43,000.00	8.45	9.22	46,918.34	3,918.34* LT			
5,088.757	**Total Purchases**			**43,000.00**	**8.45**	**9.22**	**46,918.34**	**3,918.34**			
.878	Reinvestments to date			7.63 r	8.69	9.22	8.10	.47 LT			
2.739	Reinvestments to date			24.43 r	8.919	9.22	25.25	.82 ST			
5,092.374	**Tax-based Cost vs. Current Value**			**43,032.06**	**8.45**		**46,951.69**	**3,919.63**		**.064**	**25.46**
	Total Purchases vs. Current Value			**43,000.00**			**46,951.69**		**3,951.69**		
	Fund Value Increase/Decrease								**3,951.69**		
198.71	MSIF EMERGING MARKETS FUND CL I	MGEMX		Please provide		23.09	4,588.21	Not available			
198.71	**Total Purchases**			**0.00**	**0.00**	**23.09**	**4,588.21**	**Not available**			
2.494	Reinvestments to date			55.70	22.333	23.09	57.59	1.89 ST			
201.204	**Tax-based Cost vs. Current Value**			**55.70**	**22.334**		**4,645.80**	**1.89****		**1.212**	**56.33**
	Total Purchases vs. Current Value			**0.00**			**4,645.80**	**Not available**			
	Fund Value Increase/Decrease							**Not available**			
295.29	MSIFT US SMALL CAP VALUE FUND CL I	MPSCX		Please provide		20.74	**6,124.31**	Not available		**.93**	**56.99**
	Total Purchases vs. Current Value			**0.00**			**6,124.31**	**Not available**			
	Fund Value Increase/Decrease							**Not available**			
1,330.008	PIONEER HIGH YIELD FD CL A	TAHYX	01/06/04	16,000.00	12.03	9.12	12,129.67	(3,870.33)* LT			
1,330.008	**Total Purchases**			**16,000.00**	**12.03**	**9.12**	**12,129.67**	**(3,870.33)**			
767.299	Reinvestments to date			8,052.92 r	10.495	9.12	6,997.77	(1,055.15) LT			
185.065	*Reinvestments to date*			*1,313.91 r*	*7.099*	*9.12*	*1,687.79*	*373.88 ST*			
2,282.372	**Tax-based Cost vs. Current Value**			**26,366.83**	**11.114**		**20,815.23**	**(4,551.60)**		**6.589**	**1,371.70**

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Attachment G

MorganStanley SmithBarney

ROTH Conversion IRA

December 1 - December 31, 2009

ROCHELLE BERKLEY **Account number**

Mutual funds *continued*

Number of shares	Description	Symbol	Date acquired	Cost	Share cost	Current price	Current value	Unrealized gain/(loss)	Net Value Increase/ Decrease	Yield	Anticipated income (annualized)
	PIONEER HIGH YIELD FD CL A	TAHYX									
	Total Purchases vs. Current Value			$ 16,000.00			$ 20,815.23		$ 4,815.23		
	Fund Value Increase/Decrease								4,815.23		
Total mutual funds (Tax based)				$ 121,966.66			$ 134,096.31	$ 402.61** ST		1.62	
								$ 1,023.22** LT			$ 2,185.07
Total Fund Value Increase/Decrease									$ 17,326.20**		
Total portfolio value				$ 122,052.02			$ 134,190.37	$ 402.61** ST		1.62	
								$ 1,023.22** LT			$ 2,185.09

***Unrealized Gain/Loss is only calculated when an original cost basis is available.*

**Based on information supplied by client or other financial institution, not verified by us.*

† One or more reinvestments have been supplied by client or by your Financial Advisor.

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Attachment G MorganStanley SmithBarney

Individual Retirement Account

November 1 - December 31, 2009

ROCHELLE BERKLEY Account number

IRA ACTIVITY SUMMARY

The following is a summary of distributions, rollovers and transfers made into and out of your IRA Rollover account. Only distribution and rollover information is reported to the IRS.

	2009	2008	Since Inception*
Rollovers and Transfers			
Transfers into your IRA (Does not include value of direct investments)	$ 0.00	$ 32,950.39	

**Note: This column is provided for informational purposes only. The column does not include incoming or outgoing transfers of cash and securities. Please contact your Financial Advisor if you wish to adjust this column. We are not responsible for any information you provide.*

BENEFICIARIES

Please contact your Financial Advisor for the documents necessary to change or update your beneficiary information. CGMI is not the custodian of any annuities that may have been purchased through this account. Please contact the annuity company for your annuity beneficiary designation.

Primary Beneficiary	Contingent Beneficiary
MAXWELL BERKLEY SAMUEL BERKLEY	LAWRENCE LEHRNER

PORTFOLIO DETAILS

Your holdings are valued using the most current prices available to Citigroup Global Markets Inc. (CGMI). In most cases, these values are as of 12/31/09, but in some cases CGMI's sources are unable to provide timely information. To see the date of the most recent price update, please view your account online at www.smithbarney.com.

Securities purchased or sold are included or excluded in this section as of the trade-date. This section may include securities that have not settled as of this statement closing date. Please see the "Unsettled Purchases/Sales" section for more information. Dividend yield is the estimated annual income, assuming the current dividend, divided by the security's market price at the end of the statement period. We do not guarantee the accuracy of the prices reflected on the statement nor do these prices represent levels at which securities can be bought or sold.

Please Note: *unrealized gain/(loss) is being shown for informational purposes only and should not be used for tax preparation without the assistance of your tax advisor.*

Bank Deposit Program**

The Federal Deposit Insurance Corporation (FDIC) provides insurance on deposits up to $250,000 per account owner, per bank. However, the Smith Barney Bank Deposit Program (BDP) applies certain limits to the maximum deposit amount permitted in certain banks, which may be below the maximum FDIC insurance limits for certain types of accounts. In those instances where an account type exceeds the maximum FDIC insurance limits, those deposits will be uninsured. Balances maintained in Deposit Accounts at each Affiliated Program Bank are not protected by the Securities Investor Protection Corporation ("SIPC") or any excess-SIPC coverage provided by Citigroup Global Markets Inc. For complete details please refer to the "Bank Deposit Program Disclosure Statement."

Principal	Description	Current value	Accrued interest	Annualized % return	Anticipated income (annualized)
3,309.43	CITIBANK NA SOUTH DAKOTA BANK DEPOSIT PROGRAM	$ 3,309.43		.03%	$ .99
Total Bank Deposit Program		**$ 3,309.43**	**$ 0.00**	**.03%**	**$ .99**

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Attachment G

MorganStanley SmithBarney

Individual Retirement Account

November 1 - December 31, 2009

ROCHELLE BERKLEY **Account number**

Mutual funds

An investment in a money market fund is neither insured nor guaranteed by the FDIC or any other government agency. Although money market funds seek to preserve the value of your investment at $1.00 per share, there can be no assurance that will occur and it is possible to lose money should the fund value per share fall. Moreover, in some circumstances money market funds may be forced to cease operations when the value of a fund drops below $1.00 per share. In that event, the fund's holdings would be liquidated and distributed to the fund's shareholders. This liquidation process could take up to one month or more. During that time, these funds would not be available to you to support purchases, withdrawals, and if applicable, check writing or ATM debits from your account.

Certain mutual funds may not be transferable to other broker-dealers. For further information, please refer to the fund's Prospectus or call your Financial Advisor. Consulting Group Research (CGR) conducts on-going research on a wide variety of mutual funds for certain investment advisory programs. Your individual mutual fund holdings in your brokerage account may or may not be covered by CGR. Please contact your Financial Advisor for further information regarding whether your mutual fund holdings are covered by CGR.

Yield is the current distribution annualized, divided by the fund's net asset value at the end of the statement period. Distributions may consist of income, capital gains or the return of capital. Distributions and current dividend for funds are based upon information provided by an outside vendor and are not verified by us. **"Tax-Based Cost vs. Current Value"** *is being provided for information purposes only.* **"Cash Distributions (since inception)"** *when shown, may reflect distributions on positions no longer held in the account, and may not reflect all distributions received in cash due to but not limited to the following: investments made prior to 1/1/89, asset transfers, recent activity and certain adjustments made in your account.* **"Total Purchases vs. Current Value"** *is provided to assist you in comparing your* **"Total purchases"** *excluding reinvested distributions, with the current value of the fund's shares in your account.* **"Fund Value Increase/Decrease"** *reflects the difference between your total purchases and the current value of the fund's shares, plus cash distributions since inception.*

Number of shares	Description	Symbol	Date acquired	Cost	Share cost	Current price	Current value	Unrealized gain/(loss)	Net Value Increase/Decrease	Yield	Anticipated income (annualized)
143.953	MORGAN STANLEY DIVIDEND GROWTH SECURITIES INC CLASS B	DIVBX	01/06/04	$6,000.00	$41.68	$13.91	$2,002.39	($3,997.61)* LT			
125.389			01/06/04	5,226.31	41.68	13.91	1,744.16	(3,482.15)* LT			
114.835			01/06/04	4,773.69	41.57	13.91	1,597.35	(3,176.34)* LT			
384.177	**Total Purchases**			**16,000.00**	**41.65**	**13.91**	**5,343.90**	**(10,656.10)**			
756.699	Reinvestments to date			18,708.15 r	24.723	13.91	10,525.68	(8,182.47) LT			
26.595	Reinvestments to date			305.26 r	11.478	13.91	369.94	64.68 ST			
1,167.471	**Tax-based Cost vs. Current Value**			**35,013.41**	**29.991**		**16,239.52**	**(18,773.89)**		**1.401**	**227.65**
	Total Purchases vs. Current Value			**16,000.00**			**16,239.52**		**239.52**		
	Fund Value Increase/Decrease								**239.52**		
199.315	MORGAN STANLEY EUROPEAN EQUITY FUND CL B	EUGBX	01/06/04	2,927.15	14.69	14.62	2,913.99	(13.16)* LT			
140.941			01/06/04	2,072.85	14.71	14.62	2,060.56	(12.29)* LT			
340.256	**Total Purchases**			**5,000.00**	**14.69**	**14.62**	**4,974.55**	**(25.45)**			
54.249	Reinvestments to date			1,157.38 r	21.334	14.62	793.12	(364.26) LT			
48.067	Reinvestments to date			571.02 r	11.879	14.62	702.74	131.72 ST			
442.572	**Tax-based Cost vs. Current Value**			**6,728.40**	**15.203**		**6,470.41**	**(257.99)**		**2.093**	**135.42**
	Total Purchases vs. Current Value			**5,000.00**			**6,470.41**		**1,470.41**		
	Fund Value Increase/Decrease								**1,470.41**		
154.941	MORGAN STANLEY PACIFIC GROWTH FUND INC CLASS A	TGRAX	01/06/04	2,000.00	12.91	20.48	3,173.19	1,173.19* LT			
154.941	**Total Purchases**			**2,000.00**	**12.91**	**20.48**	**3,173.19**	**1,173.19**			

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MorganStanley
SmithBarney

Individual Retirement Account

November 1 - December 31, 2009

ROCHELLE BERKLEY ***Account number***

Mutual funds *continued*

Number of shares	Description	Symbol	Date acquired	Cost	Share cost	Current price	Current value	Unrealized gain/(loss)	Net Value Increase/ Decrease	Yield	Anticipated income (annualized)
	MORGAN STANLEY PACIFIC GROWTH FUND INC CLASS A	TGRAX									
.776	Reinvestments to date			$ 18.94 r	$ 24.407	$ 20.48	$ 15.89	($ 3.05) LT			
1.845	Reinvestments to date			28.41 r	15.398	20.48	37.79	9.38 ST			
157.562	**Tax-based Cost vs. Current Value**			**2,047.35**	**12.994**		**3,226.87**	**1,179.52**		**.239**	**7.72**
	Total Purchases vs. Current Value			**2,000.00**			**3,226.87**		**1,226.87**		
	Fund Value Increase/Decrease								**1,226.87**		
202.225	MORGAN STANLEY INTERNATIONAL VALUE EQUITY FUND CLASS A	IVQAX	01/06/04	2,400.00	11.87	8.15	1,648.13	(751.87)* LT			
31.823			01/07/04	373.17	11.73	8.15	259.36	(113.81)* LT			
12.92			01/07/04	151.51	11.73	8.15	105.30	(46.21)* LT			
246.968	**Total Purchases**			**2,924.68**	**11.84**	**8.15**	**2,012.79**	**(911.89)**			
96.919	Reinvestments to date			1,199.06 r	12.371	8.15	789.89	(409.17) LT			
62.301	Reinvestments to date			422.01 r	6.773	8.15	507.75	85.74 ST			
406.188	**Tax-based Cost vs. Current Value**			**4,545.75**	**11.191**		**3,310.43**	**(1,235.32)**		**2.466**	**81.64**
	Total Purchases vs. Current Value			**2,924.68**			**3,310.43**		**385.75**		
	Fund Value Increase/Decrease								**385.75**		
40.598	MORGAN STANLEY INTERNATIONAL VALUE EQUITY FUND CLASS B	IVQBX	01/07/04	472.15	11.63	8.11	329.25	(142.90)* LT			
13.028			01/07/04	151.51	11.63	8.11	105.66	(45.85)* LT			
53.626	**Total Purchases**			**623.66**	**11.63**	**8.11**	**434.91**	**(188.75)**			
81.538	Reinvestments to date			1,011.43 r	12.404	8.11	661.27	(350.16) LT			
25.217	Reinvestments to date			170.24 r	6.751	8.11	204.51	34.27 ST			
160.381	**Tax-based Cost vs. Current Value**			**1,805.33**	**11.257**		**1,300.69**	**(504.64)**		**2.638**	**34.32**
	Total Purchases vs. Current Value			**623.66**			**1,300.69**		**677.03**		
	Fund Value Increase/Decrease								**677.03**		
151.687	VIRTUS CAPITAL GROWTH FUND CL A	PHGRX	03/02/00	6,046.47	39.86	13.64	2,069.01	(3,977.46)* LT			
149.298			03/02/00	5,951.25	39.86	13.64	2,036.42	(3,914.83)* LT			
1.02			03/02/00	40.68	39.88	13.64	13.91	(26.77)* LT			
.354			03/02/00	14.07	39.75	13.64	4.83	(9.24)* LT			
302.359	**Total Purchases**			**12,052.47**	**39.86**	**13.64**	**4,124.17**	**(7,928.30)**			
251.062	Reinvestments to date			7,663.21 r	30.523	13.64	3,424.49	(4,238.72) LT			
553.421	**Tax-based Cost vs. Current Value**			**19,715.68**	**35.625**		**7,548.66**	**(12,167.02)**			

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Attachment G

MorganStanley
SmithBarney

Individual Retirement Account

November 1 - December 31, 2009

ROCHELLE BERKLEY ***Account number***

Mutual funds *continued*

Number of shares	Description	Symbol	Date acquired	Cost	Share cost	Current price	Current value	Unrealized gain/(loss)	Net Value Increase/ Decrease	Yield	Anticipated income (annualized)
	VIRTUS CAPITAL GROWTH FUND CL A	PHGRX									
	Total Purchases vs. Current Value			$ 12,052.47			$ 7,548.66		($ 4,503.81)		
	Fund Value Increase/Decrease								(4,503.81)		
Total mutual funds (Tax based)				**$ 59,856.92**			**$ 38,096.58**	**$ 325.79 ST**		**1.27**	
								($ 32,085.13) LT			**$ 456.75**
Total Fund Value Increase/Decrease									**($ 506.23)**		
Total portfolio value				**$ 73,166.36**			**$ 41,406.01**	**$ 325.79 ST**		**1.17**	**$ 457.74**
								($ 32,085.13) LT			

**Based on information supplied by client or other financial institution, not verified by us.*

ɪ One or more reinvestments have been supplied by client or by your Financial Advisor.

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lorganStanley
SmithBarney

low 10012 23 4:30pm | NASDAQ 2141 12 5:30pm | S&P 500 1066 19 4:58pm

orms 1099 for Tax Year 2009
orms 1099 will be available for download by February 15, 2010.

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elect: All Accounts Activity Detail Filters: All Activities Date Range: Date Range View

ctivity from 03/02/2009 through 12/31/2009 as of 02/06/2010.

ctivity Detail - All Accounts

Check Reorder E-mail

Account	Date	Activity	Quantity	Description	Price	Amount	Check
8C	12/31/2009	REINVEST	0 0500	CITIBANK NA SOUTH DAKOTA BANK DEPOSIT PROGRAM FOR PERIOD 12/01/09-01/03/10 34 DAYS AVERAGE YIELD 03 %	1 000	-05	
.8C	12/24/2009	REINVEST	9 8230	MORGAN STANLEY INTERNATIONAL VALUE EQUITY FUND CLASS A REINVESTMENT SHS FOR 12/23/09 REINVESTED AMOUNT $79 86	8 130	00	
38C	12/24/2009	DIVIDEND	0 0000	MORGAN STANLEY INTERNATIONAL VALUE EQUITY FUND CLASS A CASH DIV ON 396 3650 SHS RECORD 12/23/09 PAY 12/23/09	0 000	79 86	
58C	12/24/2009	DIVIDEND	0 0000	MORGAN STANLEY INTERNATIONAL VALUE EQUITY FUND CLASS B CASH DIV ON 156 2440 SHS RECORD 12/23/09 PAY 12/23/09	0 000	33 43	
58C	12/24/2009	REINVEST	0 3790	MORGAN STANLEY PACIFIC GROWTH FUND INC CLASS A REINVESTMENT SHS FOR 12/23/09 REINVESTED AMOUNT $7 66	20 230	00	
88C	12/24/2009	DIVIDEND	0 0000	MORGAN STANLEY PACIFIC GROWTH FUND INC CLASS A CASH DIV ON 157 1830 SHS RECORD 12/23/09 PAY 12/23/09	0 000	7 66	
88C	12/24/2009	REINVEST	0 0000	MORGAN STANLEY INTERNATIONAL VALUE EQUITY FUND CLASS A WITHDRAWAL, PENDING REINVEST RECORD 12/23/09 PAY 12/23/09	0 000	-79 86	
38C	12/24/2009	DIVIDEND	0 0000	MORGAN STANLEY EUROPEAN EQUITY FUND CL B CASH DIV ON 433 4850 SHS RECORD 12/23/09 PAY 12/23/09	0 000	132 49	
788C	12/24/2009	REINVEST	0 0000	MORGAN STANLEY PACIFIC GROWTH FUND INC CLASS A WITHDRAWAL, PENDING REINVEST RECORD 12/23/09 PAY 12/23/09	0 000	-7 66	
'88C	12/24/2009	REINVEST	4 1370	MORGAN STANLEY INTERNATIONAL VALUE EQUITY FUND CLASS B REINVESTMENT SHS FOR 12/23/09 REINVESTED AMOUNT $33 43	8 080	00	
'88C	12/24/2009	REINVEST	0 0000	MORGAN STANLEY EUROPEAN EQUITY FUND CL B WITHDRAWAL, PENDING REINVEST RECORD 12/23/09 PAY 12/23/09	0 000	-132 49	
'88C	12/24/2009	REINVEST	0 0000	MORGAN STANLEY INTERNATIONAL VALUE EQUITY FUND CLASS B WITHDRAWAL, PENDING REINVEST RECORD 12/23/09 PAY 12/23/09	0 000	-33 43	
88C	12/24/2009	REINVEST	9 0870	MORGAN STANLEY EUROPEAN EQUITY FUND CL B REINVESTMENT SHS FOR 12/23/09 REINVESTED AMOUNT $132 49	14 580	00	
787C	12/22/2009	REINVEST	2 4940	MSIF EMERGING MARKETS FUND CL I	22 330	-55 70	
787C	12/22/2009	DIVIDEND	0 0000	MSIF EMERGING MARKETS FUND CL I PERIODIC DIST 198 710 SHS REINV DIVIDEND	0 000	55 70	

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Account	Date	Activity	Quantity	Description	Price	Amount	Check
;788C	12/16/2009	REINVEST	0 0000	MORGAN STANLEY DIVIDEND GROWTH SECURITIES INC CLASS B WITHDRAWAL, PENDING REINVEST RECORD 12/15/09 PAY 12/15/09	0 000	-52 37	
;788C	12/16/2009	DIVIDEND	0 0000	MORGAN STANLEY DIVIDEND GROWTH SECURITIES INC CLASS B CASH DIV ON 1163 6930 SHS RECORD 12/15/09 PAY 12/15/09	0 000	52 37	
,788C	12/16/2009	REINVEST	3 7780	MORGAN STANLEY DIVIDEND GROWTH SECURITIES INC CLASS B REINVESTMENT SHS FOR 12/15/09 REINVESTED AMOUNT $52 37	13 860	00	
;787C	12/09/2009	REINVEST	0 0000	GOLDMAN SACHS STRATEGIC GROWTH FUND CL A WITHDRAWAL, PENDING REINVEST	0 000	-24 43	
787C	12/09/2009	REINVEST	2 7390	GOLDMAN SACHS STRATEGIC GROWTH FUND CL A REINVESTMENT SHS FOR 12/09/09 REINVESTED AMOUNT $24 43	8 920	00	
'87C	12/09/2009	DIVIDEND	0 0000	GOLDMAN SACHS STRATEGIC GROWTH FUND CL A CASH DIV ON 5089 6350 SHS	0 000	24 43	
'87C	12/02/2009	DIVIDEND	0 0000	DAVIS NEW YORK VENTURE FUND CLASS A CASH DIV ON 1321 3600 SHS	0 000	277 49	
787C	12/02/2009	REINVEST	9 0920	DAVIS NEW YORK VENTURE FUND CLASS A REINVESTMENT SHS FOR 12/02/09 REINVESTED AMOUNT $277 49	30 520	00	
787C	12/02/2009	REINVEST	0 0000	DAVIS NEW YORK VENTURE FUND CLASS A WITHDRAWAL, PENDING REINVEST	0 000	-277 49	
787C	12/01/2009	REINVEST	10 7810	PIONEER HIGH YIELD FD CL A REINVESTMENT SHS FOR 11/30/09 REINVESTED AMOUNT $95 41	8 850	00	
'87C	12/01/2009	DIVIDEND	0 0000	PIONEER HIGH YIELD FD CL A CASH DIV ON 2271 5910 SHS	0 000	95 41	
87C	12/01/2009	REINVEST	0 0000	PIONEER HIGH YIELD FD CL A WITHDRAWAL, PENDING REINVEST	0 000	-95 41	
788C	11/30/2009	REINVEST	0 0600	CITIBANK NA SOUTH DAKOTA BANK DEPOSIT PROGRAM FOR PERIOD 11/02/09-11/30/09 29 DAYS AVERAGE YIELD 03 %	1 000	- 06	
787C	11/30/2009	RECEIVE	295 2900	MSIFT US SMALL CAP VALUE FUND CL I ACCT-TRANSFRD-11 30 09 MORGAN STANLEY & CO INCORP	0 000	00	
787C	11/30/2009	RECEIVE	198 7100	MSIF EMERGING MARKETS FUND CL I ACCT-TRANSFRD-11 30 09 MORGAN STANLEY & CO INCORP	0 000	00	
'87C	11/02/2009	DIVIDEND	0 0000	PIONEER HIGH YIELD FD CL A CASH DIV ON 2260 1470 SHS	0 000	99 45	
787C	11/02/2009	REINVEST	0 0000	PIONEER HIGH YIELD FD CL A WITHDRAWAL, PENDING REINVEST	0 000	-99 45	
787C	11/02/2009	REINVEST	11 4440	PIONEER HIGH YIELD FD CL A REINVESTMENT SHS FOR 10/31/09 REINVESTED AMOUNT $99 45	8 690	00	
788C	10/30/2009	REINVEST	0 0500	CITIBANK NA SOUTH DAKOTA BANK DEPOSIT PROGRAM FOR PERIOD 10/01/09-11/01/09 32 DAYS AVERAGE YIELD 03 %	1 000	- 05	
350	10/28/2009	WITHDRAWAL	0 0000	BANK DEPOSIT PROGRAM	0 000	125 00	
350	10/28/2009	FEE	0 0000	FMA ANNUAL FEE CHARGED 10/09	0 000	-125 00	
87C	10/01/2009	REINVEST	10 8460	PIONEER HIGH YIELD FD CL A REINVESTMENT SHS FOR 09/30/09 REINVESTED AMOUNT $94 47	8 710	00	
87C	10/01/2009	REINVEST	0 0000	PIONEER HIGH YIELD FD CL A WITHDRAWAL, PENDING REINVEST	0 000	-94 47	
788C	10/01/2009	REINVEST	0 0000	MORGAN STANLEY DIVIDEND GROWTH SECURITIES INC CLASS B WITHDRAWAL, PENDING REINVEST	0 000	-46 41	

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Account	Date	Activity	Quantity	Description	Price	Amount	Check
				RECORD 09/30/09 PAY 09/30/09			
88C	10/01/2009	DIVIDEND	0 0000	MORGAN STANLEY DIVIDEND GROWTH SECURITIES INC CLASS B CASH DIV ON 1160 1930 SHS RECORD 09/30/09 PAY 09/30/09	0 000	46 41	
98C	10/01/2009	REINVEST	3 5000	MORGAN STANLEY DIVIDEND GROWTH SECURITIES INC CLASS B REINVESTMENT SHS FOR 09/30/09 REINVESTED AMOUNT $46 41	13 260	00	
'87C	10/01/2009	DIVIDEND	0 0000	PIONEER HIGH YIELD FD CL A CASH DIV ON 2249 3010 SHS	0 000	94 47	
'88C	09/30/2009	REINVEST	0 0400	CITIBANK NA SOUTH DAKOTA BANK DEPOSIT PROGRAM FOR PERIOD 09/01/09-09/30/09 30 DAYS AVERAGE YIELD 03 %	1 000	- 04	
787C	09/01/2009	REINVEST	12 0490	PIONEER HIGH YIELD FD CL A REINVESTMENT SHS FOR 08/31/09 REINVESTED AMOUNT $98 44	8 170	00	
87C	09/01/2009	REINVEST	0 0000	PIONEER HIGH YIELD FD CL A WITHDRAWAL, PENDING REINVEST	0 000	-98 44	
787C	09/01/2009	DIVIDEND	0 0000	PIONEER HIGH YIELD FD CL A CASH DIV ON 2237 2520 SHS	0 000	98 44	
98C	08/31/2009	REINVEST	0 0400	CITIBANK NA SOUTH DAKOTA BANK DEPOSIT PROGRAM FOR PERIOD 08/03/09-08/31/09 29 DAYS AVERAGE YIELD 03 %	1 000	- 04	
787C	08/03/2009	DIVIDEND	0 0000	PIONEER HIGH YIELD FD CL A CASH DIV ON 2225 1340 SHS	0 000	97 91	
'87C	08/03/2009	REINVEST	12 1180	PIONEER HIGH YIELD FD CL A REINVESTMENT SHS FOR 07/31/09 REINVESTED AMOUNT $97 91	8 080	00	
i787C	08/03/2009	REINVEST	0 0000	PIONEER HIGH YIELD FD CL A WITHDRAWAL, PENDING REINVEST	0 000	-97 91	

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Important Information for Holders of Auction Rate Securities

The "Available Cash" value excludes any uncleared funds, such as uncleared checks and pending deposits or fund transfers

*For more information, please contact your **Financial Advisor***

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MorganStanley
SmithBarney

Dow 10012 23 4 30pm | NASDAQ 2141 12 5 30pm | S&P 500 1066 19 4 59pm

Forms 1099 for Tax Year 2009
Forms 1099 will be available for download by February 15 2010.

Print

Select: All Accounts **Activity Detail** **Filters:** All Activities **Date Range:** Date Range View

Activity from 03/02/2009 through 12/31/2009 as of 02/06/2010.

Activity Detail - All Accounts Check Reorder E-mail

Account	Date	Activity	Quantity	Description	Price	Amount	Check
788C	07/31/2009	REINVEST	0 0500	CITIBANK NA SOUTH DAKOTA BANK DEPOSIT PROGRAM FOR PERIOD 07/01/09-08/02/09 33 DAYS AVERAGE YIELD 03 %	1 000	- 05	
787C	07/01/2009	REINVEST	0 0000	PIONEER HIGH YIELD FD CL A WITHDRAWAL PENDING REINVEST	0 000	-97 34	
'87C	07/01/2009	REINVEST	12 8930	PIONEER HIGH YIELD FD CL A REINVESTMENT SHS FOR 06/30/09 REINVESTED AMOUNT $97 34	7 550	00	
787C	07/01/2009	DIVIDEND	0 0000	PIONEER HIGH YIELD FD CL A CASH DIV ON 2212 2410 SHS	0 000	97 34	
788C	06/30/2009	REINVEST	0 0400	CITIBANK NA SOUTH DAKOTA BANK DEPOSIT PROGRAM FOR PERIOD 06/01/09-06/30/09 30 DAYS AVERAGE YIELD 03 %	1 000	- 04	
787C	06/25/2009	DIVIDEND	0 0000	JP MORGAN MID CAP VALUE FUND CLASS A CASH DIV ON 270 8950 SHS	0 000	74 78	
787C	06/25/2009	REINVEST	0 0000	JP MORGAN MID CAP VALUE FUND CLASS A WITHDRAWAL PENDING REINVEST	0 000	-74 78	
87C	06/25/2009	REINVEST	5 0910	JP MORGAN MID CAP VALUE FUND CLASS A REINVESTMENT SHS FOR 06/24/09 REINVESTED AMOUNT $74 78	14 690	00	
788C	06/24/2009	REINVEST	5 1150	MORGAN STANLEY DIVIDEND GROWTH SECURITIES INC CLASS B REINVESTMENT SHS FOR 06/23/09 REINVESTED AMOUNT $57 75	11 290	00	
788C	06/24/2009	REINVEST	0 0000	MORGAN STANLEY DIVIDEND GROWTH SECURITIES INC CLASS B WITHDRAWAL PENDING REINVEST RECORD 06/23/09 PAY 06/23/09	0 000	-57 75	
788C	06/24/2009	DIVIDEND	0 0000	MORGAN STANLEY DIVIDEND GROWTH SECURITIES INC CLASS B CASH DIV ON 1155 0780 SHS RECORD 06/23/09 PAY 06/23/09	0 000	57 75	
787C	06/01/2009	DIVIDEND	0 0000	PIONEER HIGH YIELD FD CL A CASH DIV ON 2197 9800 SHS	0 000	103 32	
787C	06/01/2009	REINVEST	0 0000	PIONEER HIGH YIELD FD CL A WITHDRAWAL PENDING REINVEST	0 000	-103 32	
'87C	06/01/2009	REINVEST	14 2510	PIONEER HIGH YIELD FD CL A REINVESTMENT SHS FOR 05/31/09 REINVESTED AMOUNT $103 32	7 250	00	
788C	05/29/2009	REINVEST	0 0600	CITIBANK NA SOUTH DAKOTA BANK DEPOSIT PROGRAM FOR PERIOD 05/01/09-05/31/09 31 DAYS AVERAGE YIELD 05 %	1 000	- 06	
'87C	05/01/2009	DIVIDEND	0 0000	PIONEER HIGH YIELD FD CL A CASH DIV ON 2182 8360 SHS	0 000	102 59	
787C	05/01/2009	REINVEST	0 0000	PIONEER HIGH YIELD FD CL A WITHDRAWAL PENDING REINVEST	0 000	-102 59	
787C	05/01/2009	REINVEST	15 1540	PIONEER HIGH YIELD FD CL A REINVESTMENT SHS FOR 04/30/09 REINVESTED AMOUNT $102 59	6 770	00	

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Account	Date	Activity	Quantity	Description	Price	Amount	Check
482-6788C	04/30/2009	REINVEST	0 0500	CITIBANK NA SOUTH DAKOTA BANK DEPOSIT PROGRAM FOR PERIOD 04/01/09-04/30/09 30 DAYS AVERAGE YIELD 05 %	1 000	-.05	
482-6788C	04/01/2009	DEPOSIT	40 0000	BANK DEPOSIT PROGRAM	1 000	-40 00	
482-6787C	04/01/2009	DEPOSIT	40 0000	BANK DEPOSIT PROGRAM	1 000	-40 00	
482-6787C	04/01/2009	REINVEST	16 9590	PIONEER HIGH YIELD FD CL A REINVESTMENT SHS FOR 03/31/09 REINVESTED AMOUNT $103 96	6 130	00	
482-6787C	04/01/2009	DIVIDEND	0 0000	PIONEER HIGH YIELD FD CL A CASH DIV ON 2165 8770 SHS	0 000	103 96	
482-6787C	04/01/2009	REINVEST	0 0000	PIONEER HIGH YIELD FD CL A WITHDRAWAL, PENDING REINVEST	0 000	-103 96	
482-6788C	03/31/2009	REINVEST	6 8960	MORGAN STANLEY DIVIDEND GROWTH SECURITIES INC CLASS B REINVESTMENT SHS FOR 03/31/09 REINVESTED AMOUNT $68 89	9 990	00	
482-6788C	03/31/2009	REINVEST	0 0000	MORGAN STANLEY DIVIDEND GROWTH SECURITIES INC CLASS B WITHDRAWAL, PENDING REINVEST	0 000	-68 89	
482-6787C	03/31/2009	FEE	0 0000	TO ADJUST BALANCE CUSTODIAL FEE	0 000	40 00	
482-6788C	03/31/2009	DIVIDEND	0 0000	MORGAN STANLEY DIVIDEND GROWTH SECURITIES INC CLASS B CASH DIV ON 1146 1620 SHS	0 000	68 89	
482-6788C	03/31/2009	REINVEST	0 0400	CITIBANK NA SOUTH DAKOTA BANK DEPOSIT PROGRAM FOR PERIOD 03/02/09-03/31/09 30 DAYS AVERAGE YIELD 05 %	1 000	-.04	
482-6788C	03/31/2009	FEE	0 0000	TO ADJUST BALANCE CUSTODIAL FEE	0 000	40 00	
482-6787C	03/23/2009	FEE	0 0000	2008 CUSTODIAL FEE	0 000	-40 00	
482-6788C	03/23/2009	FEE	0 0000	2008 CUSTODIAL FEE	0 000	-40 00	
482-6788C	03/23/2009	WITHDRAWAL	0 0000	BANK DEPOSIT PROGRAM	0 000	40 00	
482-6787C	03/23/2009	WITHDRAWAL	0 0000	BANK DEPOSIT PROGRAM	0 000	40 00	
482-6787C	03/02/2009	DIVIDEND	0 0000	PIONEER HIGH YIELD FD CL A CASH DIV ON 2150 3570 SHS	0 000	95 76	
482-6787C	03/02/2009	REINVEST	15 5200	PIONEER HIGH YIELD FD CL A REINVESTMENT SHS FOR 02/26/09 REINVESTED AMOUNT $95 76	6 170	00	
482-6787C	03/02/2009	REINVEST	0 0000	PIONEER HIGH YIELD FD CL A WITHDRAWAL, PENDING REINVEST	0 000	-95 76	

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Important Information for Holders of Auction Rate Securities

The "Available Cash" value excludes any uncleared funds, such as uncleared checks and pending deposits or fund transfers

For more information, please contact your Financial Advisor.

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