EMPLOYEE POST-TRAVEL DISCLOSURE FORM □ Original □ Amendment

This form is for disclosing the receipt of travel expenses from private sources for travel taken in connection with official duties. This form does not eliminate the need to report privately-funded travel on the annual Financial Disclosure Statements of those employees required to file them. In accordance with House Rule 25, clause 5, you must complete this form and file it with the Clerk of the House, by email at gifttravelreports@mail.house.gov, within 15 days after travel is completed. Please do not file this form with the Committee on Ethics.

NOTE: Willful or knowing misrepresentations on this form may be subject to criminal prosecution pursuant to 18 U.S.C. § 1001.

1. Name of Traveler: Katharine Durkin

2. a. Name of Accompanying Relative: __________________________ OR None □
   b. Relationship to Traveler: □ Spouse □ Child □ Other (specify): __________

   b. Dates at Personal Expense, if any: __________________________ OR None □


5. Sponsor(s), Who Paid for the Trip: the Aspen Institute, Inc.

6. Describe Meetings and Events Attended:
   please see attached final agenda

7. Attached to this form are each of the following, signify that each item is attached by checking the corresponding box:
   a. □ a completed Sponsor Post-Travel Disclosure Form;
   b. □ the Primary Trip Sponsor Form completed by the trip sponsor prior to the trip, including all attachments and the Additional Sponsor Form(s);
   c. □ page 2 of the completed Traveler Form submitted by the employee; and
   d. □ the letter from the Committee on Ethics approving my participation on this trip.

8. a. □ I represent that I participated in each of the activities reflected in the attached sponsor's agenda.
   Signify statement is true by checking the box.
   b. If not, explain:

I certify that the information contained on this form is true, complete, and correct to the best of my knowledge.

Signature of Traveler: __________________________ Date: 11-1-2021

I authorized this travel in advance. I have determined that all of the expenses listed on the attached Sponsor Post-Travel Disclosure Form were necessary and that the travel was in connection with the employee's official duties and would not create the appearance that the employee is using public office for private gain.

Name of Supervising Member: __________________________

Signature of Supervising Member: __________________________ Date: 11/1/21

Version date 3/2021 by Committee on Ethics
SPONSOR POST-TRAVEL DISCLOSURE FORM

This form must be completed by an officer of any organization that served as the primary trip sponsor in providing travel expenses or reimbursement for travel expenses to House Members, officers, or employees under House Rule 25, clause 5. A completed copy of the form must be provided to each House Member, officer, or employee who participated on the trip within ten days of their return. You must answer all questions, and check all boxes, on this form for your submission to comply with House rules and the Committee's travel regulations. Failure to comply with this requirement may result in the denial of future requests to sponsor trips and/or subject the current traveler to disciplinary action or a requirement to repay the trip expenses.

NOTE: Willful or knowing misrepresentations on this form may be subject to criminal prosecution pursuant to 18 U.S.C. § 1001.

1. Sponsor(s) who paid for the trip: The Aspen Institute, Inc. (Congressional Program)

2. Travel Destination(s): New York City, New York


4. Name(s) of Traveler(s): Kate Durkin

   Note: You may list more than one traveler on a form only if all information is identical for each person listed.

5. Actual amount of expenses paid on behalf of, or reimbursed to, each individual named in Question 4:

<table>
<thead>
<tr>
<th>Traveler</th>
<th>Total Transportation Expenses</th>
<th>Total Lodging Expenses</th>
<th>Total Meal Expenses</th>
<th>Total Other Expenses (dollar amount per item and description)</th>
</tr>
</thead>
<tbody>
<tr>
<td>Kate Durkin</td>
<td>$323</td>
<td>$568</td>
<td>$128</td>
<td>$415</td>
</tr>
<tr>
<td>Accompanying Family Member</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

6. ☑ All expenses connected to the trip were for actual costs incurred and not a per diem or lump sum payment. Signify statement is true by checking box.

I certify that the information contained in this form is true, complete, and correct to the best of my knowledge.

Signature: [Signature] Date: 10/26/2021

Name: Charles W. Dent Title: VP; Executive Director

Organization: The Aspen Institute, Inc. (Congressional Program)

☑ I am an officer of the above-named organization. Signify statement is true by checking box.

Address: 2300 N Street, NW, Washington, DC 20037; lisa.jones@aspeninstitute.org; 202-736-5859

Email: [Email]

Committee staff may contact the above-named individual if additional information is required.

If you have questions regarding your completion of this form, please contact the Committee on Ethics at 202-225-7103.
TRAVELER FORM

1. Name of Traveler: KATHARINE DUCKIN

2. Sponsor(s) who will be paying or providing in-kind support for the trip: 
   THE ASPEN INSTITUTE, INC.

3. City and State OR Foreign Country of Travel: NEW YORK CITY, NY

4. a. Date of Departure: 10/14/2021  Date of Return: 10/16/2021
   b. Yes □ No ☑ Will you be extending the trip at your personal expense?

   If yes, list dates at personal expense:

5. a. Yes □ No ☑ Will you be accompanied by a family member at the sponsor’s expense? If yes:

   (1) Name of Accompanying Family Member:

   (2) Relationship to Traveler: □ Spouse □ Child □ Other (specify):

   (3) Yes □ No □ Accompanying Family Member is at least 18 years of age:

6. a. Yes □ No ☑ Did the trip sponsor answer “Yes” to Question 8(c) on the Primary Trip Sponsor Form

   (i.e., travel is sponsored by an entity that employs a registered federal lobbyist or a foreign agent)?

   b. If yes, and you are requesting lodging for two nights, explain why the second night is warranted:

7. Yes ☑ No □ Primary Trip Sponsor Form is attached, including agenda, invitee list, and any other attachments

   and Additional Sponsor Forms.

   NOTE: The agenda should show the traveler’s individual schedule, including departure and arrival times and identify

   the specific events in which the traveler will be participating.

8. Explain why participation in the trip is connected to the traveler’s individual official or representational duties.

   Staff should include their job title and how the activities on the itinerary relate to their duties.

   As legislative director handling foreign affairs + chair Schakowsky's Consumer

   Protection Committee, this conference will allow me to learn more

   about US-China policy and emerging concerns.

9. Yes □ No ☑ Is the traveler aware of any registered federal lobbyists or foreign agents involved planning,

   organizing, requesting, or arranging the trip?

10. For staff travelers, to be completed by your employing Member:

    ADVANCED AUTHORIZATION OF EMPLOYEE TRAVEL

    I hereby authorize the individual named above, an employee of the U.S. House of Representatives who works under my

    direct supervision, to accept expenses for the trip described in this request. I have determined that the above-described

    travel is in connection with my employee’s official duties and that acceptance of these expenses will not create the

    appearance that the employee is using public office for private gain.

    Signature of Employing Member: [Signature]  Date: 9/10/21
PRIMARY TRIP SPONSOR FORM

This form should be completed by private entities offering to provide travel or reimbursement for travel to House Members, officers, or employees under House Rule 25, clause 5. A completed copy of the form (and any attachments) should be provided to each invited House Member, officer, or employee, who will then forward it to the Committee together with a Traveler Form at least 30 days before the start date of the trip. The trip sponsor should NOT submit the form directly to the Committee. The Committee website (ethics.house.gov) provides detailed instructions for filling out the form.

NOTE: Willful or knowing misrepresentations on this form may be subject to criminal prosecution pursuant to 18 U.S.C. § 1001. Failure to comply with the Committee’s Travel Regulations may also lead to the denial of permission to sponsor future trips.

1. Sponsor who will be paying for the trip:
   The Aspen Institute, Inc. (Congressional Program)
2. [ ] I represent that the trip will not be financed, in whole or in part, by a registered federal lobbyist or foreign agent. Signify that the statement is true by checking box.
3. Check only one. I represent that:
   a. [ ] The primary trip sponsor has not accepted from any other source, funds intended directly or indirectly to finance any aspect of the trip: OR
   b. [ ] The trip is arranged without regard to congressional participation and the primary trip sponsor has accepted funds only from entities that will receive a tangible benefit in exchange for those funds: OR
   c. [ ] The primary trip sponsor has accepted funds from other source(s) intended directly or indirectly to finance all or part of this trip and has enclosed disclosure forms from each of those entities.
      If "c" is checked, list the names of the additional sponsors:
      Rockefeller Brothers Fund, The Henry Luce Foundation, Democracy Fund
4. Provide names and titles of ALL House Members and employees you are inviting. For each House invitee, provide an explanation of why the individual was invited (include additional pages if necessary):
   See attached addendum
5. Yes [ ] No [ ] Is travel being offered to an accompanying family member of the House invitee(s)?
6. Date of departure: October 14, 2021
   Date of return: October 16, 2021
7. a. City of departure: Washington, DC or congressional district
   b. Destination(s): New York City, New York
   c. City of return: Washington, DC or congressional district
8. Check only one. I represent that:
   a. [ ] The sponsor of the trip is an institution of higher education within the meaning of section 101 of the Higher Education Act of 1965: OR
   b. [ ] The sponsor of the trip does not retain or employ a registered federal lobbyist or foreign agent: OR
   c. [ ] The sponsor employs or retains a registered federal lobbyist or foreign agent, but the trip is for attendance at a one-day event and lobbyist / foreign agent involvement in planning, organizing, requesting, or arranging the trip was de minimis under the Committee’s travel regulations.
9. Check only one of the following:
   a. [ ] I checked 8(a) or (b) above: OR
   b. [ ] I checked 8(c) above but am not offering any lodging: OR
   c. [ ] I checked 8(c) above and am offering lodging and meals for one night: OR
   d. [ ] I checked 8(c) above and am offering lodging and meals for two nights. If you checked this box, explain why the second night of lodging is warranted:

Version date 3/2021 by Committee on Ethics
10. □ Attached is a detailed agenda of the activities House invitees will be participating in during the travel (i.e., an hourly description of planned activities for trip invitees). Indicate agenda is attached by checking box.

11. **Check only one of the following:**
   a. □ I represent that a registered federal lobbyist or foreign agent will not accompany House Members or employees on any segment of the trip. *Signify that the statement is true by checking box*; OR
   b. □ Not Applicable. Trip sponsor is a U.S. institution of higher education.

12. For each sponsor required to submit a sponsor form, describe the sponsor's interest in the subject matter, the role in organizing and/or conducting the trip:

   Aspen Institute's Congressional Program (AICP) provides nonpartisan educational forums for members of Congress on public policy issues. AICP organized and will conduct the conference and selected and invited the attendees. The foundations listed in 3C provide grant funding to AICP generally. They do not play a role in organizing or conducting the conference.

13. **Answer parts a and b. Answer part c if necessary:**
   a. Mode of Travel: Air □ Rail □ Bus □ Car □ Other □ (specify: ____________________________ )
   b. Class of Travel: Coach □ Business □ First □ Charter □ Other □ (specify: ____________________________ )
   c. If travel will be first class, or by chartered or private aircraft, explain why such travel is warranted:

14. □ I represent that the expenditures related to local area travel during the trip will be unrelated to personal or recreational activities of the invitee(s). *Signify that the statement is true by checking the box.*

15. **Check only one. I represent that either:**
   a. □ The trip involves an event that is arranged or organized *without regard* to congressional participation and that meals provided to congressional participants are similar to those provided to or purchased by other event attendees; OR
   b. □ The trip involves events that are arranged specifically *with regard* to congressional participation.

      If "b" is checked:
      1) Detail the cost per day of meals (approximate cost may be provided):
         $76
      2) Provide the reason for selecting the location of the event or trip:

         **See attached addendum**

16. Name, nightly cost, and reasons for selecting each hotel or other lodging facility:

<table>
<thead>
<tr>
<th>Hotel Name</th>
<th>City: New York City, NY</th>
<th>Cost Per Night: $284</th>
</tr>
</thead>
<tbody>
<tr>
<td>Lowes Regency Hotel</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Reason(s) for Selecting: Hotel has required technology and meeting space; Security a key factor.</td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>Hotel Name</th>
<th>City:</th>
<th>Cost Per Night:</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Reason(s) for Selecting:</td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>Hotel Name</th>
<th>City:</th>
<th>Cost Per Night:</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Reason(s) for Selecting:</td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

17. □ I represent that all expenses connected to the trip will be for actual costs incurred and not a per diem or lump sum payment. *Signify that the statement is true by checking the box.*
18. **Total Expenses for each Participant:**

<table>
<thead>
<tr>
<th>Actual Amounts</th>
<th>Transportation Expenses per Participant</th>
<th>Total Lodging Expenses per Participant</th>
<th>Total Meal Expenses per Participant</th>
</tr>
</thead>
<tbody>
<tr>
<td>□ Good Faith Estimates</td>
<td>$420</td>
<td>$568</td>
<td>$128</td>
</tr>
</tbody>
</table>

For each Accompanying Family Member

<table>
<thead>
<tr>
<th>Other Expenses (dollar amount per item)</th>
<th>Identify Specific Nature of “Other” Expenses (e.g., taxi, parking, registration fee, etc.)</th>
</tr>
</thead>
<tbody>
<tr>
<td>For each Member, Officer, or Employee</td>
<td>$415</td>
</tr>
<tr>
<td>For each Accompanying Family Member</td>
<td>Private meeting &amp; dining space, AV, conf. services</td>
</tr>
</tbody>
</table>

**NOTE:** Willful or knowing misrepresentations on this form may be subject to criminal prosecution pursuant to 18 U.S.C. § 1001.

19. **Check only one:**

- □ I certify that I am an officer of the organization listed below; **OR**
- □ Not Applicable. Trip sponsor is an individual or a U.S. institution of higher education.

20. I certify by my signature that

- a. I read and understand the Committee’s Travel Regulations;
- b. I am not a registered federal lobbyist or registered foreign agent; and
- c. The information on this form is true, complete, and correct to the best of my knowledge.

Signature: [Signature] Date: 09/10/2021

Name: Charles W. Dent Title: Vice President-The Aspen Institute, Inc.

Organization: The Aspen Institute, Inc. (Congressional Program)

Address: 2300 N Street, NW, Washington, DC 20037

Email: lisa.jones@aspeninstitute.org Telephone: (202) 736-5859

**INSTRUCTIONS**

Complete the Primary Trip Sponsor Form and submit the agenda, invitation list, any attachments, and any Additional Trip Sponsor Forms directly to the Travelers.

Written approval from the Committee on Ethics is required before traveling on this trip. The Committee on Ethics will notify the House invitees directly and will not notify the trip sponsors.

**Willful or knowing misrepresentation on this form may be subject to criminal prosecution under 18 U.S.C. § 1001.** Signatures must comply with section 104(bb) of the Travel Regulations.

For questions, please contact the Committee on Ethics at:

1015 Longworth House Office Building  ethicscommittee@mail.house.gov  202-225-7103
Washington, D.C. 20515

More information and forms available at ethics.house.gov
ADDITIONAL TRIP SPONSOR FORM

This form should be completed by an organization that provides funds, services, or in-kind assistance to another entity to underwrite, in whole or in part, a trip or an event, meal, or activity that will occur during a trip, or a necessary expense that will be incurred during a trip, with express or implicit knowledge or understanding that one or more House Members or employees may participate in or attend that trip or event, or otherwise may be beneficiaries of the gift or donation.

Provide a copy of your completed form to the primary sponsor of the trip.

NOTE: Willful or knowing misrepresentations on this form may be subject to criminal prosecution pursuant to 18 U.S.C. § 1001. Failure to comply with the Committee’s Travel Regulations may also lead to the denial of permission to sponsor future trips.

1. Name of Primary Trip Sponsor: Aspen Institute, Inc. (Congressional Program)

2. Name of your organization: Democracy Fund

3. Yes ☐ No ☐ Is your organization designated a § 501(c)(3) charitable organization by the Internal Revenue Service?

4. Yes ☐ No ☐ Does your organization receive funding from any foreign government or multinational organization?

5. Check one. I certify that my organization:
   a. ☐ Has provided a grant, gift, or donation to the above-named Primary Trip Sponsor and conducts an audit or review of its grant, gift, or donation to ensure that the funds are spent in accordance with the terms of its grant, gift, or donation. OR
   b. ☐ Has had a direct role in the organizing, planning, or conducting of a trip to Destination: ___________________________ on Date: ___________________________
      that is being organized or arranged by the above-named Primary Trip Sponsor. OR
   c. ☐ Has provided in-kind support to the above-named Primary Trip Sponsor (e.g., meeting planning assistance, meeting space and set-up, and paying for expenses related to this trip directly to the service provider).

6. Check only one:
   a. ☐ My organization does not employ or retain a registered federal lobbyist or foreign agent OR
   b. ☐ My organization employs a registered federal lobbyist or foreign agent, but their involvement in planning, organizing, or arranging the trip was de minimis under the travel regulations.

7. I certify by my signature that
   a. I read and understand the Committee’s Travel Regulations;
   b. I am not a registered federal lobbyist or registered foreign agent;
   c. I am an officer of this organization and am duly authorized to sign this form; and
   d. The information on this form is true, complete, and correct to the best of my knowledge.

Signature: Joe Goldman 
Date: 06/08/2021

Name: Joe Goldman 
Title: President

Organization: Democracy Fund

Address: 1200 17th St., Suite 300 Washington, D.C. 20036

Email: jgoldman@democracyfund.org 
Telephone: (202) 420-7900
ADDITIONAL TRIP SPONSOR FORM

This form should be completed by an organization that provides funds, services, or in-kind assistance to another entity to underwrite, in whole or in part, a trip or an event, meal, or activity that will occur during a trip, or a necessary expense that will be incurred during a trip, with express or implicit knowledge or understanding that one or more House Members or employees may participate in or attend that trip or event, or otherwise may be beneficiaries of the gift or donation. Provide a copy of your completed form to the primary sponsor of the trip.

NOTE: Willful or knowing misrepresentations on this form may be subject to criminal prosecution pursuant to 18 U.S.C. § 1001. Failure to comply with the Committee's Travel Regulations may also lead to the denial of permission to sponsor future trips.

1. Name of Primary Trip Sponsor: The Aspen Institute, Inc. (Congressional Program)

2. Name of your organization: The Henry Luce Foundation

3. Yes [ ] No [ ] Is your organization designated a § 501(c)(3) charitable organization by the Internal Revenue Service?

4. Yes [ ] No [ ] Does your organization receive funding from any foreign government or multinational organization?

5. Check one. I certify that my organization:
   a. [ ] Has provided a grant, gift, or donation to the above-named Primary Trip Sponsor and conducts an audit or review of its grant, gift, or donation to ensure that the funds are spent in accordance with the terms of its grant, gift, or donation. OR
   b. [ ] Has had a direct role in the organizing, planning, or conducting of a trip to
      Destination: ____________________________ on Date: ____________________________
      that is being organized or arranged by the above-named Primary Trip Sponsor. OR
   c. [ ] Has provided in-kind support to the above-named Primary Trip Sponsor (e.g., meeting planning assistance, meeting space and set-up, and paying for expenses related to this trip directly to the service provider).

6. Check only one:
   a. [ ] My organization does not employ or retain a registered federal lobbyist or foreign agent OR
   b. [ ] My organization employs a registered federal lobbyist or foreign agent, but their involvement in planning, organizing, or arranging the trip was de minimis under the travel regulations.

7. I certify by my signature that
   a. I read and understand the Committee's Travel Regulations;
   b. I am not a registered federal lobbyist or registered foreign agent;
   c. I am an officer of this organization and am duly authorized to sign this form; and
   d. The information on this form is true, complete, and correct to the best of my knowledge.

Signature: ____________________________ Date: 05/05/2021

Name: Toby Volkman Title: Director of Policy Initiatives

Organization: The Henry Luce Foundation

Address: 51 Madison Avenue, New York, NY 10010

Email: tvolkman@hluce.org Telephone: (202) 489-7700

Version date 3/2021 by Committee on Ethics
ADDITIONAL TRIP SPONSOR FORM

This form should be completed by an organization that provides funds, services, or in-kind assistance to another entity to underwrite, in whole or in part, a trip or an event, meal, or activity that will occur during a trip, or a necessary expense that will be incurred during a trip, with express or implicit knowledge or understanding that one or more House Members or employees may participate in or attend that trip or event, or otherwise may be beneficiaries of the gift or donation. Provide a copy of your completed form to the primary sponsor of the trip.

NOTE: Willful or knowing misrepresentations on this form may be subject to criminal prosecution pursuant to 18 U.S.C. § 1001. Failure to comply with the Committee's Travel Regulations may also lead to the denial of permission to sponsor future trips.

1. Name of Primary Trip Sponsor: Aspen Institute, Inc. (Congressional Program)
2. Name of your organization: Rockefeller Brothers Fund

3. Yes ☐ No ☐ Is your organization designated a § 501(c)(3) charitable organization by the Internal Revenue Service?
4. Yes ☐ No ☐ Does your organization receive funding from any foreign government or multinational organization?

5. Check one. I certify that my organization:
   a. ☐ Has provided a grant, gift, or donation to the above-named Primary Trip Sponsor and conducts an audit or review of its grant, gift, or donation to ensure that the funds are spent in accordance with the terms of its grant, gift, or donation. OR
   b. ☐ Has had a direct role in the organizing, planning, or conducting of a trip to Destination: _____________________ on Date: _____________________ that is being organized or arranged by the above-named Primary Trip Sponsor. OR
   c. ☐ Has provided in-kind support to the above-named Primary Trip Sponsor (e.g., meeting planning assistance, meeting space and set-up, and paying for expenses related to this trip directly to the service provider).

6. Check only one:
   a. ☐ My organization does not employ or retain a registered federal lobbyist or foreign agent OR
   b. ☐ My organization employs a registered federal lobbyist or foreign agent, but their involvement in planning, organizing, or arranging the trip was de minimis under the travel regulations.

7. I certify by my signature that
   a. I read and understand the Committee's Travel Regulations;
   b. I am not a registered federal lobbyist or registered foreign agent;
   c. I am an officer of this organization and am duly authorized to sign this form; and
   d. The information on this form is true, complete, and correct to the best of my knowledge.

Signature: ____________________________ Date: 06/22/2021

Name: Stephen Heintz Title: President and CEO

Organization: Rockefeller Brothers Fund

Address: 475 Riverside Drive, Suite 900, New York, NY 10115

Email: echege@rbf.org Telephone: (212) 812-4208

Version date 3/2021 by Committee on Ethics
October 12, 2021

Ms. Katharine Durkin
Office of the Honorable Janice Schakowsky
2367 Rayburn House Office Building
Washington, DC 20515

Dear Ms. Durkin:


This approval represents the Committee’s determination that the proposed trip complies with relevant House rules, federal laws, and Travel Regulations promulgated by the Committee. You should engage in your own assessment of the risks and implications of engaging in travel during the current COVID-19 pandemic.

You must complete an Employee Post-Travel Disclosure Form (which your employing Member must also sign) and file it, together with a Sponsor Post-Travel Disclosure Form completed by the trip sponsor, with the Clerk of the House within 15 days after your return from travel. As part of that filing, you are also required to attach a copy of this letter and both the Traveler and Primary Trip Sponsor Forms (including attachments) you previously submitted to the Committee in seeking pre-approval for this trip. If you are required to file an annual Financial Disclosure Statement, you must also report all travel expenses totaling more than $415 from a single source on the “Travel” schedule of your annual Financial Disclosure Statement covering this calendar year. Finally, Travel Regulation § 404(d) also requires you to keep a copy of all request forms and supporting information provided to the Committee for three subsequent Congresses from the date of travel.
If you have any further questions, please contact the Committee’s Office of Advice and Education at extension 5-7103.

Sincerely,

Theodore E. Deutch
Chairman

Jackie Walorski
Ranking Member

TED/JW:tn
AMERICAN CHINA STRATEGY: WHAT REALLY WORKS?
THE ASPEN INSTITUTE CONGRESSIONAL PROGRAM
October 14-16, 2021 New York City, New York

THURSDAY, October 14:
12:50pm Participants depart Washington on Acela Train #2166
3:49pm Arrive at New York City’s Pennsylvania Station (Moynihan Train Hall)
5:00pm Estimated arrival time at the hotel
6:30 - 9:30pm Working Dinner
CAN AMERICA CHANGE CHINA? THE CASES FOR COOPERATION, COMPETITION, AND CONFRONTATION
The actions and example of the United States have helped catalyze, inspire, and enable many of China’s broad changes throughout its modern history, especially since the 1980s. Whether the U.S. has been successful in using targeted policies to alter specific attitudes or behaviors in China is less clear.

- How should U.S.-China relations be characterized today? What’s the right framework?
- What are the vectors through which the U.S. has had an impact on China?
- Has collaboration, adversity, or multilateral pressure been most successful in shifting China’s priorities?
- To what degree should U.S. concerns regarding Taiwan drive relations with China?
- In 2021, can China’s goals, threat assessments, and values still be influenced by American or international actions?

A Q&A format led by:
Robert Daly, Director, Kissinger Institute on China and the United States, The Wilson Center
With responses from:
Danny Russel, Vice President, International Security and Diplomacy, Asia Society Policy Institute

FRIDAY, October 15:
9:00am INTRODUCTION AND FRAMEWORK OF THE CONFERENCE
This conference is organized into roundtable conversations, pre-dinner and luncheon remarks. This session will explain how the conference will be conducted, how those with questions will be recognized, and how responses will be timed to allow for as much discussion as possible.

Charles W. Dent, Executive Director, Aspen Institute Congressional Program
Roundtable Discussion

BALANCING ECONOMIC AND SECURITY INTERESTS: CAN U.S. BUSINESSES IN CHINA BE BOTH PATRIOTIC AND PROFITABLE?

World-leading corporations have been a major source of American power for over a century. In 2021, no company can lead its industry unless it is active in the world’s biggest market—China. Yet selling, investing, and conducting research in China may build China’s capabilities in ways that undermine American power. How policy makers and companies approach these competing objectives is a daunting challenge.

- To what degree are American economic disparities and loss of manufacturing jobs due primarily to Chinese actions, or to domestic policies and technological factors?
- Which types of American economic engagement with China pose a genuine threat to U.S. security and well-being?
- What would the costs be of government-mandated decoupling of U.S. industry from China?
- What is the role of Congress in regulating U.S. commerce in China?
- Are tariffs a successful means of promoting fair trade?

Isaac Stone Fish, CEO, Strategy Risks
Arthur Kroebel, Founding Partner, Gavekal Dragonomics
Xiaobu Lü, Professor of Political Science, Barnard College
Lingling Wei, Senior China correspondent, The Wall Street Journal

Roundtable Discussion

WE ARE EXPERIENCING TECHNICAL DIFFICULTIES: IMPACTS OF TECH COMPETITION ON SECURITY, COMPETITIVENESS & CONSUMERS

Technology has become the key factor in U.S.-China competition. Legislative efforts to rebuild America’s tech manufacturing and policies aimed at curtailing China’s access to technologies with military applications have received broad bipartisan support. American policies have provoked a strong reaction in China, which is increasing research & development investments in ways that may enable its scientists to outpace the West and which could result in parallel, mutually exclusive global tech systems.

- Can the U.S. isolate China from the global innovation system without harming that system, which relies on openness and internationalization?
- Do third countries support policies that isolate China technologically?
- Can such efforts succeed?
- Is the U.S. making the investments and training and attracting the talent needed to remain a tech superpower?
- How big a problem is Chinese intellectual property theft in 2021?

Samm Sacks, Senior Fellow, Yale Law School
12:00 - 1:30pm

**Working Luncheon Discussion**

**THE CORONAVIRUS OUTBREAK: TWO YEARS AFTER WUHAN, WHAT HAVE WE LEARNED?**

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**Yanzhong Huang, Senior Fellow for Global Health**

**Council on Foreign Relations**

1:30 - 3:00pm

**Roundtable Discussion**

**HUMAN RIGHTS POLICY: RIGHTEOUSNESS OR RESULTS?**

The administration has promised, as have previous administrations, to put human rights at the forefront of foreign policy. Politicians from across the political spectrum speak of a values or ideological competition with China, frequently framing it as a global contest between democratic and authoritarian governments. China (and Russia and Iran) see such pronouncements as hypocritical attempts to weaponize America’s self-righteousness in defense of its failing hegemony and contend that America’s own human rights problems discredit it as a defender of human rights internationally.

- What are the prospects for a human rights-centric U.S. foreign policy?
- Can the U.S. apply its principles consistently and impartially worldwide?
- Does the U.S. hold China to a different standard than other nations?
- Have American representations on human rights changed China’s behavior in the past?
• What might the costs be to the U.S., global standards, and the Chinese people if the U.S. and the global community don’t speak out on Chinese human rights abuses?
• Can the U.S. cooperate with China to solve common problems if China feels that it is under attack on the human rights/ideological front?

Teng Biao, Grove Human Rights Scholar, Hunter College
Andrew Nathan, Professor of Political Science, Columbia University

3:00 - 4:30pm

Roundtable Discussion

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• What are America’s core interests vis-à-vis China?
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• Are Americans willing and able to pay them?
• What role should Congress play in forming U.S. China policy?
• Should our goal be to manage competition, or win it?
• In what ways can the U.S. advance its goals with China in a multilateral context?

Thomas Christensen, Director, China and the World Program, Columbia University
Robert Daly, Director, Kissinger Institute on China and the United States, The Wilson Center
Danny Russel, Vice President, International Security and Diplomacy, Asia Society Policy Institute

4:30 - 6:00pm

Individual Discussions with Scholars Thomas Christensen, Robert Daly, Arthur Kroeber, Andrew Nathan, Orville Schell and Danny Russel to allow congressional staff to probe policy questions more deeply in a less public venue.

6:00 - 8:30pm

Working Dinner
Seating is arranged to expose participants to a diverse range of views and provide the opportunity for a meaningful exchange of ideas. Scholars and lawmakers are rotated daily. Discussion will focus on the opportunities, challenges, and potential strategies regarding the U.S.-China relationship.
**SATURDAY, October 16:**

<table>
<thead>
<tr>
<th>Time</th>
<th>Activity</th>
</tr>
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<tbody>
<tr>
<td>8 am</td>
<td>Kate Durkin departs New York City's Moynihan Station on Train 2201 and arrives at Washington Union Station at 11:01 am</td>
</tr>
<tr>
<td>9:00 am</td>
<td>Depart hotel</td>
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<tr>
<td>10:03 am</td>
<td>Depart New York City's Moynihan Station on Acela Train #2249</td>
</tr>
<tr>
<td>1:03 pm</td>
<td>Arrive at Washington Union Station</td>
</tr>
</tbody>
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Addendum
Aspen Institute Primary Trip Sponsor Form
Aspen Institute Congressional Program – New York Conference

*Question 4: Provide names and titles of ALL House Members and employees you are inviting*
For each Houses invitee, provide an explanation of why the individual was invited.

Lauren Billman, Legislative Director, Rep. Carolyn Miller
Bryan Burak, Professional Staff Member, House Foreign Affairs Committee
Kate Durkin, Legislative Director, Rep. Jan Schakowsky
Alan Feyerherm, Legislative Director, Rep. Jeff Fortenberry
J.Z. Golden, Legislative Director, Rep. Rick Larsen
Walter Gonzales, Legislative Director, Rep. Dutch Ruppersberger
Anubhav Gupta, Professional Staff Member, House Foreign Affairs Committee
Corey Jacobson, Legislative Director, Rep. Ted Lieu
Josh Rogin, Chief of Staff, Rep. Ted Deutch
Amy Surber, Senior Policy Advisor, Rep. Darrell Issa
Colin Timmerman, Legislative Assistant, Rep. Young Kim
Conor Walsh, Legislative Director, Rep. Tom Suozzi
Justin Wein, Chief of Staff, Rep. David Price

*Provide the reason for selection the location of the event or trip:*
New York allows scholars and experts in the field to engage in discussions for this conference topic – America China Strategy: What Really Works?
AMERICAN CHINA STRATEGY: WHAT REALLY WORKS?

October 14-16, 2021  New York, New York

THURSDAY, October 14:
12:50 pm  Participants depart Washington on Acela Train #2166
3:49 pm  Arrive at New York City’s Pennsylvania Station (Moynihan Train Hall)
5:00 pm  Estimated arrival time at the hotel
6:30 - 9:30 pm  Working Dinner

CAN AMERICA CHANGE CHINA? THE CASES FOR COOPERATION, COMPETITION, AND CONFRONTATION

The actions and example of the United States have helped catalyze, inspire, and enable many of China’s broad changes throughout its modern history, especially since the 1980s. Whether the U.S. has been successful in using targeted policies to alter specific attitudes or behaviors in China is less clear.

- How should U.S.-China relations be characterized today? What’s the right framework?
- What are the vectors through which the U.S. has had an impact on China?
- Has collaboration, adversity, or multilateral pressure been most successful in shifting China’s priorities?
- To what degree should U.S. concerns regarding Taiwan drive relations with China?
- In 2021, can China’s goals, threat assessments, and values still be influenced by American or international actions?

Orville Schell, Arthur Ross Director,
Center on U.S.-China Relations, The Asia Society

FRIDAY, October 15:
9:00-9:05 am  INTRODUCTION AND FRAMEWORK OF THE CONFERENCE
This conference is organized into roundtable conversations, pre-dinner and luncheon remarks. This session will explain how the conference will be conducted, how those with questions will be recognized, and how responses will be timed to allow for as much discussion as possible.

Charles W. Dent, Executive Director,
Aspen Institute Congressional Program

9:05 - 10:30 am  Roundtable Discussion
BALANCING ECONOMIC AND SECURITY INTERESTS: CAN U.S. BUSINESSES IN CHINA BE BOTH PATRIOTIC AND PROFITABLE?
World-leading corporations have been a major source of American power for over a century. In 2021, no company can lead its industry unless it is
active in the world’s biggest market—China. Yet selling, investing, and conducting research in China may build China’s capabilities in ways that undermine American power. How policy makers and companies approach these competing objectives is a daunting challenge.

- To what degree are American economic disparities and loss of manufacturing jobs due primarily to Chinese actions, or to domestic policies and technological factors?
- Which types of American economic engagement with China pose a genuine threat to U.S. security and well-being?
- What would the costs be of government-mandated decoupling of U.S. industry from China?
- What is the role of Congress in regulating U.S. commerce in China?
- Are tariffs a successful means of promoting fair trade?

**Isaac Stone Fish**, CEO, Strategy Risks  
**Arthur Kroeber**, Founding Partner, Gavekal Dragonomics  
**Xiaobu Li**, Professor of Political Science, Barnard College  
**Lingling Wei**, Senior China correspondent, The Wall Street Journal

10:30 am - Noon

**Roundtable Discussion**

**WE ARE EXPERIENCING TECHNICAL DIFFICULTIES: IMPACTS OF TECH COMPETITION ON SECURITY, COMPETITIVENESS & CONSUMERS**

Technology has become the key factor in U.S.-China competition. Legislative efforts to rebuild America’s tech manufacturing and policies aimed at curtailing China’s access to technologies with military applications have received broad bipartisan support. American policies have provoked a strong reaction in China, which is increasing research & development investments in ways that may enable its scientists to outpace the West and which could result in parallel, mutually exclusive global tech systems.

- Can the U.S. isolate China from the global innovation system without harming that system, which relies on openness and internationalization?
- Do third countries support policies that isolate China technologically?
- Can such efforts succeed?
- Is the U.S. making the investments and training and attracting the talent needed to remain a tech superpower?
- How big a problem is Chinese intellectual property theft in 2021?

**Xiaomeng Lu**, Senior Analyst, Geotechnology, Eurasia Group  
**Samm Sacks**, Senior Fellow, Yale Law School  
**Paul Tsai China Center & New America**  
**Adam Segal**, Director, Digital and Cyberspace Program, Council on Foreign Relations  
**Paul Triolo**, Practice Head, Geotechnology, Eurasia Group
12:00 - 1:30 pm

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Yanzhong Huang, Senior Fellow for Global Health, Council on Foreign Relations

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Jessica Batke, Senior Editor, ChinaFile, Asia Society
Teng Biao, Grove Human Rights Scholar, Hunter College
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**SATURDAY, October 16:**
9:00am  
Participants depart hotel

10:03am  
Depart New York City’s Moynihan Station on Acela Train #2249

1:03pm  
Arrive at Washington Union Station
From: Lauren Kennedy <Lauren.Kennedy@aspeninstitute.org>
Date: Wednesday, August 18, 2021 at 1:00 PM
To: kate.durkin@mail.house.gov <kate.durkin@mail.house.gov>
Subject: Aspen Institute Congressional Invite - US/China Conference in NYC - October 14-16

Hi Kate –

On behalf of the Aspen Institute Congressional Program Executive Director, former Rep. Charlie Dent, I would like to invite you to join us for our upcoming senior congressional staff initiative, *American China Strategy: What Really Works?*, held in New York City, October 14-16. The agenda outline is attached for your consideration.

The Aspen Institute Congressional Program is a non-profit organization that hosts educational events for Members of Congress as well as their staff. We are convening in New York to be able to utilize scholarship resident in NYC, and will be meeting at the Asia Society. The Congressional Program will coordinate and pay for all travel, lodging, and meals. We are funded entirely by foundations, and will be happy to work with you as you submit the required ethics paperwork.

The conference will be limited to 18 senior congressional staff, so if you’re interested in participating, please let us know as soon as possible to reserve your spot. Should you have any questions, don’t hesitate to reach out.

Best,

Lauren

Lauren Kennedy
Manager of Congressional Engagement
Aspen Institute Congressional Program
Cell: 909.583.3698
Email: lauren.kennedy@aspeninstitute.org