



U.S. House of Representatives

# COMMITTEE ON ETHICS

## Employee Post-Travel Disclosure Form

Original  Amendment

This form is for disclosing the receipt of travel expenses from private sources for travel taken in connection with official duties. This form does not eliminate the need to report privately-funded travel on the annual *Financial Disclosure Statements* of those employees required to file them. In accordance with House Rule 25, clause 5, **you must complete this form and file it with the Clerk of the House, B-81 Cannon House Office Building, within 15 days after travel is completed.** Please **do not** file this form with the Committee on Ethics.

**NOTE: Willful or knowing misrepresentations on this form may be subject to criminal prosecution pursuant to 18 U.S.C. § 1001.**

1. Name of Traveler: Shiouyu Theresa Lou
2. a. Name of Accompanying Relative: \_\_\_\_\_ **OR** None   
 b. Relationship to Traveler:  Spouse  Child  Other (specify): \_\_\_\_\_
3. a. Dates: Departure: February 20, 2020 Return: February 23, 2020  
 b. Dates at Personal Expense, if any: \_\_\_\_\_ **OR** None
4. Departure City: Washington, DC Destination: San Juan, Puerto Rico Return City: Washington, DC
5. Sponsor(s), Who Paid for the Trip: Information Technology and Innovation Fund
6. Describe Meetings and Events Attended: I participated in sessions pertaining to AI, American competitiveness, fifth-generation technology, and other technological innovations.
7. Attached to this form are **each** of the following, **signify that each item is attached by checking the corresponding box:**
  - a.  a completed *Sponsor Post-Travel Disclosure Form*;
  - b.  the *Primary Trip Sponsor Form* completed by the trip sponsor **prior** to the trip, **including all attachments and** the *Grantmaking or Non-Grantmaking Sponsor Forms*;
  - c.  page 2 of the completed *Traveler Form* submitted by the employee; **and**
  - d.  the letter from the Committee on Ethics approving my participation on this trip.
8. a. I represent that I participated in each of the activities reflected in the attached sponsor's agenda.  
**Signify statement is true by checking the box:**   
 b. If not, explain: I did not participate in the Congressional Staff Panel on Saturday evening, as I did not receive permission from my office prior to departure to participate on said panel.

LEGISLATIVE RESOURCE CENTER  
280 MAR -4 PM 5:17  
OFFICE OF THE CLERK  
U.S. HOUSE OF REPRESENTATIVES

**I certify that the information contained on this form is true, complete, and correct to the best of my knowledge.**

Signature of Traveler:  Date: 2/28/2020

I authorized this travel in advance. I have determined that all of the expenses listed on the attached *Sponsor Post-Travel Disclosure Form* were necessary and that the travel was in connection with the employee's official duties and would not create the appearance that the employee is using public office for private gain.

Name of Supervising Member: Eliot L. Engel Date: 2/28/2020

Signature of Supervising Member:



U.S. House of Representatives

# COMMITTEE ON ETHICS

## Sponsor Post-Travel Disclosure Form

Original  Amendment

This form must be completed by an officer of any organization that served as the primary trip sponsor in providing travel expenses or reimbursement for travel expenses to House Members, officers, or employees under House Rule 25, clause 5. A completed copy of the form must be provided to each House Member, officer, or employee who participated on the trip *within ten days of their return*. You must answer all questions, and check all boxes, on this form for your submission to comply with House rules and the Committee's travel regulations. Failure to comply with this requirement may result in the denial of future requests to sponsor trips and/or subject the current traveler to disciplinary action or a requirement to repay the trip expenses.

**NOTE: Willful or knowing misrepresentations on this form may be subject to criminal prosecution pursuant to 18 U.S.C. § 1001.**

1. Sponsor(s) who paid for the trip: Information Technology and Innovation Foundation (ITIF)

2. Travel Destination(s): San Juan, Puerto Rico

3. Date of Departure: February 20, 2020 Date of Return: February 23, 2020

4. Name(s) of Traveler(s): Shiowm Theresa Lou

*Note: You may list more than one traveler on a form only if all information is identical for each person listed.*

5. Actual amount of expenses paid on behalf of, or reimbursed to, each individual named in Question 4:

	Total Transportation Expenses	Total Lodging Expenses	Total Meal Expenses	Total Other Expenses (dollar amount per item and description)
Traveler	\$621	\$825	\$458	\$1500 Waived Registration Fee
Accompanying Family Member				

6. All expenses connected to the trip were for actual costs incurred and not a *per diem* or lump sum payment. Signify statement is true by checking box:

I certify that the information contained in this form is true, complete, and correct to the best of my knowledge.

Signature: Jackie Whisman Date: 2/27/2020

Name: Jackie Whisman Title: Vice President

Organization: Information Technology and Innovation Foundation

I am an officer of the above-named organization. Signify statement is true by checking box:

Address: 700 K Street NW, Suite 600, Washington DC 20001

Telephone: (202) 626-5748 Email: jwhisman@itif.org

*Committee staff may contact the above-named individual if additional information is required.*

If you have questions regarding your completion of this form, please contact the Committee on Ethics at 202-225-7103.



U.S. House of Representatives

# COMMITTEE ON ETHICS

## TRAVELER FORM

1. Name of Traveler: Shiouyu Theresa Lou +
2. Sponsor(s) who will be paying for the trip: Information Technology and Innovation Foundation

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3. City and State OR Foreign Country of Travel: San Juan, Puerto Rico
4. a. Date of Departure: February 20, 2020 Date of Return: February 23, 2020
- b. Will you be extending the trip at your personal expense?  Yes  No  
If yes, list dates at personal expense: \_\_\_\_\_
5. a. Will you be accompanied by a family member at the sponsor's expense?  Yes  No **If yes:**
  - (1) Name of Accompanying Family Member: \_\_\_\_\_
  - (2) Relationship to Traveler:  Spouse  Child  Other (specify): \_\_\_\_\_
  - (3) Accompanying Family Member is at least 18 years of age:  Yes  No
6. a. Did the trip sponsor answer "Yes" to Question 8(c) on the *Primary Trip Sponsor Form* (i.e., travel is sponsored by an entity that employs a registered federal lobbyist or a foreign agent)?  Yes  No
- b. **If yes, and you are requesting lodging for two nights, explain why the second night is warranted:**  
\_\_\_\_\_  
\_\_\_\_\_

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7. *Primary Trip Sponsor Form* is attached, including agenda, invitee list, and any other attachments and contributing sponsor forms:  Yes  No  
NOTE: The agenda should show the traveler's individual schedule, including departure and arrival times and identify the specific events in which the traveler will be participating.
8. Explain why participation in the trip is connected to the traveler's individual official or representational duties. **Staff should include their job title and how the activities on the itinerary relate to their duties.**  
As a policy analyst for the House Foreign Affairs Committee covering issues related to the Indo-Pacific, this retreat would offer me an opportunity to better understand the policy implications regarding technology innovation, particularly on issues related to U.S.-China strategic competition.
9. Is the traveler aware of any registered federal lobbyists or foreign agents involved planning, organizing, requesting, or arranging the trip?  Yes  No
10. For staff travelers, to be completed by your employing Member:

### ADVANCED AUTHORIZATION OF EMPLOYEE TRAVEL

I hereby authorize the individual named above, an employee of the U.S. House of Representatives who works under my direct supervision, to accept expenses for the trip described in this request. I have determined that the above-described travel is in connection with my employee's official duties and that acceptance of these expenses will not create the appearance that the employee is using public office for private gain.

Signature of Employing Member

*Eric L. Enger*

Date

1/17/2020



U.S. House of Representatives

# COMMITTEE ON ETHICS

## Primary Trip Sponsor Form

This form should be completed by private entities offering to provide travel or reimbursement for travel to House Members, officers, or employees under House Rule 25, clause 5. A completed copy of the form (and any attachments) should be provided to each invited House Member, officer, or employee, who will then forward it to the Committee together with a *Traveler Form* at least 30 days before the start date of the trip. The trip sponsor should NOT submit the form directly to the Committee. The Committee website (ethics.house.gov) provides detailed instructions for filling out the form.

**NOTE: Willful or knowing misrepresentations on this form may be subject to criminal prosecution pursuant to 18 U.S.C. § 1001. Failure to comply with the Committee's Travel Regulations may also lead to the denial of permission to sponsor future trips.**

1. Sponsor who will be paying for the trip: Information Technology and Innovation Foundation (ITIF)
2. I represent that the trip will not be financed, in whole or in part, by a registered federal lobbyist or foreign agent.  
Signify that the statement is true by checking box:
3. **Check only one.** I represent that:
  - a. The primary trip sponsor has not accepted from any other source, funds intended directly or indirectly to finance any aspect of the trip:  OR
  - b. The trip is arranged without regard to congressional participation and the primary trip sponsor has accepted funds only from entities that will receive a tangible benefit in exchange for those funds:  OR
  - c. The primary trip sponsor has accepted funds from other source(s) intended directly or indirectly to finance all or part of this trip and has enclosed disclosure forms from each of those entities.If "c" is checked, list the names of the additional sponsors: \_\_\_\_\_
4. ~~Provide names and titles of ALL House Members and employees you are inviting. For each House invitee, provide an explanation of why the individual was invited (include additional pages if necessary): See Attachment 1~~
5. Is travel being offered to an accompanying family member of the House invitee(s)?  Yes  No
6. Date of Departure: February 20, 2020 Date of Return: February 23, 2020
7. a. City of departure: Washington, DC  
b. Destination(s): San Juan, PR  
c. City of return: Washington, DC
8. **Check only one.** I represent that:
  - a. The sponsor of the trip is an institution of higher education within the meaning of section 101 of the Higher Education Act of 1965:  OR
  - b. The sponsor of the trip does not retain or employ a registered federal lobbyist or foreign agent:  OR
  - c. The sponsor employs or retains a registered federal lobbyist or foreign agent, but the trip is for attendance at a one-day event and lobbyist / foreign agent involvement in planning, organizing, requesting, or arranging the trip was *de minimis* under the Committee's travel regulations.
9. **Check only one of the following:**
  - a. I checked 8(a) or (b) above:
  - b. I checked 8(c) above but am not offering any lodging:
  - c. I checked 8(c) above and am offering lodging and meals for one night:  OR
  - d. I checked 8(c) above and am offering lodging and meals for two nights:  If you checked this box, explain why the second night of lodging is warranted: \_\_\_\_\_



U.S. House of Representatives

# COMMITTEE ON ETHICS

10. Attached is a detailed agenda of the activities House invitees will be participating in during the travel (i.e., an hourly description of planned activities for trip invitees). *Indicate agenda is attached by checking box:*
11. **Check only one of the following:**
- a. I represent that a registered federal lobbyist or foreign agent will not accompany House Members or employees on any segment of the trip. *Signify that the statement is true by checking box:*  **OR**
- b. *Not Applicable.* Trip sponsor is a U.S. institution of higher education:
12. For *each* sponsor required to submit a sponsor form, describe the sponsor's interest in the subject matter of the trip *and* its role in organizing and/or conducting the trip:  
ITIF is a 501(c)(3) non-profit organization founded in 2006 whose mission is to formulate and promote public policies to advance innovation. Our fifth policy retreat will bring together leaders from business, government, and academia to facilitate discussions about the innovation challenges facing our nation.
13. **Answer parts a and b. Answer part c if necessary:**
- a. Mode of travel: Air  Rail  Bus  Car  Other  (specify: \_\_\_\_\_)
- b. Class of travel: Coach  Business  First  Charter  Other  (specify: \_\_\_\_\_)
- c. If travel will be first class, or by chartered or private aircraft, explain why such travel is warranted:  
\_\_\_\_\_
14. I represent that the expenditures related to local area travel during the trip will be unrelated to personal or recreational activities of the invitee(s). *Signify that the statement is true by checking box:*
15. **Check only one.** I represent that either:
- a. The trip involves an event that is arranged or organized *without regard* to congressional participation and that meals provided to congressional participants are similar to those provided to or purchased by other event attendees:  **OR**
- b. The trip involves events that are arranged specifically *with regard* to congressional participation:   
If "b" is checked:
- 1) Detail the cost *per day* of meals (approximate cost may be provided): \_\_\_\_\_
- 2) Provide the reason for selecting the location of the event or trip: \_\_\_\_\_
16. Name, nightly cost, and reasons for selecting each hotel or other lodging facility:
- Hotel Name: Caribe Hilton City: San Juan, Puerto Rico Cost Per Night: \$275  
Reason(s) for Selecting: Availability of rooms and event space, pricing, proximity to major airport.
- Hotel Name: \_\_\_\_\_ City: \_\_\_\_\_ Cost Per Night: \_\_\_\_\_  
Reason(s) for Selecting: \_\_\_\_\_
- Hotel Name: \_\_\_\_\_ City: \_\_\_\_\_ Cost Per Night: \_\_\_\_\_  
Reason(s) for Selecting: \_\_\_\_\_
17. I represent that all expenses connected to the trip will be for actual costs incurred and not a per diem or lump sum payment. *Signify that the statement is true by checking box:*



U.S. House of Representatives

# COMMITTEE ON ETHICS

**18. Total Expenses for each Participant:**

<input type="checkbox"/> Actual Amounts	Total Transportation Expenses per Participant	Total Lodging Expenses per Participant	Total Meal Expenses per Participant
<input checked="" type="checkbox"/> Good Faith Estimates			
For each Member, Officer, or Employee	\$600	\$825	\$200
For each Accompanying Family Member			

	Other Expenses (dollar amount per item)	Identify Specific Nature of "Other" Expenses (e.g., taxi, parking, registration fee, etc.)
For each Member, Officer, or Employee		
For each Accompanying Family Member		

NOTE: Willful or knowing misrepresentations on this form may be subject to criminal prosecution pursuant to 18 U.S.C. § 1001.

**19. Check only one:**

- a. I certify that I am an officer of the organization listed below:  OR
- b. *Not Applicable.* Trip sponsor is an individual or a U.S. institution of higher education.

20. I certify that I am not a registered federal lobbyist or foreign agent for any sponsor of this trip.

21. I certify by my signature that the information contained in this form is true, complete, and correct to the best of my knowledge.

Signature: Jackie Whisman Date: 1/15/2020

Name: Jackie Whisman

Title: Vice President

Organization: Information Technology and Innovation Foundation

Address: 700 K Street NW, Suite 600, Washington DC 20001

Telephone: (202) 626-5748

Email: jwhisman@itif.org

If there are any questions regarding this form, please contact the Committee at the following address:

**Committee on Ethics**  
 U.S. House of Representatives  
 1015 Longworth House Office Building, Washington, D.C. 20515  
 Phone: 202-225-7103 General Fax: 202-225-7392

Theodore E. Deutch, Florida  
*Chairman*  
Kenny Marchant, Texas  
*Ranking Member*

Grace Meng, New York  
Susan Wild, Pennsylvania  
Dean Phillips, Minnesota  
Anthony Brown, Maryland

John Ratcliffe, Texas  
George Holding, North Carolina  
Jackie Walorski, Indiana  
Michael Guest, Mississippi



ONE HUNDRED SIXTEENTH CONGRESS

## U.S. House of Representatives

### COMMITTEE ON ETHICS

Thomas A. Rust  
*Staff Director and Chief Counsel*

David W. Arrojo  
*Counsel to the Chairman*

Christopher A. Doneso  
*Counsel to the Ranking Member*

1015 Longworth House Office Building  
Washington, D.C. 20515-6328  
Telephone: (202) 225-7103  
Facsimile: (202) 225-7392

February 14, 2020

Ms. Theresa Lou  
Committee on Foreign Affairs  
2170 Rayburn House Office Building  
Washington, DC 20515

Dear Ms. Lou:

Pursuant to House Rule 25, clause 5(d)(2), the Committee on Ethics hereby approves your proposed trip to San Juan, Puerto Rico, scheduled for February 20 to 23, 2020, sponsored by Information Technology and Innovation Foundation (ITIF).

You must complete an Employee Post-Travel Disclosure Form (which your employing Member must also sign) and file it, together with a Sponsor Post-Travel Disclosure Form completed by the trip sponsor, with the Clerk of the House within 15 days after your return from travel. As part of that filing, you are also required to attach a copy of this letter and both the Traveler and Primary Trip Sponsor Forms (including attachments) you previously submitted to the Committee in seeking pre-approval for this trip. If you are required to file an annual Financial Disclosure Statement, you must also report all travel expenses totaling more than \$390 from a single source on the "Travel" schedule of your annual Financial Disclosure Statement covering this calendar year. Finally, Travel Regulation § 404(d) also requires you to keep a copy of all request forms and supporting information provided to the Committee for three subsequent Congresses from the date of travel.

If you have any further questions, please contact the Committee's Office of Advice and Education at extension 5-7103.

Sincerely,

Theodore E. Deutch  
Chairman

Kenny Marchant  
Ranking Member

TED/KM:mso

## ITIF'S FIFTH ANNUAL INNOVATION POLICY RETREAT

February 20 – 22, 2020 | Caribe Hilton | San Juan, Puerto Rico

### Thursday, February 20, 2020

- 8:15 AM** United Airlines Flight 2021 Departs Dulles International Airport (IAD)
- 1:01 PM** United Airlines Flight 2021 Arrives San Juan International Airport (SJU)
- 1:30 – 2:15 PM** Travel Time from SJU (boxed lunch provided)
- 2:30 – 4:30 PM** **“Rebuilding Puerto Rico” Service Event with University of Puerto Rico Mentors and High School Student Innovators from Bayamón, Puerto Rico**  
*ITIF has organized a monthlong collaboration between University of Puerto Rico technologists and high school students from five public schools in during which the high school innovators will identify a public challenge and use technology to solve it. Many of the projects will be related to rebuilding the island’s technology infrastructure. The students and their University mentors will present their work and findings to our group and accept feedback and additional mentorship from attendees.*  
Program Timing: Introductions by Rob Atkinson, ITIF (10 min); Student Presentations (15 min each/75 min total); Q&A (35 min)
- 5:30 – 7:00 PM** **Welcome Reception**
- 7:00 – 8:30 PM** **Dinner + Fireside Chat with Commissioner Geoffrey Starks, Federal Communications Commission**  
Program Timing: Dinner Buffet (30 min); Moderated Fireside Chat (30 min); “Innovation Trivia” Icebreaker (30 min)

### Friday, February 21, 2020

#### *Theme: Innovation and Competitiveness*

- 8:00 – 8:30 AM** **Breakfast**
- 8:30 – 9:00 AM** **Welcome Remarks: Congressman Darren Soto (FL-09)**
- 9:15 – 10:45 AM** **Breakout Sessions**
- Genomics, Data and the Future of Drug Innovation (Moderated by Stephen Ezell, ITIF)
  - IoT and Smart Cities: Achieving the Promise (Moderated by Daniel Castro, ITIF)
  - Techlash: What to Do (Moderated by Rob Atkinson, ITIF)
  - The Rural Broadband Dilemma: Challenges and Solutions (Moderated by Doug Brake, ITIF)



# ITIF | INFORMATION TECHNOLOGY & INNOVATION FOUNDATION

- 12:30 – 1:45 PM**     **Panel: International Internet Policy Issues**  
Moderator: Nigel Cory, Associate Director, Trade Policy, ITIF  
Confirmed: Shannon Coe, International Trade Administration
- 2:00 – 3:30 PM**     **Panel: Section 230 and Tech Liability**  
Moderator: Daniel Castro, Vice President, ITIF and Director of ITIF's Center for Data Innovation  
Confirmed: Dagmar Bartels, OLX Group; Mike Castellano, The Walt Disney Company; Jamie Susskind, Consumer Technology Association
- 5:00 – 6:30 PM**     **Reception**
- 6:30 – 8:00 PM**     **Dinner + Congressional Staff Panel: Innovation Policy in the 116<sup>th</sup> Congress**  
Erica Andeweg, Senator Deb Fischer  
Lyndsey Barnes, Rep. Brian Higgins  
Moiria Bergin, House Homeland Security Committee  
Sasha Bernhard, Rep. Suzan DelBene  
Roddy Flynn, Rep. Mary Gay Scanlon  
Aruna Kalyanam, House Ways & Means Committee  
John Lin, Senate Commerce Committee  
Theresa Lou, House Foreign Affairs Committee  
Kurt Lynch, Senator Catherine Cortez Masto  
Stephen Newton, Senator Mitt Romney  
Dao Nguyen, House Future Forum/Rep. Stephanie Murphy  
George Redden, Senator Jerry Moran  
Jacob Reses, Senator Josh Hawley  
Dan Smith RuBoss, Rep. Lloyd Doggett  
Mimi Strobel, Senator Ron Johnson  
Troy D. Stock, Senate Republican Policy Committee  
Nicole Varner, Rep. Mark Veasey  
Conor Walsh, Rep. Tom Suozzi  
Austin Walton, Rep. Tim Walberg/Whip Steve Scalise

## Sunday, February 23, 2020

- 9:00 AM**     **Breakfast Buffet Available**
- 11:00 AM**     **Hotel Check Out**
- 12:30 PM**     **Flight Check In**
- 1:56 PM**     **United Flight 2022 Departs SJU**
- 4:50 PM**     **United Flight 2022 Arrives IAD**

**Attachment 1: Congressional Invitees:**

These Members and staffers were invited to attend because of their relevant work and jurisdiction on the innovation policy issues we plan to cover at the event, including broadband, intellectual property, antitrust, internet, emerging technologies, and international competitiveness.

Keith	Abouchar	House Democratic Majority Leader Steny Hoyer
Liz	Amster	Rep. Kathleen Rice
Erica	Andeweg	U.S. Senator Deb Fischer
Rachel	Appelton	U.S. Senate Judiciary Committee
Jodey	Arrington	U.S. House of Representatives
Tammy	Baldwin	U.S. Senate
Lyndsey	Barnes	Rep. Brian Higgins
Brendan	Belair	House Judiciary Committee
Ami	Bera	U.S. House of Representatives
Moira	Bergin	Cybersecurity, Infrastructure Protection and Innovation Subcommittee
Sasha	Bernhard	Rep. Suzan DelBene
Marsha	Blackburn	U.S. Senate
Susan	Brooks	U.S. House of Representatives
Angela	Brown	Rep. Darren Soto
Aimee	Collins-Mandeville	Rep. Val Demings
Becky	Cornell	COS, Pete Aguilar
Alexandrine	De Bianchi	U.S. Senator Jacky Rosen
Suzan	DelBene	U.S. House of Representatives
Michael	Demakos	Rep. Kathleen Rice
Max	Ernst	Rep. Abby Finkenauer
Adam	Finkel	Blue Dog Coalition
Deb	Fischer	U.S. Senate
Roddy	Flynn	Rep. Mary Gay Scanlon
Mike	Flynn	Senate Homeland Security and Governmental Affairs Committee
Justin	German	COS, Haley Stevens
Evan	Giesemann	Rep. Terri Sewell
Lisa	Goldman	Consumer Protection Subcommittee, House Energy & Commerce Committee
Jennifer	Gonzalez-Colon	U.S. House of Representatives
David	Greengrass	House Judiciary Committee

Anant	Raut	U.S. Senate Judiciary Committee
Scott	Reber	Senator James Risch
George	Redden	Senator Jerry Moran
Jacob	Reses	U.S. Senator Josh Hawley
James	Risch	U.S. Senate
Bill	Rockwood	Rep. Darren Soto
Jason	Rodriguez	Steven Horsford
Jeff	Rothblum	HSGAC--Dem
Dominic	Saavedra	Senator Heinrich
Aaron	Schmidt	Rep. Suzan DelBene
David	Schweikert	U.S. House of Representatives
Mikie	Sherrill	U.S. House of Representatives
Elissa	Slotkin	U.S. House of Representatives
Dan	Smith	Rep. Doggett
Darren	Soto	U.S. House of Representatives
Charlyn	Stanberry	Rep. Yvette Clarke
Haley	Stevens	U.S. House of Representatives
Troy D.	Stock	U.S. Senate Republican Policy Committee
Mimi	Strobel	U.S. Senator Ron Johnson
Dan	Swanson	U.S. Senate Judiciary Committee
Eric	Teetsel	Senator Josh Hawley
Lori	Trahan	U.S. House of Representatives
Olivia	Trusty	Senate Commerce
Nicole	Varner	Mark Veasey
Marc	Veasey	U.S. House of Representatives
Evan	Viau	House Energy and Commerce
Jessica	Vu	U.S. Senator Marsha Blackburn
Conor	Walsh	Rep. Suozzi
Austin	Walton	Rep. Walberg & Whip Scalise
Brandon	Webb	Robin Kelly
Jay	Weismuller	U.S. Senator Maggie Hassan
Carolina	Young	U.S. Senator Mark Warner