

U.S. House of Representatives
Committee on Ethics

EMPLOYEE POST-TRAVEL DISCLOSURE FORM

This form is for disclosing the receipt of travel expenses from private sources for travel taken in connection with official duties. This form does not eliminate the need to report privately-funded travel on the annual Financial Disclosure Statements of those employees required to file them. In accordance with House Rule 25, clause 5, you must complete this form and file it with the Clerk of the House, B-106 Cannon House Office Building, within 15 days after travel is completed. Please do not file this form with the Committee on Ethics.

NOTE: Willful or knowing misrepresentations on this form may be subject to criminal prosecution pursuant to 18 U.S.C. § 1001.

1. Name of Traveler: Wendell E. Primus

2. a. Name of accompanying relative: _____ or None

b. Relationship to Traveler: Spouse Child Other (specify): _____

3. a. Dates of departure and return: Departure: 10-2-19 Return: 10-3-19

b. Dates at personal expense (if any): _____ or None

4. Departure city: D.C. Destination: CHARLOTTE, NC Return city: D.C.

5. Sponsor(s) (who paid for the trip): THE ASPEN INSTITUTE, INC (ECONOMY & SECURITY PROGRAM)

6. Describe meetings and events attended: All the meetings in the attached Agenda

7. Attached to this form are EACH of the following (signify that each item is attached by checking the corresponding box):

- a. a completed Sponsor Post-Travel Disclosure Form;
- b. the Primary Trip Sponsor Form completed by the trip sponsor prior to the trip, including all attachments and Grantmaking or Non-Grantmaking Sponsor Forms;
- c. page 2 of the completed Traveler Form submitted by the employee; and
- d. the letter from the Committee on Ethics approving my participation on this trip.

8. a. I represent that I participated in each of the activities reflected in the attached sponsor's agenda. (Signify that statement is true by checking box):

b. If not, explain: _____

I certify that the information contained on this form is true, complete, and correct to the best of my knowledge.

SIGNATURE OF TRAVELER: Wendell E. Primus DATE: 11-25-19

I authorized this travel in advance. I have determined that all of the expenses listed on the attached Sponsor Post-Travel Disclosure form were necessary and that the travel was in connection with the employee's official duties and would not create the appearance that the employee is using public office for private gain.

NAME OF SUPERVISING MEMBER: Speaker Nancy Pelosi DATE: 11-25-19

SIGNATURE OF SUPERVISING MEMBER: Nancy Pelosi



U.S. House of Representatives

COMMITTEE ON ETHICS

Sponsor Post-Travel Disclosure Form

Original Amendment

This form must be completed by an officer of any organization that served as the primary trip sponsor in providing travel expenses or reimbursement for travel expenses to House Members, officers, or employees under House Rule 25, clause 5. **A completed copy of the form must be provided to each House Member, officer, or employee who participated on the trip within ten days of their return.** You must answer all questions, and check all boxes, on this form for your submission to comply with House rules and the Committee's travel regulations. Failure to comply with this requirement may result in the denial of future requests to sponsor trips and/or subject the current traveler to disciplinary action or a requirement to repay the trip expenses.

NOTE: Willful or knowing misrepresentations on this form may be subject to criminal prosecution pursuant to 18 U.S.C. § 1001.

1. Sponsor(s) who paid for the trip: The Aspen Institute, Inc (Education & Society Program)

2. Travel Destination(s): Charlotte, NC

3. Date of Departure: October, 2, 2019 Date of Return: October 3, 2019

4. Name(s) of Traveler(s): Wendell Primus

Note: You may list more than one traveler on a form only if all information is identical for each person listed.

5. Actual amount of expenses paid on behalf of, or reimbursed to, each individual named in Question 4:

	Total Transportation Expenses	Total Lodging Expenses	Total Meal Expenses	Total Other Expenses (dollar amount per item and description)
Traveler	\$577.50	\$149.83	\$228.90	N/A
Accompanying Family Member	N/A	N/A	N/A	N/A

6. All expenses connected to the trip were for actual costs incurred and not a *per diem* or lump sum payment. Signify statement is true by checking box:

I certify that the information contained in this form is true, complete, and correct to the best of my knowledge.

Signature: Date: 10/11/19

Name: Elliot Gerson Title: EVP of Policy & Public Program

Organization: The Aspen Institute

I am an officer of the above-named organization. Signify statement is true by checking box:

Address: 2300 N St NW, St 700 Washington DC 20037

Telephone: 202-736-5859 (Lisa Jones, Deputy General Counsel) Email: lisa.jones@aspeninstitute.org

Committee staff may contact the above-named individual if additional information is required.

If you have questions regarding your completion of this form, please contact the Committee on Ethics at 202-225-7103.

U.S. House of Representatives
Committee on Ethics

TRAVELER FORM

1. Name of Traveler: Wendell E. Primus
2. Sponsor(s) (who will be paying for the trip): The Aspen Institute, Inc.
(Education + Society Program)
3. Travel destination(s): CHARLOTTE, NC
4. a. Date of departure 10-2-19 Date of return: 10-3-19
b. Will you be extending the trip at your personal expense? Yes No
If yes, dates at personal expense: _____
5. a. Will you be accompanied by a relative at the sponsor's expense? Yes No
b. If yes:
(1) Name of accompanying relative: _____
(2) Relationship to traveler: Spouse Child Other (specify): _____
(3) Accompanying relative is at least 18 years of age: Yes No
6. a. Did the trip sponsor answer "yes" to Question 9(d) on the Primary Trip Sponsor Form (i.e., travel is sponsored by an entity that employs a registered federal lobbyist or foreign agent and you are requesting lodging for two nights)? Yes No
b. If yes, explain why the second night of lodging is warranted:

7. Primary Trip Sponsor Form is attached, including agenda, invitee list, and any other attachments and contributing sponsor forms: Yes No
NOTE: The agenda should show the traveler's individual schedule, including departure and arrival times and identify the specific events in which the traveler will be participating.

8. Explain why participation in the trip is connected to the traveler's individual official or representational duties. Staff should include their job title and how the activities on the itinerary relate to their duties.

Senior Budget and Health Policy Advisor
Handle social welfare issues for the speaker
including property and child welfare issues

9. Is the traveler aware of any registered federal lobbyists or foreign agents involved in planning, organizing, requesting, and/or arranging the trip? Yes No

10. **FOR STAFF TRAVELERS:**

TO BE COMPLETED BY YOUR EMPLOYING MEMBER:

ADVANCED AUTHORIZATION OF EMPLOYEE TRAVEL

I hereby authorize the individual named above, an employee of the U.S. House of Representatives who works under my direct supervision, to accept expenses for the trip described in this request. I have determined that the above-described travel is in connection with my employee's official duties and that acceptance of these expenses will not create the appearance that the employee is using public office for private gain.

Date: 8/29/19

Nancy Pelosi
Signature of Employing Member

U.S. House of Representatives
Committee on Ethics

PRIMARY TRIP SPONSOR FORM

This form should be completed by private entities offering to provide travel or reimbursement for travel to House Members, officers, or employees under House Rule 25, clause 5. A completed copy of the form (and any attachments) should be provided to each invited House Member, officer, or employee, who will then forward it to the Committee together with a Traveler Form at least 30 days before the start date of the trip. The trip sponsor should NOT submit the form directly to the Committee. The Committee Web site (ethics.house.gov) provides detailed instructions for filling out the form.

NOTE: Willful or knowing misrepresentations on this form may be subject to criminal prosecution pursuant to 18 U.S.C. § 1001. Failure to comply with the Committee's Travel Regulations may also lead to the denial of permission to sponsor future trips.

1. Sponsor (who will be paying for the trip): _____
The Aspen Institute, Inc. (Education and Society Program)
2. I represent that the trip will not be financed (in whole or in part) by a registered federal lobbyist or foreign agent (signify that the statement is true by checking box):
3. Check only one: I represent that:
 - a. the primary trip sponsor has not accepted from any other source funds intended directly or indirectly to finance any aspect of the trip *or*
 - b. the trip is arranged without regard to congressional participation and the primary trip sponsor has accepted funds only from entities that will receive a tangible benefit in exchange for those funds *or*
 - c. the primary trip sponsor has accepted funds from other source(s) intended directly or indirectly to finance all or part of this trip and has enclosed disclosure forms from each of those entities.
If "c" is checked, list the names of the additional sponsors: _____
The Bill & Melinda Gates Foundation
4. Provide names and titles of ALL House Members and employees you are inviting. For each House invitee, provide an explanation of why the individual was invited (include additional pages if necessary):
See attached list (note: all are senior education staffers responsible for education policy)
5. Is travel being offered to an accompanying relative of the House invitee(s)? Yes No
6. Date of departure: October 2, 2019 Date of return: October 3, 2019
7. a. City of departure: Washington, DC
b. Destination(s): Charlotte, NC
c. City of return: Washington, DC
8. I represent that (check one of the following):
 - a. The sponsor of the trip is an institution of higher education within the meaning of section 101 of the Higher Education Act of 1965: *or*
 - b. The sponsor of the trip does not retain or employ a registered federal lobbyist or foreign agent: *or*
 - c. The sponsor employs or retains a registered federal lobbyist or foreign agent, but the trip is for attendance at a one-day event and lobbyist/foreign agent involvement in planning, organizing, requesting, or arranging the trip was *de minimis* under the Committee's travel regulations.
9. Check one of the following:
 - a. I checked 8(a) or (b) above:
 - b. I checked 8(c) above but am not offering any lodging:
 - c. I checked 8(c) above and am offering lodging and meals for one night: *or*
 - d. I checked 8(c) above and am offering lodging and meals for two nights:
If "d" is checked, explain why the second night of lodging is warranted: _____

10. Attached is a detailed agenda of the activities the House invitees will be participating in during the travel (i.e., an hourly description of planned activities for trip invitees) (indicate agenda is attached by checking box):

11. Check one:

- a. I represent that a registered federal lobbyist or foreign agent will not accompany House Members or employees on any segment of the trip (signify that the statement is true by checking box): or
b. N/A – trip sponsor is a U.S. institution of higher education.

12. For each sponsor required to submit a sponsor form, describe the sponsor's interest in the subject matter of the trip and its role in organizing and/or conducting the trip:

The Aspen Institute's Education & Society Program has a 12 year history of providing non-partisan education programs for Congressional staff. The purpose of this trip is to convene a conference to discuss issues related to mobility from poverty with a focus on efforts and experience in the Charlotte metro area. The Institute's Education & Society Program is the sole sponsor and organizer of the conference. The Bill & Melinda Gates Foundation provides funding to the Education & Society Program, but does not have a role in organizing or conducting the conference.

13. Answer parts a and b. Answer part c if necessary.

- a. Mode of travel: Air Rail Bus Car Other (Specify: _____)
b. Class of travel: Coach Business First Charter Other (Specify: _____)
c. If travel will be first class or by chartered or private aircraft, explain why such travel is warranted:

14. I represent that the expenditures related to local area travel during the trip will be unrelated to personal or recreational activities of the invitee(s). (signify that the statement is true by checking box):

15. I represent that either (check one of the following):

- a. The trip involves an event that is arranged or organized *without regard* to congressional participation and that meals provided to congressional participants are similar to those provided to or purchased by other event attendees: or
b. The trip involves events that are arranged specifically *with regard* to congressional participation:

If "b" is checked:

1) Detail the cost per day of meals (approximate cost may be provided): _____
10/2/19 \$45, 10/3/19 \$30

2) Provide reason for selecting the location of the event or trip: _____

A recent study ranked Charlotte last among 50 US metro areas for upward mobility.

Staff will learn about these issues and hear from community leaders.

16. Name, nightly cost, and reasons for selecting each hotel or other lodging facility:

Hotel name: Omni Hotel City: Charlotte Cost per night: \$130.00

Reason(s) for selecting: Close proximity to the meeting sites

Hotel name: _____ City: _____ Cost per night: _____

Reason(s) for selecting: _____

Hotel name: _____ City: _____ Cost per night: _____

Reason(s) for selecting: _____

17. I represent that all expenses connected to the trip will be for actual costs incurred and not a per diem or lump sum payment. (signify that the statement is true by checking box):

18. TOTAL EXPENSES FOR EACH PARTICIPANT:

<input type="checkbox"/> actual amounts <input checked="" type="checkbox"/> good faith estimates	Total Transportation Expenses per Participant	Total Lodging Expenses per Participant	Total Meal Expenses per Participant
For each Member, Officer, or employee	\$415	\$130	\$75
For each accompanying relative	n/a	n/a	n/a

	Other Expenses (dollar amount per item)	Identify Specific Nature of "Other" Expenses (e.g., taxi, parking, registration fee, etc.)
For each Member, Officer, or employee	n/a	n/a
For each accompanying relative	n/a	n/a


NOTE: Willful or knowing misrepresentations on this form may be subject to criminal prosecution pursuant to 18 U.S.C. § 1001.

19. Check one:

- a. I certify that I am an officer of the organization listed below. *or*
 b. N/A – sponsor is an individual or a U.S. institution of higher education.

20. I certify that I am not a registered federal lobbyist or foreign agent for any sponsor of this trip.

21. I certify by my signature that the information contained in this form is true, complete, and correct to the best of my knowledge.

Signature: 
 Name: Elliot Gerson
 Title: Executive Vice President, Policy & Public Programs
 Organization: The Aspen Institute
 Address: 2300 N Street NW, Suite 700, Washington, DC 20037
 Telephone number: 202-736-5859 (Lisa Jones, Deputy General Counsel)
 Email address: lisa.jones@aspenninst.org

If there are any questions regarding this form please contact the Committee at the following address:

Committee on Ethics
 U.S. House of Representatives
 1015 Longworth House Office Building
 Washington, DC 20515
 (202) 225-7103 (phone)
 (202) 225-7392 (general fax)

U.S. House of Representatives
Committee on Ethics

GRANTMAKING TRIP SPONSOR FORM

This form should be completed by a public charity or private foundation (both as defined under section 501(c)(3) of the Internal Revenue Code) that provides a grant of funds to another entity to underwrite, in whole or in part, a trip or an event, meal, or activity that will occur during a trip, or a necessary expense that will be incurred during a trip, with express or implicit knowledge or understanding that one or more House Members or employees may participate in or attend that trip or event, or otherwise may be beneficiaries of the gift or donation. Provide a copy of your completed form to the primary sponsor of the trip.

NOTE: Willful or knowing misrepresentations on this form may be subject to criminal prosecution pursuant to 18 U.S.C. § 1001. Failure to comply with the Committee's Travel Regulations may also lead to the denial of permission to sponsor future trips.

1. I certify that (name of your organization): the Bill & Melinda Gates Foundation
has been designated a § 501(c)(3) nonprofit charitable organization by the Internal Revenue Service.
 Yes No
2. Name of Primary Trip Sponsor: Aspen Education and Society Program
3. I certify that my organization (*complete a or b*):
 - a. Has provided a grant, gift, or donation to the above-named Primary Trip Sponsor and conducts an audit or review of its grant, gift, or donation to ensure that the funds are spent in accordance with the terms of its grant, gift, or donation. or
 - b. Has had a direct role in the organizing, planning, or conducting of a trip to
(destination) _____ on (date) _____ that is
being organized or arranged by the above-named Primary Trip Sponsor.
4. Check one:
 - a. My organization does not employ or retain a registered federal lobbyist or foreign agent or
 - b. My organization employs a registered federal lobbyist or foreign agent, but their involvement in planning, organizing, or arranging the trip was *de minimis* under the travel regulations.
5. I certify that I am not a registered federal lobbyist or foreign agent for any sponsor of this trip.
6. I certify by my signature that the information contained in this form is true, complete, and correct to the best of my knowledge.

Signature: Patrick Murray
Name: Patrick Murray Title: Senior Program Officer
Organization: Bill & Melinda Gates Foundation
Address: 1300 I Street NW, Washington, D.C. 20005
Telephone number: 202-662-8130 Email: patrick.murray@gatesfoundation.org

If there are any questions regarding this form please contact the Committee at the following address:

Committee on Ethics
U.S. House of Representatives
1015 Longworth House Office Building
Washington, DC 20515
(202) 225-7103 (phone)
(202) 225-7392 (general fax)

Theodore E. Deutch, Florida
Chairman
Kenny Marchant, Texas
Ranking Member

Grace Meng, New York
Susan Wild, Pennsylvania
Dean Phillips, Minnesota
Anthony Brown, Maryland

John Ratcliffe, Texas
George Holding, North Carolina
Jackie Walorski, Indiana
Michael Guest, Mississippi



ONE HUNDRED SIXTEENTH CONGRESS

U.S. House of Representatives
COMMITTEE ON ETHICS

Thomas A. Rust
Staff Director and Chief Counsel

David W. Arrojo
Counsel to the Chairman

Christopher A. Doneso
Counsel to the Ranking Member

1015 Longworth House Office Building
Washington, D.C. 20515-6328
Telephone: (202) 225-7103
Facsimile: (202) 225-7392

October 1, 2019

Mr. Wendell Primus
Office of the Speaker
H-232, The Capitol
Washington, DC 20515

Dear Mr. Primus:

Pursuant to House Rule 25, clause 5(d)(2), the Committee on Ethics hereby approves your proposed trip to Charlotte, North Carolina, scheduled for October 2 to 3, 2019, sponsored by the Aspen Institute, Inc., with financial support from the Bill & Melinda Gates Foundation.

You must complete an Employee Post-Travel Disclosure Form (which your employing Member must also sign) and file it, together with a Sponsor Post-Travel Disclosure Form completed by the trip sponsor, with the Clerk of the House within 15 days after your return from travel. As part of that filing, you are also required to attach a copy of this letter and both the Traveler and Primary Trip Sponsor Forms (including attachments) you previously submitted to the Committee in seeking pre-approval for this trip. If you are required to file an annual Financial Disclosure Statement, you must also report all travel expenses totaling more than \$390 from a single source on the "Travel" schedule of your annual Financial Disclosure Statement covering this calendar year. Finally, Travel Regulation § 404(d) also requires you to keep a copy of all request forms and supporting information provided to the Committee for three subsequent Congresses from the date of travel.

If you have any further questions, please contact the Committee's Office of Advice and Education at extension 5-7103.

Sincerely,

Theodore E. Deutch
Chairman

Kenny Marchant
Ranking Member

TED/KM:mso



Aspen Retreat for Senior Congressional Staff Network on Mobility from Poverty

One Community's Efforts to Increase Mobility with a Focus on Housing and Social

**Omni Hotel
132 E. Trade St.
Charlotte, NC 28202**

October 2-3, 2019

CONGRESSIONAL STAFF

Amy Jones

Director of Education &
Human Service Policy
Committee on Education
and Labor

Jazz Lewis

Senior Advisor
Office of Majority Leader
Steny Hoyer

Morna Miller

Staff Director
Ways and Means Subcommittee on Worker and
Family Support

Wendell Primus

Senior Policy Advisor
Minority Leader,
Nancy Pelosi

Rachel West

Senior Economic Policy Advisor
House Committee on Education and
Labor

FACULTY

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Charlotte, NC 28202

Brian Collier
Executive Vice President
Foundation for the Carolinas
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Charlotte, NC 28202

Khandi Deitemeyer
President
Central Piedmont Community College
220 N Tryon Street
Charlotte, NC 28235

Stephanie Kripa Cooper-Lewter, Ph.D.
Executive Director
Leading on Opportunity
428 East 4th Street, Suite 407
Charlotte, NC 28202

Scott Farmer
Executive Director
North Carolina Housing Finance Agency
3508 Bush Street
Raleigh, NC 27609

Ophelia Garmon-Brown, MD, M.Div.
Chief Community Health and Wellness
Officer
Novant Health
108 Providence Road, 3rd Floor
Charlotte, NC 28207

Rob Kelly
President and CEO
FORCLT
7427 Matthews-Mint Hill Rd.
Ste. 105-199
Charlotte, NC 28227

William H. "Mack" McDonald
Chief Executive Officer
Renaissance West Community Initiative
3610 Nobles Ave #199
Charlotte, NC 28208

A. Fulton Meachem, Jr.
President and Chief Executive Officer
Charlotte Housing Authority(CHA)
400 East Blvd
Charlotte, NC 28203

Dionne Nelson
President and CEO
Laurel Street Residential
511 East Blvd
Charlotte, NC 28203

Dee O'Dell
Executive Vice President
Consumer Business and Banking
U.S. Bank
Charlotte, NC 28202

Richard V. Reeves
John C. and Nancy D. Whitehead Chair
Senior Fellow - Economic Studies
Director - Future of the Middle-Class Initiative
Co-director - Center on Children and Families
Brookings Institution
1775 Massachusetts Ave., NW
Washington, DC 20036

Federico Rios
Assistant Director
Office of Equity Mobility and Immigrant
Integration
11720 Allen a. Brown Road
Charlotte, NC 28269

Molly Shaw
Executive Director
Communities In School (CIS)
601 E. 5th Street, Suite 300
Charlotte, NC 28202

Anthony Trotman
Assistant County Manager
Charlotte Mecklenburg Government 3508
Bush Street
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Alanna Williams
Policy Associate
Opportunity Insights
Harvard University
1280 Massachusetts Avenue

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ASST: Traci Terry

Ross Wiener

Vice President and Executive Director
Aspen Education & Society Program
2300 N Street NW, Suite 700
Washington, DC 20037



THE ASPEN INSTITUTE
EDUCATION & SOCIETY PROGRAM

August 22, 2019

Dear House Colleague,

We are writing to invite you to participate in an upcoming retreat: ***“One Community’s Efforts to Increase Mobility with a Focus on Housing and Social Capital”*** for the Aspen Senior Congressional Staff Network on Mobility from Poverty. The retreat starts on **Wednesday, October 2nd, 2019** at the The Renaissance West Community Initiative at 11:30 AM. The retreat will conclude on **Thursday, October 3rd** at 4:00 PM.

In addition to a site visit to the Renaissance West Community Initiative, we will be joined by interdisciplinary and diverse panels of policy experts and practitioners for facilitated discussions of issues related to mobility from poverty. This convening will provide staff with an off-the-record opportunity to learn about and discuss a set of issues related to mobility from poverty with a focus on the Charlotte community’s efforts to understand and address structural and intergenerational barriers to escaping poverty, including the roles of affordable housing and social capital. In addition, senior staffers will have the opportunity to engage in active learning and build working relationships with scholars and practitioners in the field, with state and local officials, and with colleagues from different parties and chambers. Staff will also have time to explore potential roles for Congress and Executive Branch agencies in increasing mobility from poverty.

We are committed to working with you and the House Ethics Committee to ensure compliance with the House rules regarding privately-funded sponsored travel. Enclosed you will find all the forms necessary for filing the trip with the Ethics Committee:

- A detailed agenda listing discussion sessions
- A completed Primary Trip Sponsor Form
- A list of invited House staffers
- A blank Traveler Form
- Completed Grantmaking Trip Sponsor Form from the Bill & Melinda Gates Foundation

You must complete the Traveler Form and submit it with the enclosed agenda, list of invited staffers, and Primary Trip Sponsor Form directly to the Committee on Ethics (1015 Longworth) no later than **Friday, August 30, 2019**. After receiving the completed travel package, the Ethics Committee will review and issue an approval to you or your sponsoring Member. After the trip, you will need to complete a post-travel form that we will email to you after the site visit.

Attendance is by invitation only, with no outside observers or lobbyists. Funding is provided solely by grants from established foundations; no government, individual, foreign, corporate, or special interest money is accepted. The Network is supported by The Bill & Melinda Gates Foundation. The Aspen Education & Society Program maintains autonomy over retreat invitations, materials, and the agenda.

If you have any questions in advance of the retreat, please do not hesitate to contact Doug Mesecar at (703) 887-3738. The retreat promises to be productive and informative. We look forward to seeing you in Charlotte, NC on Wednesday, October 2nd.

Warm regards,



Ross Wiener



Doug Mesecar

Aspen Retreat for Senior Congressional Staff Network on Mobility from Poverty
*One Community's Efforts to Increase Mobility with a Focus on Housing
and Social Capital*

Omni Hotel
132 E Trade St.
Charlotte, NC 28202

October 2 – 3, 2019

AGENDA

Retreat Goals:

- Deeply examine how Charlotte and surrounding Mecklenburg County, North Carolina, are responding to low rates of mobility from poverty through significant private-sector, non-profit, philanthropy, and public-sector coordination;
- Directly engage with low-income North Carolinians and direct-service providers to understand their experiences, as well as with business and government leaders and policy experts to understand how federal funding and policies are influencing the work in Charlotte and Mecklenburg County;
- Examine how housing policies and decisions enable and/or inhibit proximity to employment opportunities and the crucial role social capital plays in mobility from poverty;
- Engage in active learning and build working relationships with experts and practitioners from various fields of expertise, as well as with colleagues from different parties and chambers.

Wednesday, October 2, 2019

- | | |
|-------------------------|--|
| 8:30 – 9:30 AM | Participants arrive at Charlotte airport |
| 9:30 – 10:00 AM | Participants arrive at Omni Hotel

Transportation to Omni Hotel, 132 E Trade St., Charlotte, NC 28202 |
| 11:00 AM | Aspen staff and participants will depart hotel on chartered bus for Renaissance West Community Initiative, 3610 Nobles Ave, Charlotte, NC |
| 11:30 AM | Arrive at Renaissance West Community Initiative

Location: 3610 Nobles Ave, Charlotte, NC |
| 11:30 – 12:30 PM | Working Lunch – Welcome, Introductions, Overview of Convening

Location: Renaissance West Community Initiative |

Faculty:

- **Ross Wiener**, Aspen Institute

After introductions and context-setting, Congressional staff and faculty members will share two learning objectives for the convening.

12:45 – 1:00 PM

Break

12:45 – 2:00 PM

Session I: Understanding the Charlotte context: Leading on Opportunity Task Force

Faculty:

- **Dr. Ophelia Garmon-Brown**, SVP, Community Wellness and Education, Novant Health, Co-chair, Leading on Opportunity Task Force
- **Mr. Dee O'Dell**, Senior Vice President, US Bank, Co-chair, Leading on Opportunity Task Force and US Bank

Guiding questions:

- *What provoked the Leading on Opportunity Task Force? What motivated each of you to invest in leading this effort as co-chairs? What are your most important learnings about mobility, and about Charlotte, as a result of co-chairing the Task Force?*
- *How did the Leading on Opportunity Task Force determine the cross-cutting factors of racial segregation and social capita, and the specific content areas the Task Force ultimately agreed on? What were other important ideas you explored but ultimately did not prioritize, and why?*
- *What are your reflections two and a half years since publication of the Task Force Report? Where has progress been swifter and deeper than you anticipated, and what challenges and emerging issues are most important to acknowledge and address?*

2:00 – 2:15 PM

Break

2:15 – 5:15 PM

Site visit: Renaissance West Community Initiative

Key site visit participants:

- **William “Mack” McDonald**, CEO, Renaissance West Community Initiative and other RWCI staff
- **Ann Clark**, RWCI Board Member, former Superintendent of CMS

Timeline:

- Introduction and tour of the RWCI facility (30 minutes)
- RWCI panel discussion (45 mins)
- Small group roundtables with RWCI families and service providers (75 minutes)
- Reflection on conversations (30 minutes)

Guiding questions for panel discussion:

- *What is the history of the Renaissance West Community Initiative (RWCI)? How does it reflect Charlotte's history and urban development, and how is it unique?*
- *What is the profile of RWCI residents and beneficiaries? Who is not able to be served by RWCI and what are alternatives in Charlotte and Mecklenburg County*

for residents who could benefit from RWCI but can't get in? In what ways does RWCI ameliorate and in what ways does RWCI exacerbate the history of racial segregation in Charlotte?

- *How do Federal programs support RWCI efforts to serve Charlotte, like Hope IV, housing vouchers, and/or the Community Development Block Grant, as well as others? What additional state and local programs support RWCI? What role does philanthropy play in RWCI?*
- *What are the lessons from RWCI that should inform future investment and policy?*

For break-out discussions:

- *How is RWCI different from the community you lived in previously? How do you access the services that RWCI offers, and how does RWCI facilitate your engaging in the broader Charlotte community? What do you wish you could change about RWCI?*
- *Who are the primary partners of RWCI and what services are offered here? What facilitates coordination across service providers, and what makes coordination hard?*

5:15 – 6:00 PM Transportation to Hotel

Location: Omni Hotel, 132 E Trade St., Charlotte, NC 28202

7:00 – 7:30 PM Transportation to Dinner

Location: Community Culinary School of Charlotte (CCS), 9315-D Monroe Road, Charlotte, NC

7:30 – 9:00 PM Networking Dinner with Expert Faculty

Staffers will have the opportunity to network with participants during the reception.

Remarks by Richard Reeves, Brookings Institution

9:00 – 9:30 PM Transportation to Hotel

Location: Omni Hotel, 132 E Trade St., Charlotte, NC 28202

Thursday, Oct. 3, 2019

8:00 – 8:30 AM Walk to Foundation for the Carolinas

Location: 220 N. Tryon St. #2137, Charlotte, NC 28202

8:30 – 9:00 AM Continental Breakfast

9:00 – 10:15 AM

Session II: Affordable Housing and Mobility: Lessons for Policy

Faculty:

- **Anthony Trotman**, Assistant County Manager, Mecklenburg County
- **Dionne Nelson**, Laurel Street Residential
- **Fulton Meachem**, President and Chief Executive Officer, Charlotte Housing Authority
- **Scott Farmer**, Executive Director, North Carolina Housing Finance Agency

Guiding questions:

- *What's the status of affordable housing in Charlotte relative to demand? What are promising developments that have been spurred by the Leading on Opportunity Task Force? What are the major impediments to expanding access to affordable housing in Charlotte?*
- *What are the respective roles of the City of Charlotte, Mecklenburg County, and the State of North Carolina in advancing affordable housing? How has collaboration across governing entities changed – or not – since the Leading on Opportunity Task Force Report? How does state policy set the context in which this work happens, and what are the practical and political dynamics that are most important to understand?*
- *What federal programs are impacting access to affordable housing in Charlotte? Who administers these federal grants/incentives, and how are these resources influencing mobility work in Charlotte? What is the importance and role of the Local Initiatives Support Corporation (LISC)?*
- *How is gentrification, and the rising cost of housing, impacting access to affordable housing? In what ways is historic segregation being either addressed or exacerbated as Charlotte continues to grow and develop – both in terms of market developments and public-private partnerships seeking to advance mobility?*

10:15 – 10:30 AM

Break

10:30 – 11:45 AM

Session III: How Social Capital Enables Productive Relationships and Mobility

Faculty:

- **Molly Shaw**, President and CEO, Communities In Schools (CIS), Charlotte-Mecklenburg
- **Federico Rios** – Assistant Manager Equity and Immigration Integration, Charlotte
- **Kandi Deitemeyer**, President, Central Piedmont Community College

Guiding questions:

- *What is being done to build social capital that increases mobility? How is social capital being defined for the mobility efforts underway in Charlotte?*

- *What's working, and where are the biggest opportunities to increase social capital? What are you learning about the challenges in building social capital that increases mobility?*
- *20 years ago, Robert Putnam identified Charlotte as among the lowest in inter-racial trust and informal socialization across segregated communities. How are recent efforts to intentionally increase social capital addressing this legacy?*
- *How are faith communities and the private sector supporting and participating in the efforts to improve Charlotte's social capital?*
- *How is social capital being measured, for baseline and progress? How are interim measures being developed defined to measure growth to a goal or set of goals, and how can activities be aligned to those measures and goals to create a systemic, measurable, and accountable approach to increasing social capital?*

11:45 – 12:30 PM **Working Lunch with discussions of previous sessions**

12:30 – 1:45 PM **Session IV: Leading on Opportunity: Update on Progress**

Faculty:

- **Stephanie Kripa Cooper-Lewter**, Executive Director, Leading on Opportunity Council
- **Laura Clark**, President and CEO, United Way of Central Carolinas
- **Brian Collier**, Executive Vice President, Foundation for the Carolinas
- **Alanna Williams**, Policy Associate, Opportunity Insights
- **Rob Kelly**, President and CEO, FORCLT

Guiding questions:

- *How is the Leading on Opportunity Council advancing the recommendations of the Leading on Opportunity Task Force? How are public and private entities supporting and participating in this work?*
- *What are the biggest successes to date? How are those successes being measured and communicated?*
- *What are the biggest learnings in this work? What are the biggest challenges in advancing mobility in Charlotte, and what lessons would you share with others who want to advance a mobility agenda?*
- *How are federal programs specifically being utilized as part of these efforts, and what changes in policy would be most important in unlocking greater progress?*

1:45 – 2:00 PM **Break**

2:00 – 3:00 PM **Session V: Implications for Federal Policy**

Faculty will be encouraged to share one take-away and one suggestion for ways in which the federal role can play a more effective role in Charlotte's efforts. The majority of time will be used for staff to engage with faculty and each other in considering Charlotte's efforts in the federal context.

3:00 – 3:15 PM **Break + Complete Retreat Evaluation**

3:15 – 3:50 PM Final Discussion

Congressional staff participants will share their take-aways and ideas for further exploration, future site visits and/or events in DC, and ideas/issues that are ripe for policy development.

3:50 PM **Adjourn**

Staffer Arrival/Departure Times

Amy	Jones	AA 400	10/2	8:38 AM	10/3	6:08 PM
Jazz	Lewis	AA 2670	10/2	8:46 AM	10/3	7:45 PM
Morna	Miller	AA 400	10/2	8:38 AM	10/3	6:08 PM
Wendell	Primus	UA 6079	10/2	9:45 AM	10/3	7:55 PM
Rachel	West	AA 400	10/2	8:38 AM	10/3	6:08 PM