



U.S. House of Representatives

COMMITTEE ON ETHICS

Employee Post-Travel Disclosure Form

☐ Original ☒ Amendment

This form is for disclosing the receipt of travel expenses from private sources for travel taken in connection with official duties. This form does not eliminate the need to report privately-funded travel on the annual *Financial Disclosure Statements* of those employees required to file them. In accordance with House Rule 25, clause 5, **you must complete this form and file it with the Clerk of the House, B-81 Cannon House Office Building, within 15 days after travel is completed.** Please **do not** file this form with the Committee on Ethics.

NOTE: Willful or knowing misrepresentations on this form may be subject to criminal prosecution pursuant to 18 U.S.C. § 1001.

1. Name of Traveler: Richard Erkel
2. a. Name of Accompanying Relative: _____ **OR** None ☒
b. Relationship to Traveler: ☐ Spouse ☐ Child ☐ Other (specify): _____
3. a. Dates: Departure: September 12, 2019 Return: September 13, 2019
b. Dates at Personal Expense, if any: _____ **OR** None ☐
4. Departure City: Washington, DC Destination: Chicago, IL Return City: Washington, DC
5. Sponsor(s), Who Paid for the Trip: Options Clearing Corporation (OCC), Cboe Global Markets
6. Describe Meetings and Events Attended: Meetings on an overview of options and clearing; a tour of the options trading floor and the role of exchanges; the role of market makers in the options industry; & the role of brokerages
7. Attached to this form are **each** of the following, *signify that each item is attached by checking the corresponding box:*
 - a. ☐ a completed *Sponsor Post-Travel Disclosure Form*;
 - b. ☐ the *Primary Trip Sponsor Form* completed by the trip sponsor **prior** to the trip, **including all** attachments **and** the *Grantmaking or Non-Grantmaking Sponsor Forms*;
 - c. ☐ page 2 of the completed *Traveler Form* submitted by the employee; **and**
 - d. ☐ the letter from the Committee on Ethics approving my participation on this trip.
8. a. I represent that I participated in each of the activities reflected in the attached sponsor's agenda.
Signify statement is true by checking the box: ☐
b. If not, explain: _____

I certify that the information contained on this form is true, complete, and correct to the best of my knowledge.

Signature of Traveler: Richard Erkel Date: 10/4/2019

I authorized this travel in advance. I have determined that all of the expenses listed on the attached *Sponsor Post-Travel Disclosure Form* were necessary and that the travel was in connection with the employee's official duties and would not create the appearance that the employee is using public office for private gain.

Name of Supervising Member: Nydia M. Velazquez Date: 10/4/2019

Signature of Supervising Member: Nydia M. Velazquez



Options Education Day
September 13, 2019

Options Education Day Airport Transportation

Arrival Time	Flight number	Transportation Contact	Notes
5:05 p.m.	United Flight 3475	Aires Charter Trip Lead: Colin Carr 202-430-8716	Call Driver when everyone is together at Baggage Claim – Door 1G Sheila Leavy – 872.203.4283
7:13 p.m.	United Flight 238	Carey Limo Trip Lead: Will Binger 206-853-8463	Call Driver when everyone is together at Baggage Claim 1.312.983.6323 Confirmation Number WA13883735

Options Education Day Attendees

Name	Title/Company	Hyatt Confirm #	Flight Information
Will Binger	Legislative Assistant, Office of Rep. Cindy Axne (D-IA)	9511953	Flight 238
Colin Carr	Legislative Director, Office of Rep. Barry Loudermilk (R-GA)	9511570	Flight 3475
Richard Erkel	Financial Services Coun., Office of Rep. Nydia Velazquez (D-NY)	9511959	Flight 238
Mark Gilbride	Deputy Legislative Dir., Office of Rep. Steve Stivers (R-OH)	9511957	Flight 3475
David Goldfarb	Legislative Director, Office of Rep. Bryan Steil (R-WI)	9511956	Flight 3475
Ashley Gunn	Senior Advisor, Office of Rep. French Hill (R-AR)	9511568	Flight 3475
Lu Diem-Mi	Senior Policy Advisor, Office of Rep. Bill Foster (D-IL)	9511567	Flight 238
Mike Lucier	Legislative Director, Office Office of Rep. Jennifer Wexton (D-VA)	9511960	Flight 3475

Calli Shapiro	Legislative Director, Office of Rep. Sean Casten (D-IL)	Has Own Arrangements	Flight 3475
Mark Snyder	Legislative Director, Office of Rep. James Himes (D-CT)	9511569	Flight 3475
Mitchell Wilkinson	Legislative Assistant, Office of Rep. Frank Lucas (R-OK)	9511955	Flight 3475
Evan Williams	Legislative Assistant, Office of Rep. Scott Tipton (R-CO)	9511958	Flight 238
Landon Zinda	Legislative Director, Office of Rep. Tom Emmer (R-MN)	9511961	Flight 3475

Options Education Day Agenda

9.13.19

Time	Activity	Notes
8:00 a.m.	Gather in Lobby of Hyatt Centric Hotel	<ul style="list-style-type: none"> • Please check-out prior to gathering • Depart for Options Clearing Corporation (OCC) 125 S. Franklin Street
8:30 – 9:00 a.m.	Networking Breakfast Hosted by OCC	Opportunity to Meet and Pose Questions to Presenters
9:00 – 10:00 a.m.	Introduction to Options & Clearing Overview <ul style="list-style-type: none"> • Scot Warren, Executive Vice President and Chief Operating Officer (OCC) • Denise Knabjian, Senior Vice President, Investor Education (OCC) • Mark Benzaquen, Manager, Investor Services and Instructor at the Options Industry Council (OCC) • Mike Hansen, First Vice President, Market Operations (OCC) 	<ul style="list-style-type: none"> • Options 101 • Clearance and Settlement Overview
10:00 a.m.	Depart for Cboe Global Markets	400 South LaSalle Street

10:15 – 11:30 a.m.	Tour of Trading Floor & Cboe Global Markets Presentation <ul style="list-style-type: none"> • Angelo Evangelou, Chief Policy Maker (Cboe Global Markets) • Jermal Chandler, Instructor at the Options Institute (Cboe Global Markets) 	<ul style="list-style-type: none"> • The Role of Exchanges in the Options Industry • Various Product Classes
11:30 a. m.	Depart for Chicago Trading Company (CTC)	425 South Financial Pl, 4 th floor
11:45 a.m. – 12:15 p.m.	Networking Lunch Hosted by CTC	Opportunity to Meet and Pose Questions to Presenters
12:15 – 1:15 p.m.	CTC Presentation <ul style="list-style-type: none"> • Steve Crutchfield, Head of Market Structure & Electronic Trading Strategy (CTC) • Mel Williams, Chief Legal Officer (CTC) • Dan Feuser, Chief Executive Officer (CTC) 	<ul style="list-style-type: none"> • The Role of Market Makers in the Options Industry • The Impact of Bank Capital Requirements in Market Makers
1:15 p.m.	Depart for TD Ameritrade	600 West Chicago Avenue
1:45 – 2:45 p.m.	TD Ameritrade Presentation <ul style="list-style-type: none"> • Steve Quirk, Executive Vice President of Training and Education (TD Ameritrade) • Lorraine Gavican-Kerr, Managing Director, Investor Education and Content (TD Ameritrade) • JJ Kinahan, Managing Director, Trader Services and Chief Market Strategist (TD Ameritrade) 	<ul style="list-style-type: none"> • The Role of Brokerages in the Options Industry • Retail Investors in Options
3:00 p.m.	Depart for Chicago O'Hare Airport	<ul style="list-style-type: none"> • Shuttle takes those departing to O'Hare • Those staying in Chicago depart on their own from TDA