U.S. House of Representatives
COMMITTEE ON ETHICS

Employee Post-Travel Disclosure Form

☒ *Original* ☐ *Amendment*

This form is for disclosing the receipt of travel expenses from private sources for travel taken in connection with official duties. This form does not eliminate the need to report privately-funded travel on the annual *Financial Disclosure Statements* of those employees required to file them. In accordance with House Rule 25, clause 5, **you must complete this form and *file it with the Clerk of the House, B-81 Cannon House Office Building*, within 15 days after travel is completed.** Please ***do not*** file this form with the Committee on Ethics.

NOTE: Willful or knowing misrepresentations on this form may be subject to criminal prosecution pursuant to 18 U.S.C. § 1001.

1. Name of Traveler: Valentin Castillo
2. a. Name of Accompanying Relative: _____ *OR* None ☑
   b. Relationship to Traveler: ☐ Spouse ☐ Child ☐ Other (specify): _____
3. a. **Dates:** Departure: May 10, 2019 Return: May 12, 2019
   b. Dates at Personal Expense, if any: _____ *OR* None ☑
4. Departure City: Washington, D.C. Destination: Aspen Institute Wye R Return City: Washington, D.C.
5. Sponsor(s), Who Paid for the Trip: Aspen Institute Inc. (Socrates Program)
6. Describe Meetings and Events Attended: Meetings and events revolved around Consgress as the institution and our role as staffers in this body of government.
7. Attached to this form are ***each*** of the following, *signify that each item is attached by checking the corresponding box:*
   a. ☑ a completed *Sponsor Post-Travel Disclosure Form*;
   b. ☑ the *Primary Trip Sponsor Form* completed by the trip sponsor ***prior*** to the trip, ***including all*** attachments ***and*** the *Grantmaking* or *Non-Grantmaking Sponsor Forms*;
   c. ☑ page 2 of the completed *Traveler Form* submitted by the employee; ***and***
   d. ☑ the letter from the Committee on Ethics approving my participation on this trip.
8. a. I represent that I participated in each of the activities reflected in the attached sponsor's agenda.
   Signify statement is true by checking the box: ☑
   b. If not, explain: _____

2019 JUN -3 AM 11:45
U.S. HOUSE OF REPRESENTATIVES
LEGISLATIVE RESOURCE CENTER

I certify that the information contained on this form is true, complete, and correct to the best of my knowledge.

Signature of Traveler: _____ **Date:** 5/28/19

I authorized this travel in advance. I have determined that all of the expenses listed on the attached *Sponsor Post-Travel Disclosure Form* were necessary and that the travel was in connection with the employee's official duties and would not create the appearance that the employee is using public office for private gain.

Name of Supervising Member: Rep. José E. Serrano **Date:** 5/28/19

Signature of Supervising Member: _____

Version date 12/2018 by Committee on Ethics

16

U.S. House of Representatives
COMMITTEE ON ETHICS

Sponsor Post-Travel Disclosure Form

☑ *Original* ☐ *Amendment*

This form must be completed by an officer of any organization that served as the primary trip sponsor in providing travel expenses or reimbursement for travel expenses to House Members, officers, or employees under House Rule 25, clause 5. **A completed copy of the form must be provided to each House Member, officer, or employee who participated on the trip *within ten days of their return*.** You must answer all questions, and check all boxes, on this form for your submission to comply with House rules and the Committee's travel regulations. Failure to comply with this requirement may result in the denial of future requests to sponsor trips and/or subject the current traveler to disciplinary action or a requirement to repay the trip expenses.

NOTE: Willful or knowing misrepresentations on this form may be subject to criminal prosecution pursuant to 18 U.S.C. § 1001.

1. Sponsor(s) who paid for the trip: Aspen Institute Inc. (Socrates Program)
2. Travel Destination(s): Aspen Institute Wye River Conference Center Queenstown, Maryland
3. Date of Departure: May 10, 2019 Date of Return: May 12, 2019
4. Name(s) of Traveler(s): Please see attached list.

   Note: You may list more than one traveler on a form only if ***all*** information is ***identical*** for each person listed.
5. **Actual amount** of expenses paid on behalf of, or reimbursed to, each individual named in Question 4:

	Total **Transportation** Expenses	Total **Lodging** Expenses	Total **Meal** Expenses	Total **Other** Expenses (dollar amount per item and description
Traveler	101.20	$246.26	177.39	$47 (conference room fees, including setup/take down, cleaning)
Accompanying Family Member	N/A	N/A	N/A	N/A

6. All expenses connected to the trip were for actual costs incurred and not a *per diem* or lump sum payment. *Signify statement is true by checking box:* ☑

I certify that the information contained in this form is true, complete, and correct to the best of my knowledge.

Signature: Elliot Gerson Date: 5/21/2019

Name: Elliot Gerson Title: EVP, Policy & Public Programs

Organization: The Aspen Institute

I am an officer of the above-named organization. Signify statement is true by checking box: ☑

Address: 2300 N Street, NW Suite 700 Washington, DC 20037

Telephone: 202-736-5859 (Lisa Jones, Deputy General Counsel) Email: lisa.jones@aspeninstitute.org

Committee staff may contact the above-named individual if additional information is required.

If you have questions regarding your completion of this form, please contact the Committee on Ethics at 202-225-7103.

U.S. House of Representatives
COMMITTEE ON ETHICS

TRAVELER FORM

1. Name of Traveler: Valentin Castillo
2. Sponsor(s) who will be paying for the trip: Aspen Institute Inc. (Socrates Program)
3. Travel Destination(s): Aspen Institute Wye River Conference Center Queenstown, Maryland
4. a. Date of Departure: May 10, 2019 Date of Return: May 12, 2019
   b. Will you be extending the trip at your personal expense? ☐ Yes ☑ No
   If yes, list dates at personal expense: _____
5. a. Will you be accompanied by a family member at the sponsor's expense? ☐ Yes ☑ No If yes:
   (1) Name of Accompanying Family Member: _____
   (2) Relationship to Traveler: ☐ Spouse ☐ Child ☐ Other (specify): _____
   (3) Accompanying Family Member is at least 18 years of age: ☐ Yes ☐ No
6. a. Did the trip sponsor answer "Yes" to Question 8(c) on the *Primary Trip Sponsor Form* (i.e., travel is sponsored by an entity that employs a registered federal lobbyist or a foreign agent)? ☐ Yes ☑ No
   b. If yes, and you are requesting lodging for two nights, explain why the second night is warranted:
7. *Primary Trip Sponsor Form* is attached, including agenda, invitee list, and any other attachments and contributing sponsor forms: ☑ Yes ☐ No
   NOTE: The agenda should show the traveler's individual schedule, including departure and arrival times and identify the specific events in which the traveler will be participating.
8. Explain why participation in the trip is connected to the traveler's individual official or representational duties. **Staff should include their job title and how the activities on the itinerary relate to their duties.**
   As a Legislative Assistant/Press Assistant with three years of experience under my belt, opportunities such as the one offered by the Aspen Institute are critical to my professional development. The program is geared towards helping us tackle the legislative process more effectively and thus serving our district better.
9. **Is the traveler aware of any registered federal lobbyists or foreign agents involved planning, organizing, requesting, or arranging the trip? ☐ Yes ☑ No**
10. For staff travelers, to be completed by your employing Member:

ADVANCED AUTHORIZATION OF EMPLOYEE TRAVEL

I hereby authorize the individual named above, an employee of the U.S. House of Representatives who works under my direct supervision, to accept expenses for the trip described in this request. I have determined that the above-described travel is in connection with my employee's official duties and that acceptance of these expenses will not create the appearance that the employee is using public office for private gain.

Signature of Employing Member _____ Date 4/9/19

U.S. House of Representatives
COMMITTEE ON ETHICS

Primary Trip Sponsor Form

This form should be completed by private entities offering to provide travel or reimbursement for travel to House Members, officers, or employees under House Rule 25, clause 5. A completed copy of the form (and any attachments) should be provided to each invited House Member, officer, or employee, who will then forward it to the Committee together with a *Traveler Form* **at least 30 days before the start date of the trip.** The trip sponsor should *NOT* submit the form directly to the Committee. The Committee website (ethics.house.gov) provides detailed instructions for filling out the form.

NOTE: Willful or knowing misrepresentations on this form may be subject to criminal prosecution pursuant to 18 U.S.C. § 1001. Failure to comply with the Committee's Travel Regulations may also lead to the denial of permission to sponsor future trips.

1. Sponsor who will be paying for the trip: Aspen Institute Inc. (Socrates Program)

2. I represent that the trip will not be financed, in whole or in part, by a registered federal lobbyist or foreign agent. *Signify that the statement is true by checking box:* ☑

3. *Check only one.* I represent that:
   a. The primary trip sponsor has not accepted from any other source, funds intended directly or indirectly to finance any aspect of the trip: ☐ OR
   b. The trip is arranged without regard to congressional participation and the primary trip sponsor has accepted funds only from entities that will receive a tangible benefit in exchange for those funds: ☐ OR
   c. The primary trip sponsor has accepted funds from other source(s) intended directly or indirectly to finance all or part of this trip and has enclosed disclosure forms from each of those entities. ☑
   If "c" is checked, list the names of the additional sponsors: Democracy Fund, William and Flora Hewlett Foundation

4. Provide names and titles of ALL House Members *and* employees you are inviting. **For each House invitee, provide an explanation of why the individual was invited** (include additional pages if necessary): see attached

5. Is travel being offered to an accompanying family member of the House invitee(s)? ☐ Yes ☑ No

6. Date of Departure: May 10, 2019 Date of Return: May 12, 2019

7. a. City of departure: Washington D.C.
   b. Destination(s): Aspen Institute Wye River Conference Center Queenstown, Maryland
   c. City of return: Washington D.C.

8. *Check only one.* I represent that:
   a. The sponsor of the trip is an institution of higher education within the meaning of section 101 of the Higher Education Act of 1965: ☐ OR
   b. The sponsor of the trip does not retain or employ a registered federal lobbyist or foreign agent: ☑ OR
   c. The sponsor employs or retains a registered federal lobbyist or foreign agent, but the trip is for attendance at a one-day event *and* lobbyist / foreign agent involvement in planning, organizing, requesting, or arranging the trip was *de minimis* under the Committee's travel regulations. ☐

9. *Check only one of the following:*
   a. I checked 8(a) or (b) above: ☑
   b. I checked 8(c) above but am not offering any lodging: ☐
   c. I checked 8(c) above and am offering lodging and meals for one night: ☐ OR
   d. I checked 8(c) above and am offering lodging and meals for two nights: ☐ If you checked this box, explain why the second night of lodging is warranted:

U.S. House of Representatives
COMMITTEE ON ETHICS

10. Attached is a detailed agenda of the activities House invitees will be participating in during the travel (i.e., an hourly description of planned activities for trip invitees). *Indicate agenda is attached by checking box:* ☑

11. *Check only one of the following:*
    a. I represent that a registered federal lobbyist or foreign agent will not accompany House Members or employees on any segment of the trip. *Signify that the statement is true by checking box:* ☑ OR
    b. *Not Applicable.* Trip sponsor is a U.S. institution of higher education: ☐

12. For *each* sponsor required to submit a sponsor form, describe the sponsor's interest in the subject matter of the trip *and* its role in organizing and/or conducting the trip:

    The Aspen Institute's Socrates Program (AISP) has an 8 year history of providing non-partisan educational programs for Congressional staff. The purpose of this trip is to convene a seminar to discuss leadership, responsible governance and the role of Congress. AISP is solely responsible for organizing and conducting this seminar.

13. *Answer parts a and b. Answer part c if neccessary:*
    a. Mode of travel: Air ☐ Rail ☐ Bus ☑ Car ☑ Other ☐ (specify: _____)
    b. Class of travel: Coach ☑ Business ☐ First ☐ Charter ☑ Other ☐ (specify: Chartered bus)
    c. If travel will be first class, or by chartered or private aircraft, explain why such travel is warranted:

14. I represent that the expenditures related to local area travel during the trip will be unrelated to personal or recreational activities of the invitee(s). *Signify that the statement is true by checking box:* ☑

15. *Check only one.* I represent that either:
    a. The trip involves an event that is arranged or organized *without regard* to congressional participation and that meals provided to congressional participants are similar to those provided to or purchased by other event attendees: ☑ OR
    b. The trip involves events that are arranged specifically *with regard* to congressional participation: ☐
    If "b" is checked:
    1) Detail the cost *per day* of meals (approximate cost may be provided): _____
    2) Provide the reason for selecting the location of the event or trip: _____

16. Name, nightly cost, and reasons for selecting each hotel or other lodging facility:
    Hotel Name: Wye River Conference Center City: Queenstown Cost Per Night: $118
    Reason(s) for Selecting: Easily accessible with sufficient lodging and meeting space for all participants.
    Hotel Name: _____ City: _____ Cost Per Night: _____
    Reason(s) for Selecting: _____
    Hotel Name: _____ City: _____ Cost Per Night: _____
    Reason(s) for Selecting: _____

17. I represent that all expenses connected to the trip will be for actual costs incurred and not a per diem or lump sum payment. *Signify that the statement is true by checking box:* ☑

U.S. House of Representatives
COMMITTEE ON ETHICS

18. **Total Expenses for each Participant:**

☐ Actual Amounts ☑ Good Faith Estimates	Total Transportation Expenses per Participant	Total Lodging Expenses per Participant	Total Meal Expenses per Participant
For each Member, Officer, or Employee	$78	$236	$142
For each Accompanying Family Member	N/A	N/A	N/A

	Other Expenses (dollar amount per item)	Identify Specific Nature of "Other" Expenses (e.g., taxi, parking, registration fee, etc.)
For each Member, Officer, or Employee	$47	Conference room fees (includes meeting facilities, set up/take down, cleaning)
For each Accompanying Family Member	N/A	N/A

NOTE: Willful or knowing misrepresentations on this form may be subject to criminal prosecution pursuant to 18 U.S.C. § 1001.

19. *Check only one:*
    a. I certify that I am an officer of the organization listed below. ☑ OR
    b. *Not Applicable.* Trip sponsor is an individual or a U.S. institution of higher education. ☐
20. I certify that I am not a registered federal lobbyist or foreign agent for any sponsor of this trip. ☑
21. I certify by my signature that the information contained in this form is true, complete, and correct to the best of my knowledge.

Signature: _____ Date: 4/4/19

Name: Elliot Gerson

Title: Executive Vice President, Policy & Public Programs

Organization: The Aspen Institute

Address: 2300 N St. NW, Suite 700, Washington, DC 20037

Telephone: 202-736-5859 (Lisa Jones, Deputy General Counsel)

Email: lisa.jones@aspeninstitute.org

If there are any questions regarding this form, please contact the Committee at the following address:

Committee on Ethics
U.S. House of Representatives
1015 Longworth House Office Building, Washington, D.C. 20515
Phone: 202-225-7103 General Fax: 202-225-7392

Version date 12/2018 by Committee on Ethics

U.S. House of Representatives
COMMITTEE ON ETHICS

Grantmaking Trip Sponsor Form

This form should be completed by a public charity or private foundation (both as defined under section 501(c)(3) of the Internal Revenue Code) that provides a grant of funds to another entity to underwrite, in whole or in part, a trip or an event, meal, or activity that will occur during a trip, or a necessary expense that will be incurred during a trip, with express or implicit knowledge or understanding that one or more House Members or employees may participate in or attend that trip or event, or otherwise may be beneficiaries of the gift or donation. Provide a copy of your completed form to the primary sponsor of the trip.

NOTE: Willful or knowing misrepresentations on this form may be subject to criminal prosecution pursuant to 18 U.S.C. § 1001. Failure to comply with the Committee's Travel Regulations may also lead to the denial of permission to sponsor future trips.

1. I certify that (name of your organization): Democracy Fund
   has been designated a § 501(c)(3) nonprofit charitable organization by the Internal Revenue Service. ☑ Yes ☐ No
2. Name of Primary Trip Sponsor: The Aspen Institute, Inc. (Socrates Program)
3. I certify that my organization *(check and complete a or b)*:
   a. ☑ Has provided a grant, gift, or donation to the above-named Primary Trip Sponsor and conducts an audit or review of its grant, gift, or donation to ensure that the funds are spent in accordance with the terms of its grant, gift, or donation. **OR**
   b. ☐ Has had a direct role in the organizing, planning, or conducting of a trip to
   Destination: _____ on Date: _____
   that is being organized or arranged by the above-named Primary Trip Sponsor.
4. ***Check only one:***
   a. ☑ My organization does not employ or retain a registered federal lobbyist or foreign agent **OR**
   b. ☐ My organization employs a registered federal lobbyist or foreign agent, but their involvement in planning, organizing, or arranging the trip was *de minimis* under the travel regulations.
5. I certify that I am not a registered federal lobbyist or foreign agent for any sponsor of this trip. ☑
6. I certify by my signature that the information contained in this form is true, complete, and correct to the best of my knowledge.

Signature: _____ Date: 3/21/19

Name: Joseph Goldman Title: President

Organization: Democracy Fund

Address: 1200 7th St NW Suite 300

Telephone: 202 420 7900 Email: jgoldman@democracyfund.org

If there are any questions regarding this form, please contact the Committee at the following address:

Committee on Ethics
U.S. House of Representatives
1015 Longworth House Office Building, Washington, D.C. 20515
Phone: 202-225-7103 General Fax: 202-225-7392

Version date 12/2018 by Committee on Ethics

U.S. House of Representatives
COMMITTEE ON ETHICS

Grantmaking Trip Sponsor Form

This form should be completed by a public charity or private foundation (both as defined under section 501(c)(3) of the Internal Revenue Code) that provides a grant of funds to another entity to underwrite, in whole or in part, a trip or an event, meal, or activity that will occur during a trip, or a necessary expense that will be incurred during a trip, with express or implicit knowledge or understanding that one or more House Members or employees may participate in or attend that trip or event, or otherwise may be beneficiaries of the gift or donation. Provide a copy of your completed form to the primary sponsor of the trip.

NOTE: Willful or knowing misrepresentations on this form may be subject to criminal prosecution pursuant to 18 U.S.C. § 1001. Failure to comply with the Committee's Travel Regulations may also lead to the denial of permission to sponsor future trips.

1. I certify that (name of your organization): The William and Flora Hewlett Foundation
   has been designated a § 501(c)(3) nonprofit charitable organization by the Internal Revenue Service. ☑ Yes ☐ No
2. Name of Primary Trip Sponsor: The Aspen Institute, Inc. (Socrates Program)
3. I certify that my organization *(check and complete a or b)*:
   a. ☑ Has provided a grant, gift, or donation to the above-named Primary Trip Sponsor and conducts an audit or review of its grant, gift, or donation to ensure that the funds are spent in accordance with the terms of its grant, gift, or donation. OR
   b. ☐ Has had a direct role in the organizing, planning, or conducting of a trip to
   Destination: _____ on Date: _____
   that is being organized or arranged by the above-named Primary Trip Sponsor.
4. *Check only one:*
   a. ☑ My organization does not employ or retain a registered federal lobbyist or foreign agent OR
   b. ☐ My organization employs a registered federal lobbyist or foreign agent, but their involvement in planning, organizing, or arranging the trip was *de minimis* under the travel regulations.
5. I certify that I am not a registered federal lobbyist or foreign agent for any sponsor of this trip. ☑
6. I certify by my signature that the information contained in this form is true, complete, and correct to the best of my knowledge.

Signature: Kristy Tsadick Date: 3/28/2019

Name: Kristy Tsadick Title: Deputy General Counsel

Organization: William and Flora Hewlett Foundation

Address: 2121 Sand Hill Road, Menlo Park, CA 94107

Telephone: 202-234-4500 Email: ktsadick@hewlett.org

If there are any questions regarding this form, please contact the Committee at the following address:

Committee on Ethics
U.S. House of Representatives
1015 Longworth House Office Building, Washington, D.C. 20515
Phone: 202-225-7103 General Fax: 202-225-7392

Version date 12/2018 by Committee on Ethics

Theodore E. Deutch, Florida
Chairman
Kenny Marchant, Texas
Ranking Member

Grace Meng, New York
Susan Wild, Pennsylvania
Dean Phillips, Minnesota
Anthony Brown, Maryland

John Ratcliffe, Texas
George Holding, North Carolina
Jackie Walorski, Indiana
Michael Guest, Mississippi

ONE HUNDRED SIXTEENTH CONGRESS

U.S. House of Representatives

COMMITTEE ON ETHICS

Thomas A. Rust
Staff Director and Chief Counsel

David W. Arrojo
Counsel to the Chairman

Christopher A. Donesa
Counsel to the Ranking Member

1015 Longworth House Office Building
Washington, D.C. 20515–6328
Telephone: (202) 225–7103
Facsimile: (202) 225–7392

May 8, 2019

Mr. Valentin Castillo
Office of the Honorable José Serrano
2354 Rayburn House Office Building
Washington, DC 20515

Dear Mr. Castillo:

Pursuant to House Rule 25, clause 5(d)(2), the Committee on Ethics hereby approves your proposed trip to Queenstown, Maryland, scheduled for May 10 to 12, 2019, sponsored by the Aspen Institute, Inc., with financial support from the Democracy Fund, and the William and Flora Hewlett Foundation.

You must complete an Employee Post-Travel Disclosure Form (which your employing Member must also sign) and file it, together with a Sponsor Post-Travel Disclosure Form completed by the trip sponsor, with the Clerk of the House within 15 days after your return from travel. As part of that filing, you are also required to attach a copy of this letter and both the Traveler and Primary Trip Sponsor Forms (including attachments) you previously submitted to the Committee in seeking pre-approval for this trip. If you are required to file an annual Financial Disclosure Statement, you must also report all travel expenses totaling more than $390 from a single source on the "Travel" schedule of your annual Financial Disclosure Statement covering this calendar year. Finally, Travel Regulation § 404(d) also requires you to keep a copy of all request forms and supporting information provided to the Committee for three subsequent Congresses from the date of travel.

If you have any further questions, please contact the Committee's Office of Advice and Education at extension 5-7103.

Sincerely,

Theodore E. Deutch
Chairman

Kenny Marchant
Ranking Member

TED/KM:kej

WILLIAM + FLORA
Hewlett
Foundation

The Honorable Ted Deutch, Chairman
Committee on Ethics
U.S. House of Representatives
1015 Longworth House Office Building
Washington, DC 20515

April 3, 2019

Re: The Aspen Institute 2019 Socrates Program Emerging Governance Leaders Seminar

Dear Chairman Deutch,

This letter is submitted in connection with The Aspen Institute 2019 Socrates Program Emerging Governance Leaders Seminar, to which House of Representatives staff have been invited. We understand that the Committee on Ethics, which is charged with reviewing and approving privately-funded, officially-connected travel by Members and staff of the House, may consider the Foundation to be a "source" of funds associated with The Aspen Institute's study trips that must be identified under House rules.

The William and Flora Hewlett Foundation (the "Foundation"), a non-profit foundation established in 1967, made a grant to The Aspen Institute to support the Socrates Program and its Emerging Governance Leaders Seminar series (Grant #2018-8044). Please note that the William and Flora Hewlett Foundation is aware of the study trips, the participants, and the agenda. However, there is no agreement, written or oral, between the Foundation and The Aspen Institute whereby the Foundation may direct the activities of the Socrates Program, including the conduct of the study trips, or cause the selection of any invited congressional participant. The Aspen Institute exercises control over that selection process and makes the selection completely independent from the Foundation.

Furthermore, please note that the Foundation is not a registrant under the Federal Lobbying Disclosure Act ("LDA"), does not retain or employ a registered federal lobbyist to lobby on its behalf, and has not received funds from any lobbyist or LDA registrant. Moreover, the Foundation has not accepted any source funds earmarked for The Aspen Institute or any congressional travel.

If we can provide any additional information, please contact me at 650-234-4771 or ktsadick@hewlett.org.

Sincerely,

Kristy Tsadick

Kristy Tsadick
Deputy General Counsel

#4. Please provide names and titles of ALL House Members and employees you are inviting.

This was an open application process. The House employees invited (listed below) are junior congressional staff. The final participants were selected because they were bipartisan, emerging leaders equipped to convene and engage in non-partisan dialogue on leadership and the role of congress.

Ms. Natasha Marquez
Press Secretary
Office of Rep. Jenniffer Gonzalez-Colon

Ms. Jasmin Aleman
Legislative Correspondent
Office of Rep. Katie Hill

Ms. Jacqueline Bueno
Staff Assistant/Digital Assistant
Office of Rep. Jesús "Chuy" Garcia

Mr. Alyssa Innis
Legislative Aide
Office of Rep. Dwight Evans

Mr. Laura Forero
Scheduler, Labor Legislative Assistant, and
CHC Liaison
Office of Rep. Debbie Mucarsel-Powell

Mr. Howard Ou
Policy Advisor
Office of Rep. Gilbert R. Cisneros, Jr.

Mr. Edgar Rodriguez
Deputy Press Secretary
Office of Rep. Norma J. Torres

Ms. Victoria Rivas
Legislative Assistant
Rep. Lucille Roybal-Allard

Mr. Valentin Castillo
Legislative Assistant/Press Assistant
Office of Rep. Jose Serrano

THE ASPEN INSTITUTE
SOCRATES PROGRAM

Emerging Governance Leaders Seminar: Leadership & The Role of Congress

May 10-12, 2019
Queenstown, Maryland

Article 1 Section 1 of the U.S. Constitution states, "All legislative Powers herein granted shall be vested in a Congress of the United States, which shall consist of a Senate and House of Representatives." The creation of this legislative body marked a significant departure from past governance systems and the start of the "American experiment." 230 years later, how do the foundations of the U.S. government inform and influence the role of Congress today – and the responsibilities and expectations of those who serve within? In this seminar, we will examine classic and modern texts on leadership, the creation of the American democracy, and the role of Congress. In the next seminar, we will build on these foundations to consider governance and responsibilities in pursuit of an inclusive republic.

Moderator: Colleen Shogan

Colleen Shogan is the Assistant Deputy Librarian for Collections and Services at the Library of Congress and an Adjunct Professor of Government at Georgetown. She was previously the Deputy Director at the Congressional Research Service (CRS) and the Deputy Director of National and International Outreach (NIO) at the Library of Congress. In her current role at the Library of Congress, Colleen also serves on the Women's Suffrage Centennial Commission. Prior to joining the Library, Colleen served as a policy staffer in the Senate, in which she handled matters on defense, appropriations, transportation, small business, and science & technology. She came to Capitol Hill in 2005 through the American Political Science Association (APSA) Congressional Fellowship program as the William E. Steiger fellow. Prior to working in Congress, Colleen was Assistant Professor of Government and Politics at George Mason University. She joined the George Mason faculty in 2002, after completing her PhD in Political Science at Yale University. At Yale, she was a Graduate Fellow with the National Science Foundation. She received her Bachelor of Arts degree from Boston College in 1997, where she graduated summa cum laude and was a member of Phi Beta Kappa, Alpha Sigma Nu, and the Order of Cross and Crown. Her first book, entitled The Moral Rhetoric of American Presidents, was published in September 2006 by Texas A&M University Press. She has also published research articles in Perspectives on Politics, Polity, PS, Studies in American Political Development, Presidential Studies Quarterly, Rhetoric & Public Affairs, Women & Politics, White House Studies, Online Information Review, and Social Movement Studies, as well as short essays in Roll Call and the Washington Post. She was a Stennis Congressional Fellow for the 112th Congress. Colleen previously served as the President of the National Capitol Area Political Science Association (NCAPSA). She is currently an elected member of the APSA Council, the governing body of the organization. Colleen is also a mystery writer and has published four novels with Camel Press. At Georgetown, she teaches a graduate seminar on American Political Development.

THE ASPEN INSTITUTE
SOCRATES PROGRAM

Emerging Governance Leaders Seminar

Leadership & the Role of Congress

(Moderated by Dr. Colleen Shogan)

Seminar Weekend Schedule

May 10-12, 2019
Aspen Institute Wye River Campus
Queenstown, Maryland
Seminar Location: River House

Friday, May 10th

5:00 p.m.	Shuttle departure from Capitol Hill
6:45 p.m.	Shuttles arrives at Aspen Institute Wye River Campus
7:00 p.m. - 8:00 p.m.	Check-In & Regestration *River House*
8:00 p.m. – 9:30 p.m.	**Socrates Opening Dinner and Program** "Responsible Governance and Your Role in Congress" A conversation addressing norms of governance, hyper-partisanship, political polarization, and the experiences of Congressional staffers. Moderated by **Cordell Carter**, Socrates Program Director *River House Dining Room*

Saturday, May 11th

7:45 a.m. – 9:00 a.m.	Breakfast *River House Dining Room*
9:00 a.m. – 12:00 p.m.	**Seminar Session I: The Evolution of Congress: How Did We Get Here?** *River House Main Conference Room* Seminar discussion based on the following texts and videos: ***Origins:*** • Hamilton or Madison, "No. 57" and "No. 63," The Federalist Papers, 1788

- Davidson, Oleszek, Lee, and Schickler. "Institutional Evolution," *Congress and Its Members*
- Nelson Polsby, "Causes of Liberalization: Rise of the Two Party South," and "How Congress Evolves: Overview of the House," *How Congress Evolves*
- Barbara Sinclair, "The New World of U.S. Senators," *Congress Reconsidered*

What Does It Mean to Represent? Mr. Smith versus Charlie Wilson

- "Mr. Smith Goes To Washington" movie clip. 1939
- "Charlie Wilson's War" movie clip. 2007

10:30 a.m. – 10:45 a.m. — Morning Break
River House Break Room

12:00 p.m. – 1:00 p.m. — Working Lunch with Discussion of Seminar Session I
Conversation will consider the evolution of Congress and what it means to represent constituents and communities.
River House Dining Room

1:00 p.m. – 4:00 p.m. — **Seminar Session II: Congress and Its Governance Challenges**
River House Main Conference Room
Seminar discussion based on the following texts:

- Davidson Oleszek, Lee, and Schickler. "Congress as Institution," *Congress and Its Members*
- Barbara Sinclair, "Assessing Unorthodox Lawmaking," *Unorthodox Lawmaking*

Resources and Knowledge in Congress

- Kevin Kosar and various authors, "Restoring Congress as the First Branch." R Street Policy Study No. 50
- Colleen Shogan, "The Knowledge Culture of Congress," *Leg Branch Blog*
- Matthew Glassman, "Why Congress Doesn't Always Do The Right Thing." *New York Times*

Technology and Changing Norms of Representation

- Samantha McDonald, "Staff Perspectives on the State of Constituent Correspondence in the U.S. Congress," *Leg Branch Blog*
- Lorelei Kelley and Robert Bjarnason, "Our Modern Congress Doesn't Understand 21st Century Technology," *TechCrunch*, March 6, 2018

Partisanship and Policymaking

- Sarah Binder, "Legislating in Polarized Times," *Congress Reconsidered*

2:30 p.m. – 2:45 p.m.

Afternoon Break
River House Break Room

4:30 p.m. – 6:30 p.m.

Historical Tour of Wye

The Aspen Institute Wye campus is a site imbued with historical moments in American political discourse and policy decisions – from early days as the home of William Paca, a signer of the Declaration of Independence and the third governor of Maryland, to more recent moments as the site for negotiations between Israel and the Palestine Authority. This historical tour will connect the seminar to place and embody the rich history of American discourse and governing in Washington and beyond.

Wye Campus

7:00 p.m. – 8:30 p.m.

Working Dinner with Discussion of Seminar Session II

Conversation will consider the governance challenges of Congress, exploring what resources and knowledge inform Congress and how technology and changing norms of representation are affecting governance.

River House Dining Room

8:45 p.m. – 10:00 p.m.

Fireside Chat
"Life & Lessons in Congress"
A conversation with **William Hoagland**, Bipartisan Policy Center Senior Vice President (or another former staffer/member)
Moderated by **Cordell Carter**, Socrates Program Director
River House Library

Sunday, May 12th

7:45 a.m. – 9:00 a.m.

Breakfast
River House Dining Room

9:00 a.m. – 12:00 p.m.

Seminar Session III: Congressional Reform
River House Main Conference Room
Seminar discussion based on the following texts:

What's Working Well and What Isn't

- Colleen Shogan, "Defense Authorization: The Senate's Last Best Hope," *Party and Procedure in the United States Congress*
- Josh Huder, "Democrats Want to Modernize the House. Good Luck With That," *The Washington Post*

Reform Proposals

- Zach Graves, "Rebuilding a Technology Assessment Office in Congress," R Street Policy Study 152
- Congressional Institute, "Congressional Reform Concepts"
- Jim Newell, "The Shutdown to End All Shutdowns," *Slate*

10:30 a.m. – 10:45 a.m.	Morning Break *River House Break Room*
12:00 p.m.	Check-out *Program concludes after Seminar Session III*
12:00 p.m. – 1:00 p.m.	Working Lunch with Discussion of Seminar Session III Conversation will consider the Articles 1 and 2 of the Constitution, and how foundations of the U.S. government inform and influence the role of Congress today. *River House Dining Room*
1:00 p.m.	**Shuttle departs for Capitol Hill**
2:30 pm	**Shuttle drops off participants**