

U.S. House of Representatives
Committee on Ethics

EMPLOYEE POST-TRAVEL DISCLOSURE FORM

LEGISLATIVE RESOURCE CENTER
18 OCT -2 PM 4:00
U.S. HOUSE OF REPRESENTATIVES

This form is for disclosing the receipt of travel expenses from private sources for travel taken in connection with official duties. This form does not eliminate the need to report privately-funded travel on the annual Financial Disclosure Statements of those employees required to file them. In accordance with House Rule 25, clause 5, you must complete this form and file it with the Clerk of the House, 135 Cannon House Office Building, within 15 days after travel is completed. Please do not file this form with the Committee on Ethics.

NOTE: Willful or knowing misrepresentations on this form may be subject to criminal prosecution pursuant to 18 U.S.C. § 1001.

1. Name of Traveler: Judd Smith
2. a. Name of accompanying relative: _____ or None
 b. Relationship to Traveler: Spouse Child Other (specify): _____
3. a. Dates of departure and return: Departure: 9/17/18 Return: 9/22/18
 b. Dates at personal expense (if any): _____ or None
4. Departure city: Washington, DC Destination: Dublin, Ireland; London, England Return city: London, England
5. Sponsor(s) (who paid for the trip): Progressive Policy Institute
6. Describe meetings and events attended: Met with government and business leaders to discuss trade, Brexit, taxation, and antitrust issues between the US and Europe.
7. Attached to this form are EACH of the following (signify that each item is attached by checking the corresponding box):
 - a. a completed Sponsor Post-Travel Disclosure Form;
 - b. the Primary Trip Sponsor Form completed by the trip sponsor prior to the trip, including all attachments and Grantmaking or Non-Grantmaking Sponsor Forms;
 - c. page 2 of the completed Traveler Form submitted by the employee; and
 - d. the letter from the Committee on Ethics approving my participation on this trip.
8. a. I represent that I participated in each of the activities reflected in the attached sponsor's agenda. (Signify that statement is true by checking box):
 b. If not, explain: _____

I certify that the information contained on this form is true, complete, and correct to the best of my knowledge.

SIGNATURE OF TRAVELER: [Signature] DATE: 10/1/18

I authorized this travel in advance. I have determined that all of the expenses listed on the attached Sponsor Post-Travel Disclosure form were necessary and that the travel was in connection with the employee's official duties and would not create the appearance that the employee is using public office for private gain.

NAME OF SUPERVISING MEMBER: Rep. Tom Marino DATE: 10/1/18

SIGNATURE OF SUPERVISING MEMBER: [Signature]

U.S. House of Representatives
Committee on Ethics

SPONSOR POST-TRAVEL DISCLOSURE FORM

This form must be completed by an officer of any organization that served as the primary trip sponsor in providing travel expenses or reimbursement for travel expenses to House Members, officers, or employees under House Rule 25, clause 5. *A completed copy of the form must be provided to each House Member, officer, or employee who participated on the trip within 10 days of their return.* You must answer all questions, and check all boxes, on this form for your submission to comply with House rules and the Committee's travel regulations. Failure to comply with this requirement may result in the denial of future requests to sponsor trips and/or subject the current traveler to disciplinary action or a requirement to repay the trip expenses.

NOTE: Willful or knowing misrepresentations on this form may be subject to criminal prosecution pursuant to 18 U.S.C. § 1001.

1. Sponsor(s) (who paid for the trip): Progressive Policy Institute

2. Travel Destination(s): Dublin, Ireland; London, England

3. Date of Departure: September 17, 2018 Date of Return: September 22, 2018

4. Name(s) of Traveler(s): Attached.

(NOTE: You may list more than one traveler on a form only if all information is identical for each person listed.)

5. **Actual amount** of expenses paid on behalf of, or reimbursed to, each individual named in response to Question 4:

	Total Transportation Expenses	Total Lodging Expenses	Total Meal Expenses	Other Expenses (dollar amount per item and description)
Traveler	\$1300	\$900	\$600	
Accompanying Relative				

6. All expenses connected to the trip were for actual costs incurred and not a *per diem* or lump sum payment. (Signify statement is true by checking box):

I certify that the information contained in this form is true, complete, and correct to the best of my knowledge.

Signature: 

Name: Lindsay Mark Lewis Title: Executive Director

Organization: Progressive Policy Institute

I am an officer of the above-named organization (signify statement is true by checking box):

Address: 1200 New Hampshire Ave. NW Suite 575
Washington, D.C. 20036

Telephone number: 202-525-3926

Email Address: llewis@ppionline.org

Committee staff may contact the above-named individual if additional information is required.

If you have questions regarding your completion of this form, please contact the Committee on Ethics at (202) 225-7103.

U.S. House of Representatives
Committee on Ethics

TRAVELER FORM

1. Name of Traveler: Judd Smith
2. Sponsor(s) (who will be paying for the trip): Progressive Policy Institute
3. Travel destination(s): Dublin, Ireland; London, United Kingdom
4. a. Date of departure 9/17/2018 Date of return: 9/22/2018
b. Will you be extending the trip at your personal expense? Yes No
If yes, dates at personal expense: _____
5. a. Will you be accompanied by a relative at the sponsor's expense? Yes No
b. If yes:
(1) Name of accompanying relative: _____
(2) Relationship to traveler: Spouse Child Other (specify): _____
(3) Accompanying relative is at least 18 years of age: Yes No
6. a. Did the trip sponsor answer "yes" to Question 9(d) on the Primary Trip Sponsor Form (i.e., travel is sponsored by an entity that employs a registered federal lobbyist or foreign agent and you are requesting lodging for two nights)? Yes No
b. If yes, explain why the second night of lodging is warranted:

7. Primary Trip Sponsor Form is attached, including agenda, invitee list, and any other attachments and contributing sponsor forms: Yes No
NOTE: The agenda should show the traveler's individual schedule, including departure and arrival times and identify the specific events in which the traveler will be participating.
8. Explain why participation in the trip is connected to the traveler's individual official or representational duties. Staff should include their job title and how the activities on the itinerary relate to their duties.
My title is Legislative Director and Senior Counsel. Trip itinerary and meetings directly relate to trade, tax, and antitrust issues which I handle in my duties for Congressman Marino.
9. Is the traveler aware of any registered federal lobbyists or foreign agents involved in planning, organizing, requesting, and/or arranging the trip? Yes No

10. FOR STAFF TRAVELERS:

TO BE COMPLETED BY YOUR EMPLOYING MEMBER:

ADVANCED AUTHORIZATION OF EMPLOYEE TRAVEL

I hereby authorize the individual named above, an employee of the U.S. House of Representatives who works under my direct supervision, to accept expenses for the trip described in this request. I have determined that the above-described travel is in connection with my employee's official duties and that acceptance of these expenses will not create the appearance that the employee is using public office for private gain.

Date: 09/14/18



Signature of Employing Member

**U.S. House of Representatives
Committee on Ethics**

PRIMARY TRIP SPONSOR FORM

This form should be completed by private entities offering to provide travel or reimbursement for travel to House Members, officers, or employees under House Rule 25, clause 5. A completed copy of the form (and any attachments) should be provided to each invited House Member, officer, or employee, who will then forward it to the Committee together with a Traveler Form at least 30 days before the start date of the trip. The trip sponsor should NOT submit the form directly to the Committee. The Committee Web site (ethics.house.gov) provides detailed instructions for filling out the form.

NOTE: Willful or knowing misrepresentations on this form may be subject to criminal prosecution pursuant to 18 U.S.C. § 1001. Failure to comply with the Committee's Travel Regulations may also lead to the denial of permission to sponsor future trips.

1. Sponsor (who will be paying for the trip): Progressive Policy Institute (PPI)

2. I represent that the trip will not be financed (in whole or in part) by a registered federal lobbyist or foreign agent (signify that the statement is true by checking box):
3. Check only one: I represent that:
 - a. the primary trip sponsor has not accepted from any other source funds intended directly or indirectly to finance any aspect of the trip *or*
 - b. the trip is arranged without regard to congressional participation and the primary trip sponsor has accepted funds only from entities that will receive a tangible benefit in exchange for those funds *or*.
 - c. the primary trip sponsor has accepted funds from other source(s) intended directly or indirectly to finance all or part of this trip and has enclosed disclosure forms from each of those entities.
If "c" is checked, list the names of the additional sponsors: _____
4. Provide names and titles of ALL House Members and employees you are inviting. For each House invitee, provide an explanation of why the individual was invited (include additional pages if necessary):
Please see attached.

5. Is travel being offered to an accompanying relative of the House invitee(s)? Yes No
6. Date of departure: September 17, 2018 Date of return: September 22, 2018
7. a. City of departure: Washington, D.C.
b. Destination(s): Dublin, Ireland; London, United Kingdom
c. City of return: Washington, D.C.
8. I represent that (check one of the following):
 - a. The sponsor of the trip is an institution of higher education within the meaning of section 101 of the Higher Education Act of 1965: *or*
 - b. The sponsor of the trip does not retain or employ a registered federal lobbyist or foreign agent: *or*
 - c. The sponsor employs or retains a registered federal lobbyist or foreign agent, but the trip is for attendance at a one-day event and lobbyist/foreign agent involvement in planning, organizing, requesting, or arranging the trip was *de minimis* under the Committee's travel regulations.
9. Check one of the following:
 - a. I checked 8(a) or (b) above:
 - b. I checked 8(c) above but am not offering any lodging:
 - c. I checked 8(c) above and am offering lodging and meals for one night: *or*
 - d. I checked 8(c) above and am offering lodging and meals for two nights:
If "d" is checked, explain why the second night of lodging is warranted: _____

10. Attached is a detailed agenda of the activities the House invitees will be participating in during the travel (i.e., an hourly description of planned activities for trip invitees) (indicate agenda is attached by checking box):
11. Check one:
- a. I represent that a registered federal lobbyist or foreign agent will not accompany House Members or employees on any segment of the trip (signify that the statement is true by checking box): or
- b. N/A – trip sponsor is a U.S. institution of higher education.
12. For each sponsor required to submit a sponsor form, describe the sponsor's interest in the subject matter of the trip and its role in organizing and/or conducting the trip:

This is the sixth time PPI has organized this mission with the intent to inform congressional staff about issues related to the US - EU relationship from a European perspective, including issues related to competition and antitrust policy, privacy laws, trade, tax rules, and the impact of our current political landscapes on transatlantic relations and cooperation.

13. Answer parts a and b. Answer part c if necessary.
- a. Mode of travel: Air Rail Bus Car Other (Specify: _____)
- b. Class of travel: Coach Business First Charter Other (Specify: _____)
- c. If travel will be first class or by chartered or private aircraft, explain why such travel is warranted:

14. I represent that the expenditures related to local area travel during the trip will be unrelated to personal or recreational activities of the invitee(s). (signify that the statement is true by checking box):

15. I represent that either (check one of the following):
- a. The trip involves an event that is arranged or organized *without regard* to congressional participation and that meals provided to congressional participants are similar to those provided to or purchased by other event attendees: or
- b. The trip involves events that are arranged specifically *with regard* to congressional participation:
- If "b" is checked:
- 1) Detail the cost per day of meals (approximate cost may be provided): _____

2) Provide reason for selecting the location of the event or trip: _____

16. Name, nightly cost, and reasons for selecting each hotel or other lodging facility:

Hotel name: Clayton Hotel Cardiff Lane City: Dublin Cost per night: 200

Reason(s) for selecting: Location and cost

Hotel name: Strand Palace Hotel City: London Cost per night: 175

Reason(s) for selecting: Location and cost

Hotel name: _____ City: _____ Cost per night: _____

Reason(s) for selecting: _____

17. I represent that all expenses connected to the trip will be for actual costs incurred and not a per diem or lump sum payment. (signify that the statement is true by checking box):

18. TOTAL EXPENSES FOR EACH PARTICIPANT:

<input type="checkbox"/> actual amounts <input checked="" type="checkbox"/> good faith estimates	Total <i>Transportation</i> Expenses per Participant	Total <i>Lodging</i> Expenses per Participant	Total <i>Meal</i> Expenses per Participant
For each Member, Officer, or employee	\$1300	\$900	\$600
For each accompanying relative			

	<i>Other</i> Expenses (dollar amount per item)	Identify Specific Nature of "Other" Expenses (e.g., taxi, parking, registration fee, etc.)
For each Member, Officer, or employee	\$0	
For each accompanying relative		

NOTE: Willful or knowing misrepresentations on this form may be subject to criminal prosecution pursuant to 18 U.S.C. § 1001.

19. Check one:

- a. I certify that I am an officer of the organization listed below. *or*
 b. N/A – sponsor is an individual or a U.S. institution of higher education.

20. I certify that I am not a registered federal lobbyist or foreign agent for any sponsor of this trip.

21. I certify by my signature that the information contained in this form is true, complete, and correct to the best of my knowledge.

Signature: 

Name: Lindsay Mark Lewis

Title: Executive Director

Organization: Progressive Policy Institute

Address: 1200 New Hampshire Ave. NW Suite 575 Washington DC 20036

Telephone number: 202-617-4042

Email address: llewis@ppionline.org

If there are any questions regarding this form please contact the Committee at the following address:

Committee on Ethics
 U.S. House of Representatives
 1015 Longworth House Office Building
 Washington, DC 20515
 (202) 225-7103 (phone)
 (202) 225-7392 (general fax)

Susan W. Brooks, Indiana
Chairwoman
Theodore E. Deutch, Florida
Ranking Member

Kenny Marchant, Texas
Leonard Lance, New Jersey
Mimi Walters, California
John Ratcliffe, Texas

Yvette D. Clarke, New York
Jared Polis, Colorado
Anthony Brown, Maryland
Steve Cohen, Tennessee



ONE HUNDRED FIFTEENTH CONGRESS

U.S. House of Representatives

COMMITTEE ON ETHICS

September 13, 2018

Thomas A. Rust
Staff Director and Chief Counsel

Donna Herbert
Director of Administration

Megan Savage
*Chief of Staff and Counsel to
the Chairwoman*

Daniel J. Taylor
Counsel to the Ranking Member

1015 Longworth House Office Building
Washington, D.C. 20515-6328
Telephone: (202) 225-7103
Facsimile: (202) 225-7392

Mr. Judd Smith
Office of the Honorable Tom Marino
2242 Rayburn House Office Building
Washington, DC 20515

Dear Mr. Smith:

Pursuant to House Rule 25, clause 5(d)(2), the Committee on Ethics hereby approves your proposed trip to Dublin, Ireland, and London, United Kingdom,¹ scheduled for September 17 to 22, 2018, sponsored by the Progressive Policy Institute.

You must complete an Employee Post-Travel Disclosure Form (which your employing Member must also sign) and file it, together with a Sponsor Post-Travel Disclosure Form completed by the trip sponsor, with the Clerk of the House within 15 days after your return from travel. As part of that filing, you are also required to attach a copy of this letter and both the Traveler and Primary Trip Sponsor Forms (including attachments) you previously submitted to the Committee in seeking pre-approval for this trip. If you are required to file an annual Financial Disclosure Statement, you must also report all travel expenses totaling more than \$390 from a single source on the "Travel" schedule of your annual Financial Disclosure Statement covering this calendar year. Finally, Travel Regulation § 404(d) also requires you to keep a copy of all request forms and supporting information provided to the Committee for three subsequent Congresses from the date of travel.

Because the trip may involve meetings with foreign government representatives, we note that House employees may accept, under the Foreign Gifts and Decorations Act (FGDA), gifts "of minimal value [currently \$390] tendered as a souvenir or mark of courtesy" by a foreign government. Any tangible gifts valued in excess of minimal value received from a foreign government must, within 60 days of acceptance, be disclosed on a Form for Disclosing Gifts from Foreign Governments filed with the Committee, and either turned over to the Clerk of the House, or, with the written approval of the Committee, retained for official use.

¹ We note that the U.S. Department of State (State Department) has issued a travel warning concerning travel to Dublin, available at <https://travel.state.gov/content/travel/en/international-travel/International-Travel-Country-Information-Pages/Ireland.html>. You may wish to contact the State Department regarding the safety of your proposed trip.

Mr. Judd Smith
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If you have any further questions, please contact the Committee's Office of Advice and Education at extension 5-7103.

Sincerely,



Susan W. Brooks
Chairwoman



Theodore E. Deutch
Ranking Member

SWB/TED: kej

House Attendees

Brandon Casey
Chief of Staff, Office of House Committee on Ways and Means
Rep. Richard Neal (D-MA)

Brandon Casey is Chief of Staff for the House Ways and Means Committee Minority Staff advising Ranking Member Rep. Richard Neal on international and domestic taxation. This trip will explore the impact of the new U.S. tax law on U.S.-EU relations, EU taxation on American companies operating in Europe, and future potential policy implications.

Eric Kanter
Senior Policy Advisor
Rep. Seth Moulton (D-MA)

Eric Kanter is Senior Policy Advisor to Rep. Seth Moulton advising the Congressman on issues related to tax, technology, telecommunications, and judiciary. This trip will contain programming related to ongoing anti-trust investigations of American tech companies by the EU, new digital privacy and social media laws, and the impact of the new U.S. tax law on U.S.-EU relations.

Judd Smith
Legislative Director and Senior Counsel
Representative Tom Marino (R-PA)

Judd Smith is Legislative Director and Senior Counsel to Rep. Tom Marino, Chairman of the House Judiciary Committee Subcommittee on Regulatory Reform, Commercial and Antitrust Law, advising the Congressman on issues related to tax, judiciary, telecommunications, and commerce. This trip will contain programming related to ongoing anti-trust investigations of American tech companies by the EU, new digital privacy and social media, and the impact of the new U.S. tax law on U.S.-EU relations.

Samuel Negatu
Legislative Counsel
Rep. Matthew Cartwright (D-PA)

Samuel Negatu serves as Legislative Counsel to Rep. Matthew Cartwright advising the Congressman on issues related to tax and technology. This trip will contain programming related to ongoing anti-trust investigations of American tech companies by the EU, new digital privacy and social media laws, and the impact of the new U.S. tax law on U.S.-EU relations.

On behalf of the Progressive Policy Institute (PPI), I'd like to invite you to join us for a transatlantic mission to Dublin and London from Monday, September 17 to Saturday, September 22, 2018.

This will be PPI's sixth time hosting a bipartisan delegation of senior congressional staff for high-level policy meetings in Europe and the United Kingdom with our transatlantic allies and partners. With an uncertain geopolitical landscape in Europe post-Brexit—and ever changing political dynamics in the United States—the desire from key players in Europe to meet and talk with their American counterparts in Congress is at a significantly heightened level. With this in mind, PPI believes it is important for U.S. policy professionals to experience and learn about the European view on critical issues not represented in domestic press reports and to inform our allies of Congress' work in these areas.

This mission will cover a wide range of topics and the schedule is not for the faint of heart; you will see much of Dublin and London in a short period of time!

Specifically, this mission will hold meetings directly related to:

- Ongoing competition, or "State Aid," investigations targeting US multi-nationals
- The impact of digital privacy laws and crackdown on social media
- The aftermath of Brexit and what it means for US-UK relations
- The new U.S. tax law's impact EU-US relations
- The political landscape across Europe and its effect on the future of the EU when the UK officially exits in 2019

PPI is a non-profit 501 (c)(3) organization with the mission of providing educational programming on current policy issues. As such, this mission will comply with House and Senate ethics rules. PPI was a leader in the founding and push for the "Third Way" movement in the 1990s and has continued this work since then by maintaining relationships in Europe and producing informative and thought provoking transatlantic missions and reports.

I hope that you will be able to join us in what promises to be an insightful and educational mission to Dublin and London. Space is limited; please contact me at 202-775-0106 or ctucker@ppionline.org to RSVP or if you require further information.

Regards,



Cody Tucker
Director of Public Affairs
Progressive Policy Institute

2018 PPI Mission to Dublin and London Agenda

Monday, September 17

10:05PM Flight Departs Washington, D.C. for Dublin

Tuesday, September 18

10:00AM Arrive in Dublin

10:15AM Airport Shuttle Pickup for Arriving Guests
Jana Plat will be waiting - 1-805-207-5741

11:00AM Arrive at Clayton Hotel Cardiff Lane
Sir John Rogerson's Quay, Dublin 2

12:00-2:00PM Lunch with Dublin Business Leaders
Discussion on ongoing state-aid and anti-trust investigations of U.S. companies by the European Commission
Sir John Rogerson's Quay, Dublin 2

2:30-4:00PM Meeting with Helen Blake, Assistant Secretary, Department of An Taoiseach (Prime Minister's Office)
Discussion on Ireland's engagement with the EU and upcoming Brexit negotiations
Upper Merrion Street, Dublin 2

5:00-6:00PM Meeting with Senator Neale Richmond, Fine Gael Party (Christian Democrat)
Meeting on the implications of Brexit for Ireland and its borders
Seanad Eireann, Leinster House, Kildare Street, Dublin 2

6:30-10:00PM Reception and Dinner with Arthur Beesley, Financial Times
Discussion on Brexit fallout and its impact on Ireland
The Stephen's Green Hibernaiton club 9 St Stephen's Green, Dublin 2

Wednesday, September 19

8:30AM-10:30AM Breakfast meeting with Timmy Dooley TD, Fianna Fail, Spokesperson
Meeting on the implications of Brexit for Ireland, US-Ireland relations, and Irish and European political climates
Seanad Eireann, Leinster House, Kildare Street, Dublin 2

11:30AM-1:30PM Lunch meeting with Mark Redmond, CEO, American Chamber of Commerce Dublin
Discussion on competition and privacy policies and the implications for U.S. business operating in Ireland
One Pico, Molesworth Place, Dublin 2

4:00-5:30PM Meeting with Nicholas O'Brien, Assistant Secretary, Department of Finance
Discussion on EU state-aid investigations and US-Ireland digital trade
Government Buildings, Merrion Street Upper, Dublin 2, Ireland

2018 PPI Mission to Dublin and London Agenda

6:30-10:00PM Dinner with Ed Brophy, Senior Advisor, Minister of Public Expenditure & Reform
Discussion on EU antitrust and tech and telecoms regulation
Blue room, Dean Hotel, 33 Harcourt Street, Dublin 2

Thursday, September 20

6:30AM-7:30AM London Trip briefing
Sir John Rogerson's Quay, Dublin 2

8:40AM Flight Departs Dublin

10:10AM Flight Arrives at London City Airport

11:30AM Arrive at Strand Palace Hotel
372 Strand, London WC2R 0JJ

12:15- 1:45PM Lunch with the Tony Blair Institute for Global Change
Chris Yiu, senior policy fellow for technology, Renewing the Centre team at the Tony Blair Institute for Global Change
Meeting on laying groundwork for digital policy in the U.K.
The Clarence 53 Whitehall London SW1A 2HP

2:00-3:30PM Meeting with Jimmy McLoughlin, Special Adviser to the Prime Minister Theresa May
Discussion on US-UK relations and private tour of 10 Downing
10 Downing Street, London SW1A 2AA

4:00-5:00PM Tour of UK Parliament
Meeting on functions of UK Parliament and private tour
Parliament, London, SW1A 0AA

5:30-7:00PM Meet and Greet Reception hosted by PPI
Meet and greet with UK government staff counterparts
The Tea Building, 56 Shoreditch High St, London E1 6JJ, UK

7:30-10:00PM Dinner with Matthew Goodwin, professor at the School of Politics and International Relations, the University of Kent, and Associate Fellow at Chatham House.
Discussion with British journalist on the process of Brexit and what it means for the U.S. and Europe
Pescatori 57 Charlotte St, London W1T 4PD

Friday, September 21

8:30-10:00AM Breakfast Meeting with COADEC
Discussion on US-UK digital trade and innovation policies
372 Strand, London WC2R 0JJ

10:30- 11:30AM Meeting with George Barnes, UK Treasury Department, Digital Tax
Meeting on digital taxation and state-aid investigations in the EU and UK
1, Horse Guards Rd, Westminster, London SW1A 2HQ, UK

2018 PPI Mission to Dublin and London Agenda

- 12:00-1:30PM Lunch with the BAB, British American Business council
Discussion on U.S. businesses operating in the UK
West Wing 2nd Floor, 12 Phillimore Walk, London W8 7RX, United Kingdom
- 2:00-3:00PM Meeting with the Department for Digital, Culture Media & Sport
Yasmin Brooks, Cyber Security and Data Director
Discussion on UK digital policy and cyber security for U.S. businesses operating in the UK
4th Floor, 100 Parliament Street, London SW1A 2BQ
- 4:00-5:00PM Meeting with Patrick Diamond, Policy Network
Meeting on UK-US relations and current UK political climate
372 Strand, London WC2R 0JJ
- 6:00-9:00PM Final dinner with Labour Members of UK Parliament
Trip debrief and wrap-up discussion
372 Strand, London WC2R 0JJ

Saturday, September 22

- 12:15PM Flight Departs London for Dulles
- 3:15PM Flight Arrives in Dulles