

U.S. House of Representatives  
Committee on Ethics

18 AUG 24 AM 11:24

OFFICE OF THE CLERK  
U.S. HOUSE OF REPRESENTATIVES

EMPLOYEE POST-TRAVEL DISCLOSURE FORM

This form is for disclosing the receipt of travel expenses from private sources for travel taken in connection with official duties. This form does not eliminate the need to report privately-funded travel on the annual Financial Disclosure Statements of those employees required to file them. In accordance with House Rule 25, clause 5, you must complete this form and file it with the Clerk of the House, 135 Cannon House Office Building, within 15 days after travel is completed. Please do not file this form with the Committee on Ethics.

NOTE: Willful or knowing misrepresentations on this form may be subject to criminal prosecution pursuant to 18 U.S.C. § 1001.

1. Name of Traveler: Kimberly Knackstedt
2. a. Name of accompanying relative: \_\_\_\_\_ or None   
b. Relationship to Traveler:  Spouse  Child  Other (specify): \_\_\_\_\_
3. a. Dates of departure and return: Departure: August 7, 2018 Return: August 9, 2018  
b. Dates at personal expense (if any): \_\_\_\_\_ or None
4. Departure city: Washington, DC Destination: Queenstown, MD Return city: Washington, DC
5. Sponsor(s) (who paid for the trip): Aspen Institute (Education and Society Program)
6. Describe meetings and events attended: Event allowed staff to discuss implementation of the Every Student Succeeds Act with state and district school personnel including success and challenges.
7. Attached to this form are EACH of the following (signify that each item is attached by checking the corresponding box):
  - a.  a completed Sponsor Post-Travel Disclosure Form;
  - b.  the Primary Trip Sponsor Form completed by the trip sponsor prior to the trip, including all attachments and Grantmaking or Non-Grantmaking Sponsor Forms;
  - c.  page 2 of the completed Traveler Form submitted by the employee; and
  - d.  the letter from the Committee on Ethics approving my participation on this trip.
8. a. I represent that I participated in each of the activities reflected in the attached sponsor's agenda. (Signify that statement is true by checking box):   
b. If not, explain: \_\_\_\_\_

I certify that the information contained on this form is true, complete, and correct to the best of my knowledge.

SIGNATURE OF TRAVELER: [Signature] DATE: 08/16/18

I authorized this travel in advance. I have determined that all of the expenses listed on the attached Sponsor Post-Travel Disclosure form were necessary and that the travel was in connection with the employee's official duties and would not create the appearance that the employee is using public office for private gain.

NAME OF SUPERVISING MEMBER: [Signature] DATE: 8/21/18

SIGNATURE OF SUPERVISING MEMBER: Robert C. "Bobby" Scott

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**U.S. House of Representatives  
Committee on Ethics**

**SPONSOR POST-TRAVEL DISCLOSURE FORM**

This form must be completed by an officer of any organization that served as the primary trip sponsor in providing travel expenses or reimbursement for travel expenses to House Members, officers, or employees under House Rule 25, clause 5. *A completed copy of the form must be provided to each House Member, officer, or employee who participated on the trip within 10 days of their return.* You must answer all questions, and check all boxes, on this form for your submission to comply with House rules and the Committee's travel regulations. Failure to comply with this requirement may result in the denial of future requests to sponsor trips and/or subject the current traveler to disciplinary action or a requirement to repay the trip expenses.

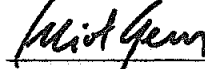
**NOTE: Willful or knowing misrepresentations on this form may be subject to criminal prosecution pursuant to 18 U.S.C. § 1001.**

1. Sponsor(s) (who paid for the trip): The Aspen Institute (Education & Society Program)
  
2. Travel Destination(s): Queenstown, MD
  
3. Date of Departure: August 7, 2018 Date of Return: August 9, 2018
  
4. Name(s) of Traveler(s): Kim Knackstedt  
(NOTE: You may list more than one traveler on a form only if all information is identical for each person listed.)
  
5. **Actual amount** of expenses paid on behalf of, or reimbursed to, each individual named in response to Question 4:

	Total Transportation Expenses	Total Lodging Expenses	Total Meal Expenses	Other Expenses (dollar amount per item and description)
Traveler	n/a	\$234.00	\$132.75	\$211.63 (meeting room fees)
Accompanying Relative	n/a	n/a	n/a	n/a

6. All expenses connected to the trip were for actual costs incurred and not a *per diem* or lump sum payment. (Signify statement is true by checking box):

I certify that the information contained in this form is true, complete, and correct to the best of my knowledge.

Signature:   
 Name: Elliot Gerson Title: Executive Vice President, Policy & Public Programs  
 Organization: The Aspen Institute

**I am an officer of the above-named organization (signify statement is true by checking box):**

Address: 2300 N Street NW, Suite 700  
Washington, DC 20037

Telephone number: 202-736-5859 (Lisa Jones, Deputy General Counsel)

Email Address: lisa.jones@aspeninstitute.org

*Committee staff may contact the above-named individual if additional information is required.*

If you have questions regarding your completion of this form, please contact the Committee on Ethics at (202) 225-7103.

U.S. House of Representatives  
Committee on Ethics

TRAVELER FORM

1. Name of Traveler: Kimberly Knackstedt
2. Sponsor(s) (who will be paying for the trip): The Aspen Institute (Education & Society Program)
3. Travel destination(s): Queenstown, MD
4. a. Date of departure August 7, 2018 Date of return: August 9, 2018  
b. Will you be extending the trip at your personal expense?  Yes  No  
If yes, dates at personal expense: \_\_\_\_\_
5. a. Will you be accompanied by a relative at the sponsor's expense?  Yes  No  
b. If yes:  
(1) Name of accompanying relative: \_\_\_\_\_  
(2) Relationship to traveler:  Spouse  Child  Other (specify): \_\_\_\_\_  
(3) Accompanying relative is at least 18 years of age:  Yes  No
6. a. Did the trip sponsor answer "yes" to Question 9(d) on the Primary Trip Sponsor Form (i.e., travel is sponsored by an entity that employs a registered federal lobbyist or foreign agent and you are requesting lodging for two nights)?  Yes  No  
b. If yes, explain why the second night of lodging is warranted:  
\_\_\_\_\_  
\_\_\_\_\_
7. Primary Trip Sponsor Form is attached, including agenda, invitee list, and any other attachments and contributing sponsor forms:  Yes  No  
NOTE: The agenda should show the traveler's individual schedule, including departure and arrival times and identify the specific events in which the traveler will be participating.
8. Explain why participation in the trip is connected to the traveler's individual official or representational duties. Staff should include their job title and how the activities on the itinerary relate to their duties.  
I am the disability & education policy advisor for Ed & Workforce. This trip will allow me to meet with leaders and educational experts from states, districts, research, & support organizations on education policy.
9. Is the traveler aware of any registered federal lobbyists or foreign agents involved in planning, organizing, requesting, and/or arranging the trip?  Yes  No

10. **FOR STAFF TRAVELERS:**

**TO BE COMPLETED BY YOUR EMPLOYING MEMBER:**

ADVANCED AUTHORIZATION OF EMPLOYEE TRAVEL

I hereby authorize the individual named above, an employee of the U.S. House of Representatives who works under my direct supervision, to accept expenses for the trip described in this request. I have determined that the above-described travel is in connection with my employee's official duties and that acceptance of these expenses will not create the appearance that the employee is using public office for private gain.

Date: 7/5/18

B. M. Scott

Signature of Employing Member

**U.S. House of Representatives  
Committee on Ethics**

**PRIMARY TRIP SPONSOR FORM**

This form should be completed by private entities offering to provide travel or reimbursement for travel to House Members, officers, or employees under House Rule 25, clause 5. A completed copy of the form (and any attachments) should be provided to each invited House Member, officer, or employee, who will then forward it to the Committee together with a Traveler Form at least 30 days before the start date of the trip. The trip sponsor should NOT submit the form directly to the Committee. The Committee Web site ([ethics.house.gov](http://ethics.house.gov)) provides detailed instructions for filling out the form.

**NOTE: Willful or knowing misrepresentations on this form may be subject to criminal prosecution pursuant to 18 U.S.C. § 1001. Failure to comply with the Committee's Travel Regulations may also lead to the denial of permission to sponsor future trips.**

1. Sponsor (who will be paying for the trip): \_\_\_\_\_  
The Aspen Institute, Inc. (Education and Society Program) \_\_\_\_\_
2. I represent that the trip will not be financed (in whole or in part) by a registered federal lobbyist or foreign agent (signify that the statement is true by checking box):
3. Check only one: I represent that:
  - a. the primary trip sponsor has not accepted from any other source funds intended directly or indirectly to finance any aspect of the trip  or
  - b. the trip is arranged without regard to congressional participation and the primary trip sponsor has accepted funds only from entities that will receive a tangible benefit in exchange for those funds  or,
  - c. the primary trip sponsor has accepted funds from other source(s) intended directly or indirectly to finance all or part of this trip and has enclosed disclosure forms from each of those entities.If "c" is checked, list the names of the additional sponsors: \_\_\_\_\_  
The Bill & Melinda Gates Foundation \_\_\_\_\_
4. Provide names and titles of ALL House Members and employees you are inviting. For each House invitee, provide an explanation of why the individual was invited (include additional pages if necessary):  
See attached list of staff invited and explanation (note: all are senior education staffers responsible for education policy)
5. Is travel being offered to an accompanying relative of the House invitee(s)?  Yes  No
6. Date of departure: August 7, 2018 Date of return: August 9, 2018
7. a. City of departure: Washington, DC  
b. Destination(s): Queenstown, MD  
c. City of return: Washington, DC
8. I represent that (check one of the following):
  - a. The sponsor of the trip is an institution of higher education within the meaning of section 101 of the Higher Education Act of 1965:  or
  - b. The sponsor of the trip does not retain or employ a registered federal lobbyist or foreign agent:  or
  - c. The sponsor employs or retains a registered federal lobbyist or foreign agent, but the trip is for attendance at a one-day event and lobbyist/foreign agent involvement in planning, organizing, requesting, or arranging the trip was *de minimis* under the Committee's travel regulations.
9. Check one of the following:
  - a. I checked 8(a) or (b) above:
  - b. I checked 8(c) above but am not offering any lodging:
  - c. I checked 8(c) above and am offering lodging and meals for one night:  or
  - d. I checked 8(c) above and am offering lodging and meals for two nights:If "d" is checked, explain why the second night of lodging is warranted: \_\_\_\_\_

10. Attached is a detailed agenda of the activities the House invitees will be participating in during the travel (i.e., an hourly description of planned activities for trip invitees) (indicate agenda is attached by checking box):

11. Check one:

a. I represent that a registered federal lobbyist or foreign agent will not accompany House Members or employees on any segment of the trip (signify that the statement is true by checking box):  or

b. N/A – trip sponsor is a U.S. institution of higher education.

12. For each sponsor required to submit a sponsor form, describe the sponsor's interest in the subject matter of the trip and its role in organizing and/or conducting the trip:

The Aspen Institute's Education and Society Program has an 11 year history of providing non-partisan education programs for Congressional staff. The purpose of this trip is to convene a conference to discuss the implementation of the Every Student Succeeds Act. Aspen's Education and Society Program is solely responsible for organizing and conducting the meeting. The Bill & Melinda Gates Foundation provides funding to the Education and Society Program but does not have a role in organizing or conducting the meeting.

13. Answer parts a and b. Answer part c if necessary.

a. Mode of travel: Air  Rail  Bus  Car  Other  (Specify: \_\_\_\_\_)

b. Class of travel: Coach  Business  First  Charter  Other  (Specify: self-travel)

c. If travel will be first class or by chartered or private aircraft, explain why such travel is warranted:

14. I represent that the expenditures related to local area travel during the trip will be unrelated to personal or recreational activities of the invitee(s). (signify that the statement is true by checking box):

15. I represent that either (check one of the following):

a. The trip involves an event that is arranged or organized *without regard* to congressional participation and that meals provided to congressional participants are similar to those provided to or purchased by other event attendees:  or

b. The trip involves events that are arranged specifically *with regard* to congressional participation:

If "b" is checked:

1) Detail the cost per day of meals (approximate cost may be provided): \_\_\_\_\_  
8/7/18 \$36.75, 8/8/18 \$69.00, 8/9/18 \$27.00

2) Provide reason for selecting the location of the event or trip: \_\_\_\_\_  
The meeting space creates the appropriate atmosphere to support off-the-record, non-partisan exchanges of ideas and professional learning a short distance away from Washington, DC.

16. Name, nightly cost, and reasons for selecting each hotel or other lodging facility:

Hotel name: Wye River Conference Center City: Queenstown, MD Cost per night: \$117.00

Reason(s) for selecting: Easily accessible with sufficient lodging and meeting space for all participants

Hotel name: \_\_\_\_\_ City: \_\_\_\_\_ Cost per night: \_\_\_\_\_

Reason(s) for selecting: \_\_\_\_\_

Hotel name: \_\_\_\_\_ City: \_\_\_\_\_ Cost per night: \_\_\_\_\_

Reason(s) for selecting: \_\_\_\_\_

17. I represent that all expenses connected to the trip will be for actual costs incurred and not a per diem or lump sum payment. (signify that the statement is true by checking box):

18. TOTAL EXPENSES FOR EACH PARTICIPANT:

<input type="checkbox"/> actual amounts	Total <i>Transportation</i> Expenses per Participant	Total <i>Lodging</i> Expenses per Participant	Total <i>Meal</i> Expenses per Participant
<input checked="" type="checkbox"/> good faith estimates			
For each Member, Officer, or employee	\$68.22 (round trip mileage and tolls)	\$234.00(two nights total)	\$132.75
For each accompanying relative	n/a	n/a	n/a

	<i>Other</i> Expenses (dollar amount per item)	Identify Specific Nature of "Other" Expenses (e.g., taxi, parking, registration fee, etc.)
For each Member, Officer, or employee	\$211.63	meeting room fees (includes meeting facilities, setup/takedown, cleaning, meeting materials)
For each accompanying relative	n/a	n/a


**NOTE: Willful or knowing misrepresentations on this form may be subject to criminal prosecution pursuant to 18 U.S.C. § 1001.**

19. Check one:

- a. I certify that I am an officer of the organization listed below.  *or*  
 b. N/A – sponsor is an individual or a U.S. institution of higher education.

20. I certify that I am not a registered federal lobbyist or foreign agent for any sponsor of this trip.

21. I certify by my signature that the information contained in this form is true, complete, and correct to the best of my knowledge.

Signature:   
 Name: Elliot Gerson  
 Title: Executive Vice President, Policy & Public Programs  
 Organization: The Aspen Institute  
 Address: 2300 N Street NW, Suite 700, Washington, DC 20037  
 Telephone number: 202-736-5859 (Lisa Jones, Deputy General Counsel)  
 Email address: lisa.jones@aspenninst.org

If there are any questions regarding this form please contact the Committee at the following address:

Committee on Ethics  
 U.S. House of Representatives  
 1015 Longworth House Office Building  
 Washington, DC 20515  
 (202) 225-7103 (phone)  
 (202) 225-7392 (general fax)



U.S. House of Representatives  
Committee on Ethics

GRANTMAKING TRIP SPONSOR FORM

This form should be completed by a public charity or private foundation (both as defined under section 501(c)(3) of the Internal Revenue Code) that provides a grant of funds to another entity to underwrite, in whole or in part, a trip or an event, meal, or activity that will occur during a trip, or a necessary expense that will be incurred during a trip, with express or implicit knowledge or understanding that one or more House Members or employees may participate in or attend that trip or event, or otherwise may be beneficiaries of the gift or donation. Provide a copy of your completed form to the primary sponsor of the trip.

**NOTE: Willful or knowing misrepresentations on this form may be subject to criminal prosecution pursuant to 18 U.S.C. § 1001. Failure to comply with the Committee's Travel Regulations may also lead to the denial of permission to sponsor future trips.**

1. I certify that (name of your organization): The Bill & Melinda Gates Foundation  
has been designated a § 501(c)(3) nonprofit charitable organization by the Internal Revenue Service.  
 Yes  No
2. Name of Primary Trip Sponsor: Aspen Institute, Education and Society Program
3. I certify that my organization (*complete a or b*):
  - a.  Has provided a grant, gift, or donation to the above-named Primary Trip Sponsor and conducts an audit or review of its grant, gift, or donation to ensure that the funds are spent in accordance with the terms of its grant, gift, or donation. *or*
  - b.  Has had a direct role in the organizing, planning, or conducting of a trip to  
(destination) \_\_\_\_\_ on (date) \_\_\_\_\_ that is  
being organized or arranged by the above-named Primary Trip Sponsor.
4. Check one:
  - a.  My organization does not employ or retain a registered federal lobbyist or foreign agent *or*
  - b.  My organization employs a registered federal lobbyist or foreign agent, but their involvement in planning, organizing, or arranging the trip was *de minimis* under the travel regulations.
5. I certify that I am not a registered federal lobbyist or foreign agent for any sponsor of this trip.
6. I certify by my signature that the information contained in this form is true, complete, and correct to the best of my knowledge.

Signature: \_\_\_\_\_

Name: Julius Lloyd Horwich Title: Senior Program Officer

Organization: The Bill & Melinda Gates Foundation

Address: 1300 I (Eye) Street NW, Washington, DC 20005

Telephone number: 202-662-8130 Email: lloyd.horwich@gatesfoundation.org

If there are any questions regarding this form please contact the Committee at the following address:

Committee on Ethics  
U.S. House of Representatives  
1015 Longworth House Office Building  
Washington, DC 20515  
(202) 225-7103 (phone)  
(202) 225-7392 (general fax)

Susan W. Brooks, Indiana  
*Chairwoman*  
Theodore E. Deutch, Florida  
*Ranking Member*

Kenny Marchant, Texas  
Leonard Lance, New Jersey  
Mimi Walters, California  
John Ratcliffe, Texas

Yvette D. Clarke, New York  
Jared Polis, Colorado  
Anthony Brown, Maryland  
Steve Cohen, Tennessee



ONE HUNDRED FIFTEENTH CONGRESS

## U.S. House of Representatives

### COMMITTEE ON ETHICS

July 25, 2018

Thomas A. Rust  
*Staff Director and Chief Counsel*

Donna Herbert  
*Director of Administration*

Megan Savage  
*Chief of Staff and Counsel to  
the Chairwoman*

Daniel J. Taylor  
*Counsel to the Ranking Member*

1015 Longworth House Office Building  
Washington, D.C. 20515-6328  
Telephone: (202) 225-7103  
Facsimile: (202) 225-7392

Ms. Kimberly Knackstedt  
Committee on Education and the Workforce  
H2-230 Ford House Office Building  
Washington, DC 20515

Dear Ms. Knackstedt:

Pursuant to House Rule 25, clause 5(d)(2), the Committee on Ethics hereby approves your proposed trip to Queenstown, Maryland, scheduled for August 7 to 9, 2018, sponsored by the Aspen Institute, with financial support from the Bill & Melinda Gates Foundation.

You must complete an Employee Post-Travel Disclosure Form (which your employing Member must also sign) and file it, together with a Sponsor Post-Travel Disclosure Form completed by the trip sponsor, with the Clerk of the House within 15 days after your return from travel. As part of that filing, you are also required to attach a copy of this letter and both the Traveler and Primary Trip Sponsor Forms (including attachments) you previously submitted to the Committee in seeking pre-approval for this trip. If you are required to file an annual Financial Disclosure Statement, you must also report all travel expenses totaling more than \$390 from a single source on the "Travel" schedule of your annual Financial Disclosure Statement covering this calendar year. Finally, Travel Regulation § 404(d) also requires you to keep a copy of all request forms and supporting information provided to the Committee for three subsequent Congresses from the date of travel.

If you have any further questions, please contact the Committee's Office of Advice and Education at extension 5-7103.

Sincerely,

Susan W. Brooks  
Chairwoman

Theodore E. Deutch  
Ranking Member

SWB/TED:kej



## Aspen Senior Congressional Education Staff Network Retreat

### *Implementing ESSA: Accountability Systems, State and District Finance, and Human Capital Strategy*

Wye River Conference Center  
600 Aspen Drive  
Queenstown, MD 21658  
Phone: (410) 827-7400

August 7-9, 2018

#4. Please provide names and titles of ALL House Members and employees you are inviting.

The House employees invited (listed below) are senior education staff responsible for education issues; they are invited specifically because of their education portfolio to meet with leaders and educational experts from states, districts, research, and support organizations to engage in non-partisan dialogue on the impact of federal policy on different levels of the education system.

#### HOUSE CONGRESSIONAL STAFF

**Amy Jones**

Majority Director of Education and Human  
Services Policy  
House Committee on Education and the Workforce

**Robin Juliano**

Minority Professional Staff  
House Appropriations Subcommittee on Labor,  
Health and Human Services, and Education

**Kim Knackstedt**

Minority Disability Policy Advisor  
House Committee on Education and the Workforce

**Jacque Chevalier Mosely**

Minority Education Policy Director  
House Committee on Education and the Workforce

**Véronique Pluviose**

Minority Staff Director  
House Committee on Education and the Workforce

**Brandon Renz**

Majority Staff Director  
House Committee on Education and the Workforce

**Karyn Richman**

Majority Professional Staff  
House Appropriations Subcommittee on Labor,  
Health and Human Services, and Education

**Mandy Schaumburg**

Majority Education Deputy Director and Senior  
Counsel  
House Committee on Education and the Workforce

**Brad Thomas**

Majority Senior Education Policy Advisor  
House Committee on Education and the Workforce

## Aspen Senior Congressional Education Staff Network Retreat

### *Implementing ESSA: Accountability Systems, State and District Finance, and Human Capital Strategy*

Wye River Conference Center  
600 Aspen Drive  
Queenstown, MD 21658  
P: 410-827-7400

August 7-9, 2018

#### AGENDA

#### Retreat Goals:

- Understand how states are implementing their Every Student Succeeds Act (ESSA) plans and how they will support implementation at different levels of the system;
- In-depth review of the operation and implications of states' ESSA accountability systems for identifying and supporting schools;
- Explore how districts develop and track budgets in the context of ESSA funding and requirements, including how district budgets connect to the district's overall strategy;
- Understand how states and districts are supporting their human capital strategy, including increasing access to effective teachers and leaders and developing principals and teachers; and
- Engage in active learning and build working relationships with education leaders from the field, as well as with colleagues from different parties and chambers.

#### Tuesday, August 7, 2018

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**12:00 PM**                      **Arrival and Check-In**

**12:30 – 1:00 PM**            **Lunch**

**1:15 – 1:45 PM**            **Welcome, Overview, and Retreat Objectives**

To guide the retreat's discussion, Congressional staffers and faculty members will begin by sharing their top learning objectives for the convening.

**1:45 – 3:00 PM**            **Session I: Capacity to Implement ESSA**

Steve Canavero, Superintendent of Public Instruction, Nevada Department of Education (invited)

Alicia Garcia, Principal Researcher, American Institutes of Research

Bill Husfelt, Superintendent, Bay County Schools

Guiding Questions:

- *How are SEAs and LEAs currently structured and staffed? What, if any, changes are being made in response to ESSA requirements (i.e., school improvement, financial*

*transparency requirements, etc.)? Are staffing needs concentrated around the need for new types of expertise or the need for just more staff?*

- *How are states, districts, and the U.S. Department of Education (ED) balancing compliance and monitoring with providing guidance and support?*
- *What supports are states and districts planning to provide and/or need in order to navigate the shift to full ESSA implementation?*
- *Where did states feel additional flexibility in ESSA? Where have they felt restricted or a greater sense of compliance? What opportunities or flexibilities did states choose to pursue and why?*
- *What resources or supports is the U.S. Department of Education (ED) or other federally-funded centers currently providing to states and districts to help implement ESSA? Are there additional supports that would be helpful, or improvements that can be made to current practice? Are there resources or supports states and districts expect or need from ED that they are not getting?*

**3:00 – 3:15 PM**      **Break and individual reflection**

**3:15 – 5:15 PM**      **Session II: Digging into District Budgeting from Development to Execution**

Marguerite Roza, Director, Edunomics Lab, Georgetown University (invited)

Staff and faculty will have the opportunity to explore in-depth a district's budgeting process, from receipt to expenditure, including sources of funding, constraints and opportunities with funding, and linkages of funding with district strategies and outcomes.

**5:15 – 5:30 PM**      **Taking stock: Staff reflections and feedback to guide remaining discussions**

**6:30 PM**              **Networking Reception with Expert Faculty**

Staffers will have the opportunity to network with participants during the reception.

**7:00 – 8:30 PM**      **Dinner with Discussion of Earlier Sessions**

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**Wednesday, August 8, 2018**

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**7:30 – 8:30 AM**      **Breakfast**

**8:30 – 8:45 PM**      **Group reflection on Day 1: what thoughts and questions were raised for further discussion?**

**8:45 – 10:15 AM**      **Session III: Reflecting on District Budgeting**

Lewis Ferebee, Superintendent, Indianapolis Public Schools (invited)

Yvonne Macrae, Senior Financial Analyst, Boston Public Schools

Sara Shaw, Senior Manager of Fiscal and Academic Solvency, Illinois State Board of Education

Guiding Questions:

- *How well do districts understand federal funding formulas, allocations, flexibilities, and programmatic uses of funds? How are tensions and tradeoffs between federal, state, and local funding and requirements resolved?*



- *How are state and district leaders preparing to report on, utilize, and respond to ESSA's financial reporting requirements? What are the implications for equity?*
- *To what extent are discussions about return on investment (ROI) taking place across states and districts?*
- *What lessons can be learned from the initial rollout of the Weighted Student Funding Pilot?*
- *How are states and districts addressing resource reviews as part of schools' improvement plans?*
- *How complicated is it for districts to manage federal funds?*
- *How do district leaders understand and take advantage of the transferability authority in ESSA? In addition, how do districts typically implement the consolidation of funds permitted under schoolwides?*

**10:15 – 10:35 AM**      **Break and individual reflection**

**10:35 AM – 12:00 PM**    **Session IV: Human Capital**

Steve Canavero, Superintendent of Public Instruction, Nevada Department of Education (invited)

Laura Hamilton, Associate Director, RAND Education

Bill Husfelt, Superintendent, Bay County Schools

Guiding Questions:

- *To what extent are districts experiencing difficulty in filling teacher positions broadly and more specifically with certain courses/subjects? How are state and district leaders using ESSA's programs and funding to recruit, develop, distribute, and retain effective teachers and leaders?*
- *Are district HR systems adequately supporting and informing human capital strategy? Are all the systems involved with teacher recruitment, hiring, evaluation, etc. connected and aligned to the same goals and outcomes?*
- *How have educator evaluation systems evolved since ESSA's passage? Is evaluation any better coordinated across federal, state, and local programs and initiatives?*
- *Are states prioritizing principals as instructional leaders and are they using the optional Title II set aside for principal development? If so, what activities and supports are they planning to offer?*
- *What resources or supports do states and districts need to achieve a more equitable distribution of effective educators?*

**12:00 – 1:00 PM**      **Lunch**

**1:00 – 3:00 PM**      **Session V: Deep Dive into State Accountability Systems**

Laura Hamilton, Associate Director, RAND Education

Staff and faculty will have the opportunity to explore a simulated run of a state's ESSA accountability system and consider implications for which schools are identified for improvement and what it means for state and district capacity, budgeting, and human capital strategy.

**3:00 – 3:15 PM**      **Break**

**3:15 – 4:30 PM**

**Session VI: Implications of State Accountability Systems**

Steve Canavero, Superintendent of Public Instruction, Nevada Department of Education (invited)

Lewis Ferebee, Superintendent, Indianapolis Public Schools (invited)

Alicia Garcia, Principal Researcher, American Institutes of Research

Guiding Questions:

- *Is the state system's model coherent and likely to result in the identification of the most pressing problem(s) in districts and schools and across the state?*
- *Did the model result in school and subgroup identification along the lines the state plan described?*
- *How does the state system address the validity and reliability of results?*
- *What patterns of school and subgroup identification were generated? Are those patterns consistent with expectations and/or prior identifications under ESEA waivers?*
- *How does the state's system surface educational inequities? Are there any inequities that are not apparent in the results that might be overlooked?*
- *Is the state's system and its output intelligible/useful to internal stakeholders (e.g., district) and external stakeholders (e.g., parents, the public)?*
- *Are the state's plans for support and improving identified schools appropriate to the actual results of the accountability system?*

**6:15 PM**

**Networking Reception with Expert Faculty**

Staffers will have the opportunity to network with participants during the reception.

**7:00 – 8:30 PM**

**Dinner with Discussion of Earlier Sessions**

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**Thursday, August 9, 2018**

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**7:30 – 8:30 AM**

**Breakfast**

**8:30 – 8:45 AM**

**Staff reflections and feedback to guide remaining discussion**

**8:45 – 10:30 AM**

**Session VII: Pulling It All Together: Making Connections between Funding, Accountability and Human Capital**

Yvonne Macrae, Senior Financial Analyst, Boston Public Schools

Marguerite Roza, Director, Edunomics Lab, Georgetown University

Sara Shaw, Senior Manager of Fiscal and Academic Solvency, Illinois State Board of Education

Guiding Questions:

- *How can states and districts balance budget development, accountability, and human capital strategy needs to achieve greater coherence? What resources do they need?*
- *As states and districts begin implementing their accountability systems and identifying schools and supporting/improving those schools, what issues do they*



*need to address (i.e., building internal capacity, identifying resources, addressing resources, examining human capital policy and practice, etc.)?*

- *What supports will states and districts need to navigate these challenges or take advantage of these opportunities?*
- *What are the most important roles for ED as states and districts move into accountability system implementation and school identification? Are there ways ED can support greater coherence at the state and district level?*

<b>10:30 – 10:45 AM</b>	<b>Break</b>
<b>10:45 – 11:00 AM</b>	<b>Complete Retreat Evaluation</b>
<b>11:00 – 11:30 AM</b>	<b>Final Observations from Expert Faculty</b>
<b>11:30 – 12:00 PM</b>	<b>Taking Stock: Staff Reflections and Feedback to Guide Next Steps for the Network</b>
<b>12:00 – 1:00 PM</b>	<b>Lunch and Adjourn</b>