

U.S. House of Representatives
Committee on Ethics

EMPLOYEE POST-TRAVEL DISCLOSURE FORM

LEGISLATIVE RESOURCE CENTER
18 APR 13 PM 12:54

This form is for disclosing the receipt of travel expenses from private sources for travel taken in connection with official duties. This form does not eliminate the need to report privately-funded travel on the annual Financial Disclosure Statements of those employees required to file them. In accordance with House Rule 25, clause 5, you must complete this form and file it with the Clerk of the House, 135 Cannon House Office Building, within 15 days after travel is completed. Please do not file this form with the Committee on Ethics.

NOTE: Willful or knowing misrepresentations on this form may be subject to criminal prosecution pursuant to 18 U.S.C. § 1001.

1. Name of Traveler: Clayton Swope
2. a. Name of accompanying relative: _____ or None
 b. Relationship to Traveler: Spouse Child Other (specify): _____
3. a. Dates of departure and return: Departure: April 3, 2018 Return: April 5, 2018
 b. Dates at personal expense (if any): _____ or None
4. Departure city: Washington, DC (DCA) Destination: Palo Alto, CA (SFO) Return city: Washington, DC (IAD)
5. Sponsor(s) (who paid for the trip): Hoover Institution
6. Describe meetings and events attended: I attended the Stuart Family Congressional Fellowship and participated in meetings, briefings, and discussions with experts in the fields of foreign policy, national security, and economic policy at the Hoover Institution in California.
7. Attached to this form are EACH of the following (signify that each item is attached by checking the corresponding box):
 - a. a completed Sponsor Post-Travel Disclosure Form;
 - b. the Primary Trip Sponsor Form completed by the trip sponsor prior to the trip, including all attachments and Grantmaking or Non-Grantmaking Sponsor Forms;
 - c. page 2 of the completed Traveler Form submitted by the employee; *and*
 - d. the letter from the Committee on Ethics approving my participation on this trip.
8. a. I represent that I participated in each of the activities reflected in the attached sponsor's agenda. (Signify that statement is true by checking box):
 b. If not, explain: _____

I certify that the information contained on this form is true, complete, and correct to the best of my knowledge.

SIGNATURE OF TRAVELER: _____ DATE: April 12, 2018

I authorized this travel in advance. I have determined that all of the expenses listed on the attached Sponsor Post-Travel Disclosure form were necessary and that the travel was in connection with the employee's official duties and would not create the appearance that the employee is using public office for private gain.

NAME OF SUPERVISING MEMBER: Derek Kilmer DATE: April 12, 2018

SIGNATURE OF SUPERVISING MEMBER: _____

U.S. House of Representatives
Committee on Ethics

Original Amendment

SPONSOR POST-TRAVEL DISCLOSURE FORM

This form must be completed by an officer of any organization that served as the primary trip sponsor in providing travel expenses or reimbursement for travel expenses to House Members, officers, or employees under House Rule 25, clause 5. *A completed copy of the form must be provided to each House Member, officer, or employee who participated on the trip within 10 days of their return.* You must answer all questions, and check all boxes, on this form for your submission to comply with House rules and the Committee's travel regulations. Failure to comply with this requirement may result in the denial of future requests to sponsor trips and/or subject the current traveler to disciplinary action or a requirement to repay the trip expenses.

NOTE: Willful or knowing misrepresentations on this form may be subject to criminal prosecution pursuant to 18 U.S.C. § 1001.

1. Sponsor(s) (who paid for the trip): Stanford University's Hoover Institution
2. Travel Destination(s): Stanford University, Palo Alto, CA
3. Date of Departure: Tuesday, April 3, 2018 Date of Return: Thursday, April 5, 2018
4. Name(s) of Traveler(s): See attached list of House participants
(NOTE: You may list more than one traveler on a form only if all information is identical for each person listed.)
5. **Actual amount** of expenses paid on behalf of, or reimbursed to, each individual named in response to Question 4:

	Total Transportation Expenses	Total Lodging Expenses	Total Meal Expenses	Other Expenses (dollar amount per item and description)
Traveler	\$567.82 airfare	\$320	\$131.67	\$164.85 - Ground Transportation
Accompanying Relative	n/a	n/a	n/a	n/a

6. All expenses connected to the trip were for actual costs incurred and not a *per diem* or lump sum payment. (Signify statement is true by checking box):

I certify that the information contained in this form is true, complete, and correct to the best of my knowledge.

Signature: Michael G. Franc

Name: Michael G. Franc Title: Director, Washington D.C. Programs

Organization: Hoover Institution

I am an officer of the above-named organization (signify statement is true by checking box):

Address: 1399 New York Avenue, NW Suite 500

Washington, D.C. 20005

Telephone number: 202-760-3200

Email Address: mfranc@stanford.edu

Committee staff may contact the above-named individual if additional information is required.

If you have questions regarding your completion of this form, please contact the Committee on Ethics at (202) 225-7103.

U.S. House of Representatives
Committee on Ethics

TRAVELER FORM

1. Name of Traveler: Clayton Swope
2. Sponsor(s) (who will be paying for the trip): Stanford University
3. Travel destination(s): Stanford University, Palo Alto, CA
4. a. Date of departure 04/03/2018 Date of return: 04/05/2018
b. Will you be extending the trip at your personal expense? Yes No
If yes, dates at personal expense: _____
5. a. Will you be accompanied by a relative at the sponsor's expense? Yes No
b. If yes:
(1) Name of accompanying relative: _____
(2) Relationship to traveler: Spouse Child Other (specify): _____
(3) Accompanying relative is at least 18 years of age: Yes No
6. a. Did the trip sponsor answer "yes" to Question 9(d) on the Primary Trip Sponsor Form (i.e., travel is sponsored by an entity that employs a registered federal lobbyist or foreign agent and you are requesting lodging for two nights)? Yes No
b. If yes, explain why the second night of lodging is warranted:

7. Primary Trip Sponsor Form is attached, including agenda, invitee list, and any other attachments and contributing sponsor forms: Yes No
NOTE: The agenda should show the traveler's individual schedule, including departure and arrival times and identify the specific events in which the traveler will be participating.
8. Explain why participation in the trip is connected to the traveler's individual official or representational duties. Staff should include their job title and how the activities on the itinerary relate to their duties.
I am the Military Legislative Assistant and cover national security, foreign policy, and trade issues for Rep. Kilmer. This trip provides the opportunity to learn from experts in these fields.
9. Is the traveler aware of any registered federal lobbyists or foreign agents involved in planning, organizing, requesting, and/or arranging the trip? Yes No

10. **FOR STAFF TRAVELERS:**

TO BE COMPLETED BY YOUR EMPLOYING MEMBER:

ADVANCED AUTHORIZATION OF EMPLOYEE TRAVEL

I hereby authorize the individual named above, an employee of the U.S. House of Representatives who works under my direct supervision, to accept expenses for the trip described in this request. I have determined that the above-described travel is in connection with my employee's official duties and that acceptance of these expenses will not create the appearance that the employee is using public office for private gain.

Date: 2/26/18


Signature of Employing Member

U.S. House of Representatives
Committee on Ethics

PRIMARY TRIP SPONSOR FORM

This form should be completed by private entities offering to provide travel or reimbursement for travel to House Members, officers, or employees under House Rule 25, clause 5. A completed copy of the form (and any attachments) should be provided to each invited House Member, officer, or employee, who will then forward it to the Committee together with a Traveler Form at least 30 days before the start date of the trip. The trip sponsor should NOT submit the form directly to the Committee. The Committee Web site (ethics.house.gov) provides detailed instructions for filling out the form.

NOTE: Willful or knowing misrepresentations on this form may be subject to criminal prosecution pursuant to 18 U.S.C. § 1001. Failure to comply with the Committee's Travel Regulations may also lead to the denial of permission to sponsor future trips.

1. Sponsor (who will be paying for the trip): Stanford University

2. I represent that the trip will not be financed (in whole or in part) by a registered federal lobbyist or foreign agent (*signify that the statement is true by checking box*):

3. *Check only one:* I represent that:
 - a. the primary trip sponsor has not accepted from any other source funds intended directly or indirectly to finance any aspect of the trip *or*
 - b. the trip is arranged without regard to congressional participation and the primary trip sponsor has accepted funds only from entities that will receive a tangible benefit in exchange for those funds *or*.
 - c. the primary trip sponsor has accepted funds from other source(s) intended directly or indirectly to finance all or part of this trip and has enclosed disclosure forms from each of those entities.If "c" is checked, list the names of the additional sponsors: _____

4. Provide names and titles of **ALL** House Members and employees you are inviting. **For each House invitee, provide an explanation of why the individual was invited** (include additional pages if necessary):
The congressional employees included on the attached list are being invited due to their background or interest in the policy areas to be discussed during the seminars throughout this trip.

5. Is travel being offered to an accompanying relative of the House invitee(s)? Yes No

6. Date of departure: 04/03/2018 Date of return: 04/05/2018

7. a. City of departure: Washington, D.C.
b. Destination(s): Stanford University, Palo Alto, CA
c. City of return: Washington, D.C.

8. I represent that (*check one of the following*):
 - a. The sponsor of the trip is an institution of higher education within the meaning of section 101 of the Higher Education Act of 1965: *or*
 - b. The sponsor of the trip does not retain or employ a registered federal lobbyist or foreign agent: *or*
 - c. The sponsor employs or retains a registered federal lobbyist or foreign agent, but the trip is for attendance at a one-day event *and* lobbyist/foreign agent involvement in planning, organizing, requesting, or arranging the trip was *de minimis* under the Committee's travel regulations.

9. Check one of the following:
 - a. I checked 8(a) or (b) above:
 - b. I checked 8(c) above but am not offering any lodging:
 - c. I checked 8(c) above and am offering lodging and meals for one night: *or*
 - d. I checked 8(c) above and am offering lodging and meals for two nights:If "d" is checked, explain why the second night of lodging is warranted: _____

10. Attached is a detailed agenda of the activities the House invitees will be participating in during the travel (*i.e.*, an hourly description of planned activities for trip invitees) (*indicate agenda is attached by checking box*):
11. Check one:
 a. I represent that a registered federal lobbyist or foreign agent will not accompany House Members or employees on any segment of the trip (*signify that the statement is true by checking box*): or
 b. N/A – trip sponsor is a U.S. institution of higher education.
12. For each sponsor required to submit a sponsor form, describe the sponsor's interest in the subject matter of the trip and its role in organizing and/or conducting the trip:
Stanford University's Hoover Institution is the sole sponsor of the trip, and is a research institution that through its scholars, library and archives, promotes economic opportunity and prosperity. Its scholars engage with the policy community and by convening a series of meetings at the Stanford University Campus, we will be able to include the participation of many distinguished senior fellows in substantive public policy discussions with employees of House Members.
13. Answer parts a and b. Answer part c if necessary.
 a. Mode of travel: Air Rail Bus Car Other (Specify: _____)
 b. Class of travel: Coach Business First Charter Other (Specify: _____)
 c. If travel will be first class or by chartered or private aircraft, explain why such travel is warranted:

14. I represent that the expenditures related to local area travel during the trip will be unrelated to personal or recreational activities of the invitee(s). (*signify that the statement is true by checking box*):
15. I represent that either (*check one of the following*):
 a. The trip involves an event that is arranged or organized *without regard* to congressional participation and that meals provided to congressional participants are similar to those provided to or purchased by other event attendees: or
 b. The trip involves events that are arranged specifically *with regard* to congressional participation:
 If "b" is checked:
 1) Detail the cost per day of meals (approximate cost may be provided): Meals will be planned to comply with the \$64 per diem.
 2) Provide reason for selecting the location of the event or trip: The location of the Hoover Institution's headquarters on the Stanford University Campus will allow for greater participation by California-based Hoover senior fellows.
16. Name, nightly cost, and reasons for selecting each hotel or other lodging facility:
 Hotel name: Stanford Guest House City: Menlo Park Cost per night: \$160
 Reason(s) for selecting: Owned and operated by Stanford, close proximity to the events that comprise the program
 Hotel name: _____ City: _____ Cost per night: _____
 Reason(s) for selecting: _____
 Hotel name: _____ City: _____ Cost per night: _____
 Reason(s) for selecting: _____

17. I represent that all expenses connected to the trip will be for actual costs incurred and not a per diem or lump sum payment. (signify that the statement is true by checking box):

18. TOTAL EXPENSES FOR EACH PARTICIPANT:

<input type="checkbox"/> actual amounts <input checked="" type="checkbox"/> good faith estimates	Total <i>Transportation</i> Expenses per Participant	Total <i>Lodging</i> Expenses per Participant	Total <i>Meal</i> Expenses per Participant
For each Member, Officer, or employee	\$600 Roundtrip Airfare	\$320	\$160
For each accompanying relative	n/a	n/a	n/a

	<i>Other</i> Expenses (dollar amount per item)	Identify Specific Nature of "Other" Expenses (e.g., taxi, parking, registration fee, etc.)
For each Member, Officer, or employee	\$400	ground transportation
For each accompanying relative		

NOTE: Willful or knowing misrepresentations on this form may be subject to criminal prosecution pursuant to 18 U.S.C. § 1001.

19. Check one:

- a. I certify that I am an officer of the organization listed below. *or*
 b. N/A – sponsor is an individual or a U.S. institution of higher education.

20. I certify that I am not a registered federal lobbyist or foreign agent for any sponsor of this trip.

21. I certify by my signature that the information contained in this form is true, complete, and correct to the best of my knowledge.

Signature: Michael G. Franc

Name: Michael G. Franc

Title: Director, Washington D.C. Programs

Organization: Hoover Institution

Address: 1399 New York Avenue, NW Suite 500, Washington, D.C. 20005

Telephone number: 202-760-3189

Email address: mfranc@stanford.edu

If there are any questions regarding this form please contact the Committee at the following address:

Committee on Ethics
 U.S. House of Representatives
 1015 Longworth House Office Building
 Washington, DC 20515
 (202) 225-7103 (phone)
 (202) 225-7392 (general fax)

Susan W. Brooks, Indiana
Chairwoman
Theodore E. Deutch, Florida
Ranking Member



ONE HUNDRED FIFTEENTH CONGRESS

U.S. House of Representatives

COMMITTEE ON ETHICS

March 29, 2018

Thomas A. Rust
Staff Director and Chief Counsel

Donna Herbert
Director of Administration

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the Chairwoman*

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Leonard Lance, New Jersey
Mimi Walters, California
John Ratcliffe, Texas

Yvette D. Clarke, New York
Jared Polis, Colorado
Anthony Brown, Maryland
Steve Cohen, Tennessee

Mr. Clayton Swope
Office of the Honorable Derek Kilmer
1520 Longworth House Office Building
Washington, DC 20515

Dear Mr. Swope:

Pursuant to House Rule 25, clause 5(d)(2), the Committee on Ethics hereby approves your proposed trip to Palo Alto, California, scheduled for April 3 to 5, 2018, sponsored by the Hoover Institution at Stanford University.

You must complete an Employee Post-Travel Disclosure Form (which your employing Member must also sign) and file it, together with a Sponsor Post-Travel Disclosure Form completed by the trip sponsor, with the Clerk of the House within 15 days after your return from travel. As part of that filing, you are also required to attach a copy of this letter and both the Traveler and Primary Trip Sponsor Forms (including attachments) you previously submitted to the Committee in seeking pre-approval for this trip. You must also report all travel expenses totaling more than \$390 from a single source on the "Travel" schedule of your annual Financial Disclosure Statement covering this calendar year. Finally, Travel Regulation § 404(d) also requires you to keep a copy of all request forms and supporting information provided to the Committee for three subsequent Congresses from the date of travel.

If you have any further questions, please contact the Committee's Office of Advice and Education at extension 5-7103.

Sincerely,

Susan W. Brooks
Chairwoman

Theodore E. Deutch
Ranking Member

SWB/TED:kej



Michael G. Franc
Director of Washington, DC
Programs

Dear Mr. Swope,

We are pleased to inform you that you have been selected to attend the Hoover Institution's Stuart Family Congressional Fellowship Program, which takes place on the Stanford University campus in Palo Alto from April 3-5, 2018.

This year had an overwhelming number of qualified candidates, however your submission was exceptional among the many that we received, and we are excited to have you join us. **To proceed, please notify Andrew Clark (afclark@stanford.edu) of your agreement to attend by the close of business on Tuesday, February 27.**

Once confirmed, you will need to complete the necessary ethics paperwork. In this packet, you will find the necessary forms to be submitted to your ethics committee. This packet includes:

- Traveler Form (*For you to fill out*)
- Instructions for Completing the Traveler Form
- Primary Trip Sponsor Form
- Agenda & Flight itinerary
- Copy of Sponsor Invitation

You will need to fill out the Traveler Form and **submit this entire packet to your Ethics Committee for review by Friday, March 2nd.** Upon submission, please notify Andrew Clark at afclark@stanford.edu

The Congressional Fellowship will take place from April 3rd through April 5th. Plan to depart from Washington, D.C. the morning of April 3rd and return the afternoon of April 5th. Please be sure to review all of the action items and deadlines in the attached document titled "Next Steps."

Should you have any questions, don't hesitate to let us know. Again, thank you for your participation. We look forward to welcoming you to Stanford University and expect an excellent program.

Sincerely,

Michael G. Franc
Director, Washington D.C. Programs
Hoover Institution, Stanford University

STUART FAMILY CONGRESSIONAL FELLOWSHIP PROGRAM

APRIL 3RD-5TH 2018

HOOVER INSTITUTION, PALO ALTO

TUESDAY, APRIL 3

7:55 AM: Depart DCA on United Airlines Flight 2042

11:00 AM: Arrive SFO, Shuttle to Lou Henry Hoover Building at Stanford University
Location: 580 Serra Mall, Stanford, CA 94305

12:30 – 1:00 PM: Welcome by Hoover Director Tom Gilligan and Lunch

1:00 – 2:00 PM: William Perry – *History and Perspective of North Korea Nuclear Program*

2:10 – 3:10 PM: Abbas Milani – *Iran's Recent Protests: Continuity or Change?*

3:30 – 4:45 PM: Presentation of Hoover Library and Archives
Location: Tower 110 Classroom, Hoover Tower

4:45 – 6:00 PM: Break

6:00 – 8:30 PM: Dinner and Keynote Remarks by Mike McFaul – *Explaining the Cold War 2.0*
Location: Stauffer Auditorium, Herbert Hoover Memorial Building

8:30 PM: Shuttle back to Stanford Guest House
Location: 2575 Sand Hill Rd, Menlo Park, CA 94025

WEDNESDAY, APRIL 4

All meetings will be held in: Annenberg Conference Room, 580 Serra Mall, Stanford, CA 94305, unless otherwise noted.

Continental Breakfast @ hotel

Shuttle leaves hotel at 9:00am for Annenberg

9:30 – 10:50 AM: Herb Lin, Toomas Hendrik Ilves, & Andrew Grotto – *Next Steps in Defending U.S. Democracy*

11:00 AM – 12:00 PM: John Taylor – *A Turning Point in Economic Policy*

12:15 – 1:15 PM: Lunch Keynote discussion with George Shultz moderated by Alice Hill – *Resilient Infrastructure in a Changing Climate*

1:15 – 2:15 PM: Tour of Hoover Tower & Traitel Building

2:15 – 3:30 PM: David Brady – *A Data Analysis of Polarization in America and its impacts on Governance*

3:45 – 5:00 PM: Kiron Skinner – *National Security in a World with Artificial Intelligence**
**Cancelled due to Scholar illness*

5:00 – 6:00 PM: Break

AGENDA



Stuart Family Congressional Fellowship - April 2018
Stanford University
Palo Alto, CA

Group Flight Information:

Outbound flight: April 3, 2018
Flight Number – UA 2042
Departure Airport – DCA
Departure Time – 7:50am
Arrival Airport – SFO
Arrival Time – 10:56am

Return Flight: April 5, 2018
Flight Number – UA 517
Departure Airport – SFO
Departure Time – 4:15pm
Arrival Airport – IAD
Arrival Time – 12:15am

Last Name First Name

Conrad	Elizabeth
Egorin	Melanie
Giaier	Steven
Leganski	John
Marston	Alex
O'hanlon	Ann
Perryman	Sean
Sawyer	Paul
Schneider	Donald
Swope	Clayton
Tame	Jacqueline
Weidinger	Matt
Reber	Scott