U.S. House of Representatives  
Committee on Ethics  
EMPLOYEE POST-TRAVEL DISCLOSURE FORM  

This form is for disclosing the receipt of travel expenses from private sources for travel taken in connection with official duties. This form does not eliminate the need to report privately-funded travel on the annual Financial Disclosure Statements of those employees required to file them. In accordance with House Rule 25, clause 5, you must complete this form and file it with the Clerk of the House, B-106 Cannon House Office Building, within 15 days after travel is completed. Please do not file this form with the Committee on Ethics.  

NOTE: Willful or knowing misrepresentations on this form may be subject to criminal prosecution pursuant to 18 U.S.C. § 1001.  

1. Name of Traveler:  
   William Anderson  

2. a. Name of accompanying relative:  
   or None □  
   b. Relationship to Traveler: □ Spouse □ Child □ Other (specify):  

   Return: Dec 7, 2013  
   b. Dates at personal expense (if any): Dec 7, 2013  
   or None □  

4. Departure city: Washington, DC  
   Destination: New York, NY  
   Return city: Washington, DC  

5. Sponsor(s) (who paid for the trip): The Depository Trust & Clearing Corporation (DTCC)  

6. Describe meetings and events attended: Participated in multiple briefings on the structure and operations of the DTCC and the NYSE  

7. Attached to this form are EACH of the following (signify that each item is attached by checking the corresponding box):  
   a. □ a completed Sponsor Post-Travel Disclosure Form;  
   b. □ the Primary Trip Sponsor Form completed by the trip sponsor prior to the trip, including all attachments and Grantmaking or Non-Grantmaking Sponsor Forms;  
   c. □ page 2 of the completed Traveler Form submitted by the employee; and  
   d. □ the letter from the Committee on Ethics approving my participation on this trip.  

8. a. I represent that I participated in each of the activities reflected in the attached sponsor’s agenda. (Signify that statement is true by checking box): □  
   b. If not, explain:  

I certify that the information contained on this form is true, complete, and correct to the best of my knowledge.  

SIGNATURE OF TRAVELER:  
DATE: 12/18/2013  

I authorized this travel in advance. I have determined that all of the expenses listed on the attached Sponsor Post-Travel Disclosure form were necessary and that the travel was in connection with the employee’s official duties and would not create the appearance that the employee is using public office for private gain.  

NAME OF SUPERVISING MEMBER: Rep. David Scott  
DATE: 12/18/2013  

SIGNATURE OF SUPERVISING MEMBER:  
Version date 2/2013 by Committee on Ethics
U.S. House of Representatives
Committee on Ethics

SPONSOR POST-TRAVEL DISCLOSURE FORM

This form must be completed by an officer of any organization that served as the primary trip sponsor in providing travel expenses or reimbursement for travel expenses to House Members, officers, or employees under House Rule 25, clause 5. A completed copy of the form must be provided to each House Member, officer, or employee who participated on the trip within 10 days of their return. You must answer all questions, and check all boxes, on this form for your submission to comply with House rules and the Committee's travel regulations. Failure to comply with this requirement may result in the denial of future requests to sponsor trips and/or subject the current traveler to disciplinary action or a requirement to repay the trip expenses.

NOTE: Willful or knowing misrepresentations on this form may be subject to criminal prosecution pursuant to 18 U.S.C. § 1001.

1. Sponsor(s) (who paid for the trip): The Depository Trust & Clearing Corporation (DTCC)

2. Travel Destination(s): New York, New York

3. Date of Departure: December 6, 2013  Date of Return: December 7, 2013

4. Name(s) of Traveler(s): William Anderson
   (NOTE: You may list more than one traveler on a form only if all information is identical for each person listed.)

5. Actual amount of expenses paid on behalf of, or reimbursed to, each individual named in response to Question 4:

<table>
<thead>
<tr>
<th></th>
<th>Total Transportation Expenses</th>
<th>Total Lodging Expenses</th>
<th>Total Meal Expenses</th>
<th>Other Expenses (dollar amount per item and description)</th>
</tr>
</thead>
<tbody>
<tr>
<td>Traveler</td>
<td>$410</td>
<td>$47</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Accompanying Relative</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

6. All expenses connected to the trip were for actual costs incurred and not a per diem or lump sum payment. (Signify statement is true by checking box): [ ]

   I certify that the information contained in this form is true, complete, and correct to the best of my knowledge.

Signature: _______________________

Name: Larry Thompson           Title: Managing Director and General Counsel
Organization: DTCC

I am an officer of the above-named organization (signify statement is true by checking box): [ ]

Address: 570 Washington Blvd
          Jersey City, NJ 07310

Telephone number: 212-855-3241

Email Address: LThompson@dtcc.com

Committee staff may contact the above-named individual if additional information is required.

If you have questions regarding your completion of this form, please contact the Committee on Ethics at (202) 225-7103.

Version date 2/2013 by Committee on Ethics
U.S. House of Representatives
Committee on Ethics

TRAVELER FORM

This form should be completed by House Members, officers, or employees seeking Committee approval of
privately-sponsored travel or reimbursement for travel under House Rule 25, clause 5. The completed form
should be submitted directly to the Committee by each invited House Member, officer, or employee, together
with the completed and signed trip sponsor form(s) and any attachments. A copy of this form, minus this
initial page, will be made available for public inspection. Form (and any attachments) may be faxed to the
Committee at (202) 225-7392, sent or delivered to the Committee at 1015 Longworth, or e-mailed to
travel.requests@mail.house.gov.

YOUR COMPLETED REQUEST MUST BE SUBMITTED TO THE COMMITTEE NO LESS
THAN 30 DAYS BEFORE YOUR PROPOSED DEPARTURE DATE. Absent exceptional
circumstances, permission will not be granted for requests received less than 30 days before the trip
commences. You must receive explicit approval from the Committee before you depart on this trip.

Name of Traveler: William Y. Anderson

NOTE: Willful or knowing misrepresentations on this form
may be subject to criminal prosecution pursuant to 18 U.S.C. § 1001.

I certify that the information contained on both pages of this form is true, complete, and correct to the
best of my knowledge.

Signature: ____________________________

Name of signatory (if other than traveler): __________

For staff, name of employing Member or committee: Rep. David Scott, GA-13

Office address: 225 Cannon HOB, Washington, DC 20515

Telephone number: 202-225-2939

Email address of contact person: will.anderson@mail.house.gov

☐ Check this box if the sponsoring entity is a media outlet, the purpose of the trip is to make a media
appearance sponsored by that entity, and these forms are being submitted to the Committee less than
30 days before the trip departure date.

NOTE: You must complete all of the contact information fields above, as Committee staff may need to
contact you if additional information is required.

KEEP A COPY OF THIS FORM. Page 2 (but not this page) must be submitted to the Clerk as part of the
post-travel disclosure required by House Rule 25. Travel Regulation § 404(d) also requires you to keep a
copy of all request forms and supporting paperwork for three subsequent Congresses from the date of travel.

If there are any questions regarding this form please contact the Committee:

Committee on Ethics
1015 Longworth House Office Building
Washington, DC 20515
(202) 225-7103 (phone)
(202) 225-7392 (fax)
Travel email: travel.requests@mail.house.gov

Version date 3/2013 by Committee on Ethics
U.S. House of Representatives
Committee on Ethics

TRAVELER FORM

1. Name of Traveler: William Y. Anderson

2. Sponsor(s) (who will be paying for the trip): the Depository Trust and Clearing Corporation

3. Travel destination(s): New York City, NY

4. a. Date of departure: Dec. 6, 2013  
   Date of return: Dec. 7, 2013

   b. Will you be extending the trip at your personal expense? Yes □ No □

      If yes, dates at personal expense: December 7, 2013

5. a. Will you be accompanied by a relative at the sponsor’s expense? X Yes □ No

   b. If yes:
      (1) Name of accompanying relative: ________________________
      (2) Relationship to traveler: □ Spouse □ Child □ Other (specify): ________________________
      (3) Accompanying relative is at least 18 years of age: □ Yes □ No

6. a. Did the trip sponsor answer “yes” to Question 9(d) on the Primary Trip Sponsor Form (i.e., travel is sponsored by an entity that employs a registered federal lobbyist or foreign agent and you are requesting lodging for two nights)? X Yes □ No

   b. If yes, explain why the second night of lodging is warranted:

7. Primary Trip Sponsor Form is attached, including agenda, invitee list, and any other attachments and contributing sponsor forms: Yes □ No

   NOTE: The agenda should show the traveler’s individual schedule, including departure and arrival times and identify the specific events in which the traveler will be participating.

8. Explain why participation in the trip is connected to the traveler’s individual official or representational duties. Staff should include their job title and how the activities on the itinerary relate to their duties.

   As Senior Legislative Assistant handling House Financial Services Committee work, learning about DTCC and its role in financial markets is critical to provide proper context re: financial services reform policy

9. Is the traveler aware of any registered federal lobbyists or foreign agents involved in planning, organizing, requesting, and/or arranging the trip? □ Yes □ No

10. FOR STAFF TRAVELERS:
    TO BE COMPLETED BY YOUR EMPLOYING MEMBER:

    ADVANCED AUTHORIZATION OF EMPLOYEE TRAVEL

    I hereby authorize the individual named above, an employee of the U.S. House of Representatives who works under my direct supervision, to accept expenses for the trip described in this request. I have determined that the above-described travel is in connection with my employee’s official duties and that acceptance of these expenses will not create the appearance that the employee is using public office for private gain.

    Date: Nov. 5, 2013 ______________________________

    Signature of Employing Member
U.S. House of Representatives
Committee on Ethics

PRIMARY TRIP SPONSOR FORM

This form should be completed by private entities offering to provide travel or reimbursement for travel to House Members, officers, or employees under House Rule 25, clause 5. A completed copy of the form (and any attachments) should be provided to each invited House Member, officer, or employee, who will then forward it to the Committee together with a Traveler Form at least 30 days before the start date of the trip. The trip sponsor should NOT submit the form directly to the Committee. The Committee Web site (ethics.house.gov) provides detailed instructions for filling out the form.

NOTE: Willful or knowing misrepresentations on this form may be subject to criminal prosecution pursuant to 18 U.S.C. § 1001. Failure to comply with the Committee’s Travel Regulations may also lead to the denial of permission to sponsor future trips.

1. Sponsor (who will be paying for the trip):
   The Depository Trust & Clearing Corporation (DTCC)

2. I represent that the trip will not be financed (in whole or in part) by a registered federal lobbyist or foreign agent (signify that the statement is true by checking box):
   [ ]

3. Check only one: I represent that:
   a. the primary trip sponsor has not accepted from any other source funds intended directly or indirectly to finance any aspect of the trip

4. Provide names and titles of ALL House Members and employees you are inviting. For each House invitee, provide an explanation of why the individual was invited (include additional pages if necessary):
   Please see attached spreadsheet containing names of invitees.

5. Is travel being offered to an accompanying relative of the House invitee(s)?
   [ ] Yes [ ] No

6. Date of departure: Friday, December 6, 2013
   Date of return: Friday, December 6, 2013

7. a. City of departure: Washington, DC
   b. Destination(s): New York City
   c. City of return: Washington, DC

8. I represent that (check one of the following):
   a. The sponsor of the trip is an institution of higher education within the meaning of section 101 of the Higher Education Act of 1965:
   [ ] or
   b. The sponsor of the trip does not retain or employ a registered federal lobbyist or foreign agent:
   [ ] or
   c. The sponsor employs or retains a registered federal lobbyist or foreign agent, but the trip is for attendance at a one-day event and lobbyist/foreign agent involvement in planning, organizing, requesting, or arranging the trip was de minimis under the Committee’s travel regulations:

9. Check one of the following:
   a. I checked 8(a) or (b) above:
   [ ]
   b. I checked 8(c) above but am not offering any lodging:
   [ ]
   c. I checked 8(c) above and am offering lodging and meals for one night:
   [ ]
   d. I checked 8(c) above and am offering lodging and meals for two nights:
   [ ]
   If “d” is checked, explain why the second night of lodging is warranted:

   [ ]
10. Attached is a detailed agenda of the activities the House invitees will be participating in during the travel (i.e., an hourly description of planned activities for trip invitees) (indicate agenda is attached by checking box):

11. Check one:
   a. I represent that a registered federal lobbyist or foreign agent will not accompany House Members or employees on any segment of the trip (signify that the statement is true by checking box): □ or □
   b. N/A – trip sponsor is a U.S. institution of higher education. □

12. For each sponsor required to submit a sponsor form, describe the sponsor’s interest in the subject matter of the trip and its role in organizing and/or conducting the trip:
   DTCC is organizing an educational, one-day meeting for Congressional staff to travel to our HQ in New York City and learn more about the organization and its role in financial markets. DTCC is handling all logistics and planning for this event.

13. Answer parts a and b. Answer part c if necessary.
   a. Mode of travel: Air □ Rail □ Bus □ Car □ Other □ (Specify: ______________________)
   b. Class of travel: Coach □ Business □ First □ Charter □ Other □ (Specify: ______________________)
   c. If travel will be first class or by chartered or private aircraft, explain why such travel is warranted:

14. I represent that the expenditures related to local area travel during the trip will be unrelated to personal or recreational activities of the invitee(s). (signify that the statement is true by checking box): □

15. I represent that either (check one of the following):
   a. The trip involves an event that is arranged or organized without regard to congressional participation and that meals provided to congressional participants are similar to those provided to or purchased by other event attendees: □ or □
   b. The trip involves events that are arranged specifically with regard to congressional participation: □

   If “b” is checked:
   1) Detail the cost per day of meals (approximate cost may be provided):
      $75.00
   2) Provide reason for selecting the location of the event or trip:
      NYC is DTCC’s headquarters and the company has at times organized industry related thought leadership events around particular issue areas.

16. Name, nightly cost, and reasons for selecting each hotel or other lodging facility:

   Hotel name: ___________________________ City: ____________ Cost per night: ____________
   Reason(s) for selecting: __________________________

   Hotel name: ___________________________ City: ____________ Cost per night: ____________
   Reason(s) for selecting: __________________________

   Hotel name: ___________________________ City: ____________ Cost per night: ____________
   Reason(s) for selecting: __________________________

   Hotel name: ___________________________ City: ____________ Cost per night: ____________
   Reason(s) for selecting: __________________________
17. I represent that all expenses connected to the trip will be for actual costs incurred and not a per diem or lump sum payment. (signify that the statement is true by checking box): ☐

18. **TOTAL EXPENSES FOR EACH PARTICIPANT:**

<table>
<thead>
<tr>
<th></th>
<th>Total Transportation Expenses per Participant</th>
<th>Total Lodging Expenses per Participant</th>
</tr>
</thead>
<tbody>
<tr>
<td>For each Member, Officer, or employee</td>
<td>$350.00</td>
<td>$75.00</td>
</tr>
<tr>
<td>For each accompanying relative</td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th></th>
<th>Other Expenses (dollar amount per item)</th>
<th>Identify Specific Nature of “Other” Expenses (e.g., taxi, parking, registration fee, etc.)</th>
</tr>
</thead>
<tbody>
<tr>
<td>For each Member, Officer, or employee</td>
<td></td>
<td></td>
</tr>
<tr>
<td>For each accompanying relative</td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

**NOTE:** Willful or knowing misrepresentations on this form may be subject to criminal prosecution pursuant to 18 U.S.C. § 1001.

19. Check one:
   a. I certify that I am an officer of the organization listed below. ☐ or
   b. N/A – sponsor is an individual or a U.S. institution of higher education. ☐

20. I certify that I am not a registered federal lobbyist or foreign agent for any sponsor of this trip. ☐

21. I certify by my signature that the information contained in this form is true, complete, and correct to the best of my knowledge.

   [Signature]

   **Name:** Larry Thompson  
   **Title:** Managing Director and General Counsel  
   **Organization:** The Depository Trust & Clearing Corporation (DTCC)  
   **Address:** 570 Washington Blvd, Jersey City, NJ 07310  
   **Telephone number:** 212-855-3241  
   **Email address:** LThompson@dtcc.com

If there are any questions regarding this form please contact the Committee at the following address:

Committee on Ethics  
U.S. House of Representatives  
1015 Longworth House Office Building  
Washington, DC 20515  
(202) 225-7103 (phone)  
(202) 225-7392 (general fax)

*Version date 4/2013 by Committee on Ethics*
Mr. William Y. Anderson  
Office of the Honorable David Scott  
225 Cannon House Office Building  
Washington, DC 20515

Dear Mr. Anderson:

Pursuant to House Rule 25, clause 5(d)(2), the Committee on Ethics hereby approves your proposed trip to New York, New York, scheduled for December 6 to 7, 2013, sponsored by the Depository Trust and Clearing Corporation. We note that this trip includes one day at your personal expense. We also remind you that, because the trip sponsor employs a federal lobbyist, you may participate in officially-connected activity on one calendar day only.

You must complete an Employee Post-Travel Disclosure Form (which your employing Member must also sign) and file it, together with a Sponsor Post-Travel Disclosure Form completed by the trip sponsor, with the Clerk of the House within 15 days after your return from travel. As part of that filing, you are also required to attach a copy of this letter and both the Traveler and Primary Trip Sponsor Forms (including attachments) you previously submitted to the Committee in seeking pre-approval for this trip. If you are required to file an annual Financial Disclosure Statement, you must also report all travel expenses totaling more than $350 from a single source on the “Travel” schedule of that statement.

If you have any further questions, please contact the Committee’s Office of Advice and Education at extension 5-7103.

Sincerely,

K. Michael Conaway  
Chairman

Linda T. Sánchez  
Ranking Member

KMC/LTS:re
Congressional Staff Briefing  
Friday, December 6, 2013

7:00 a.m.  Depart Washington Union Station via Amtrak Acela 2154

9:48 a.m.  Arrive New York Penn Station  
Bus/van to transport guests to DTCC, 55 Water Street

10:45 – 11:00 a.m.  Welcome/Introduction to DTCC

11:00 – 11:30 a.m.  Global Financial Reform Discussion

11:30 – 12:15 p.m.  TABB Group Presentation: Financial Markets and Clearing 101  
Note: Lunch will be served during the presentation

12:15 – 1:00 p.m.  Panel 1: Strengthening Global Derivatives Markets  
- Global Trade Repository  
- CFTC Interim Compliance Identifier and LEI  
- Collateral Management

1:00 – 1:30 p.m.  Panel 2: Cash Markets and Financial Benchmarks  
- The DTCC GCF Repo Index  
- Shortening the Settlement Cycle

1:30 – 2:15 p.m.  Panel 3: Financial Services Risk - Awareness and Mitigation  
- Systemic Risk White Paper  
- Cyber Security  
- Recovery and Resolution Planning

2:30 p.m.  Depart DTCC for NYSE

2:45 – 3:45 p.m.  Overview of NYSE Euronext: Equities, Futures and Company Listings  
Hosted by NYSE

3:45 – 4:00 p.m.  Tour of NYSE Trading Floor

4:00 p.m.  Watch NYSE Closing Bell

5:00 p.m.  Depart DTCC for New York Penn Station  
Bus/van to transport guests to Penn Station

6:00 p.m.  Depart for Washington DC via Amtrak Acela 2119

8:50 p.m.  Arrive Union Station

DTCC Non-Confidential (White)
October 28, 2013

William Anderson

Dear Mr. Anderson,

I would like to invite you to attend an educational briefing on Friday, December 6, 2013 that will introduce you to The Depository Trust & Clearing Corporation (DTCC). As part of this briefing, DTCC has teamed up with NYSE Euronext and The Tabb Group to expand the scope of the conversation.

This visit is designed specifically for Committee and Member staffers who serve on the House Financial Services Committee, the House Agriculture Committee, the Senate Agriculture Committee, the Senate Banking Committee and staffers from targeted State delegations.

The goal of the briefing is to help foster a better understanding of DTCC and its role as a post-trade infrastructure organization serving global financial markets. The briefing will include topics such as:

- An overview of the U.S. financial market structure and the fundamentals of clearing provided by the TABB Group.
- A detailed look at DTCC’s Trade Repository services, which have provided an unprecedented degree of market transparency to the global over-the-counter derivatives market.
- A briefing by NYSE Euronext on equities, futures, and company listings as well as a tour of NYSE’s trading floor.
- Additional topics may include financial benchmarks and the DTCC GCF Repo Index, DTCC’s involvement in the global legal entity identifier (LEI) solution and CFTC Interim Compliant Identifier (CICI), as well as a discussion of cyber security and the key challenges facing the industry and Congress in better protecting our nation’s critical infrastructure from cyber threats.

About DTCC

DTCC is a user-owned cooperative that serves as the primary financial market infrastructure serving the U.S. capital markets across multiple asset classes, including equities, corporate and municipal bonds, government and mortgage-backed securities, money market instruments, mutual funds, insurance, alternative investment products and
over-the-counter derivatives. DTCC serves as the centralized clearinghouse for more than 50 exchanges and equity trading platforms.

In 2012, DTCC’s subsidiaries processed securities transactions valued at approximately US$1.6 quadrillion. Its depository provides custody and asset servicing for securities issues from 131 countries and territories valued at US$37.2 trillion. DTCC’s global trade repositories record more than US$500 trillion in gross notional value of transactions made worldwide.

Travel Arrangements
DTCC will pay for your travel expenses via train that day, and accordingly, please find the necessary paperwork required for travel.

The House Ethics Committee and Senate Ethics Committee require a minimum of four weeks to process paperwork and grant approval for travel. Therefore, we are asking interested participants to RSVP to DTCC and have all forms submitted to Ethics no later than November 6.

To RSVP and coordinate travel arrangements, please contact Theresa Pagliocca at 212-855-5129 tpagliocca@dtcc.com or Roland Kielman at 212-855-4823 rkielman@dtcc.com.

Sincerely,

Larry E. Thompson
<table>
<thead>
<tr>
<th>Name</th>
<th>Title</th>
<th>Office</th>
<th>Email</th>
<th>Phone</th>
</tr>
</thead>
<tbody>
<tr>
<td>William Anderson</td>
<td>Senior Legislative Assistant</td>
<td>Rep. David Scott (GA-13)</td>
<td><a href="mailto:will.anderson@mail.house.gov">will.anderson@mail.house.gov</a></td>
<td>202-225-2939</td>
</tr>
<tr>
<td>Patrick Cuff</td>
<td>Legislative Director</td>
<td>Rep. Steve Pearce (NM)</td>
<td><a href="mailto:patrick.cuff@mail.house.gov">patrick.cuff@mail.house.gov</a></td>
<td>202-225-2365</td>
</tr>
<tr>
<td>Kenneth Bernas (Max)</td>
<td>Legislative Assistant</td>
<td>Rep. Albio Sires (NJ-8)</td>
<td><a href="mailto:max.bernas@mail.house.gov">max.bernas@mail.house.gov</a></td>
<td>202-225-7919</td>
</tr>
<tr>
<td>T Chris Brown</td>
<td>Legislative Director</td>
<td>Rep. Blaine Luetkemeyer (MO-03)</td>
<td><a href="mailto:chrisbrown@mail.house.gov">chrisbrown@mail.house.gov</a></td>
<td>202-225-2956</td>
</tr>
<tr>
<td>Holly Bullard</td>
<td>Legislative Assistant</td>
<td>Rep. Rubén Hinojosa (TX-15)</td>
<td><a href="mailto:holly.bullard@mail.house.gov">holly.bullard@mail.house.gov</a></td>
<td>202-225-2531</td>
</tr>
<tr>
<td>Chase Cannon</td>
<td>Legislative Assistant</td>
<td>Rep. Austin Scott (R-GA)</td>
<td><a href="mailto:chase.cannon@mail.house.gov">chase.cannon@mail.house.gov</a></td>
<td>202-225-6531</td>
</tr>
<tr>
<td>Scott Hinkle</td>
<td>Legislative Director</td>
<td>Rep. Jass Vargas (D-CA)</td>
<td><a href="mailto:scott.hinkle@mail.house.gov">scott.hinkle@mail.house.gov</a></td>
<td>202-225-8045</td>
</tr>
<tr>
<td>Jason Larrabee</td>
<td>Chief of Staff</td>
<td>Rep. Jeff Denham (CA-10)</td>
<td><a href="mailto:jason.larrabee@mail.house.gov">jason.larrabee@mail.house.gov</a></td>
<td>202-225-4540</td>
</tr>
<tr>
<td>Jason Lynch</td>
<td>Financial Services LA</td>
<td>Rep. Joyce Beatty (OH)</td>
<td><a href="mailto:jason.lynnch@mail.house.gov">jason.lynnch@mail.house.gov</a></td>
<td>202-225-4324</td>
</tr>
<tr>
<td>Marshall Macomber</td>
<td>Chief of Staff</td>
<td>Rep. Mike Rogers (R-AL)</td>
<td><a href="mailto:marshall.macomber@mail.house.gov">marshall.macomber@mail.house.gov</a></td>
<td>202-225-3261</td>
</tr>
<tr>
<td>Pete Meachum</td>
<td>Chief of Staff</td>
<td>Rep. Sean Duffy</td>
<td><a href="mailto:pete.meachum@mail.house.gov">pete.meachum@mail.house.gov</a></td>
<td>202-225-3365</td>
</tr>
<tr>
<td>Stuart Megaw</td>
<td>Legislative Assistant</td>
<td>Rep. William Enyart (D-IL)</td>
<td><a href="mailto:stuart.megaw@mail.house.gov">stuart.megaw@mail.house.gov</a></td>
<td>202-225-5611</td>
</tr>
<tr>
<td>Geoffrey W. S. Okamoto</td>
<td>Policy Director, Subcommittee on Monetary Policy and Trade, Senior Policy Advisor</td>
<td>Rep. John Campbell (R-CA)</td>
<td><a href="mailto:geoffrey.okamoto@mail.house.gov">geoffrey.okamoto@mail.house.gov</a></td>
<td>202-225-6831</td>
</tr>
<tr>
<td>Richard Vaughn</td>
<td>Chief of Staff</td>
<td>Rep. Scott Deslarais, M.D. (R-TN)</td>
<td><a href="mailto:richard.vaughn@mail.house.gov">richard.vaughn@mail.house.gov</a></td>
<td>202-225-6831</td>
</tr>
<tr>
<td>Jeff Freeland</td>
<td>Legislative Director</td>
<td>Rep. Chris Collins (NY-27)</td>
<td><a href="mailto:Jeff.Freeland@mail.house.gov">Jeff.Freeland@mail.house.gov</a></td>
<td>202-225-5265</td>
</tr>
<tr>
<td>Emma King</td>
<td>Financial Services LA</td>
<td>Rep. Mick Mulvaney (R-SC)</td>
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Congressional Staff Briefing
Friday, December 6, 2013

7:00 a.m. Depart Washington Union Station via Amtrak Acela 2154

9:48 a.m. Arrive New York Penn Station
Bus/van to transport guests to DTCC, 55 Water Street

10:45 – 11:00 a.m. Welcome/Introduction to DTCC

11:00 – 11:30 a.m. Global Financial Reform Discussion

11:30 – 12:15 p.m. TABB Group Presentation: Financial Markets and Clearing 101
Note: Lunch will be served during the presentation

12:15 – 1:00 p.m. Panel 1: Strengthening Global Derivatives Markets
• Global Trade Repository
• CFTC Interim Compliance Identifier and LEI
• Collateral Management

1:00 – 1:30 p.m. Panel 2: Cash Markets and Financial Benchmarks
• The DTCC GCF Repo Index
• Shortening the Settlement Cycle

1:30 – 2:15 p.m. Panel 3: Financial Services Risk - Awareness and Mitigation
• Systemic Risk White Paper
• Cyber Security
• Recovery and Resolution Planning

2:30 p.m. Depart DTCC for NYSE

2:45 – 3:45 p.m. Overview of NYSE Euronext: Equities, Futures and Company Listings
Hosted by NYSE

3:45 – 4:00 p.m. Tour of NYSE Trading Floor

4:00 p.m. Watch NYSE Closing Bell

5:00 p.m. Depart DTCC for New York Penn Station
Bus/van to transport guests to Penn Station

6:00 p.m. Depart for Washington DC via Amtrak Acela 2119

8:50 p.m. Arrive Union Station