

COMMITTEE ON ETHICS

MEMBER/OFFICER POST-TRAVEL DISCLOSURE FORM Original Amendment

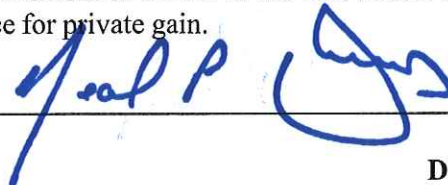
This form is for disclosing the receipt of travel expenses from a private source for travel taken in connection with a Member or officer's official duties. This form does not eliminate the need to report privately-funded travel on the Member or officer's annual *Financial Disclosure Statement*. In accordance with House Rule 25, clause 5, **you must complete this form and file it with the Clerk of the House by email at gifttravelreports@mail.house.gov, within 15 days after travel is completed.** Please **do not** file this form with the Committee on Ethics.

NOTE: Willful or knowing misrepresentations on this form may be subject to criminal prosecution pursuant to 18 U.S.C. § 1001.

1. Name of Traveler: Neal Dunn
2. a. Name of Accompanying Relative: Leah Dunn **OR** None
b. Relationship to Traveler: Spouse Child Other (specify): _____
3. a. Dates: Departure: April 1, 2024 Return: April 6 2024
b. Dates at Personal Expense, if any: _____ **OR** None
4. Departure City: Washington DC Destination: Bellagio, Italy Return City: Washington DC
5. Sponsor(s), Who Paid for the Trip: The Aspen Institute, Inc. (Congressional Program)
6. Describe Meetings and Events Attended (attach additional pages if necessary): _____
Members of Congress will engage in round-table discussions and informal conversations with _____
with invited experts to better understand artificial intelligence and how AI will affect American citizens' lives and what _____
regulatory framework should be taken. This conference will take place in Bellagio, Italy, hosted by the Aspen Insitute.
7. Attached to this form are **each** of the following, *signify that each item is attached by checking the corresponding box*:
 - a. a completed *Sponsor Post-Travel Disclosure Form*;
 - b. the *Primary Trip Sponsor Form* completed by the trip sponsor **prior** to the trip, **including all** attachments **and** the *Additional Sponsor Form(s)*;
 - c. page 2 of the completed *Traveler Form* submitted by the Member or officer; **and**
 - d. the letter from the Committee on Ethics approving my participation on this trip.
8. a. I represent that I participated in each of the activities reflected in the attached sponsor's agenda. *Signify statement is true by checking the box.*
b. If not, explain: _____

I certify that the information contained in this form is true, complete, and correct to the best of my knowledge. I have determined that all of the expenses on the attached *Sponsor Post-Travel Disclosure Form* were necessary and that the travel was in connection with my duties as a Member or officer of the U.S. House of Representatives and would not create the appearance that I am using public office for private gain.

Member / Officer Signature: _____



Date: 6/14/24

COMMITTEE ON ETHICS

SPONSOR POST-TRAVEL DISCLOSURE FORM

Original Amendment

This form must be completed by an officer of any organization that served as the primary trip sponsor in providing travel expenses or reimbursement for travel expenses to House Members, officers, or employees under House Rule 25, clause 5. **A completed copy of the form must be provided to each House Member, officer, or employee who participated in the trip within 10 days of their return.** You must answer all questions, and check all boxes, on this form for your submission to comply with House Rules and the Committee's Travel Regulations. Failure to comply with this requirement may result in the denial of future requests to sponsor trips and/or subject the current traveler to disciplinary action or a requirement to repay the trip expenses.

NOTE: Willful or knowing misrepresentations on this form may be subject to criminal prosecution pursuant to 18 U.S.C. § 1001.

1. Sponsor(s) who paid or provided in-kind support for the trip: _____

2. Travel Destination(s): _____

3. Date of Departure: _____ Date of Return: _____

4. Name(s) of Traveler(s): _____

Note: You may list more than one traveler on a form only if **all** information is **identical** for each person listed.

5. **Actual amount** of expenses paid on behalf of, or reimbursed to, each individual named in Question 4:

	Total Transportation Expenses	Total Lodging Expenses	Total Meal Expenses	Total Other Expenses (dollar amount per item and description)
Traveler				
Accompanying Family Member				

6. All expenses connected to the trip were for actual costs incurred and not a *per diem* or lump sum payment. Signify statement is true by checking box.

I certify that the information contained in this form is true, complete, and correct to the best of my knowledge.

Signature:  Date: _____

Name: _____ Title: _____

Organization: _____

I am an officer of the above-named organization. Signify statement is true by checking box.

Address: _____

Telephone: _____ Email: _____

Committee staff may contact the above-named individual if additional information is required.

If you have questions regarding your completion of this form, please contact the Committee on Ethics at 202-225-7103.

COMMITTEE ON ETHICS

TRAVELER FORM

1. Name of Traveler: Neal Dunn
2. Sponsor(s) who will be paying or providing in-kind support for the trip: The Aspen Institute, Inc
3. City and State **OR** Foreign Country of Travel: Bellagio, Italy
4. a. Date of Departure: April 1 Date of Return: April 6 2024
b. Yes No Will you be extending the trip at your personal expense?
If yes, list dates at personal expense: _____
5. a. Yes No Will you be accompanied by a family member at the sponsor's expense? **If yes:**
 - (1) Name of Accompanying Family Member: Leah Dunn
 - (2) Relationship to Traveler: Spouse Child Other (specify): _____
 - (3) Yes No Accompanying Family Member is at least 18 years of age?
6. a. Yes No Did the trip sponsor answer "Yes" to Question 8(c) on the *Primary Trip Sponsor Form* (i.e., travel is sponsored by an entity that employs a registered federal lobbyist or a foreign agent)?
b. **If yes**, and you are requesting lodging for two nights, explain why the second night is warranted:

7. Yes No *Primary Trip Sponsor Form* is attached, including agenda, invitation, invitee list, and any other attachments and Additional Sponsor Forms.
NOTE: The agenda should show the traveler's individual schedule, including departure and arrival times and identify the specific events in which the traveler will be participating.
8. Explain why participation in the trip is connected to the traveler's individual official or representational duties. **Staff should include their job title and how the activities on the itinerary relate to their duties.**
members of Congress will engage in round-table discussions and informal conversations with

with invited experts to better understand artificial intelligence and how AI will affect American citizens' lives and what

regulatory framework should be taken. This conference will take place in Bellagio, Italy, hosted by the Aspen Institute.
9. **Yes No Is the traveler aware of any registered federal lobbyists or foreign agents involved in planning, organizing, requesting, or arranging the trip?**
10. For staff travelers, to be completed by your employing Member:

ADVANCED AUTHORIZATION OF EMPLOYEE TRAVEL

I hereby authorize the individual named above, an employee of the U.S. House of Representatives who works under my direct supervision, to accept expenses for the trip described in this request. I have determined that the above-described travel is in connection with my employee's official duties and that acceptance of these expenses will not create the appearance that the employee is using public office for private gain.

Signature of Employing Member: _____

Date: 6/14/24

Michael Guest, Mississippi
Chairman
Susan Wild, Pennsylvania
Ranking Member

David P. Joyce, Ohio
John H. Rutherford, Florida
Andrew R. Garbarino, New York
Michelle Fischbach, Minnesota

Veronica Escobar, Texas
Mark DeSaulnier, California
Deborah K. Ross, North Carolina
Glenn F. Ivey, Maryland



ONE HUNDRED EIGHTEENTH CONGRESS

U.S. House of Representatives

COMMITTEE ON ETHICS

Thomas A. Rust
Staff Director and Chief Counsel

Keelie Broom
Counsel to the Chairman

David Arrojo
Counsel to the Ranking Member

1015 Longworth House Office Building
Washington, D.C. 20515-6328
Telephone: (202) 225-7103
Facsimile: (202) 225-7392

May 31, 2024

The Honorable Neal P. Dunn
U.S. House of Representatives
466 Cannon House Office Building
Washington, DC 20515

Dear Colleague:

This responds to your letter (Letter) dated April 16, 2024, seeking retroactive approval to accept travel expenses you and your spouse incurred in connection with a privately-sponsored trip to Bellagio, Italy, from April 1 to 6, 2024, sponsored by the Aspen Institute, Inc. (Aspen), and the Rockefeller Foundation. Subject to the guidance below, the Committee grants your request for retroactive approval.

FACTUAL BACKGROUND

According to your Letter and additional information provided to Committee counsel, the background on this matter is as follows. You represent the 2nd District of Florida. You were invited by and accepted travel from Aspen to attend an educational trip related to your official duties. According to the information you provided, Aspen provided you and your spouse with roundtrip, business class transportation to Milan, Italy, from Washington, DC, and meals and lodging in Bellagio, Italy, from April 1 to 6, 2024 (Trip). Trip expenses for you and your spouse totaled approximately \$15,277. You did not receive prior approval from the Committee to accept the Trip.

Despite not having received an approval letter from the Committee prior to your departure, you and your spouse did go on the Trip at Aspen's expense. The Committee was alerted to this issue on April 12, 2024, by Aspen. Aspen informed Committee staff that as they were preparing post-travel documents, they realized that they never provided your office with the Primary Trip Sponsor Form required for you to initiate the Committee's travel approval process. Aspen explained that they extended a last-minute invitation to you and your spouse for the Trip and that in their rush to facilitate your travel, they neglected to provide your office with the requisite form and did not inform the Committee that you and your spouse would attend the Trip. On Monday, April 15, 2024, Committee staff confirmed with your office that you and your spouse accepted the Trip and that you did not request or receive the Committee's approval prior to your travel. Committee staff advised your staff that you could use principal campaign funds or personal funds to reimburse Aspen for the Trip expenses or that you would need to seek retroactive approval to accept the Trip expenses from Aspen.

In your Letter, you state that you were unable to seek the Committee's preauthorization for travel because Aspen did not provide your office with pre-travel documents prior to your travel. Your office explained that your staff's inexperience in planning privately-sponsored travel also contributed to this error. Your office reviewed the incident and has taken steps to prevent future issues by reviewing the House Ethics Manual, requiring your scheduler to develop standard operating procedures and checklists for all types of Member travel, and implementing pre-travel communication requirements among your senior staff to ensure timely notice to the Committee.

LEGAL BACKGROUND AND ANALYSIS

House Rule 25, clause 5(d)(2) requires that Members and staff request and receive approval from the Committee *before* accepting privately-sponsored travel connected to one's official duties. You did not receive approval from the Committee to accept the above-noted privately-sponsored travel before you and your spouse embarked on this Trip.

In general, the Committee will not approve a privately-sponsored trip in a case in which a House traveler failed to obtain permission to travel from the Committee before embarking on the trip. In exceptional circumstances, the Committee may consider approving a previously unapproved trip after the trip has taken place.

Based on the representations in your Letter and additional information provided to Committee counsel, the Committee chooses to exercise its discretion under these circumstances. Specifically, this Trip otherwise complied with House rules. In addition, once you became aware of the error, you took timely steps to try to ensure that a similar situation would not occur in the future. Finally, Committee records indicate that you have not previously accepted travel from a private source without prior approval of the Committee. As a result, the Committee approves your request for retroactive approval for your and your spouse's trip to Italy from April 1 to 6, 2024, sponsored by Aspen.

However, we note that retroactive travel approval may be inappropriate for you or your office in the future. This letter serves as a reminder to you of the House Rule requiring you to receive explicit Committee approval *before* you accept privately-sponsored travel.

You should submit your Post-Travel Disclosure to the Clerk of the House, substituting this letter for the Committee pre-approval required by House rules. If you have already made a partial disclosure to the Clerk, you should add this letter as an amendment to that submission.

Because you are required to file an annual financial disclosure statement under the Ethics in Government Act (EIGA) for 2024, you must report the value of all trip expenses, including food, lodging, and transportation, exceeding \$480, that were paid for by a source other than a federal, state, or local government, or relative, on Schedule H ("Travel Payments and Reimbursements") of that statement.¹ Trips valued at less than \$480 do not trigger the disclosure requirement. That disclosure would have to include the identity of the trip sponsor; the dates of

¹ 5 U.S.C. § 13104(a)(2)(B).

the trip; the cities of departure, destination, and return; whether you were accompanied by a family member; and whether you were provided food and lodging.

LIMITATIONS

The response above constitutes an advisory opinion concerning the application of House Rule 25 and the EIGA. The following limitations apply to this opinion:

- This advisory opinion is issued only to Representative Neal P. Dunn, the requestor of this opinion. This advisory opinion cannot be relied upon by any other individual or entity.
- This advisory opinion is limited to the current provisions and interpretation of the House rule and federal statute specifically noted above. No opinion is expressed or implied herein regarding the application of any other federal, state, or local statute, rule, regulation, ordinance, or other law that may be applicable to the proposed conduct described in this letter.
- This advisory opinion will not bind or obligate any entity other than the Committee on Ethics of the United States House of Representatives.
- This advisory opinion is limited in scope to the specific proposed conduct described in this letter, the specific facts represented to the Committee, and the understanding of those facts to the extent indicated in this letter and does not apply to any other conduct or facts, including that which appears similar in nature or scope to that described in this letter. Should this letter misstate any facts in this matter, the opinion and advice may no longer apply, and you should inform the Committee as soon as possible to determine if the advice and opinion in this letter apply to the accurate factual basis.

The Committee will take no adverse action against you in regard to any conduct that you undertake, or have undertaken, in good faith reliance upon this advisory opinion, so long as you have presented a complete and accurate statement of all material facts relied upon herein, and the proposed conduct in practice conforms with the information you provided, as addressed in this opinion.

Changes or other developments in the law (including, but not limited to, the Code of Official Conduct, House rules, Committee guidance, advisory opinions, statutes, regulations, or case law) may affect the analysis or conclusions drawn in this advisory opinion. The Committee reserves the right to reconsider the questions and issues raised in this advisory opinion and to rescind, modify, or terminate this opinion if required by the interests of the House. However, the Committee will rescind an advisory opinion only if relevant and material facts were not completely and accurately disclosed to the Committee at the time the opinion was issued. In the event that this advisory opinion is modified or terminated, the Committee will not take any adverse action against you with respect to any action taken in good faith reliance upon this advisory opinion so long as such conduct or such action was promptly discontinued upon notification of the modification or termination of this advisory opinion.

If you have any further questions, please contact the Committee's Office of Advice and Education at extension 5-7103.

Sincerely,

A handwritten signature in blue ink that reads "Michael Guest". The signature is written in a cursive style with a large initial "M".

Michael Guest
Chairman

A handwritten signature in blue ink that reads "Susan Wild". The signature is written in a cursive style with a large initial "S".

Susan Wild
Ranking Member

MG/SW:kjf

COMMITTEE ON ETHICS

PRIMARY TRIP SPONSOR FORM

This form should be completed by private entities offering to provide travel or reimbursement for travel to House Members, officers, or employees under House Rule 25, clause 5. A completed copy of the form (and any attachments) should be provided to each invited House Member, officer, or employee, who will then forward it to the Committee together with a *Traveler Form* **at least 30 days before the start date of the trip**. The trip sponsor should *NOT* submit the form directly to the Committee. The Committee's website (ethics.house.gov) provides detailed instructions for filling out the form. The Committee will notify the House invitees directly of its decision and will not notify the trip sponsors.

NOTE: Willful or knowing misrepresentations on this form may be subject to criminal prosecution pursuant to 18 U.S.C. § 1001. Failure to comply with the Committee's Travel Regulations may also lead to the denial of permission to sponsor future trips. Signatures must comply with section 104(bb) of the Travel Regulations.

1. Sponsor who will be paying for the trip:

The Aspen Institute, Inc. (Congressional Program)

2. I represent that the trip will not be financed, in whole or in part, by a registered federal lobbyist or foreign agent. *Signify that the statement is true by checking box.*

3. **Check only one.** I represent that:

a. The primary trip sponsor has not accepted from any other source, funds intended directly or indirectly to finance any aspect of the trip; **OR**

b. The trip is arranged without regard to congressional participation and the primary trip sponsor has accepted funds only from entities that will receive a tangible benefit in exchange for those funds; **OR**

c. The primary trip sponsor has accepted funds, services, or in-kind assistance from other source(s) intended directly or indirectly to finance all or part of this trip and has enclosed disclosure forms from each of those entities.

If "c" is checked, list the names of the additional sponsors: _____

Rockefeller Foundation

4. Provide names and titles of **ALL** House Members *and* employees you are inviting. **For each House invitee, provide an explanation of why the individual was invited** (include additional pages if necessary): _____

See Appendix

5. Yes No Is travel being offered to an accompanying family member of the House invitee(s)?

6. Date of Departure: April 1, 2024 Date of Return: April 6, 2024

7. a. City of departure: Washington, DC or member's congressional district

b. Destination(s): Bellagio, Italy

c. City of return: Washington, DC or member's congressional district

8. **Check only one.** I represent that

a. The sponsor of the trip is an institution of higher education within the meaning of section 101 of the Higher Education Act of 1965; **OR**

b. The sponsor of the trip does not retain or employ a registered federal lobbyist or foreign agent; **OR**

c. The sponsor employs or retains a registered federal lobbyist or foreign agent, but the trip is for attendance at a one-day event *and* lobbyist / foreign agent involvement in planning, organizing, requesting, or arranging the trip was *de minimis* under the Committee's travel regulations.

9. **Check only one of the following.**
- a. I checked 8(a) or (b) above; **OR**
 - b. I checked 8(c) above but am not offering any lodging; **OR**
 - c. I checked 8(c) above and am offering lodging and meals for one night; **OR**
 - d. I checked 8(c) above and am offering lodging and meals for two nights. If you checked this box, explain why the second night of lodging is warranted. _____
-

10. Attached is a detailed agenda of the activities House invitees will be participating in during the travel (i.e., an hourly description of planned activities for trip invitees). *Indicate agenda is attached by checking box.*

11. **Check only one of the following.**
- a. I represent that a registered federal lobbyist or foreign agent will not accompany House Members or employees on any segment of the trip. *Signify the statement is true by clicking the box; OR*
 - b. *Not Applicable.* Trip sponsor is a U.S. institution of higher education.
12. For *each* sponsor required to submit a sponsor form, describe the sponsor's interest in the subject matter of the trip *and* its role in organizing and/or conducting the trip:
- Aspen Institute's Congressional Program (AICP) provides nonpartisan educational forums for members of Congress on public policy issues. AICP organized and will conduct the conference and selected and invited the attendees.
~~The foundation listed in 3C - Rockefeller Foundation - did not play a role in organizing or conducting the conference.~~
-

13. **Answer parts a and b. Answer part c if necessary:**
- a. Mode of travel: Air Rail Bus Car Other (specify: _____)
 - b. Class of travel: Coach Business First Charter Other (specify: _____)
 - c. If travel will be first class, or by chartered or private aircraft, explain why such travel is warranted:

-

14. I represent that the expenditures related to local area travel during the trip will be unrelated to personal or recreational activities of the invitee(s). *Signify that the statement is true by checking box.*

15. **Check only one.** I represent that either:
- a. The trip involves an event that is arranged or organized *without regard* to congressional participation and that meals provided to congressional participants are similar to those provided to or purchased by other event attendees; **OR**
 - b. The trip involves events that are arranged specifically *with regard* to congressional participation. If "b" is checked:
 - 1) Detail the cost *per day* of meals (approximate cost may be provided): _____
\$157
 - 2) Provide the reason for selecting the location of the event or trip: _____
The location provides access to experts related to conference topic

16. Name, nightly cost, and reasons for selecting each hotel or other lodging facility:

Hotel Name: Bellagio Center City: Bellagio, Italy Cost Per Night: \$309
 Reason(s) for Selecting: Facility has required technology and meeting space. Security a key factor.

Hotel Name: _____ City: _____ Cost Per Night: _____
 Reason(s) for Selecting: _____

Hotel Name: _____ City: _____ Cost Per Night: _____
 Reason(s) for Selecting: _____

17. I represent that all expenses connected to the trip will be for actual costs incurred and not a per diem or lump sum payment. *Signify that the statement is true by checking the box.*

18. **Total Expenses for each Participant:**

<input type="checkbox"/> Actual Amounts <input checked="" type="checkbox"/> Good Faith Estimates	Total Transportation Expenses per Participant	Total Lodging Expenses per Participant	Total Meal Expenses per Participant
For each Member, Officer, or Employee	\$5,725	\$1,236	\$596
For each Accompanying Family Member	\$5,725	-0-	\$596

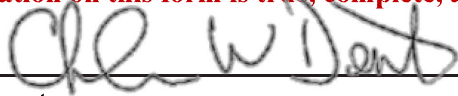
	Other Expenses (dollar amount per item)	Identify Specific Nature of "Other" Expenses (e.g., taxi, parking, registration fee, etc.)
For each Member, Officer, or Employee	\$700	Private meeting space, AV, conference services
For each Accompanying Family Member	\$700	Private meeting space, AV, conference services

19. **Check only one:**

- a. I certify that I am an officer of the organization listed below; **OR**
- b. *Not Applicable.* Trip sponsor is an individual or a U.S. institution of higher education.

20. **I certify by my signature that**

- a. **I read and understand the Committee's Travel Regulations;**
- b. **I am not a registered federal lobbyist or registered foreign agent; and**
- c. **The information on this form is true, complete, and correct to the best of my knowledge.**

Signature:  Date: April 12, 2024
 Name: Charlie Dent Title: Vice President-The Aspen Institute, Inc.
 Organization: The Aspen Institute, Inc. (Congressional Program)
 Address: 2300 N Street, NW, Washington, DC 20037
 Email: lisa.jones@aspeninstitute.org Telephone: 202-736-5859

If there are questions regarding this form, please contact the Committee on Ethics at 202-225-7103 or travel.requests@mail.house.gov.

HOUSE APPENDIX

Aspen Institute Congressional Program
Artificial Intelligence: The Promise and The Peril
April 1-6, 2024

Question 4: Provide names and titles of all House Members you are inviting. For each House invitee, provide an explanation of why the individual was invited.

These members are invited due to their subject matter interest and expertise in the geopolitical issues to be discussed at the conference.

Rep. Nanette Barragán: House Committee on Energy and Commerce

Rep. Don Beyer: House Committee on Ways and Means

Rep. Kat Cammack: House Committee on Energy and Commerce; Subcommittee on Communications and Technology

Rep. Neal Dunn: House Committee on Energy and Commerce; Subcommittee on Communications and Technology

Rep. Anna Eshoo: House Committee on Energy and Commerce; Subcommittee on Communications and Technology

Rep. Scott Franklin: House Committee on Science, Space and Technology

Rep. Garret Graves: House Committee on Transportation and Infrastructure

Rep. Michael Guest: House Committee on Homeland Security; House Committee on Appropriations

Rep. Jim Himes: Ranking Member, House Permanent Select Committee on Intelligence

Rep. Glenn Ivey: House Committee on Homeland Security

Rep. Dave Joyce: House Committee on Appropriations; Chairman, Appropriations Subcommittee on Homeland Security

Rep. John Joyce: House Committee on Energy and Commerce

Rep. Ann McLane Kuster: House Committee on Energy and Commerce; Subcommittee on Communications and Technology

Rep. Darin LaHood: House Permanent Select Committee on Intelligence; Chairman, Subcommittee on NSA and Cyber

Rep. Rick Larsen: Ranking Member, House Committee on Transportation and Infrastructure

Rep. Ted Lieu: House Committee on Foreign Affairs; House Committee on the Judiciary; Subcommittee on Courts, Intellectual Property and the Internet

Rep. Greg Murphy: House Committee on Ways and Means

Rep. Guy Reschenthaler: House Committee on Appropriations

Rep. Linda Sanchez: House Committee on Ways and Means

COMMITTEE ON ETHICS

ADDITIONAL TRIP SPONSOR FORM

This form should be completed by an organization that provides funds, services, or in-kind assistance to another entity to underwrite, in whole or in part, a trip or an event, meal, or activity that will occur during a trip, or a necessary expense that will be incurred during a trip, with express or implicit knowledge or understanding that one or more House Members or employees may participate in or attend that trip or event, or otherwise may be beneficiaries of the gift or donation. **Provide a copy of your completed form to the primary sponsor of the trip.**

NOTE: Willful or knowing misrepresentations on this form may be subject to criminal prosecution pursuant to 18 U.S.C. § 1001. Failure to comply with the Committee's Travel Regulations may also lead to the denial of permission to sponsor future trips. Signatures must comply with section 104(bb) of the Travel Regulations.

1. Name of Primary Trip Sponsor for this trip: Aspen Institute Congressional Program
2. Name of your organization: The Rockefeller Foundation
3. Yes No Is your organization designated a § 501(c)(3) charitable organization by the Internal Revenue Service?
4. Yes No Does your organization receive funding from any foreign government or multinational organization?
5. **Check one.** I certify that my organization:
 - a. Has provided a grant, gift, or donation to the above-named Primary Trip Sponsor and conducts an audit or review of its grant, gift, or donation to ensure that the funds are spent in accordance with the terms of its grant, gift, or donation. **OR**
 - b. Has had a direct role in the organizing, planning, or conducting of a trip to
Destination: Bellagio Italy on Date: April 1-6, 2024
that is being organized or arranged by the above-named Primary Trip Sponsor. **OR**
 - c. Has provided in-kind support to the above-named Primary Trip Sponsor (e.g., meeting planning assistance, meeting space and set-up, and paying for expenses related to this trip directly to the service provider).
6. **Check only one:**
 - a. My organization does not employ or retain a registered federal lobbyist or foreign agent **OR**
 - b. My organization employs a registered federal lobbyist or foreign agent, but their involvement in planning, organizing, or arranging the trip was *de minimis* under the travel regulations.
7. **I certify by my signature that**
 - a. I read and understand the Committee's Travel Regulations;
 - b. I am not a registered federal lobbyist or registered foreign agent;
 - c. I am an officer of this organization and am duly authorized to sign this form; and
 - d. The information on this form is true, complete, and correct to the best of my knowledge.

Signature:  Date: 01/29/2024

Name: Erica Guyer Title: General Counsel and Corporate Secretary

Organization: The Rockefeller Foundation

Address: 420 Fifth Avenue, New York NY 10018

Telephone: (212) 869-8500 Email: eguyer@rockfound.org

If there are questions regarding this form, please contact the Committee on Ethics at 202-225-7103 or travel.requests@mail.house.gov.



Artificial Intelligence: the Promise and the Peril

April 1-6, 2024 | Bellagio, Italy

AGENDA

Congressional Program Executive Director Charlie Dent moderates the discussion sessions, recognizes members of Congress who have questions, and is assisted by a timekeeper to ensure the conversation is quick paced and every member of Congress has an opportunity to ask questions and discuss the issues.

Name	Depart US	Arrives Milan
Nanette Barragan	March 29 departs LAX at 8 am	March 30 arrives at 8:10 am
Don Beyer	April 1 departs Washington, DC at 5:10 pm	April 2 arrives at 10:40 am
Kat Cammack	March 30 departs Gainesville, FL at 7:05 am	March 31 arrives at 6:30 am
Neal Dunn	April 1 departs Washington, DC at 12:50 pm	April 2 arrives at 9:05 am
Anna Eshoo	April 1 departs San Francisco at 1:45 pm	April 2 arrives at 1:45 pm
Scott Franklin	April 1 departs Portland, OR at 2:15 pm	April 2 arrives at 12 Noon
Garret Graves	April 1 departs New Orleans at 9:26 am	April 2 arrives 9:05 am
Michael Guest	April 1 departs Jackson, MS at 12:17 pm	April 2 arrives 9:55 am
Jim Himes	April 1 departs JFK at 4:25 pm	April 2 arrives at 6:30 am
Glenn Ivey	April 1 departs Washington, DC at 10:25 pm	April 2 arrives 4:25 pm
Dave Joyce	March 29 departs West Palm Beach, FL at 12:55 pm	March 30 arrives at 7:10 am
John Joyce	April 1 departs Washington, DC at 10:25 pm	April 2 arrives 4:25 pm
Ann Kuster	March 29 departs Boston at 10:10 pm	March 30 arrives at 4:10 pm
Darin LaHood	April 1 departs Chicago at 6 pm	April 2 arrives at 4:25 pm
Rick Larsen	April 1 departs Washington, DC at 10:25 pm	April 2 arrives 4:25 pm
Ted Lieu	March 30 departs LAX at 8 am	March 31 arrives 8:15 am
Greg Murphy	April 1 departs Raleigh at 1:40 pm	April 2 arrives 6:30 am
Guy Reschenthaler	April 1 departs Washington, DC at 10:25 pm	April 2 arrives 4:25 pm
Linda Sanchez	April 1 departs Washington, DC at 10:25 pm	April 2 arrives 4:25 pm

MONDAY, APRIL 1

U.S. participants depart the U.S.

TUESDAY, APRIL 2:

U.S. participants arrive in Bellagio, Italy throughout the day.

7 - 9 PM: Working Dinner

Seating is arranged to expose participants to a diverse range of views and provide the opportunity for a meaningful exchange of ideas. Scholars and lawmakers are rotated daily.

WEDNESDAY, APRIL 3:

8 - 9 AM: Breakfast

9 - 9:15 AM: Introduction and Framework of the Conference

This conference is organized into roundtable conversations, working lunches and pre-dinner remarks. This segment will highlight how the conference will be conducted, how those with questions will be recognized, and how responses will be timed to allow for as much engagement as possible.

Speaker:

Charlie Dent, *Executive Director and Vice President,
Aspen Institute Congressional Program*

9:15 - 11:15 AM: Roundtable Discussion

Artificial Intelligence 101

In this session, AI industry experts will cover the basics of Artificial Intelligence (AI), including the underlying technology, the history of AI and Machine Learning, current use cases, and what the future may look like.

Speakers:

Raffi Krikorian, *Chief Technical Officer, Emerson Collective*

Alondra Nelson, *Harold F. Linder Professor of Social Science, Institute for Advanced Study*

11:15 - 11:25 AM: Break

11:25 AM – 1:15 PM: Roundtable Discussion

Geopolitics of Artificial Intelligence

Perhaps more than any other technology, the global competition around AI is both a matter of national security as well as an economic and innovation race. This session will provide an overview of AI from a global perspective, highlighting security risks and international policies.

Speakers:

Klon Kitchen, *Managing Director, Beacon Global Strategies*

Eva Maydell, *Member of the European Parliament*

1:15 - 2 PM: Working Lunch

Discussion continues between members of Congress and experts on geopolitics of artificial intelligence with Klon Kitchen and Eva Maydell.

2 - 5 PM: Individual Discussions

Scholars will be available to meet individually with members of Congress for in-depth discussion of ideas raised in the morning sessions, including Raffi Krikorian, Alondra Nelson, Klon Kitchen, Eva Maydell, David Rhew.

5 - 6:15 PM: Pre-Dinner Remarks

New Frontiers: AI and Healthcare

The intersection of AI and healthcare represents a new frontier in medical innovation, promising transformative advancements across diagnosis, treatment, and patient care. Artificial intelligence applications in healthcare range from predictive analytics and personalized medicine to robotic surgeries and drug discovery. Machine learning algorithms analyze vast datasets, enabling early detection of diseases, optimizing treatment plans, and improving overall healthcare outcomes. However, this technological frontier comes with ethical and regulatory challenges, including privacy concerns, data security, algorithmic bias, and the need for transparent decision-making. Members of Congress will learn about how AI's ability to process and interpret complex medical information can accelerate research and development but also surface structural inequities.

Speaker:

David Rhew, *Chief Medical Officer, Microsoft*

7 - 9 PM: Working Dinner

Seating is arranged to expose participants to a diverse range of views and provide the opportunity for a meaningful exchange of ideas. Scholars and lawmakers are rotated daily. Discussions will focus on the role of AI in medicine.

THURSDAY, APRIL 4:

8-9 AM: Breakfast

9 - 11:15 AM: Roundtable Discussion:

Deepfakes and Democracy: the Looming Collision of AI and Elections

In 2024, almost one billion people around the globe will vote in national elections. As malicious actors continue their assault on democratic processes worldwide, AI tools can be weaponized to wrongly influence people. In this session, experts will lay out specific threats enabled by AI, including deep fakes, microtargeting of voters, and automated content distribution. The panelists will review current and potential guardrails to keep democracy on track.

Speakers:

Chris Krebs, *Chief Intelligence and Public Policy Officer, SentinelOne*

Vivian Schiller, *Vice President and Executive Director, Aspen Digital, Aspen Institute*

11:15 - 11:30 AM: Break

11:45 AM - 1:15 PM: Roundtable Discussion:

The Good News: AI and Innovation in Education, Healthcare, and Climate

The integration of artificial intelligence brings promising prospects for innovation in various critical sectors. **In education**, AI is revolutionizing personalized learning experiences, tailoring educational content to individual needs and optimizing teaching methodologies. It opens up new avenues for adaptive learning systems, equipping students with the skills necessary for the evolving job market. **In healthcare**, AI applications are enhancing diagnostic accuracy, streamlining administrative tasks, and accelerating drug discovery processes. **In climate change**, AI is playing a pivotal role from optimizing energy consumption to facilitating predictive modeling for environmental changes. This panel will help Members of Congress uncover how to ensure that AI-driven innovations benefit society and promote inclusive, equitable access to these technologies.

Speakers:

Vilas Dhar, *President and Trustee, Patrick J. McGovern Foundation*

Anna Makanju, *Vice President, Global Affairs, OpenAI*

1:15 - 2 PM: Working Lunch

Discussion continues between members of Congress and scholars on addressing the looming collision of AI and elections.

2 - 5 PM: Individual Discussions

Scholars will be available to meet individually with members of Congress for in-depth discussion of ideas raised in the morning sessions, including Chris Krebs, Vilas Dhar, Vivian Schiller, and Anna Makanju.

5 - 6:10 PM: Pre-Dinner Remarks

Building AI We Can Trust

New techniques have significantly improved the traditional, costly, and inefficient way to create and deploy AI models. This offers exciting new possibilities for increasing innovation, efficiency, and productivity. However, with the benefits come also additional risks besides those already considered in traditional machine learning models, and questions about the safety, development, deployment, and use of generative AI. The Members of Congress will learn about the latest techniques to build governance into the heart of the AI lifecycle (from data to models and applications), align AI models with values, identify and mitigate hallucination and other risks, address biases, and build AI guardrails. The discussion will include how AI is being used to advance scientific discovery, as well as initiatives to accelerate progress and increase participation and the broad diffusion of the benefits of AI through open innovation.

Speaker:

Darío Gil, *Senior Vice President and Director of Research, IBM*

7 - 9 PM: Working Dinner

Seating is arranged to expose participants to a diverse range of views and provide the opportunity for a meaningful exchange of ideas. Scholars and lawmakers are rotated daily. Discussions will focus on AI 's role in innovation and democracy.

FRIDAY, APRIL 5:

8 - 9 AM: Breakfast

9 - 11:15 AM: Roundtable Discussion

AI and the Future of Labor Market

There are mixed perceptions about AI's role in a new labor market: AI will open up new labor markets and improve employee satisfaction or lead to an epic loss of jobs.

However, everyone agrees that AI will change the nature of work and workers. This panel will uncover how companies are approaching these opportunities and risks.

Speakers:

Athina Kanioura, *Executive Vice President, Chief Strategy and Transformation Officer, PepsiCo*

Mike Haley, *Senior Vice President, Research, Autodesk*

11:15 - 11:25 AM: Break

11:25 AM - 1:15 PM: Individual Discussions

Scholars will be available to meet individually with members of Congress for in-depth discussion of ideas raised in the morning sessions, including Athina Kanioura and Mike Haley.

1:15 - 2 PM: Working Lunch

Discussion continues between members of Congress and experts on policy takeaways from the conference.

2 - 5 PM: Policy Reflections for Members of Congress

All attendees can remain in the meeting room; however, this session is only for Members of Congress to discuss ideas and policies. This time is set aside for Members of Congress to reflect on what they learned during the conference and discuss their views on implications for U.S. policy.

7 - 9 PM: Working Dinner

Seating is arranged to expose participants to a diverse range of views and provide the opportunity for a meaningful exchange of ideas. Scholars and lawmakers are rotated daily. Discussions will focus on policy takeaways from the conference.

SATURDAY, APRIL 6:

5 AM-9 AM

Conference participants depart Bellagio by private bus for Milan airport to the U.S

Name	Depart Milan, Italy	Arrive US
Nanette Barragan	April 6 at 10:45 am	April 6 in Washington, DC at 7:15 pm
Don Beyer	April 6 at 11:20 am	April 6 in Washington, DC at 7:10 pm
Kat Cammack	April 6 at 11:30 am	April 6 in Gainesville, FL at 11:35 pm
Neal Dunn	April 6 at 11:10 am	April 6 in Washington, DC at 11:29 am
Anna Eshoo	April 6 at 2:35 pm	April 6 in Washington, DC at 7:50 pm
Scott Franklin	April 6 at 11:30 am	April 6 in Tampa at 7:48 pm
Garret Graves	April 6 at 11:10 am	April 6 in New Orleans at 10:38 pm
Michael Guest	April 6 at 11:30 am	April 6 in Jackson, MS at 6:09 pm
Jim Himes	April 6 at 8:40 am	April 6 in JFK at 11:55 am
Glenn Ivey	April 6 at 11:50 am	April 6 arrives Washington, DC at 6:50 pm
Dave Joyce	April 6 at 9:45 am	April 6 in West Palm Beach, FL at 5:56 pm
John Joyce	April 6 at 9:45 am	April 6 in Washington, DC at 4:10 pm
Ann Kuster	April 6 at 10:45 am	April 6 arrives Boston at 4:30 pm
Darin LaHood	April 6 at 11:30 am	April 6 arrives Chicago at 6:52 pm
Rick Larsen	April 6 at 11:50 am	April 6 arrives Washington, DC at 6:50 pm
Ted Lieu	April 6 at 11:10 am	April 6 arrives LAX at 8:47 pm
Greg Murphy	April 6 at 12:10 pm	April 6 arrives Raleigh at 9:30 pm
Guy Reschenthaler	April 6 at 11:50 am	April 6 arrives Washington, DC at 6:50 pm
Linda Sanchez	April 6 at 11:50 am	April 6 arrives Washington, DC at 6:50 pm



**CONGRESSIONAL
PROGRAM**
aspen institute

The Honorable Neal Dunn

**U.S. House of Representatives
Washington, DC 20515-0306**

Dear Representative Dunn,

I would like to invite you and Leah to save the date for our bipartisan, bicameral congressional conference on ***Artificial Intelligence: The Promise and the Peril*** in **Bellagio, Italy, April 1-6, 2024**, including travel dates. Please get back to us with your interest to attend the conference **by COB Monday, December 11, 2023**. These conference spots fill up quickly, so please RSVP as soon as possible.

Artificial Intelligence and technological innovation have become another avenue for global power competition. Rapid digitalization and development of technology pose significant opportunities and challenges for U.S. global competitiveness, while the government has not yet championed federal policies to address anticipated repercussions, such as economic impact and labor displacement, ethical and social considerations, national security, data governance, regulatory frameworks, and international collaboration. Members of Congress will engage in round-table discussions and informal conversations with invited experts to better understand: (1) what artificial intelligence is and what it means to regulate it; (2) how AI affects American citizens' daily lives; and (3) what steps should be taken to pioneer regulatory frameworks. Members will have a unique opportunity to focus on the area and holistically think about their role in advancing and gauging America's tech innovation.

Attendance is by invitation only, no outside observers, no lobbyists, no congressional staff, and no media. All conference sessions are off-the-record. No foreign governments are accepted to fund the Aspen Institute Congressional Program. As required by the House and Senate ethics rules, we will provide congressional participants with ethics private sponsor forms completed and signed.

Travel expenses, including business class airfare, meals and lodging will be paid for by the Aspen Institute; no expenses for entertainment or recreation are paid for in compliance with ethics rules.

The Congressional Program is part of the Aspen Institute, Inc. a nonprofit organization founded in 1950. The Congressional Program was created to promote leadership on public policy by bringing together legislators from both political parties with internationally renowned scholars for high level discussions and analysis. Since our program's inception, more than 523 members of Congress have participated in the 156 domestic and international conferences.

We hope you can join us for this important conference.

Sincerely,

Charlie Dent

Charles W. Dent
Former Member, U.S. Congress (PA-15th, 2005-2018)
Vice President and Executive Director
Aspen Institute Congressional Program
2300 N Street, NW, Suite 700, Washington, DC 20037
Mobile: (484) 553-1837