



# U.S. House of Representatives COMMITTEE ON ETHICS

## Member / Officer Post-Travel Disclosure Form Original Amendment

This form is for disclosing the receipt of travel expenses from a private source for travel taken in connection with a Member or officer's official duties. This form does not eliminate the need to report privately-funded travel on the Member or officer's annual *Financial Disclosure Statement*. In accordance with House Rule 25, clause 5, you must complete this form and file it with the Clerk of the House, B-81 Cannon House Office Building, within 15 days after travel is completed. Please do not file this form with the Committee on Ethics.

NOTE: Willful or knowing misrepresentations on this form may be subject to criminal prosecution pursuant to 18 U.S.C. § 1001.

1. Name of Traveler: TED W. LIEU
2. a. Name of Accompanying Relative: \_\_\_\_\_ OR None   
 b. Relationship to Traveler:  Spouse  Child  Other (specify): \_\_\_\_\_
3. a. Dates: Departure: February 22, 2019 Return: February 23, 2019  
 b. Dates at Personal Expense, if any: \_\_\_\_\_ OR None
4. Departure City: Los Angeles, CA Destination: Palo Alto, CA Return City: Los Angeles, CA
5. Sponsor(s), Who Paid for the Trip: Stanford University Center for International Security and Cooperation (CISAC)
6. Describe Meetings and Events Attended (attach additional pages if necessary): Spoke and attended meetings and workshops at the Stanford University Center for International Security and Cooperation's workshop on "Revisiting the Laws on Nuclear Weapons". Full agenda can be found in attachment containing sponsor's Primary Trip Sponsor Form.
7. Attached to this form are each of the following, signify that each item is attached by checking the corresponding box:
  - a.  a completed *Sponsor Post-Travel Disclosure Form*;
  - b.  the *Primary Trip Sponsor Form* completed by the trip sponsor prior to the trip, including all attachments and the *Grantmaking or Non-Grantmaking Sponsor Forms*;
  - c.  page 2 of the completed *Traveler Form* submitted by the Member or officer; and
  - d.  the letter from the Committee on Ethics approving my participation on this trip.
8. a. I represent that I participated in each of the activities reflected in the attached sponsor's agenda. Signify statement is true by checking the box:   
 b. If not, explain: \_\_\_\_\_

LEGISLATIVE RESOURCE CENTER  
201 JAN -5 PM 12:14  
OFFICE OF THE CLERK  
U.S. HOUSE OF REPRESENTATIVES

I certify that the information contained in this form is true, complete, and correct to the best of my knowledge. I have determined that all of the expenses on the attached *Sponsor Post-Travel Disclosure Form* were necessary and that the travel was in connection with my duties as a Member or officer of the U.S. House of Representatives and would not create the appearance that I am using public office for private gain.

Member / Officer Signature: Ted W. Lieu

Date: 1/4/2021



U.S. House of Representatives

# COMMITTEE ON ETHICS

## Sponsor Post-Travel Disclosure Form

Original  Amendment

This form must be completed by an officer of any organization that served as the primary trip sponsor in providing travel expenses or reimbursement for travel expenses to House Members, officers, or employees under House Rule 25, clause 5. A completed copy of the form must be provided to each House Member, officer, or employee who participated on the trip *within ten days of their return*. You must answer all questions, and check all boxes, on this form for your submission to comply with House rules and the Committee's travel regulations. Failure to comply with this requirement may result in the denial of future requests to sponsor trips and/or subject the current traveler to disciplinary action or a requirement to repay the trip expenses.

NOTE: Willful or knowing misrepresentations on this form may be subject to criminal prosecution pursuant to 18 U.S.C. § 1001.

- Sponsor(s) who paid for the trip: Stanford University Center for International Security and Cooperation (CISAC)
- Travel Destination(s): Stanford, California
- Date of Departure: February 22, 2019 Date of Return: February 23, 2019
- Name(s) of Traveler(s): Ted W. Lieu

Note: You may list more than one traveler on a form only if *all* information is *identical* for each person listed.

- Actual amount of expenses paid on behalf of, or reimbursed to, each individual named in Question 4:

	Total Transportation Expenses	Total Lodging Expenses	Total Meal Expenses	Total Other Expenses (dollar amount per item and description)
Traveler	\$650	\$607	\$120	
Accompanying Family Member				

- All expenses connected to the trip were for actual costs incurred and not a *per diem* or lump sum payment. Signify statement is true by checking box:

I certify that the information contained in this form is true, complete, and correct to the best of my knowledge.

Signature:  Date: 11/24/2020

Name: Katherine E. McKinney Title: Research Assistant

Organization: Stanford Center for International Security and Cooperation

I am an officer of the above-named organization. Signify statement is true by checking box:

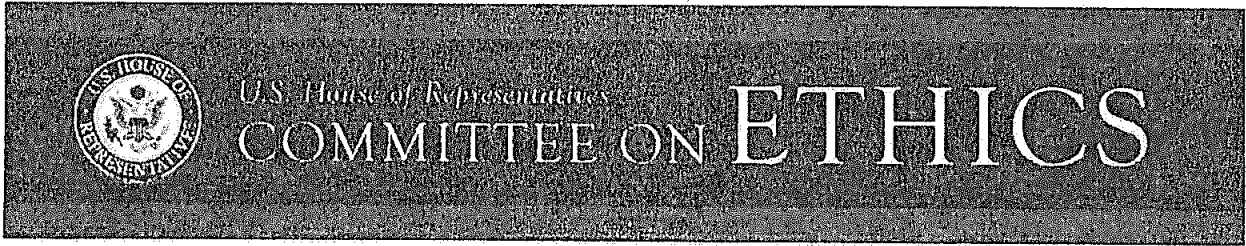
Address: 616 Jane Stanford Way Stanford, CA 94305

Telephone: 817-368-8904 Email: katiemck@stanford.edu

Committee staff may contact the above-named individual if additional information is required.

If you have questions regarding your completion of this form, please contact the Committee on Ethics at 202-225-7103.

Revised 2/5



### Primary Trip Sponsor Form

This form should be completed by private entities offering to provide travel or reimbursement for travel to House Members, officers, or employees under House Rule 25, clause 5. A completed copy of the form (and any attachments) should be provided to each invited House Member, officer, or employee, who will then forward it to the Committee together with a *Traveler Form* at least 30 days before the start date of the trip. The trip sponsor should **NOT** submit the form directly to the Committee. The Committee website ([ethics.house.gov](http://ethics.house.gov)) provides detailed instructions for filling out the form.

NOTE: Willful or knowing misrepresentations on this form may be subject to criminal prosecution pursuant to 18 U.S.C. § 1001. Failure to comply with the Committee's Travel Regulations may also lead to the denial of permission to sponsor future trips.

1. Sponsor who will be paying for the trip: The Center for International Security and Cooperation (CISAC), Stanford University
  
2. I represent that the trip will not be financed, in whole or in part, by a registered federal lobbyist or foreign agent. Signify that the statement is true by checking box:
  
3. Check only one. I represent that:
  - a. The primary trip sponsor has not accepted from any other source, funds intended directly or indirectly to finance any aspect of the trip:  OR
  - b. The trip is arranged without regard to congressional participation and the primary trip sponsor has accepted funds only from entities that will receive a tangible benefit in exchange for those funds:  OR
  - c. The primary trip sponsor has accepted funds from other source(s) intended directly or indirectly to finance all or part of this trip and has enclosed disclosure forms from each of those entities.   
 If "c" is checked, list the names of the additional sponsors: \_\_\_\_\_
  
4. Provide names and titles of ALL House Members and employees you are inviting. For each House invitee, provide an explanation of why the individual was invited (include additional pages if necessary): Congressman Ted Lieu.  
 Reason: Lieu will give a keynote talk on congressional decision-making and foreign policy.
  
5. Is travel being offered to an accompanying family member of the House invitee(s)?  Yes  No
  
6. Date of Departure: 02/22/2019 Date of Return: 02/23/2019
  
7. a. City of departure: Los Angeles  
 b. Destination(s): Palo Alto, CA  
 c. City of return: Los Angeles
  
8. Check only one. I represent that:
  - a. The sponsor of the trip is an institution of higher education within the meaning of section 101 of the Higher Education Act of 1965:  OR
  - b. The sponsor of the trip does not retain or employ a registered federal lobbyist or foreign agent:  OR
  - c. The sponsor employs or retains a registered federal lobbyist or foreign agent, but the trip is for attendance at a one-day event and lobbyist / foreign agent involvement in planning, organizing, requesting, or arranging the trip was *de minimis* under the Committee's travel regulations.
  
9. Check only one of the following:
  - a. I checked 8(a) or (b) above:
  - b. I checked 8(c) above but am not offering any lodging:
  - c. I checked 8(c) above and am offering lodging and meals for one night:  OR
  - d. I checked 8(c) above and am offering lodging and meals for two nights:  If you checked this box, explain why the second night of lodging is warranted: \_\_\_\_\_

Revised 2/5



U.S. House of Representatives  
**COMMITTEE ON ETHICS**

10. Attached is a detailed agenda of the activities House invitees will be participating in during the travel (i.e., an hourly description of planned activities for trip invitees). *Indicate agenda is attached by checking box:*
11. *Check only one of the following:*
- a. I represent that a registered federal lobbyist or foreign agent will not accompany House Members or employees on any segment of the trip. *Signify that the statement is true by checking box:*  OR
  - b. *Not Applicable.* Trip sponsor is a U.S. institution of higher education:
12. For *each* sponsor required to submit a sponsor form, describe the sponsor's interest in the subject matter of the trip *and* its role in organizing and/or conducting the trip:  
One of CISAC's key goals is to reduce nuclear risk and promote world peace through research and events.  
Congressman Lieu is invited to speak at an upcoming workshop on U.S. law and nuclear weapons.  
CISAC, Stanford University, is the organizing party of the workshop and Congressman Lieu's trip.
13. *Answer parts a and b. Answer part c if necessary:*
- a. Mode of travel: Air  Rail  Bus  Car  Other  (specify: \_\_\_\_\_)
  - b. Class of travel: Coach  Business  First  Charter  Other  (specify: \_\_\_\_\_)
  - c. If travel will be first class, or by chartered or private aircraft, explain why such travel is warranted:  
 \_\_\_\_\_  
 \_\_\_\_\_
14. I represent that the expenditures related to local area travel during the trip will be unrelated to personal or recreational activities of the invitee(s). *Signify that the statement is true by checking box:*
15. *Check only one.* I represent that either:
- a. The trip involves an event that is arranged or organized *without regard* to congressional participation and that meals provided to congressional participants are similar to those provided to or purchased by other event attendees:  OR
  - b. The trip involves events that are arranged specifically *with regard* to congressional participation:   
 If "b" is checked:  
 1) Detail the cost *per day* of meals (approximate cost may be provided): \_\_\_\_\_  
 \_\_\_\_\_  
 2) Provide the reason for selecting the location of the event or trip: \_\_\_\_\_  
 \_\_\_\_\_
16. Name, nightly cost, and reasons for selecting each hotel or other lodging facility:
- Hotel Name: Sheraton City: Palo Alto Cost Per Night: 175 USD  
 Reason(s) for Selecting: Hotel frequently used for Stanford University guests.
- Hotel Name: \_\_\_\_\_ City: \_\_\_\_\_ Cost Per Night: \_\_\_\_\_  
 Reason(s) for Selecting: \_\_\_\_\_
- Hotel Name: \_\_\_\_\_ City: \_\_\_\_\_ Cost Per Night: \_\_\_\_\_  
 Reason(s) for Selecting: \_\_\_\_\_
17. I represent that all expenses connected to the trip will be for actual costs incurred and not a per diem or lump sum payment. *Signify that the statement is true by checking box:*

Reward 2/5



18. Total Expenses for each Participant:

<input type="checkbox"/> Actual Amounts	Total Transportation Expenses per Participant	Total Lodging Expenses per Participant	Total Meal Expenses per Participant
<input checked="" type="checkbox"/> Good Faith Estimates			
For each Member, Officer, or Employee	200	175	80
For each Accompanying Family Member			
	Other Expenses (dollar amount per item)	Identify Specific Nature of "Other" Expenses (e.g., taxi, parking, registration fee, etc.)	
For each Member, Officer, or Employee	40	Taxi (ground transportation)	
For each Accompanying Family Member			

NOTE: Willful or knowing misrepresentations on this form may be subject to criminal prosecution pursuant to 18 U.S.C. § 1001.

19. Check only one:

- a. I certify that I am an officer of the organization listed below:  OR
- b. *Not Applicable.* Trip sponsor is an individual or a U.S. institution of higher education.

20. I certify that I am not a registered federal lobbyist or foreign agent for any sponsor of this trip.

21. I certify by my signature that the information contained in this form is true, complete, and correct to the best of my knowledge.

Signature: Scott Sagan Digitally signed by Scott Sagan  
DN: cn=Scott Sagan, ou=Stanford University, ou=CISAC,  
email=ssagan@stanford.edu, c=US  
Date: 2019.02.05 12:02:16 -0800 Date: 02.05.2019

Name: Scott D. Sagan

Title: Professor

Organization: Stanford University, Center for International Security and Cooperation (CISAC)

Address: Encina Hall, 616 Serra St, Stanford University, Stanford, CA, 94305

Telephone: (650) 725-2715

Email: ssagan@stanford.edu (assistant: ahaworth@stanford.edu)

If there are any questions regarding this form, please contact the Committee at the following address:

**Committee on Ethics**  
 U.S. House of Representatives  
 1015 Longworth House Office Building, Washington, D.C. 20515  
 Phone: 202-225-7103 General Fax: 202-225-7392



U.S. House of Representatives

# COMMITTEE ON ETHICS

## TRAVELER FORM

1. Name of Traveler: Ted Lieu
2. Sponsor(s) who will be paying for the trip: Center for International Security and Cooperation (CISAC), Stanford University
3. Travel Destination(s): San Francisco, CA Palo Alto, CA (H.T.)
4. a. Date of Departure: 2/22/2019 Date of Return: 2/23/2019
  - b. Will you be extending the trip at your personal expense?  Yes  No
 

If yes, list dates at personal expense: \_\_\_\_\_
5. a. Will you be accompanied by a family member at the sponsor's expense?  Yes  No If yes:
  - (1) Name of Accompanying Family Member: \_\_\_\_\_
  - (2) Relationship to Traveler:  Spouse  Child  Other (specify): \_\_\_\_\_
  - (3) Accompanying Family Member is at least 18 years of age:  Yes  No
6. a. Did the trip sponsor answer "Yes" to Question 8(c) on the *Primary Trip Sponsor Form* (i.e., travel is sponsored by an entity that employs a registered federal lobbyist or a foreign agent)?  Yes  No
  - b. If yes, and you are requesting lodging for two nights, explain why the second night is warranted:
 

\_\_\_\_\_

\_\_\_\_\_
7. *Primary Trip Sponsor Form* is attached, including agenda, invitee list, and any other attachments and contributing sponsor forms:  Yes  No
 

NOTE: The agenda should show the traveler's individual schedule, including departure and arrival times and identify the specific events in which the traveler will be participating.
8. Explain why participation in the trip is connected to the traveler's individual official or representational duties. Staff should include their job title and how the activities on the itinerary relate to their duties.
 

Congressman Ted Lieu will join legal scholars, political scientists, and military officers to participate in a workshop about US Nuclear policy and its legal framework. He has also been asked to give the keynote address

\_\_\_\_\_
9. Is the traveler aware of any registered federal lobbyists or foreign agents involved planning, organizing, requesting, or arranging the trip?  Yes  No
10. For staff travelers, to be completed by your employing Member:

### ADVANCED AUTHORIZATION OF EMPLOYEE TRAVEL

I hereby authorize the individual named above, an employee of the U.S. House of Representatives who works under my direct supervision, to accept expenses for the trip described in this request. I have determined that the above-described travel is in connection with my employee's official duties and that acceptance of these expenses will not create the appearance that the employee is using public office for private gain.

Signature of Employing Member Ted W. Lieu Date 1/22/2019



ONE HUNDRED SIXTEENTH CONGRESS

**U.S. House of Representatives**  
COMMITTEE ON ETHICS

February 13, 2019

The Honorable Ted Lieu  
U.S. House of Representatives  
403 Cannon House Office Building  
Washington, DC 20515

Dear Colleague:

Pursuant to House Rule 25, clause 5(d)(2), the Committee on Ethics hereby approves your proposed trip to Palo Alto, California, scheduled for February 22 to 23, 2019, sponsored by Stanford University, Center for International Security and Cooperation (CISAC).

You must complete a Member/Officer Post-Travel Disclosure Form and file it, together with a Sponsor Post-Travel Disclosure Form completed by the trip sponsor, with the Clerk of the House within 15 days after your return from travel. As part of that filing, you are also required to attach a copy of this letter and both the Traveler and Primary Trip Sponsor Forms (including attachments) you previously submitted to the Committee in seeking pre-approval for this trip. You must also report all travel expenses totaling more than \$390 from a single source on the "Travel" schedule of your annual Financial Disclosure Statement covering this calendar year. Finally, Travel Regulation § 404(d) also requires you to keep a copy of all request forms and supporting information provided to the Committee for three subsequent Congresses from the date of travel.

If you have any further questions, please contact the Committee's Office of Advice and Education at extension 5-7103.

Sincerely,

Theodore E. Deutch  
Chairman

Kenny Marchant  
Ranking Member

TED/KM:adw

Revised 2/8  
v.2

Congressman Lieu – Agenda

Stanford University CISAC Workshop: “Revisiting the Laws on Nuclear Weapons”

Day One: Friday, February 22<sup>nd</sup>, 2019 *Travel + 3 hrs*

- Flight:
  - o Depart LAX: 4:30pm
  - o Arrive SFO: 5:55pm
- Events:
  - o 6:30 – 9:30pm: Arrive at Stanford University (Encina Hall, 616 Serra St, Stanford University, Stanford, 94303, CA) for evening dinner.
    - The first day of the workshop will close with a dinner held at Stanford University in Encina Hall. The dinner will host all workshop participants and feature a keynote talk from Senator Tim Kaine. Senator Kaine will discuss presidential authority and the role of congress in the use of force. The topic of the talk relates to Congressman Lieu’s work with the House Foreign Affairs Committee. Additionally, the talk relates to Congressman Lieu’s co-sponsored Bill (H.R.669) on “Restricting First Use of Nuclear Weapons”.

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Day Two: Saturday, February 23<sup>rd</sup>, 2019 *8 hrs/speech + Travel*

- Events:
  - o 8:30am: Arrive at workshop (Encina Hall, 616 Serra St, Stanford University, Stanford, 94303, CA)
  - o 9:00am – 12:30pm: Opening Remarks & Morning Sessions
    - The workshop co-hosts, Prof. Scott Sagan and Prof. Allen Weiner, will provide opening remarks for the second day of the workshop at 9:00am. This will be followed by the first two sessions (9:15 – 11:00am and 11:00am – 12:30pm), which will be presentations of papers written by academics for the workshop. The papers will explore both the ethics and laws of nuclear weapons strategy and use, which relates to Congressman Lieu’s work with the House Judiciary Committee and the House Foreign Affairs Committee.
  - o 12:30 – 2:00pm: Lunch – Keynote talk by Congressman Lieu
    - Congressman Lieu will provide the lunchtime keynote talk. He will principally discuss his recently proposed Bill (H.R.699) on “Restricting First Use of Nuclear Weapons” in front of an audience of academics, lawyers, and military professionals. The talk will be closed, meaning only those invited to the workshop will be present in the room.
  - o 2:00pm – 5:00pm: Afternoon Sessions & Closing Remarks
    - The workshop will conclude with one more paper presentation and the closing remarks. The final paper presentation will also explore the ethics and laws of nuclear weapons strategy and use, which relates to Congressman Lieu’s work with the House Judiciary Committee and the House Foreign Affairs Committee. The closing discussion will summarize the outcome of the workshop, providing direction for future work on

3.5

speech  
1.5

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Revised 2/8  
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nuclear stability and the laws on nuclear weapons, as well as the role of Congress in this discussion moving forward.

- Flight:

- Depart SFO: 7:00pm
- Arrive LAX: 8:25pm

Theodore E. Deutch, Florida  
*Chairman*  
Kenny Marchant, Texas  
*Ranking Member*  
  
Grace Meng, New York  
Susan Wild, Pennsylvania  
Dean Phillips, Minnesota  
Anthony Brown, Maryland  
  
George Holding, North Carolina  
Jackie Waforski, Indiana  
Michael Guest, Mississippi



ONE HUNDRED SIXTEENTH CONGRESS

**U.S. House of Representatives**  
**COMMITTEE ON ETHICS**

Thomas A. Rust  
*Staff Director and Chief Counsel*

David W. Arrojo  
*Counsel to the Chairman*

Christopher A. Donesa  
*Counsel to the Ranking Member*

1015 Longworth House Office Building  
Washington, D.C. 20515-6328  
Telephone: (202) 225-7103  
Facsimile: (202) 225-7392

November 13, 2020

The Honorable Ted Lieu  
U.S. House of Representatives  
403 Cannon House Office Building  
Washington, DC 20515

Dear Colleague,

This letter is regarding your past privately-sponsored travel to Palo Alto, California, sponsored by Stanford University, scheduled for February 22 to 23, 2019.

House travelers must file various post-travel disclosure forms within 15 days after returning from a privately-sponsored trip. As of the most recent information provided by the Clerk's office, the Committee has no record of any post-travel submission by you. Committee staff has attempted to contact your office several times on this matter with no resolution.

As a reminder, a complete post-travel disclosure submission includes the following:

- A copy of the Member/Officer Post-Travel Disclosure Form;
- A copy of the Sponsor Post-Travel Disclosure Form, certifying the actual costs incurred by the traveler;
- A copy of the Committee's formal approval letter, signed by the Chairman and Ranking Member
- The Traveler Form submitted to the Committee before the trip;
- The FINAL VERSION of the Trip Sponsor Form and Grantmaking and Non-Grantmaking Sponsor Forms (if applicable) submitted to the Committee before the trip;
- The list of House Members and employees who were invited, or, in the alternative, the list of House Members and employees who actually participated in the trip; and
- The actual agenda and description of activities in which the traveler participated during the trip.

If you believe you are receiving this message in error or did not attend the above-referenced trip, please notify the Committee by emailing [travel.requests@mail.house.gov](mailto:travel.requests@mail.house.gov) or by contacting the Committee's Office of Advice & Education at (202) 225-7103, and selecting option 3 and leaving a voicemail with a return call back phone number. Otherwise, you must provide your post-travel documentation to the **Clerk's office in B-81 Cannon HOB** as soon as possible.

Prompt submission of the required post-travel filings promotes meaningful transparency and public disclosure of privately-funded congressional travel. Absent any filing or further communication from you, the Committee reserves the ability to take further action on this matter.

If you have any questions on this matter, please contact [travel.requests@mail.house.gov](mailto:travel.requests@mail.house.gov).

Sincerely,

Handwritten signature of Theodore E. Deutch in black ink.

Theodore E. Deutch  
Chairman

Handwritten signature of Kenny Marchant in black ink.

Kenny Marchant  
Ranking Member

TED/KM:adw