U.S. House of Representatives
COMMITTEE ON ETHICS

Member / Officer Post-Travel Disclosure Form

☑ *Original* ☐ *Amendment*

This form is for disclosing the receipt of travel expenses from a private source for travel taken in connection with a Member or officer's official duties. This form does not eliminate the need to report privately-funded travel on the Member or officer's annual *Financial Disclosure Statement*. In accordance with House Rule 25, clause 5, **you must complete this form and *file it with the Clerk of the House, B-81 Cannon House Office Building*, within 15 days after travel is completed.** Please ***do not*** file this form with the Committee on Ethics.

NOTE: Willful or knowing misrepresentations on this form may be subject to criminal prosecution pursuant to 18 U.S.C. § 1001.

1. Name of Traveler: The Honorable Sean Casten, IL-06
2. a. Name of Accompanying Relative: _____ *OR* None ☑
   b. Relationship to Traveler: ☐ Spouse ☐ Child ☐ Other (specify): _____
3. a. **Dates:** Departure: February 23, 2020 Return: February 25, 2020
   b. Dates at Personal Expense, if any: _____ *OR* None ☑
4. Departure City: Chicago, IL Destination: Aspen, CO Return City: Washington, DC
5. Sponsor(s), Who Paid for the Trip: The Aspen Institute
6. Describe Meetings and Events Attended (attach additional pages if necessary): Congressman Casten attended and participated in The Aspen Institute's Winter Energy Roundtable: Unlocking Mid-Century Deep Decarbonization.
7. Attached to this form are ***each*** of the following, *signify that each item is attached by checking the corresponding box*:
   a. ☑ a completed *Sponsor Post-Travel Disclosure Form*;
   b. ☑ the *Primary Trip Sponsor Form* completed by the trip sponsor ***prior*** to the trip, ***including all*** attachments ***and*** the *Grantmaking* or *Non-Grantmaking Sponsor Forms*;
   c. ☑ page 2 of the completed *Traveler Form* submitted by the Member or officer; ***and***
   d. ☑ the letter from the Committee on Ethics approving my participation on this trip.
8. a. I represent that I participated in each of the activities reflected in the attached sponsor's agenda.
   Signify statement is true by checking the box: ☑
   b. If not, explain: _____

LEGISLATIVE RESOURCE CENTER
2020 SEP -2 AM 10: 12
OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES

I certify that the information contained in this form is true, complete, and correct to the best of my knowledge. I have determined that all of the expenses on the attached *Sponsor Post-Travel Disclosure Form* were necessary and that the travel was in connection with my duties as a Member or officer of the U.S. House of Representatives and would not create the appearance that I am using public office for private gain.

Member / Officer Signature: _____

Date: September 2, 2020

Version date 12/2018 by Committee on Ethics

U.S. House of Representatives
COMMITTEE ON ETHICS

Employee Post-Travel Disclosure Form

☑ *Original* ☐ *Amendment*

This form is for disclosing the receipt of travel expenses from private sources for travel taken in connection with official duties. This form does not eliminate the need to report privately-funded travel on the annual *Financial Disclosure Statements* of those employees required to file them. In accordance with House Rule 25, clause 5, **you must complete this form and *file it with the Clerk of the House, B-81 Cannon House Office Building*, within 15 days after travel is completed.** Please ***do not*** file this form with the Committee on Ethics.

NOTE: Willful or knowing misrepresentations on this form may be subject to criminal prosecution pursuant to 18 U.S.C. § 1001.

1. Name of Traveler: Honorable Sean Casten, IL-06
2. a. Name of Accompanying Relative: _____ *OR* None ☑
   b. Relationship to Traveler: ☐ Spouse ☐ Child ☐ Other (specify): _____
3. a. **Dates:** Departure: February 23, 2020 Return: February 25, 2020
   b. Dates at Personal Expense, if any: _____ *OR* None ☑
4. Departure City: Chicago, IL Destination: Aspen, CO Return City: Washington, DC
5. Sponsor(s), Who Paid for the Trip: The Aspen Institute
6. Describe Meetings and Events Attended: Congressman Casten attended and participated in The Aspen Institute's Winter Energy Roundtable. This forum is part of the institute's Energy and Environment Program (EEP).
7. Attached to this form are ***each*** of the following, *signify that each item is attached by checking the corresponding box*:
   a. ☑ a completed *Sponsor Post-Travel Disclosure Form*;
   b. ☑ the *Primary Trip Sponsor Form* completed by the trip sponsor ***prior*** to the trip, ***including all*** attachments ***and*** the *Grantmaking* or *Non-Grantmaking Sponsor Forms*;
   c. ☑ page 2 of the completed *Traveler Form* submitted by the employee; ***and***
   d. ☑ the letter from the Committee on Ethics approving my participation on this trip.
8. a. I represent that I participated in each of the activities reflected in the attached sponsor's agenda.
   Signify statement is true by checking the box: ☑
   b. If not, explain: _____

I certify that the information contained on this form is true, complete, and correct to the best of my knowledge.

Signature of Traveler: _____ **Date:** September 2, 2020

I authorized this travel in advance. I have determined that all of the expenses listed on the attached *Sponsor Post-Travel Disclosure Form* were necessary and that the travel was in connection with the employee's official duties and would not create the appearance that the employee is using public office for private gain.

Name of Supervising Member: Cara Pavlock **Date:** September 2, 2020

Signature of Supervising Member: Cara Pavlock

Version date 12/2018 by Committee on Ethics

U.S. House of Representatives
COMMITTEE ON ETHICS

Sponsor Post-Travel Disclosure Form

☑ *Original* ☐ *Amendment*

This form must be completed by an officer of any organization that served as the primary trip sponsor in providing travel expenses or reimbursement for travel expenses to House Members, officers, or employees under House Rule 25, clause 5. **A completed copy of the form must be provided to each House Member, officer, or employee who participated on the trip *within ten days of their return*.** You must answer all questions, and check all boxes, on this form for your submission to comply with House rules and the Committee's travel regulations. Failure to comply with this requirement may result in the denial of future requests to sponsor trips and/or subject the current traveler to disciplinary action or a requirement to repay the trip expenses.

NOTE: Willful or knowing misrepresentations on this form may be subject to criminal prosecution pursuant to 18 U.S.C. § 1001.

1. Sponsor(s) who paid for the trip: The Aspen Institute (Energy & Environment Program ("EEP"))
2. Travel Destination(s): Aspen, Colorado
3. Date of Departure: February 23, 2020 Date of Return: February 25, 2020
4. Name(s) of Traveler(s): Congressman Sean Casten

   Note: You may list more than one traveler on a form only if ***all*** information is ***identical*** for each person listed.
5. **Actual amount** of expenses paid on behalf of, or reimbursed to, each individual named in Question 4:

	Total **Transportation** Expenses	Total **Lodging** Expenses	Total **Meal** Expenses	Total **Other** Expenses (dollar amount per item and description
Traveler	$832	$600	$385	$2,800 (waived registration fee)
Accompanying Family Member	N/A	N/A	N/A	N/A

6. All expenses connected to the trip were for actual costs incurred and not a *per diem* or lump sum payment. *Signify statement is true by checking box:* ☑

I certify that the information contained in this form is true, complete, and correct to the best of my knowledge.

Signature: Elliot Gerson Date: 3/10/20

Name: Elliot Gerson Title: EVP of Policy & Public Program

Organization: The Aspen Institute

I am an officer of the above-named organization. Signify statement is true by checking box: ☑

Address: 2300 N St NW, Suite 700, Washington, DC 20037

Telephone: 202-736-5859 (Lisa Jones, Deputy General Counsel) Email: lisa.jones@aspeninst.org

Committee staff may contact the above-named individual if additional information is required.

If you have questions regarding your completion of this form, please contact the Committee on Ethics at 202-225-7103.

U.S. House of Representatives
COMMITTEE ON ETHICS

TRAVELER FORM

1. Name of Traveler: Honorable Sean Casten, IL-06
2. Sponsor(s) who will be paying for the trip: The Aspen Institute
3. City and State OR Foreign Country of Travel : Aspen, Colorado
4. a. Date of Departure: February 23, 2020 Date of Return: February 25, 2020
   b. Will you be extending the trip at your personal expense? ☐ Yes ☑ No
   If yes, list dates at personal expense: _____
5. a. Will you be accompanied by a family member at the sponsor's expense? ☐ Yes ☑ No **If yes:**
   (1) Name of Accompanying Family Member: _____
   (2) Relationship to Traveler: ☐ Spouse ☐ Child ☐ Other (specify): _____
   (3) Accompanying Family Member is at least 18 years of age: ☐ Yes ☐ No
6. a. Did the trip sponsor answer "Yes" to Question 8(c) on the *Primary Trip Sponsor Form* (i.e., travel is sponsored by an entity that employs a registered federal lobbyist or a foreign agent)? ☐ Yes ☑ No
   b. **If yes**, and you are requesting lodging for two nights, explain why the second night is warranted:
7. *Primary Trip Sponsor Form* is attached, including agenda, invitee list, and any other attachments and contributing sponsor forms: ☑ Yes ☐ No
   NOTE: The agenda should show the traveler's individual schedule, including departure and arrival times and identify the specific events in which the traveler will be participating.
8. Explain why participation in the trip is connected to the traveler's individual official or representational duties. **Staff should include their job title and how the activities on the itinerary relate to their duties.**
   As a member of the House Select Committee on the Climate Crisis and member of the Energy Subcommittee on the House Committee on Science, Space and Technology, Congressman Casten will hear from energy industry experts to prepare for the release of the Select Committee's final report.
9. **Is the traveler aware of any registered federal lobbyists or foreign agents involved planning, organizing, requesting, or arranging the trip?** ☐ Yes ☑ No
10. For staff travelers, to be completed by your employing Member:

ADVANCED AUTHORIZATION OF EMPLOYEE TRAVEL

I hereby authorize the individual named above, an employee of the U.S. House of Representatives who works under my direct supervision, to accept expenses for the trip described in this request. I have determined that the above-described travel is in connection with my employee's official duties and that acceptance of these expenses will not create the appearance that the employee is using public office for private gain.

Signature of Employing Member _____ Date February 13, 2020

U.S. House of Representatives
COMMITTEE ON ETHICS

TRAVELER FORM

This form should be completed by House Members, officers, or employees seeking Committee approval of privately-sponsored travel or reimbursement for travel under House Rule 25, clause 5. The completed form should be submitted directly to the Committee by each invited House Member, officer, or employee, together with the completed and signed trip sponsor form(s) and any attachments. A copy of this form, minus this initial page, will be made available for public inspection. **This form, and any attachments, may be faxed to the Committee at 202-225-7392, sent or delivered to the Committee at 1015 Longworth, or e-mailed to travel.requests@mail.house.gov.**

Your completed request must be submitted to the Committee no less than 30 days before your proposed departure date. Absent exceptional circumstances, permission will not be granted for requests received less than 30 days before the trip commences. **You must receive explicit approval from the Committee before you depart on this trip.**

Name of Traveler: Honorable Sean Casten, IL-06

NOTE: Willful or knowing misrepresentations on this form may be subject to criminal prosecution pursuant to 18 U.S.C. § 1001.

I certify that the information contained on both pages of this form is true, complete, and correct to the best of my knowledge.

Signature: _____

Name of Signatory (if other than traveler): _____

For Staff (name of employing Member or Committee): Emma Arnesen, Scheduler

Office Address: 429 Cannon House Office Building

Telephone Number: (202) 225-4561

Email Address of Contact Person: Emma.Arnesen@mail.house.gov

☐ Check this box if the sponsoring entity is a media outlet, the purpose of the trip is to make a media appearance sponsored by that entity, *and* these forms are being submitted to the Committee less than 30 days before the trip departure date.

NOTE: You must complete all of the contact information fields above, as Committee staff may need to contact you if additional information is required.

KEEP A COPY OF THIS FORM. Page 2 (but not this page) must be submitted to the Clerk as part of the post-travel disclosure required by House Rule 25. Travel Regulation § 404(d) also requires you to keep a copy of all request forms and supporting paperwork for three subsequent Congresses from the date of travel.

If there are any questions regarding this form, please contact the Committee on Ethics at 202-225-7103 or via e-mail: *travel.requests@mail.house.gov.*

U.S. House of Representatives
COMMITTEE ON ETHICS

Primary Trip Sponsor Form

This form should be completed by private entities offering to provide travel or reimbursement for travel to House Members, officers, or employees under House Rule 25, clause 5. A completed copy of the form (and any attachments) should be provided to each invited House Member, officer, or employee, who will then forward it to the Committee together with a *Traveler Form* **at least 30 days before the start date of the trip**. The trip sponsor should *NOT* submit the form directly to the Committee. The Committee website (ethics.house.gov) provides detailed instructions for filling out the form.

NOTE: Willful or knowing misrepresentations on this form may be subject to criminal prosecution pursuant to 18 U.S.C. § 1001. Failure to comply with the Committee's Travel Regulations may also lead to the denial of permission to sponsor future trips.

1. Sponsor who will be paying for the trip: The Aspen Institute (Energy & Environment Program ("EEP"))

2. I represent that the trip will not be financed, in whole or in part, by a registered federal lobbyist or foreign agent. *Signify that the statement is true by checking box:* ☑
3. *Check only one.* I represent that:
   a. The primary trip sponsor has not accepted from any other source, funds intended directly or indirectly to finance any aspect of the trip: ☐ OR
   b. The trip is arranged without regard to congressional participation and the primary trip sponsor has accepted funds only from entities that will receive a tangible benefit in exchange for those funds: ☑ OR
   c. The primary trip sponsor has accepted funds from other source(s) intended directly or indirectly to finance all or part of this trip and has enclosed disclosure forms from each of those entities. ☐
   If "c" is checked, list the names of the additional sponsors: _____
4. Provide names and titles of **ALL** House Members *and* employees you are inviting. **For each House invitee, provide an explanation of why the individual was invited** (include additional pages if necessary): Congressman Sean Casten
5. Is travel being offered to an accompanying family member of the House invitee(s)? ☐ Yes ☑ No
6. Date of Departure: February 23, 2020 Date of Return: February 24, 2020
7. a. City of departure: Chicago, IL
   b. Destination(s): Aspen, Colorado
   c. City of return: Washington, DC
8. *Check only one.* I represent that:
   a. The sponsor of the trip is an institution of higher education within the meaning of section 101 of the Higher Education Act of 1965: ☐ OR
   b. The sponsor of the trip does not retain or employ a registered federal lobbyist or foreign agent: ☑ OR
   c. The sponsor employs or retains a registered federal lobbyist or foreign agent, but the trip is for attendance at a one-day event *and* lobbyist / foreign agent involvement in planning, organizing, requesting, or arranging the trip was *de minimis* under the Committee's travel regulations. ☐
9. *Check only one of the following*:
   a. I checked 8(a) or (b) above: ☑
   b. I checked 8(c) above but am not offering any lodging: ☐
   c. I checked 8(c) above and am offering lodging and meals for one night: ☐ OR
   d. I checked 8(c) above and am offering lodging and meals for two nights: ☐ If you checked this box, explain why the second night of lodging is warranted: _____

10. Attached is a detailed agenda of the activities House invitees will be participating in during the travel (i.e., an hourly description of planned activities for trip invitees). *Indicate agenda is attached by checking box:* ☑

11. ***Check only one of the following***:
    a. I represent that a registered federal lobbyist or foreign agent will not accompany House Members or employees on any segment of the trip. *Signify that the statement is true by checking box:* ☑ **OR**
    b. *Not Applicable.* Trip sponsor is a U.S. institution of higher education: ☐

12. For ***each*** sponsor required to submit a sponsor form, describe the sponsor's interest in the subject matter of the trip ***and*** its role in organizing and/or conducting the trip:
    Aspen Institute's Energy and Environment Program (EEP) provides non-partisan, non-ideaological forums for energy experts on critical energy, environmental, and climate change issues. EEP has organized and will conduct the forum and has selected and invited the attendees.

13. ***Answer parts a and b. Answer part c if neccessary***:
    a. Mode of travel: Air ☑ Rail ☐ Bus ☐ Car ☐ Other ☐ (specify: _____)
    b. Class of travel: Coach ☑ Business ☐ First ☐ Charter ☐ Other ☐ (specify: _____)
    c. If travel will be first class, or by chartered or private aircraft, explain why such travel is warranted:
    _____

14. I represent that the expenditures related to local area travel during the trip will be unrelated to personal or recreational activities of the invitee(s). *Signify that the statement is true by checking box:* ☑

15. ***Check only one.*** I represent that either:
    a. The trip involves an event that is arranged or organized *without regard* to congressional participation and that meals provided to congressional participants are similar to those provided to or purchased by other event attendees: ☑ **OR**
    b. The trip involves events that are arranged specifically *with regard* to congressional participation: ☐
    If "b" is checked:
    1) Detail the cost *per day* of meals (approximate cost may be provided): _____
    2) Provide the reason for selecting the location of the event or trip: _____

16. Name, nightly cost, and reasons for selecting each hotel or other lodging facility:
    Hotel Name: Aspen Meadows Resort City: Aspen, CO Cost Per Night: $299
    Reason(s) for Selecting: The Aspen Institute owns the resort and it is home to the energy forum
    Hotel Name: _____ City: _____ Cost Per Night: _____
    Reason(s) for Selecting: _____
    Hotel Name: _____ City: _____ Cost Per Night: _____
    Reason(s) for Selecting: _____

17. I represent that all expenses connected to the trip will be for actual costs incurred and not a per diem or lump sum payment. *Signify that the statement is true by checking box:* ☑

U.S. House of Representatives
COMMITTEE ON ETHICS

18. **Total Expenses for each Participant:**

☐ Actual Amounts ☑ Good Faith Estimates	Total **Transportation** Expenses per Participant	Total **Lodging** Expenses per Participant	Total **Meal** Expenses per Participant
For each Member, Officer, or Employee	$750	$299	$175
For each Accompanying Family Member	N/A	N/A	N/A

	Other Expenses (dollar amount per item)	Identify Specific Nature of "Other" Expenses (e.g., taxi, parking, registration fee, etc.)
For each Member, Officer, or Employee	$2,800	Registration Fee (waived - government discount)
For each Accompanying Family Member	N/A	N/A

NOTE: Willful or knowing misrepresentations on this form may be subject to criminal prosecution pursuant to 18 U.S.C. § 1001.

19. ***Check only one:***
    a. I certify that I am an officer of the organization listed below: ☑ **OR**
    b. *Not Applicable.* Trip sponsor is an individual or a U.S. institution of higher education. ☐
20. I certify that I am not a registered federal lobbyist or foreign agent for any sponsor of this trip. ☑
21. I certify by my signature that the information contained in this form is true, complete, and correct to the best of my knowledge.

Signature: Elliot Gerson Date: 1/22/2020

Name: Elliot Gerson

Title: Executive Vice President, Policy & Public Programs

Organization: The Aspen Institute

Address: 2300 N Street, NW Suite 700 Washington, DC 20036

Telephone: 202-736-5859 (Lisa Jones, Deputy General Counsel)

Email: lisa.jones@aspeninstitute.org

If there are any questions regarding this form, please contact the Committee at the following address:

Committee on Ethics
U.S. House of Representatives
1015 Longworth House Office Building, Washington, D.C. 20515
Phone: 202-225-7103 General Fax: 202-225-7392

Theodore E. Deutch, Florida
Chairman
Kenny Marchant, Texas
Ranking Member

Grace Meng, New York
Susan Wild, Pennsylvania
Dean Phillips, Minnesota
Anthony Brown, Maryland

John Ratcliffe, Texas
George Holding, North Carolina
Jackie Walorski, Indiana
Michael Guest, Mississippi

ONE HUNDRED SIXTEENTH CONGRESS

U.S. House of Representatives

COMMITTEE ON ETHICS

Thomas A. Rust
Staff Director and Chief Counsel

David W. Arrojo
Counsel to the Chairman

Christopher A. Donesa
Counsel to the Ranking Member

1015 Longworth House Office Building
Washington, D.C. 20515–6328
Telephone: (202) 225–7103
Facsimile: (202) 225–7392

February 14, 2020

The Honorable Sean Casten
U.S. House of Representatives
429 Cannon House Office Building
Washington, DC 20515

Dear Colleague:

Pursuant to House Rule 25, clause 5(d)(2), the Committee on Ethics hereby approves your proposed trip to Aspen, Colorado, scheduled for February 23 to 25, 2020, sponsored by Aspen Institute, Inc.

You must complete a Member/Officer Post-Travel Disclosure Form and file it, together with a Sponsor Post-Travel Disclosure Form completed by the trip sponsor, with the Clerk of the House within 15 days after your return from travel. As part of that filing, you are also required to attach a copy of this letter and both the Traveler and Primary Trip Sponsor Forms (including attachments) you previously submitted to the Committee in seeking pre-approval for this trip. You must also report all travel expenses totaling more than $390 from a single source on the "Travel" schedule of your annual Financial Disclosure Statement covering this calendar year. Finally, Travel Regulation § 404(d) also requires you to keep a copy of all request forms and supporting information provided to the Committee for three subsequent Congresses from the date of travel.

If you have any further questions, please contact the Committee's Office of Advice and Education at extension 5-7103.

Sincerely,

Theodore E. Deutch
Chairman

Kenny Marchant
Ranking Member

TED/KM:jls

THE ASPEN INSTITUTE
ENERGY & ENVIRONMENT
PROGRAM

Greg Gershuny

Executive Director

Energy & Environment Program

The Aspen Institute

November 21, 2019

The Honorable Sean Casten
U.S. House of Representatives
429 Cannon HOB
Washington, DC 20515

Dear Congressman Casten,

I am pleased to invite you to attend the **Aspen Winter Energy Roundtable, that will take place February 23-26, 2020 in Aspen, Colorado**. The roundtable, co-chaired by Roger Ballentine of Green Strategies, Inc. and Jim Connaughton of Nautilus Data Technologies, will gather a diverse group of ~50 leading innovators, policymakers, and experts from business, government, academia, and non-profits to discuss critical factors at the forefront of the energy transition.

Based on your interests and availability, **we welcome you to speak at a fireside chat on policies that most effectively unlock mid-century deep decarbonization.**

The Roundtable will seek to untangle topics in the areas of energy innovation and economy-wide decarbonization, including systemic decarbonization of the energy system; technology development and deployment; the changing role of investors; and innovative solutions for sectors such as transportation and heavy industry. The Roundtable is enhanced by an informal atmosphere and a not-for-attribution rule that encourages creative thinking, dissenting opinions, and robust dialogue.

If you have any questions, please do not hesitate to contact me. Our legal department would be happy to work with your ethics team regarding this trip. I hope you can join us in Aspen.

Best,

Greg Gershuny

2300 N Street NW

Suite 700

Washington, DC 20037

PH 202.736.3576

www.aspeninstitute.org

THE ASPEN INSTITUTE
ENERGY & ENVIRONMENT
PROGRAM

ASPEN WINTER ENERGY ROUNDTABLE

Unlocking Mid-Century Deep Decarbonization

February 23-26, 2020
Aspen, Colorado

PARTICIPANT LIST

1. Roger Ballentine, *President, Green Strategies* ***(Co-Chair)***
2. Jim Connaughton, *President & CEO, Nautilus Data Technologies* ***(Co-Chair)***
3. Doug Arent, *Deputy Associate Laboratory Director, National Renewable Energy Laboratory*
4. Bryn Baker, *Director, Policy Innovation, Renewable Energy Buyers Alliance*
5. Miranda Ballentine, *CEO, Renewable Energy Buyers Alliance*
6. Lisa Barton, *Executive Vice President, Utilities, AEP*
7. Paul Bauman, *Senior Advisor, Rodel Foundation*
8. Sam Brothwell, *Director of Research, Energy Income Partners LLC*
9. Bill Brown, *CEO, NET Power LLC; 8 Rivers Capital*
10. Sean Casten, *U.S. Congressman, Illinois (D)*
11. Neil Chatterjee, *Chairman, Federal Energy Regulatory Commission*
12. Paul Chodak, *Executive Vice President, Generation, AEP*
13. Steve Chriss, *Director, Energy Services, Walmart Inc.*
14. Steve Clemmer, *Director of Energy Research & Analysis, Union of Concerned Scientists*
15. Jon Creyts, *Managing Director, Rocky Mountain Institute*
16. Matt Crozat, *Senior Director, Strategy & Policy Development, Nuclear Energy Institute*
17. Carlos Curbelo, *Former U.S. Congressman, Florida (R)*
18. Dave Danielson, *Managing Director, Breakthrough Energy Ventures*
19. Ankit Desai, *Senior Advisor to the CEO, Tellurian Inc.*
20. Daniel Esty, *Hillhouse Professor of Environmental Law & Policy, Yale School of F&ES; Yale Law School*
21. Shelley Fidler, *Principal, Governmental Affairs, Energy & Environmental Policy, Van Ness Feldman LLP*
22. Ashley Finan, *Director, National Reactor Innovation Center, Idaho National Labs*
23. Peter Freed, *Head of Energy Strategy, Facebook*
24. Greg Gershuny, *Executive Director, Energy & Environment Program, The Aspen Institute*
25. Diana Glassman, *Director, Engagement, Hermes EOS, Hermes Investment Management*
26. Albert Gore, *Policy & Business Development, Tesla*
27. Susan Gray, *Global Head Sustainable Finance Business & Innovation, S&P Global*
28. David Grossman, *Principal, Green Light Consulting* ***(Rapporteur)***
29. Julia Hamm, *President & CEO, Smart Electric Power Alliance*
30. Bryan Hannegan, *President & CEO, Holy Cross Energy*
31. Bruce Harris, *Vice President, Federal Governent Affairs, Walmart*
32. Heidi Heitkamp, *Former U.S. Senator, North Dakota (D)*
33. James Hempstead, *Managing Director, Moody's Investors Service*
34. Christopher Herbst, *Vice President, Government Programs, Eaton*
35. Patricia Hudson, *Managing Director, Global Head of Investment Communications, State Street Global Advisors*
36. Ramsay Huntley, *Sustainable Finance Strategist, Wells Fargo*
37. Melissa Lott, *Senior Research Scholar, Columbia University SIPA*

38. Amory Lovins, *Co-Founder & Chairman Emeritus, Rocky Mountain Institute*
39. Mark Lundstrom, *Founder & CEO, Radia, Inc.*
40. Rachael Marsh, *Legal Advisor, Federal Energy Regulatory Commission*
41. Surabi Menon, *Vice President, Global Intelligence, ClimateWorks Foundation*
42. Susan Mora-Schrader, *Director, Utility Initiatives, Exelon Utilities*
43. Jim Murchie, *CEO, Energy Income Partners LLC*
44. Drew Nelson, *Clean Energy Program Officer, Cynthia & George Mitchell Foundation*
45. Richard Newell, *President & CEO, Resources for the Future*
46. Rich Powell, *Executive Director, ClearPath Foundation*
47. Frank Prager, *Vice President, Policy & Federal Affairs, Xcel Energy Inc.*
48. Kathy Robertson, *Sr. Manager, Environmental Policy & Wholesale Market Development, Exelon Corporation*
49. Gregg Rotenberg, *CEO, SmartWires Inc.*
50. Doug Sims, *Director, Green Finance Center, NRDC*
51. Devon Swezey, *Global Energy Markets Lead, Google*
52. Martha Symko-Davies, *Senior Laboratory Program Manager, National Renewable Energy Laboratory*
53. Michael Terrell, *Director, Operations & Head of Energy Market Strategy, Google*
54. Maud Texier, *24x7 Carbon Free Energy Lead, Google*
55. Doug Vine, *Senior Energy Fellow, C2ES*
56. Trey Ward, *CEO, Direct Connect Development Co, LLC*
57. Scott Warrender, *CIB E&P Group Head, Wells Fargo Corporate & Investment Banking*
58. Jeff Weiss, *Executive Chairman, Distributed Sun*

Observers

Maggie Carroll, *Assistant Director for Energy, Energy & Environment Program, The Aspen Institute*
Kate Harrison, *Senior Program Coordinator, Energy & Environment Program, The Aspen Institute*
Mykelle Richburg, *Program Coordinator, Energy & Environment Program, The Aspen Institute*

THE ASPEN INSTITUTE
ENERGY & ENVIRONMENT
PROGRAM

ASPEN WINTER ENERGY ROUNDTABLE

Unlocking Mid-Century Deep Decarbonization

February 23-24, 2020
Aspen, Colorado

Co-Chairs: Roger Ballentine and Jim Connaughton

Sunday, February 23

1:05 PM	Depart Chicago, IL (ORD) United #5721 to Aspen, Colorado (ASE)
3:26 PM	Arrive ASE
5:30 PM	Congressman Casten meet with Aspen EEP and forum co-chairs to review fireside chat

6:30 – 7:30 PM

Working Reception & Fireside Chat

Albright Pavilion, Aspen Meadows Resort

A fireside chat with current and former policymakers on policies that most effectively unlock mid-century deep decarbonization.

A. Sean Casten, U.S. Representative (D-IL)
B. Heidi Heitkamp, Former U.S. Senator (D-ND)
C. Carlos Curbelo, Former U.S. Representative (R-FL)

7:30 – 9:30 PM

Working Dinner & Q&A

Davis Commons, Aspen Meadows Resort

Continuing the fireside chat with Q&A from the panel and energy expert participants on policies that most effectively unlock mid-century deep decarbonization.

A. Sean Casten, U.S. Representative (D-IL)
B. Heidi Heitkamp, Former U.S. Senator (D-ND)
C. Carlos Curbelo, Former U.S. Representative (R-FL)

Monday, February 24

All sessions will take place in the Lauder Room of the Koch Building.

7:00 – 8:45 AM Breakfast – Davis Commons, Aspen Meadows Resort

9:00 – 10:30 AM

Session One: Data Room

Data-driven conversation to open the dialogue and set the scene for the remainder of the convening. Includes updates on policy and emissions data, with a specific focus on technology updates (storage, H2, industrial decarb tech, vehicles, transmission, advanced nuclear, CCUS/DAC, etc.).

10:30 – 10:45 AM Break

10:45 – 12:30 PM	**Session Two: Some Ask Why, Others Ask Why Not? – Reimagining the Underpinnings of the Electricity Sector** The task of decarbonizing the electricity sector faces many political, social, economic, and technology challenges. But the fundamental legal and regulatory structure of the electricity sector is still based on constructs from the 1930's. While there have been changes to the Federal Power Act and important legislative additions such as the Public Utility Regulatory Policies Act (PURPA), [restructuring], and [2005 Act changes], the Federal Power Act remains the primary governing law of the land. Do we need to reconsider the FPA? What changes can further decarbonization while meeting the legacy objectives of electricity sector policy [transmission?]? How could we better harness market forces to drive decarbonization?
12:30 – 1:30 PM	Optional Lunch – Davis Commons, Aspen Meadows Resort
1:46 PM	Depart ASE on United #5820 to Houston, TX (IAH)
5:25 PM	Arrive IAH
6:05 PM	Depart IAH on United #285 to Washington, DC (DCA)
9:56 PM	Arrive DCA