



U.S. House of Representatives

COMMITTEE ON ETHICS

Member / Officer Post-Travel Disclosure Form

Original Amendment

This form is for disclosing the receipt of travel expenses from a private source for travel taken in connection with a Member or officer's official duties. This form does not eliminate the need to report privately-funded travel on the Member or officer's annual *Financial Disclosure Statement*. In accordance with House Rule 25, clause 5, **you must complete this form and file it with the Clerk of the House, B-81 Cannon House Office Building, within 15 days after travel is completed.** Please **do not** file this form with the Committee on Ethics.

NOTE: Willful or knowing misrepresentations on this form may be subject to criminal prosecution pursuant to 18 U.S.C. § 1001.

- Name of Traveler: Chairman John Yarmuth
- a. Name of Accompanying Relative: Cathy Yarmuth OR None
 b. Relationship to Traveler: Spouse Child Other (specify): _____
- a. Dates: Departure: October 12, 2019 Return: October 15, 2019
 b. Dates at Personal Expense, if any: _____ OR None
- Departure City: Louisville, KY Destination: Aspen, CO Return City: Washington, DC
- Sponsor(s), Who Paid for the Trip: The Aspen Institute, Inc. (Congressional Program)
- Describe Meetings and Events Attended (attach additional pages if necessary): See attached.

- Attached to this form are **each** of the following, signify that each item is attached by checking the corresponding box:
 - a completed *Sponsor Post-Travel Disclosure Form*;
 - the *Primary Trip Sponsor Form* completed by the trip sponsor **prior** to the trip, **including all attachments and** the *Grantmaking or Non-Grantmaking Sponsor Forms*;
 - page 2 of the completed *Traveler Form* submitted by the Member or officer; **and**
 - the letter from the Committee on Ethics approving my participation on this trip.
- a. I represent that I participated in each of the activities reflected in the attached sponsor's agenda.
Signify statement is true by checking the box:
 b. If not, explain: _____

OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES
LEGISLATIVE RESOURCE CENTER
2019 OCT 30 AM 11:29

I certify that the information contained in this form is true, complete, and correct to the best of my knowledge. I have determined that all of the expenses on the attached *Sponsor Post-Travel Disclosure Form* were necessary and that the travel was in connection with my duties as a Member or officer of the U.S. House of Representatives and would not create the appearance that I am using public office for private gain.

Member / Officer Signature:

Date: 10/29/19



U.S. House of Representatives
COMMITTEE ON ETHICS

Sponsor Post-Travel Disclosure Form

Original Amendment

This form must be completed by an officer of any organization that served as the primary trip sponsor in providing travel expenses or reimbursement for travel expenses to House Members, officers, or employees under House Rule 25, clause 5. **A completed copy of the form must be provided to each House Member, officer, or employee who participated on the trip within ten days of their return.** You must answer all questions, and check all boxes, on this form for your submission to comply with House rules and the Committee's travel regulations. Failure to comply with this requirement may result in the denial of future requests to sponsor trips and/or subject the current traveler to disciplinary action or a requirement to repay the trip expenses.

NOTE: Willful or knowing misrepresentations on this form may be subject to criminal prosecution pursuant to 18 U.S.C. § 1001.

1. Sponsor(s) who paid for the trip: The Aspen Institute, Inc. (Congressional Program)

2. Travel Destination(s): Aspen, Colorado

3. Date of Departure: October 12, 2019 Date of Return: October 15, 2019

4. Name(s) of Traveler(s): Rep. John Yarmuth and Cathy Yarmuth

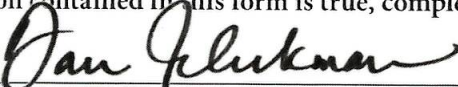
Note: You may list more than one traveler on a form only if *all* information is *identical* for each person listed.

5. Actual amount of expenses paid on behalf of, or reimbursed to, each individual named in Question 4:

	Total Transportation Expenses	Total Lodging Expenses	Total Meal Expenses	Total Other Expenses (dollar amount per item and description)
Traveler	\$1,575	\$525	\$210	\$340 (Aspen airport transfers, meeting space, AV, breakfast & lunch)
Accompanying Family Member	\$1,000	\$0	\$210	\$340 (Aspen airport transfers, meeting space, AV, breakfast & lunch)

6. All expenses connected to the trip were for actual costs incurred and not a *per diem* or lump sum payment. Signify statement is true by checking box:

I certify that the information contained in this form is true, complete, and correct to the best of my knowledge.

Signature:  Date: October 25, 2019

Name: Dan Glickman Title: Vice President

Organization: The Aspen Institute, Inc.

I am an officer of the above-named organization. Signify statement is true by checking box:

Address: 2300 N Street, NW, Suite 700, Washington, DC 20037

Telephone: 202-736-5859 (Lisa Jones) Email: lisa.jones@aspeninst.org

Committee staff may contact the above-named individual if additional information is required.

If you have questions regarding your completion of this form, please contact the Committee on Ethics at 202-225-7103.



U.S. House of Representatives

COMMITTEE ON ETHICS

TRAVELER FORM

1. Name of Traveler: Chairman John Yarmuth
2. Sponsor(s) who will be paying for the trip: The Aspen Institute, Inc. (Congressional Program)
3. Travel Destination(s): Aspen, Colorado
4. a. Date of Departure: October 12, 2019 Date of Return: October 15, 2019
- b. Will you be extending the trip at your personal expense? Yes No
- If yes, list dates at personal expense: _____
5. a. Will you be accompanied by a family member at the sponsor's expense? Yes No If yes:
- (1) Name of Accompanying Family Member: Cathy Yarmuth
- (2) Relationship to Traveler: Spouse Child Other (specify): _____
- (3) Accompanying Family Member is at least 18 years of age: Yes No
6. a. Did the trip sponsor answer "Yes" to Question 8(c) on the *Primary Trip Sponsor Form* (i.e., travel is sponsored by an entity that employs a registered federal lobbyist or a foreign agent)? Yes No
- b. If yes, and you are requesting lodging for two nights, explain why the second night is warranted:
- _____

7. *Primary Trip Sponsor Form* is attached, including agenda, invitee list, and any other attachments and contributing sponsor forms: Yes No

NOTE: The agenda should show the traveler's individual schedule, including departure and arrival times and identify the specific events in which the traveler will be participating.

8. Explain why participation in the trip is connected to the traveler's individual official or representational duties. Staff should include their job title and how the activities on the itinerary relate to their duties.

As Chairman of the House Budget Committee, this conference relates directly to my Committee's work on examining the economic and budgetary impacts of technological advancements in the field of energy, as well as its impact on climate change and job creation.

9. Is the traveler aware of any registered federal lobbyists or foreign agents involved planning, organizing, requesting, or arranging the trip? Yes No

10. For staff travelers, to be completed by your employing Member:

ADVANCED AUTHORIZATION OF EMPLOYEE TRAVEL

I hereby authorize the individual named above, an employee of the U.S. House of Representatives who works under my direct supervision, to accept expenses for the trip described in this request. I have determined that the above-described travel is in connection with my employee's official duties and that acceptance of these expenses will not create the appearance that the employee is using public office for private gain.

Signature of Employing Member

Date 9/9/19



U.S. House of Representatives

COMMITTEE ON ETHICS

TRAVELER FORM

This form should be completed by House Members, officers, or employees seeking Committee approval of privately-sponsored travel or reimbursement for travel under House Rule 25, clause 5. The completed form should be submitted directly to the Committee by each invited House Member, officer, or employee, together with the completed and signed trip sponsor form(s) and any attachments. A copy of this form, minus this initial page, will be made available for public inspection. **This form, and any attachments, may be faxed to the Committee at 202-225-7392, sent or delivered to the Committee at 1015 Longworth, or e-mailed to travel.requests@mail.house.gov.**

Your completed request must be submitted to the Committee no less than 30 days before your proposed departure date. Absent exceptional circumstances, permission will not be granted for requests received less than 30 days before the trip commences. **You must receive explicit approval from the Committee before you depart on this trip.**

Chairman John Yarmuth

Name of Traveler: _____

NOTE: Willful or knowing misrepresentations on this form may be subject to criminal prosecution pursuant to 18 U.S.C. § 1001.

I certify that the information contained on both pages of this form is true, complete, and correct to the best of my knowledge.

Signature: 

Name of Signatory (if other than traveler): _____

For Staff (name of employing Member or Committee): _____

Office Address: 402 Cannon House office Building

Telephone Number: 202-225-5401

Email Address of Contact Person: claire.elliott@mail.house.gov

Check this box if the sponsoring entity is a media outlet, the purpose of the trip is to make a media appearance sponsored by that entity, and these forms are being submitted to the Committee less than 30 days before the trip departure date.

NOTE: You must complete all of the contact information fields above, as Committee staff may need to contact you if additional information is required.

KEEP A COPY OF THIS FORM. Page 2 (but not this page) must be submitted to the Clerk as part of the post-travel disclosure required by House Rule 25. Travel Regulation § 404(d) also requires you to keep a copy of all request forms and supporting paperwork for three subsequent Congresses from the date of travel.

If there are any questions regarding this form, please contact the Committee on Ethics at 202-225-7103 or via travel e-mail: travel.requests@mail.house.gov.



U.S. House of Representatives COMMITTEE ON ETHICS

Primary Trip Sponsor Form

This form should be completed by private entities offering to provide travel or reimbursement for travel to House Members, officers, or employees under House Rule 25, clause 5. A completed copy of the form (and any attachments) should be provided to each invited House Member, officer, or employee, who will then forward it to the Committee together with a *Traveler Form* **at least 30 days before the start date of the trip**. The trip sponsor should *NOT* submit the form directly to the Committee. The Committee website (ethics.house.gov) provides detailed instructions for filling out the form.

NOTE: Willful or knowing misrepresentations on this form may be subject to criminal prosecution pursuant to 18 U.S.C. § 1001. Failure to comply with the Committee's Travel Regulations may also lead to the denial of permission to sponsor future trips.

1. Sponsor who will be paying for the trip: The Aspen Institute, Inc. (Congressional Program)

2. I represent that the trip will not be financed, in whole or in part, by a registered federal lobbyist or foreign agent. Signify that the statement is true by checking box:

3. **Check only one.** I represent that:
 - a. The primary trip sponsor has not accepted from any other source, funds intended directly or indirectly to finance any aspect of the trip: **OR**
 - b. The trip is arranged without regard to congressional participation and the primary trip sponsor has accepted funds only from entities that will receive a tangible benefit in exchange for those funds: **OR**
 - c. The primary trip sponsor has accepted funds from other source(s) intended directly or indirectly to finance all or part of this trip and has enclosed disclosure forms from each of those entities.
 If "c" is checked, list the names of the additional sponsors: Henry Luce Foundation, Rockefeller Brothers Fund foundation; Democracy Fund foundation

4. Provide names and titles of **ALL** House Members *and* employees you are inviting. **For each House invitee, provide an explanation of why the individual was invited** (include additional pages if necessary): See attached list

5. Is travel being offered to an accompanying family member of the House invitee(s)? Yes No

6. Date of Departure: October 12, 2019 Date of Return: October 15, 2019

7.
 - a. City of departure: Washington, DC or the district
 - b. Destination(s): Aspen, Colorado
 - c. City of return: Washington, DC or the district

8. **Check only one.** I represent that:
 - a. The sponsor of the trip is an institution of higher education within the meaning of section 101 of the Higher Education Act of 1965: **OR**
 - b. The sponsor of the trip does not retain or employ a registered federal lobbyist or foreign agent: **OR**
 - c. The sponsor employs or retains a registered federal lobbyist or foreign agent, but the trip is for attendance at a one-day event *and* lobbyist / foreign agent involvement in planning, organizing, requesting, or arranging the trip was *de minimis* under the Committee's travel regulations.

9. **Check only one of the following:**
 - a. I checked 8(a) or (b) above:
 - b. I checked 8(c) above but am not offering any lodging:
 - c. I checked 8(c) above and am offering lodging and meals for one night: **OR**
 - d. I checked 8(c) above and am offering lodging and meals for two nights: If you checked this box, explain why the second night of lodging is warranted: _____



10. Attached is a detailed agenda of the activities House invitees will be participating in during the travel (i.e., an hourly description of planned activities for trip invitees). *Indicate agenda is attached by checking box:*
11. **Check only one of the following:**
- a. I represent that a registered federal lobbyist or foreign agent will not accompany House Members or employees on any segment of the trip. *Signify that the statement is true by checking box:* **OR**
 - b. *Not Applicable.* Trip sponsor is a U.S. institution of higher education:
12. For *each* sponsor required to submit a sponsor form, describe the sponsor's interest in the subject matter of the trip *and* its role in organizing and/or conducting the trip:
- Aspen Institute's Congressional Program (AICP) provides non-partisan educational forums for members of Congress on public policy issues. AICP has organized and will conduct the conference and has selected and invited the attendees. The foundations listed in question 3C provide grant funding to AICP generally, they do not play a role in organizing or conducting the conference.
13. **Answer parts a and b. Answer part c if necessary:**
- a. Mode of travel: Air Rail Bus Car Other (specify: _____)
 - b. Class of travel: Coach Business First Charter Other (specify: _____)
 - c. If travel will be first class, or by chartered or private aircraft, explain why such travel is warranted:

14. I represent that the expenditures related to local area travel during the trip will be unrelated to personal or recreational activities of the invitee(s). *Signify that the statement is true by checking box:*
15. **Check only one.** I represent that either:
- a. The trip involves an event that is arranged or organized *without regard* to congressional participation and that meals provided to congressional participants are similar to those provided to or purchased by other event attendees: **OR**
 - b. The trip involves events that are arranged specifically *with regard* to congressional participation:
If "b" is checked:
- 1) Detail the cost *per day* of meals (approximate cost may be provided): \$70
 - 2) Provide the reason for selecting the location of the event or trip: The Aspen Institute owns a conference center in Aspen, Colorado that provides secure meeting facilities and required conference services.
16. Name, nightly cost, and reasons for selecting each hotel or other lodging facility:
- Hotel Name: Aspen Meadows City: Aspen, Colorado Cost Per Night: \$175
Reason(s) for Selecting: Private meeting space; conference technology; security; all key factors
- Hotel Name: _____ City: _____ Cost Per Night: _____
Reason(s) for Selecting: _____
- Hotel Name: _____ City: _____ Cost Per Night: _____
Reason(s) for Selecting: _____
17. I represent that all expenses connected to the trip will be for actual costs incurred and not a per diem or lump sum payment. *Signify that the statement is true by checking box:*



18. Total Expenses for each Participant:

<input type="checkbox"/> Actual Amounts	Total Transportation Expenses per Participant	Total Lodging Expenses per Participant	Total Meal Expenses per Participant
<input checked="" type="checkbox"/> Good Faith Estimates			
For each Member, Officer, or Employee	\$1,575	\$525	\$210
For each Accompanying Family Member	\$1,000	\$0	\$210

	Other Expenses (dollar amount per item)	Identify Specific Nature of "Other" Expenses (e.g., taxi, parking, registration fee, etc.)
For each Member, Officer, or Employee	\$340	Conf. services of Aspen airport transfers, meeting space, audio/visual services, breakfast and lunch
For each Accompanying Family Member	\$340	Conf. services of Aspen airport transfers, meeting space, audio/visual services, breakfast and lunch

NOTE: Willful or knowing misrepresentations on this form may be subject to criminal prosecution pursuant to 18 U.S.C. § 1001.

19. Check only one:

- a. I certify that I am an officer of the organization listed below: OR
- b. Not Applicable. Trip sponsor is an individual or a U.S. institution of higher education.

20. I certify that I am not a registered federal lobbyist or foreign agent for any sponsor of this trip.

21. I certify by my signature that the information contained in this form is true, complete, and correct to the best of my knowledge.

Signature:  Date: (Updated post conf; Oct. 25)

Name: Dan Glickman

Title: Vice President, Aspen Institute; Executive Director, Congressional Program

Organization: The Aspen Institute, Inc

Address: 2300 N St NW Ste 700 Washington, DC 20037

Telephone: (202) 736-5859 (Lisa Jones)

Email: lisa.jones@aspeninstitute.org

If there are any questions regarding this form, please contact the Committee at the following address:

Committee on Ethics

U.S. House of Representatives

1015 Longworth House Office Building, Washington, D.C. 20515

Phone: 202-225-7103 General Fax: 202-225-7392



U.S. House of Representatives

COMMITTEE ON ETHICS

Grantmaking Trip Sponsor Form

This form should be completed by a public charity or private foundation (both as defined under section 501(c)(3) of the Internal Revenue Code) that provides a grant of funds to another entity to underwrite, in whole or in part, a trip or an event, meal, or activity that will occur during a trip, or a necessary expense that will be incurred during a trip, with express or implicit knowledge or understanding that one or more House Members or employees may participate in or attend that trip or event, or otherwise may be beneficiaries of the gift or donation. Provide a copy of your completed form to the primary sponsor of the trip.

NOTE: Willful or knowing misrepresentations on this form may be subject to criminal prosecution pursuant to 18 U.S.C. § 1001. Failure to comply with the Committee's Travel Regulations may also lead to the denial of permission to sponsor future trips.

1. I certify that (name of your organization): The Henry Luce Foundation
has been designated a § 501(c)(3) nonprofit charitable organization by the Internal Revenue Service. Yes No
2. Name of Primary Trip Sponsor: The Aspen Institute, Inc. (Congressional Program)

3. I certify that my organization (check and complete a or b):
- a. Has provided a grant, gift, or donation to the above-named Primary Trip Sponsor and conducts an audit or review of its grant, gift, or donation to ensure that the funds are spent in accordance with the terms of its grant, gift, or donation. OR
- b. Has had a direct role in the organizing, planning, or conducting of a trip to
Destination: _____ on Date: _____
that is being organized or arranged by the above-named Primary Trip Sponsor.

4. Check only one:
- a. My organization does not employ or retain a registered federal lobbyist or foreign agent OR
- b. My organization employs a registered federal lobbyist or foreign agent, but their involvement in planning, organizing, or arranging the trip was *de minimis* under the travel regulations.
5. I certify that I am not a registered federal lobbyist or foreign agent for any sponsor of this trip.
6. I certify by my signature that the information contained in this form is true, complete, and correct to the best of my knowledge.

Signature: Toby Alice Volkman Date: 7/24/2019
Name: Toby Alice Volkman Title: Secretary & Director of Policy Initiatives

Organization: The Henry Luce Foundation

Address: 51 Madison Avenue, New York, NY 10010

Telephone: 212-489-7700 Email: tvolkman@hluce.org

If there are any questions regarding this form, please contact the Committee at the following address:

Committee on Ethics
U.S. House of Representatives
1015 Longworth House Office Building, Washington, D.C. 20515
Phone: 202-225-7103 General Fax: 202-225-7392



U.S. House of Representatives

COMMITTEE ON ETHICS

Grantmaking Trip Sponsor Form

This form should be completed by a public charity or private foundation (both as defined under section 501(c)(3) of the Internal Revenue Code) that provides a grant of funds to another entity to underwrite, in whole or in part, a trip or an event, meal, or activity that will occur during a trip, or a necessary expense that will be incurred during a trip, with express or implicit knowledge or understanding that one or more House Members or employees may participate in or attend that trip or event, or otherwise may be beneficiaries of the gift or donation. Provide a copy of your completed form to the primary sponsor of the trip.

NOTE: Willful or knowing misrepresentations on this form may be subject to criminal prosecution pursuant to 18 U.S.C. § 1001. Failure to comply with the Committee's Travel Regulations may also lead to the denial of permission to sponsor future trips.

1. I certify that (name of your organization): Rockefeller Brothers Fund
has been designated a § 501(c)(3) nonprofit charitable organization by the Internal Revenue Service. Yes No

2. Name of Primary Trip Sponsor: The Aspen Institute, Inc. (Congressional Program)


3. I certify that my organization (check and complete a or b):
a. Has provided a grant, gift, or donation to the above-named Primary Trip Sponsor and conducts an audit or review of its grant, gift, or donation to ensure that the funds are spent in accordance with the terms of its grant, gift, or donation. **OR**

b. Has had a direct role in the organizing, planning, or conducting of a trip to
Destination: _____ on Date: _____
that is being organized or arranged by the above-named Primary Trip Sponsor.

4. **Check only one:**
a. My organization does not employ or retain a registered federal lobbyist or foreign agent **OR**
b. My organization employs a registered federal lobbyist or foreign agent, but their involvement in planning, organizing, or arranging the trip was *de minimis* under the travel regulations.

5. I certify that I am not a registered federal lobbyist or foreign agent for any sponsor of this trip.

6. I certify by my signature that the information contained in this form is true, complete, and correct to the best of my knowledge.

Signature:  Date: 7.23.19
Name: Stephen Heintz Title: President

Organization: Rockefeller Brothers Fund

Address: 475 Riverside Drive, New York, NY 10115

Telephone: 212-812-4214 Email: sheintz@rbf.org

If there are any questions regarding this form, please contact the Committee at the following address:

Committee on Ethics
U.S. House of Representatives
1015 Longworth House Office Building, Washington, D.C. 20515
Phone: 202-225-7103 General Fax: 202-225-7392



U.S. House of Representatives

COMMITTEE ON ETHICS

Grantmaking Trip Sponsor Form

This form should be completed by a public charity or private foundation (both as defined under section 501(c)(3) of the Internal Revenue Code) that provides a grant of funds to another entity to underwrite, in whole or in part, a trip or an event, meal, or activity that will occur during a trip, or a necessary expense that will be incurred during a trip, with express or implicit knowledge or understanding that one or more House Members or employees may participate in or attend that trip or event, or otherwise may be beneficiaries of the gift or donation. **Provide a copy of your completed form to the primary sponsor of the trip.**

NOTE: Willful or knowing misrepresentations on this form may be subject to criminal prosecution pursuant to 18 U.S.C. § 1001. Failure to comply with the Committee's Travel Regulations may also lead to the denial of permission to sponsor future trips.

1. I certify that (name of your organization): Democracy Fund
has been designated a § 501(c)(3) nonprofit charitable organization by the Internal Revenue Service. Yes No

2. Name of Primary Trip Sponsor: The Aspen Institute, Inc. (Congressional Program)

3. I certify that my organization (check and complete a or b):
a. Has provided a grant, gift, or donation to the above-named Primary Trip Sponsor and conducts an audit or review of its grant, gift, or donation to ensure that the funds are spent in accordance with the terms of its grant, gift, or donation. OR
b. Has had a direct role in the organizing, planning, or conducting of a trip to
Destination: _____ on Date: _____
that is being organized or arranged by the above-named Primary Trip Sponsor.

4. Check only one:
a. My organization does not employ or retain a registered federal lobbyist or foreign agent OR
b. My organization employs a registered federal lobbyist or foreign agent, but their involvement in planning, organizing, or arranging the trip was *de minimis* under the travel regulations.

5. I certify that I am not a registered federal lobbyist or foreign agent for any sponsor of this trip.

6. I certify by my signature that the information contained in this form is true, complete, and correct to the best of my knowledge.

Signature: _____ Date: July 19, 2019

Name: Joe Goldman Title: President

Organization: Democracy Fund

Address: 1200 17th Street, NW Suite #300 Washington, DC 20036

Telephone: 202-420-7900 Email: jgoldman@democracyfund.org

If there are any questions regarding this form, please contact the Committee at the following address:

Committee on Ethics
U.S. House of Representatives
1015 Longworth House Office Building, Washington, D.C. 20515
Phone: 202-225-7103 General Fax: 202-225-7392

Theodore E. Deutch, Florida
Chairman
Kenny Marchant, Texas
Ranking Member

Grace Meng, New York
Susan Wild, Pennsylvania
Dean Phillips, Minnesota
Anthony Brown, Maryland

John Ratcliffe, Texas
George Holding, North Carolina
Jackie Walorski, Indiana
Michael Guest, Mississippi



ONE HUNDRED SIXTEENTH CONGRESS

U.S. House of Representatives
COMMITTEE ON ETHICS

Thomas A. Rust
Staff Director and Chief Counsel

David W. Arrojo
Counsel to the Chairman

Christopher A. Donesa
Counsel to the Ranking Member

1015 Longworth House Office Building
Washington, D.C. 20515-6328
Telephone: (202) 225-7103
Facsimile: (202) 225-7392

October 3, 2019

The Honorable John Yarmuth
U.S. House of Representatives
402 Cannon House Office Building
Washington, DC 20515

Dear Colleague:

Pursuant to House Rule 25, clause 5(d)(2), the Committee on Ethics hereby approves your and your spouse's proposed trip to Aspen, Colorado, scheduled for October 12 to 15, 2019, sponsored by Aspen Institute, Inc., with financial support from Henry Luce Foundation, and Rockefeller Brothers Fund, and Democracy Fund.

You must complete a Member/Officer Post-Travel Disclosure Form and file it, together with a Sponsor Post-Travel Disclosure Form completed by the trip sponsor, with the Clerk of the House within 15 days after your return from travel. As part of that filing, you are also required to attach a copy of this letter and both the Traveler and Primary Trip Sponsor Forms (including attachments) you previously submitted to the Committee in seeking pre-approval for this trip. You must also report all travel expenses totaling more than \$390 from a single source on the "Travel" schedule of your annual Financial Disclosure Statement covering this calendar year. Finally, Travel Regulation § 404(d) also requires you to keep a copy of all request forms and supporting information provided to the Committee for three subsequent Congresses from the date of travel.

If you have any further questions, please contact the Committee's Office of Advice and Education at extension 5-7103.

Sincerely,

Theodore E. Deutch
Chairman

Kenny Marchant
Ranking Member

TED/KM:tn

Answer to Question 4 – House Ethics Primary Trip Sponsor Form *(Updated Sept. 16)*

Aspen Institute – Aspen, Colorado Conference -October 12-15, 2019

4. Provide names and titles of all House Members and employees you are inviting. For each House invitee, provide an explanation of why the individual was invited.

Below are the members invited to participate in the conference. Members invited either have direct committee jurisdiction and/or a focus and interest on the conference topic.

1. Rep. Jodey Arrington, Texas, Committee on Ways and Means
2. Rep. Julia Brownley, California, Select Committee on the Climate Crisis
3. Rep. Salud Carbajal, California, Committee on Transportation and Infrastructure
4. Rep. Kathy Castor, Florida, Select Committee on the Climate Crisis
5. Rep. Judy Chu, California, Committee on Ways and Means
6. Rep. Jason Crow, Colorado, Committee on Armed Services
7. Rep. Joe Cunningham, South Carolina, Committee on Natural Resources
8. Rep. John Curtis, Utah, Committee on Natural Resources
9. Rep. Diana DeGette, CO, Committee on Energy and Commerce
10. Rep. Garrett Graves, Louisiana, Committee on Natural Resources
11. Rep. Morgan Griffith, Virginia, Committee on Energy and Commerce
12. Rep. Jim Himes, Connecticut, Committee on Financial Services
13. Rep. Dusty Johnson, South Dakota, Committee on Agriculture
14. Rep. Robin Kelly, Illinois, Committee on Energy and Commerce
15. Rep. Raja Krishnamoorthi, Illinois, Committee on Oversight and Reform
16. Rep. Ann Kuster, New Hampshire, Committee on Energy and Commerce
17. Rep. Billy Long, Missouri, Committee on Energy and Commerce
18. Rep. Conor Lamb, Pennsylvania, Committee on Science, Space and Technology
19. Rep. Stephen Lynch, Massachusetts, Committee on Transportation and Infrastructure
20. Rep. Donald McEachin, Virginia, Committee on Energy and Commerce
21. Rep. Cathy McMorris Rodgers, Washington, Committee on Energy and Commerce
22. Rep. Joe Neguse, Colorado, Committee on Natural Resources
23. Rep. Chellie Pingree, Maine, Committee on Appropriations
24. Rep. Lisa Blunt Rochester, Delaware, Committee on Energy and Commerce
25. Rep. Harley Rouda, California, Committee on Transportation and Infrastructure
26. Rep. Jackie Speier, California, Committee on Oversight and Reform
27. Rep. Greg Stanton, Arizona, Committee on Transportation and Infrastructure
28. Rep. Greg Steube, Florida, Committee on Oversight and Reform
29. Rep. Scott Tipton, Colorado, Committee on Financial Services
30. Rep. Dina Titus, Nevada, Committee on Transportation and Infrastructure
31. Rep. David Trone, Maryland, Committee on Education and Labor
32. Rep. Fred Upton, Michigan, Committee on Energy and Commerce
33. Rep. John Yarmuth, Kentucky, Committee on Budget

INNOVATING A NEW ENERGY ECONOMY: BENEFITS FOR JOBS, CLIMATE, AND AMERICAN PROSPERITY

The Aspen Institute Congressional Program
October 12-15, 2019
Aspen, Colorado

SATURDAY, OCTOBER 12

Participants arrive mid-morning to early afternoon

Rep. John Yarmuth and Cathy Yarmuth depart Louisville, Kentucky, at 7:35 am on United Airlines 4766 and arrive in Aspen, Colorado, at 10:26 am on United Airlines 5343

3:30 PM – 4:30 PM

Policy Scenario Discussion

COMPUTER MODELING OF POLICY SCENARIOS

Both nongovernmental organizations *Climate Interactive* and *Energy Innovation* offer interactive, computer-based ways to test the impact of various policies by modelling assumptions over time. Founders of both models will demonstrate their capability to provide insights into the impact of various strategies that may be employed.

Hal Harvey, CEO, Energy Innovation

Andrew Jones, Co-Director, Climate Interactive

6:00 PM – 7:00 PM

Pre-dinner Remarks

MEETING U.S. ENERGY GOALS OF AFFORDABILITY, RELIABILITY & THE ENVIRONMENT

Minnesota-based Xcel Energy, which operates in eight states and is Colorado's largest power supplier, has set out an ambitious corporate plan to produce 100% carbon-free electricity by 2050. Their plans include the country's largest multi-state wind plan with 12 new, low-cost wind farms in seven states; retiring two coal plants in Colorado and adding significant wind and solar capacity as well as large-scale battery storage; retiring 23 coal units over a span of 22 years, which currently represents 50% of its coal-fueled capacity, and a role for nuclear energy as well. Xcel concedes that reaching its goal may require technologies that are not yet commercially available.

Ben Fowke, Chairman of the Board, XCEL Energy

7:00 PM – 9:00 PM

Working Dinner

Seating is arranged to expose participants to a diverse range of views and provide the opportunity for a meaningful exchange of ideas. Scholars and lawmakers are rotated daily. Discussion will focus on the opportunities and challenges in the transition to a clean energy economy.

SUNDAY, OCTOBER 13

7:30 AM – 8:45 AM

Breakfast is available

9:00 AM – 10:45 AM

Roundtable Discussion

THE TRANSITION TO THE ELECTRIC CENTURY IS UNDERWAY

Even though U.S. oil production has doubled in the last 20 years, renewables continue to provide a growing share of America's energy supply. The pace of technological change, the economies of scale driving down the costs of renewables, and pressures to act in regard to climate change all contribute to an energy economy in transition from a reliance on fossil fuels to a low-carbon future.

Hal Harvey, CEO, Energy Innovation

10:45 AM – 12:30 PM

Roundtable Discussion

FOSTERING TECHNOLOGICAL INNOVATIONS TO MEET AMERICA'S ENERGY CHALLENGES: PROSPECTS FOR OIL, COAL, GAS, NUCLEAR, SOLAR, WIND & ENERGY EFFICIENCY

Our energy options depend on our technology: A diverse menu of technologies provides a rich menu of choices of energy for transportation, electricity, home heating, and industry. Technological development depends on a complex mixture of market demand, public policy, government and private research & development (R&D), and innovation ecosystems.

- How have today's energy technologies been impacted by public policy—including, e.g., directional drilling, fracking, nuclear power, solar, and wind?
- What are the best practices for federal energy R&D? Can federal policy incentivize privately funded research?
- Will technology provide a gateway for the fossil fuel industry's future through carbon sequestration?
- Is greater use of natural gas a long term trend to serve U.S. energy needs? If so, do policies promote this?
- Is there a need for government regulation to promote better energy efficiency, or are market factors sufficient?
- Is there a need for a strong federal building code to promote energy efficient buildings?
- Why is nuclear power on the decline in the U.S.?

*Arun Majumdar, Director,
Precourt Institute for Energy, Stanford University*

12:30 PM – 1:15 PM
Working Lunch

Discussion continues between members of Congress and scholars regarding grid modernization and technological innovations and their implications for energy security and reliability.

1:15 PM – 3:00 PM
Roundtable Discussion

MEETING THE GROWING DEMAND FOR ELECTRICITY WITH SOUND, PRACTICAL, AFFORDABLE POLICIES

Energy tax preferences tend to favor the upper class who can afford initial capital outlays. Energy costs typically are a higher burden for lower income families who often have older homes and appliances, inefficient cars, and less access to renewable energy sources. Government and private industry alike play a vital role in the design of our future energy system, including regulating utility rates and the cost of electricity as technologies change and demand rises.

- To what extent are energy efficient technologies affordable and accessible to the public?
- Are financial incentives or policy mandates more effective for promoting innovation and low-carbon investments?
- To what degree are tax credits and financing mechanisms motivating factors for “clean” energy projects?
- Is variable surge pricing throughout the day, tied to demand-load pressures, an effective incentive for consumers to reduce their electrical consumption?
- How do technology prices differ in character from commodity prices? What are the implications of recent price trends?
- In a low carbon future, how can reliable baseload power be insured?

*Jason Bordoff, Director, Center on Global Energy Policy,
Columbia University*

Jay Faison, Founder, ClearPath

6:00 PM – 7:00 PM
Policy Scenario Discussion

COMPUTER MODELING OF POLICY SCENARIOS

Both nongovernmental organizations *Climate Interactive* and *Energy Innovation* offer interactive, computer-based ways to test the impact of various policies by modelling assumptions over time. Founders of both models will demonstrate their capability to provide insights into the impact of various strategies that may be employed.

Hal Harvey, CEO, Energy Innovation

Andrew Jones, Co-Director, Climate Interactive

8:00 PM – 9:30 PM
Working Dinner

Seating is arranged to expose participants to a diverse range of views and provide the opportunity for a meaningful exchange of ideas. Scholars and lawmakers are rotated daily. Discussion will focus on the opportunities, challenges, and potential solutions regarding electricity in America's energy future.

MONDAY, OCTOBER 14

7:30 AM – 8:45 AM

Breakfast is available

9:00 AM – 10:45 AM
Roundtable Discussion

THE CHANGING ROLE OF CARS IN AMERICAN LIFE & IMPLICATIONS FOR ENERGY NEEDS

In 2017, CO₂ emissions from the transportation sector surpassed the power sector for the first time in over 40 years. With four million hybrids and over half a million plug-in electric cars on American roads today, changes in vehicle fleets and transportation needs are already underway. The changing roles of cars ahead—with widespread ride-sharing and potential autonomous vehicles displacing traditional drivers—could have dramatic impacts on historical driving patterns and energy use.

- As the average age of vehicles on the road continues to increase, how are companies and government policies adapting?
- How crucial is development of better battery storage power to a successful Electric Vehicle (EV) evolution, and does this have promise?
- Is a charging infrastructure for electric cars a public or private responsibility, and what are the implications on the power sector?
- Do road building standards need to be changed for automated vehicles?
- Will the administration's plan to rollback fuel efficiency standards, now at an average of 37 mpg compared to the previous goal of 54 mpg, divide the American auto market with 13 different states having different standards?
- What are the implications of the effort by the federal government to abolish California's stricter auto emissions standards?

Rohan Patel, *Director, Policy and Business Development,*
Tesla Motors

Bob Holycross, *Global Director, Sustainability,*
Homologation & Compliance, Ford Motor Company

10:45 AM – 12:30 PM
Roundtable Discussion

**INFRASTRUCTURE FOR AMERICA'S
CHANGING TRANSPORTATION NEEDS**

Infrastructure investment is a perennial topic of bipartisan interest in policy debates. The American Society of Civil Engineers rates the domestic energy infrastructure a "D+" because of its age, bottlenecks and vulnerability to disruption. Analysts are forecasting the "three revolutions (3Rs)" ahead in transportation: electrification, automation, and sharing. How does the U.S. most efficiently design the infrastructure needs to meet these upcoming revolutionary changes?

- Are government incentives for vehicle electrification necessary?
- Will the gas tax become an outdated method of infrastructure financing?
- Is government research to improve battery storage necessary?
- What role does government have in car-sharing?
- How can a widespread national charging infrastructure materialize?
- Will parking garages become obsolete?
- What will the impact of the 3Rs be on the insurance industry, and how will they impact consumers?

Beth Osborne, Director, Transportation for America

12:30 PM – 1:15 PM
Working Lunch

Discussion continues between members of Congress and scholars regarding the challenges of transportation in America's energy future.

1:15 PM – 3:00 PM
Roundtable Discussion

IMPLICATIONS FOR AMERICAN JOBS

Employment data indicates that slightly more than 50% of Americans who work in the energy sector (3.5 million) contribute to a low emissions economy. On an economy-wide scale, jobs in transportation, industry and manufacturing, infrastructure, and energy will all be influenced by the new energy economy.

- How can the renewable energy industry learn from the boom and bust oil and gas economy to promote long-term job growth throughout the transition and beyond?
- What are the opportunities in adapting infrastructure and transitioning to a clean energy economy for American jobs and prosperity?
- Do displaced workers from conventional fuels jobs need federal support to learn new workforce skills?

*Kevin Knobloch, President, New York OceanGrid,
former Chief of Staff for Energy Secretary Ernest Moniz*

6:30 PM – 7:30 PM
Pre-dinner Remarks

ENERGY LESSONS FROM THE STATES

States are increasingly taking actions toward a low-carbon future, whether for environmental, employment, resilience, community impact, or other reasons. States are looking to manage their own energy futures, considering clean energy goals, and evaluating the fate of existing infrastructure.

For example, Wyoming—while a major producer of coal, natural gas, and crude oil—is the site of the country’s largest planned wind farm, a \$5 billion project of up to 1,000 turbines (enough electricity for a million homes). Colorado has a goal of producing 100 percent of its power from renewable sources by 2040. Western states are cooperating on developing a system for fast charging EVs. The governors of Wyoming and Colorado will elaborate on these plans and more which may have applicable lessons for federal lawmakers.

***Mark Gordon**, Governor of Wyoming
Jared Polis, Governor of Colorado*

7:30 PM – 9:00 PM
Working Dinner

Seating is arranged to expose participants to a diverse range of views and provide the opportunity for a meaningful exchange of ideas. Scholars and lawmakers are rotated daily. Discussion will focus on the opportunities, challenges, and potential solutions regarding transportation in America’s energy future.

TUESDAY, OCTOBER 15

All Participants Depart

Rep. John Yarmuth departs Aspen, Colorado at 7:35 am on United 5961 and arrives in Washington, DC at 2:57 pm on United 712. Cathy Yarmuth departs Aspen at 9:05 am on United Airlines 5430 and arrives in Louisville, Kentucky at 3:56 pm on United 4793



June 3, 2019

Dan Glickman

Executive Director

Congressional Program

The Honorable John Yarmuth
U.S. House of Representatives
Washington, DC 20515

Dear John:

I would like to invite you and Cathy to participate in a congressional conference on ***Innovating a New Energy Economy: Benefits for Jobs, Climate, and American Prosperity***, October 12-15, 2019, in Aspen, Colorado during the October recess. We have limited space and must hear from you proactively as soon as possible if you wish to participate.

Technological advances have led to an expansion of American capacity to produce high quantities of oil and gas as well as further the use of renewables and increased energy efficiencies, making the U.S. more energy independent. At the same time, major changes in the auto industry are underway with the introduction of electric and autonomous vehicles which are sure to significantly impact energy consumption in the future. These energy policy factors, which will have huge implications for the economy, climate and our prosperity, will be analyzed in a comprehensive three-day focus, drawing policy examples from regional states and Midwest utilities. The agenda outline is attached.

We will have a mix of prominent American scholars and energy practitioners to assist our discussions. Attendance is by invitation only, with no outside observers, lobbyists, or congressional staff. Funding is provided solely by grants from foundations—no government, individual, corporate or special interest funds are accepted.

Under guidelines established by the House Committee on Standards of Official Conduct, we will provide you with a signed Private Sponsor Travel Certification Form affirming that no lobbyists fund this activity, that the Aspen Institute does not hire or retain lobbyists, and that no lobbyists will be present. Our purpose is education, not advocacy.

If your accompanying spouse or family member is a registered lobbyist, under the ethics rules of the House, their participation would not be allowed. Guidance from the Committee will not allow us to accept any enrollments after September 12th.

Departure will be on Saturday, October 12th and we will return on Tuesday, October 15th. Should you accept the invitation, it is required that you participate through the duration of the conference.



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Travel expenses, including airfare on a carrier with whom we have negotiated a fare, lodging and meals will be paid by the Aspen Institute Congressional Program. Under the ethics rules, no expenses are covered for entertainment or recreation. Congressional participants are limited to only one accompanying family member.

The Aspen Institute, an independent, nonprofit organization founded in 1950, provides a nonpartisan, neutral forum for leaders to discuss critical issues. The Institute's Congressional Program is designed to promote leadership on selected public policy issues in the Congress by bringing legislators together with internationally-recognized scholars and analysts in high-level discussion. Since the program's inception, over 460 Members of Congress have participated in the 139 conferences we have conducted.

Enclosed is a fact sheet on the program. In addition to answering any questions you may have, it has proven to be useful in responding to public and press inquiries.

Additionally, we hold breakfast meetings in the Capitol throughout the year to keep Members of Congress up-to-date between our annual conferences. We hope you will be able to participate in the extended educational offerings of our breakfast series.

If you have any questions, please feel free to call me at 202-736-5825. I hope you will join us for this important conference.

Sincerely,

A handwritten signature in cursive script that reads "Dan".

Dan Glickman