Member / Officer Post-Travel Disclosure Form

Original Amenda	men	
-----------------	-----	--

This form is for disclosing the receipt of travel expenses from a private source for travel taken in connection with a Member or officer's official duties. This form does not eliminate the need to report privately-funded travel on the Member or officer's annual *Financial Disclosure Statement*. In accordance with House Rule 25, clause 5, you must complete this form and *file it with the Clerk of the House, B-81 Cannon House Office Building*, within 15 days after travel is completed. Please *do not* file this form with the Committee on Ethics.

thi	of the committee on Ethics. Please do not file this form with the Committee on Ethics.	iter tra	1701 13	
	TE: Willful or knowing misrepresentations on this form may be subject to criminal prosecution pursuant t	o 18 U.	S.C. §	1001.
1.	Name of Traveler: Rep. Fred Upton	-		
2.	a. Name of Accompanying Relative: Amey Upton	_ OR	≀ Nor	ne 🗆
	b. Relationship to Traveler: 🗹 Spouse 🔲 Child 🗎 Other (specify):			
3.	a. Dates: Departure: August 12, 2019 Return: August 19, 2019			
	b. Dates at Personal Expense, if any:	_ OR	≀ Nor	ne 🗸
4.	Departure City: Dublin, Ireland Destination: Kigali, Rwanda Return City: Gra	nd Rap	olds, N	///
5.	Sponsor(s), Who Paid for the Trip: The Aspen Institute, Inc. (Congressional Program)			
6.	Describe Meetings and Events Attended (attach additional pages if necessary): Rep. Upton participations	ated in	а	
	variety of discussions and education site visits which related to his work in Congress, including			
	economic development, healthcare and health-related issues, and the environment.			
7.	Attached to this form are each of the following, signify that each item is attached by checking the cor	respon	ding b	ox:
<i>,</i> .	a. a completed Sponsor Post-Travel Disclosure Form;	<u>f</u>		_
	b. The Primary Trip Sponsor Form completed by the trip sponsor prior to the trip, including all a	ittachn	nents	and
	the Grantmaking or Non-Grantmaking Sponsor Forms;			ISLATIVE RESOURCE CENTER
	c. page 2 of the completed <i>Traveler Form</i> submitted by the Member or officer; and			M.
	d. <a>d. the letter from the Committee on Ethics approving my participation on this trip.	PAT .	83	RES
8.	•	河 西	0	25
	Signify statement is true by checking the box:	置	ယ္	E
	b. If not, explain:		6)	<u>E</u>
		YES.		ES .
			•	
de wa ap	terrify that the information contained in this form is true, complete, and correct to the best of my keep termined that all of the expenses on the attached Sponsor Post-Travel Disclosure Form were necessary as in connection with my duties as a Member or officer of the U.S. House of Representatives and would pearance that I am using public office for private gain. The provided Hermitian Contained in this form is true, complete, and correct to the best of my keep termined that all of the expenses on the attached Sponsor Post-Travel Disclosure Form were necessary as in connection with my duties as a Member or officer of the U.S. House of Representatives and would pearance that I am using public office for private gain.	and th	at the	travel
	Date: August 27, 2019			

Sponsor	Post-Travel	Disclosure	Form
---------	-------------	------------	------

-		-	
4	Original		Amendmen

This form must be completed by an officer of any organization that served as the primary trip sponsor in providing travel expenses or reimbursement for travel expenses to House Members, officers, or employees under House Rule 25, clause 5. A completed copy of the form must be provided to each House Member, officer, or employee who participated on the trip within ten days of their return. You must answer all questions, and check all boxes, on this form for your submission to comply with House rules and the Committee's travel regulations. Failure to comply with this requirement may result in the denial of future requests to sponsor trips and/or subject the current traveler to disciplinary action or a requirement to repay the trip expenses.

Travel Destination(s): Kigali, Muganga, and Musanze in Rwanda							
2. Travel Destination(s): Nigen, Magariga, 2019 3. Date of Departure: August 12, 2019 Date of Return: August 19, 2019							
Date of Departure:	r(s): Rep. Fred Upton an	d Amey Upton					
Name(s) of Traveler	more than one traveler o	n a form only if all in	formation is identica	I for each person listed.			
Note: You may list	more than one traveler o	n a form only it un it	1 in limit lead name	d in Question 4:			
Actual amount of e	expenses paid on behalf	of, or reimbursed to,		d III Question 4.			
	Total Transportation	Total Lodging	Total Meal	Total Other Expenses			
	Expenses	Expenses	Expenses	(dollar amount per item and description			
Traveler	\$7,500	\$1000	\$420	\$525; Conf. expenses incl. meeting space & A\ services, visa costs			
Accompanying Family Member	\$7,500	\$0	\$420	\$525; Conf. expenses incl. meeting space & A\ services, visa costs			

I certify that the information contained in this form is true, complete, and correct to the best of my knowledge.

Signature:

Date: Aug. 27, 2019

Title: Vice President

Organization: The Aspen Institute, Inc. (Congressional Program) Executive Director; Congressional Program

I am an officer of the above-named organization. Signify statement is true by checking box:

Address: 2300 N Street, NW, Washington, DC 20037

Telephone: Deputy General Counsel Lisa Jones: 202-736-5859 Email: lisa.jones@aspeninst.org

Committee staff may contact the above-named individual if additional information is required.

If you have questions regarding your completion of this form, please contact the Committee on Ethics at 202-225-7103.

Version date 12/2018 by Committee on Ethics



TRAVELER FORM

2. Sponsor(s) who will be paying for the trip: The Aspen Institute, Inc. (Congressional Program) 3. Travel Destination(s): Kigali, Muganga, and the Musanze in Rwanda 4. a. Date of Departure: August 12 Date of Return: August 19 b. Will you be extending the trip at your personal expense? ☐ Yes ☐ No If yes, list dates at personal expense: 5. a. Will you be accompanied by a family member at the sponsor's expense? ☐ Yes ☐ No If yes: (1) Name of Accompanying Family Member: Armey Upton (2) Relationship to Traveler: ☐ Spouse ☐ Child ☐ Other (specify): (3) Accompanying Family Member is at least 18 years of age: ☐ Yes ☐ No 6. a. Did the trip sponsor answer "Yes" to Question 8(c) on the Primary Trip Sponsor Form (i.e., travel is sponsored by an entity that employs a registered federal lobbyist or a foreign agent)? ☐ Yes ☐ No b. If yes, and you are requesting lodging for two nights, explain why the second night is warranted: 7. Primary Trip Sponsor Form is attached, including agenda, invitee list, and any other attachments and contributing sponsor forms: ☐ Yes ☐ No NOTE: The spenda should show the traveler's individual schedule, including departure and arrival times and identify the specific eventa in which the traveler will be participating. 8. Explain why participation in the trip is connected to the traveler's individual official or representational duties. Staff should include their job title and how the activities on the itinerary relate to their duties. Fred Upton will be participating in educational forums and site visits which relate to his work in Congress 9. Is the traveler aware of any registered federal lobbyists or foreign agents involved planning, organizing, requesting, or arranging the trip? ☐ Yes ☐ No ADVANCED AUTHORIZATION OF EMPLOYEE TRAVEL I hereby authorize the individual named above, an employee of the U.S. House of Representatives who works under my direct supervision, to accept expenses for the trip described in this request. I have determined that the above-described travel is in	1.	Name of Traveler: Frederick S. Upton
4. a. Date of Departure: August 12 Date of Return: August 19 b. Will you be extending the trip at your personal expense? ☐ Yes ☐ No If yes, list dates at personal expense: 5. a. Will you be accompanied by a fumily member at the sponsor's expense? ☐ Yes ☐ No If yes: (1) Name of Accompanying Family Member: Amey Upton (2) Relationship to Traveler: ☐ Spouse ☐ Child ☐ Other (specify): (3) Accompanying Family Member is at least 18 years of age: ☐ Yes ☐ No 6. a. Did the trip sponsor answer "Yes" to Question 8(c) on the Primary Trip Sponsor Form (i.e., travel is sponsored by an entity that employs a registered federal lobbyist or a foreign agent)? ☐ Yes ☐ No b. If yes, and you are requesting lodging for two nights, explain why the second night is warranted: 7. Primary Trip Sponsor Form is attached, including agenda, invitee list, and any other attachments and contributing sponsor forms: ☐ Yes ☐ No NOTE: The agenda should show the traveler's individual schedule, including departure and arrival times and identify the specific events in which the traveler will be participating. 8. Explain why participation in the trip is connected to the traveler's individual official or representational duties. Staff should include their job title and how the activities on the ittinerary relate to their duties. Fred Uplon will be participating in educational forums and sile visits which relate to his work in Congress 9. Is the traveler aware of any registered federal lobbyists or foreign agents involved planning, organizing, requesting, or arranging the trip? ☐ Yes ☐ No 10. For staff travelers, to be completed by your employing Member: ADVANCED AUTHORIZATION OF EMPLOYEE TRAVEL I hereby authorize the individual named above, an employee of the U.S. House of Representatives who works under my direct supervision, to accept expenses for the trip described in this request. I have determined that the above-described travel is in connection with my employee's official duties and that acceptance of these expenses will not create	2.	Sponsor(s) who will be paying for the trip: The Aspen Institute, Inc. (Congressional Program)
b. Will you be extending the trip at your personal expense? ☐ Yes ☐ No If yes, list dates at personal expense: 5. a. Will you be accompanied by a family member at the sponsor's expense? ☐ Yes ☐ No If yes: (1) Name of Accompanying Family Member: Amey Upton (2) Relationship to Traveler: ☐ Spouse ☐ Child ☐ Other (specify): (3) Accompanying Family Member is at least 18 years of age: ☐ Yes ☐ No 6. a. Did the trip sponsor answer "Yes" to Question 8(c) on the Primary Trip Sponsor Form (i.e., travel is sponsored by an entity that employs a registered federal lobbyist or a foreign agent)? ☐ Yes ☐ No b. If yes, and you are requesting lodging for two nights, explain why the second night is warranted: 7. Primary Trip Sponsor Form is attached, including agenda, invitee list, and any other attachments and contributing sponsor forms: ☐ Yes ☐ No NOTE: The agenda should show the traveler's individual schedule, including departure and arrival times and identify the specific events in which the traveler will be participating. 8. Explain why participation in the trip is connected to the traveler's individual official or representational duties. Staff should include their job title and how the activities on the itinerary relate to their duties. Fred Upton will be participating in educational forums and sile visits which relate to his work in Congress 9. Is the traveler aware of any registered federal lobbyists or foreign agents involved planning, organizing, requesting, or arranging the trip? ☐ Yes ☐ No 10. For staff travelers, to be completed by your employing Member: ADVANCED AUTHORIZATION OF EMPLOYEE TRAVEL I hereby authorize the individual named above, an employee of the U.S. House of Representatives who works under my direct supervision, to accept expenses for the trip described in this request. I have determined that the above-described travel is in connection with my employee's official duties and that acceptance of these expenses will not create the appearance that the employee is using public office for pri	3.	Travel Destination(s): Kigali, Muganga, and the Musanze in Rwanda
b. Will you be extending the trip at your personal expense?		
(1) Name of Accompanying Family Member: Amey Upton (2) Relationship to Traveler: □ Spouse □ Child □ Other (specify): (3) Accompanying Family Member is at least 18 years of age: □ Yes □ No 6. a. Did the trip sponsor answer "Yes" to Question 8(c) on the Primary Trip Sponsor Form (i.e., travel is sponsored by an entity that employs a registered federal lobbyist or a foreign agent)? □ Yes □ No b. If yes, and you are requesting lodging for two nights, explain why the second night is warranted: 7. Primary Trip Sponsor Form is attached, including agenda, invitee list, and any other attachments and contributing sponsor forms: □ Yes □ No NOTE: The agenda should show the traveler's individual schedule, including departure and arrival times and identify the specific events in which the traveler will be participating. 8. Explain why participation in the trip is connected to the traveler's individual official or representational duties. Staff should include their job title and how the activities on the itinerary relate to their duties. Fred Upton will be participating in educational forums and site visits which relate to his work in Congress 9. Is the traveler aware of any registered federal lobbyists or foreign agents involved planning, organizing, requesting, or arranging the trip? □ Yes □ No 10. For staff travelers, to be completed by your employing Member: ADVANCED AUTHORIZATION OF EMPLOYEE TRAVEL I hereby authorize the individual named above, an employee of the U.S. House of Representatives who works under my direct supervision, to accept expenses for the trip described in this request. I have determined that the above-described travel is in connection with my employee's official duties and that acceptance of these expenses will not create the appearance that the employee is using public office for private gain.		b. Will you be extending the trip at your personal expense? Yes No
(1) Name of Accompanying Family Member: Amey Upton (2) Relationship to Traveler: □ Spouse □ Child □ Other (specify): □ (3) Accompanying Family Member is at least 18 years of age: □ Yes □ No 6. a. Did the trip sponsor answer "Yes" to Question 8(c) on the Primary Trip Sponsor Form (i.e., travel is sponsored by an entity that employs a registered federal lobbyist or a foreign agent)? □ Yes □ No b. If yes, and you are requesting lodging for two nights, explain why the second night is warranted: 7. Primary Trip Sponsor Form is attached, including agenda, invitee list, and any other attachments and contributing sponsor forms: □ Yes □ No NOTE: The agenda should show the traveler's individual schedule, including departure and arrival times and identify the specific events in which the traveler will be participating. 8. Explain why participation in the trip is connected to the traveler's individual official or representational duties. Staff should include their job title and how the activities on the itinerary relate to their duties. Fred Upton will be participaling in educational forums and site visits which relate to his work in Congress 9. Is the traveler aware of any registered federal lobbyists or foreign agents involved planning, organizing, requesting, or arranging the trip? □ Yes □ No 10. For staff travelers, to be completed by your employing Member: ADVANCED AUTHORIZATION OF EMPLOYEE TRAVEL I hereby authorize the individual named above, an employee of the U.S. House of Representatives who works under my direct supervision, to accept expenses for the trip described in this request. I have determined that the above-described travel is in connection with my employee's official duties and that acceptance of these expenses will not create the appearance that the employee is using public office for private gain.		If yes, list dates at personal expense:
(2) Relationship to Traveler: □ Spouse □ Child □ Other (specify): □ (3) Accompanying Family Member is at least 18 years of age: □ Yes □ No 6. a. Did the trip sponsor answer "Yes" to Question 8(c) on the Primary Trip Sponsor Form (i.e., travel is sponsored by an entity that employs a registered federal lobbyist or a foreign agent)? □ Yes □ No b. If yes, and you are requesting lodging for two nights, explain why the second night is warranted: 7. Primary Trip Sponsor Form is attached, including agenda, invitee list, and any other attachments and contributing sponsor forms: □ Yes □ No NOTE: The agenda should show the traveler's individual schedule, including departure and arrival times and identify the specific events in which the traveler will be participating. 8. Explain why participation in the trip is connected to the traveler's individual official or representational duties. Staff should include their job title and how the activities on the itinerary relate to their duties. Fred Upton will be participating in educational forums and sile visits which relate to his work in Congress 9. Is the traveler aware of any registered federal lobbyists or foreign agents involved planning, organizing, requesting, or arranging the trip? □ Yes □ No 10. For staff travelers, to be completed by your employing Member: ADVANCED AUTHORIZATION OF EMPLOYEE TRAVEL I hereby authorize the individual named above, an employee of the U.S. House of Representatives who works under my direct supervision, to accept expenses for the trip described in this request. I have determined that the above-described travel is in connection with my employee's official duties and that acceptance of these expenses will not create the appearance that the employee is using public office for private gain.	5.	a. Will you be accompanied by a family member at the sponsor's expense? Yes No If yes:
(3) Accompanying Family Member is at least 18 years of age: □ Yes □ No 6. a. Did the trip sponsor answer "Yes" to Question 8(c) on the Primary Trip Sponsor Form (i.e., travel is sponsored by an entity that employs a registered federal lobbyist or a foreign agent)? □ Yes □ No b. If yes, and you are requesting lodging for two nights, explain why the second night is warranted: 7. Primary Trip Sponsor Form is attached, including agenda, invitee list, and any other attachments and contributing sponsor forms: □ Yes □ No NOTE: The agenda should show the traveler's individual schedule, including departure and arrival times and identify the specific events in which the traveler will be participating. 8. Explain why participation in the trip is connected to the traveler's individual official or representational duties. Staff should include their job title and how the activities on the itinerary relate to their duties. Fred Upton will be participating in educational forums and sile visits which relate to his work in Congress 9. Is the traveler aware of any registered federal lobbyists or foreign agents involved planning, organizing, requesting, or arranging the trip? □ Yes □ No 10. For staff travelers, to be completed by your employing Member: ADVANCED AUTHORIZATION OF EMPLOYEE TRAVEL I hereby authorize the individual named above, an employee of the U.S. House of Representatives who works under my direct supervision, to accept expenses for the trip described in this request. I have determined that the above-described travel is in connection with my employee's official duties and that acceptance of these expenses will not create the appearance that the employee is using public office for private gain.		
6. a. Did the trip sponsor answer "Yes" to Question 8(c) on the Primary Trip Sponsor Form (i.e., travel is sponsored by an entity that employs a registered federal lobbyist or a foreign agent)? 1. Yes 2. No 2. B. If yes, and you are requesting lodging for two nights, explain why the second night is warranted: 3. Primary Trip Sponsor Form is attached, including agenda, invitee list, and any other attachments and contributing sponsor forms: 3. Yes 3. No 3. NoTE: The agenda should show the traveler's individual schedule, including departure and arrival times and identify the specific events in which the traveler will be participating. 3. Explain why participation in the trip is connected to the traveler's individual official or representational duties. Staff should include their job title and how the activities on the itinerary relate to their duties. 3. Fred Upton will be participating in educational forums and site visits which relate to his work in Congress 4. Is the traveler aware of any registered federal lobbyists or foreign agents involved planning, organizing, requesting, or arranging the trip? 3. Yes 3. No 3. Is the travelers, to be completed by your employing Member: 4. ADVANCED AUTHORIZATION OF EMPLOYEE TRAVEL 4. Ihereby authorize the individual named above, an employee of the U.S. House of Representatives who works under my direct supervision, to accept expenses for the trip described in this request. I have determined that the above-described travel is in connection with my employee's official duties and that acceptance of these expenses will not create the appearance that the employee is using public office for private gain.		
entity that employs a registered federal lobbyist or a foreign agent?		
b. If yes, and you are requesting lodging for two nights, explain why the second night is warranted: 7. Primary Trip Sponsor Form is attached, including agenda, invitee list, and any other attachments and contributing sponsor forms: Yes No NOTE: The agenda should show the traveler's individual schedule, including departure and arrival times and identify the specific events in which the traveler will be participating. 8. Explain why participation in the trip is connected to the traveler's individual official or representational duties. Staff should include their job title and how the activities on the itinerary relate to their duties. Fred Upton will be participating in educational forums and site visits which relate to his work in Congress 9. Is the traveler aware of any registered federal lobbyists or foreign agents involved planning, organizing, requesting, or arranging the trip? Yes No 10. For staff travelers, to be completed by your employing Member: ADVANCED AUTHORIZATION OF EMPLOYEE TRAVEL I hereby authorize the individual named above, an employee of the U.S. House of Representatives who works under my direct supervision, to accept expenses for the trip described in this request. I have determined that the above-described travel is in connection with my employee's official duties and that acceptance of these expenses will not create the appearance that the employee is using public office for private gain.	6.	a. Did the trip sponsor answer "Yes" to Question 8(c) on the <i>Primary Trip Sponsor Form</i> (i.e., traver is sponsored by an entity that employs a registered federal lobbyist or a foreign agent)? Yes No
sponsor forms:		
NOTE: The agenda should show the traveler's individual schedule, including departure and arrival times and identify the specific events in which the traveler will be participating. 8. Explain why participation in the trip is connected to the traveler's individual official or representational duties. Staff should include their job title and how the activities on the itinerary relate to their duties. Fred Upton will be participating in educational forums and site visits which relate to his work in Congress 9. Is the traveler aware of any registered federal lobbyists or foreign agents involved planning, organizing, requesting, or arranging the trip? Yes No 10. For staff travelers, to be completed by your employing Member: ADVANCED AUTHORIZATION OF EMPLOYEE TRAVEL I hereby authorize the individual named above, an employee of the U.S. House of Representatives who works under my direct supervision, to accept expenses for the trip described in this request. I have determined that the above-described travel is in connection with my employee's official duties and that acceptance of these expenses will not create the appearance that the employee is using public office for private gain.	7.	Primary Trip Sponsor Form is attached, including agenda, invitee list, and any other attachments and contributing
8. Explain why participation in the trip is connected to the traveler's individual official or representational duties. Staff should include their job title and how the activities on the itinerary relate to their duties. Fred Upton will be participating in educational forums and site visits which relate to his work in Congress 9. Is the traveler aware of any registered federal lobbyists or foreign agents involved planning, organizing, requesting, or arranging the trip? Yes No 10. For staff travelers, to be completed by your employing Member: ADVANCED AUTHORIZATION OF EMPLOYEE TRAVEL I hereby authorize the individual named above, an employee of the U.S. House of Representatives who works under my direct supervision, to accept expenses for the trip described in this request. I have determined that the above-described travel is in connection with my employee's official duties and that acceptance of these expenses will not create the appearance that the employee is using public office for private gain.		NOTE: The agenda should show the traveler's individual schedule, including departure and arrival times and identify the specific events in
requesting, or arranging the trip?	8.	should include their job title and how the activities on the itinerary relate to their duties.
requesting, or arranging the trip?		
ADVANCED AUTHORIZATION OF EMPLOYEE TRAVEL I hereby authorize the individual named above, an employee of the U.S. House of Representatives who works under my direct supervision, to accept expenses for the trip described in this request. I have determined that the above-described travel is in connection with my employee's official duties and that acceptance of these expenses will not create the appearance that the employee is using public office for private gain.		requesting, or arranging the trip? 🔲 Yes 🖾 No
I hereby authorize the individual named above, an employee of the U.S. House of Representatives who works under my direct supervision, to accept expenses for the trip described in this request. I have determined that the above-described travel is in connection with my employee's official duties and that acceptance of these expenses will not create the appearance that the employee is using public office for private gain.	10	
direct supervision, to accept expenses for the trip described in this request. I have determined that the above-described travel is in connection with my employee's official duties and that acceptance of these expenses will not create the appearance that the employee is using public office for private gain.		
Signature of Employing Member July Date 7/12/2019	di tr	irect supervision, to accept expenses for the trip described in this request. I have determined that the above-described avel is in connection with my employee's official duties and that acceptance of these expenses will not create the appearance that the employee is using public office for private gain.
	Si	ignature of Employing Member Telef Malo Date 7/12/2019

Primary Trip Sponsor Form

This form should be completed by private entities offering to provide travel or reimbursement for travel to House Members, officers, or employees under House Rule 25, clause 5. A completed copy of the form (and any attachments) should be provided to each invited House Member, officer, or employee, who will then forward it to the Committee together with a Traveler Form at least 30 days before the start date of the trip. The trip sponsor should NOT submit the form directly to the Committee. The Committee website (ethics. house.gov) provides detailed instructions for filling out the form.

NOTE: Willful or knowing misrepresentations on this form may be subject to criminal prosecution pursuant to 18 U.S.C. § 1001. Failure

toc	omply with the Committee's Travel Regulations may also lead to the denial of permission to sponsor future trips.
	Sponsor who will be paying for the trip: The Aspen Institute, Inc. (Congressional Program)
2.	I represent that the trip will not be financed, in whole or in part, by a registered federal lobbyist or foreign agent. Signify that the statement is true by checking box:
3.	 Check only one. I represent that: a. The primary trip sponsor has not accepted from any other source, funds intended directly or indirectly to finance any aspect of the trip: □ OR
	b. The trip is arranged without regard to congressional participation and the primary trip sponsor has accepted funds only from entities that will receive a tangible benefit in exchange for those funds:
	c. The primary trip sponsor has accepted funds from other source(s) intended directly or indirectly to finance all or part of this trip and has enclosed disclosure forms from each of those entities.
	If "c" is checked, list the names of the additional sponsors: Bill & Melinda Gates Foundation; Henry Luce
	Foundation; Rockefeller Brothers Fund foundation; Democracy Fund foundation; Eleanor Crook Foundation
4.	Provide names and titles of ALL House Members <i>and</i> employees you are inviting. For each House invitee , provide an explanation of why the individual was invited (include additional pages if necessary):
	See attached list
5.	Is travel being offered to an accompanying family member of the House invitee(s)? 🗹 Yes 🔲 No
6.	Date of Departure: August 12, 2019 Date of Return: August 19, 2019
7.	a. City of departure: Washington, DC or the district
	b. Destination(s): Kigali, Muganga, and Musanze in Rwanda
	c. City of return: Washington, DC or the district
8.	Check only one. I represent that: a. The sponsor of the trip is an institution of higher education within the meaning of section 101 of the Higher Education Act of 1965: ☐ OR
	b. The sponsor of the trip does not retain or employ a registered federal lobbyist or foreign agent: OR
	c. The sponsor employs or retains a registered federal lobbyist or foreign agent, but the trip is for attendance at a one-day event <i>and</i> lobbyist / foreign agent involvement in planning, organizing, requesting, or arranging the trip was <i>de minimis</i> under the Committee's travel regulations.
9.	Check only one of the following: a. I checked 8(a) or (b) above: ✓
	b. I checked 8(c) above but am not offering any lodging:
	c. I checked 8(c) above and am offering lodging and meals for one night: OR
	d. I checked 8(c) above and am offering lodging and meals for two nights: If you checked this box, explain why the second night of lodging is warranted:

10.	Attached is a detailed agenda of the activities House invitees will be participating in during the travel (i.e., an hourly description of planned activities for trip invitees). <i>Indicate agenda is attached by checking box:</i>
	Check only one of the following: a. I represent that a registered federal lobbyist or foreign agent will not accompany House Members or employees on any segment of the trip. Signify that the statement is true by checking box: OR
	b. <i>Not Applicable</i> . Trip sponsor is a U.S. institution of higher education:
12.	For <i>each</i> sponsor required to submit a sponsor form, describe the sponsor's interest in the subject matter of the trip <i>and</i> its role in organizing and/or conducting the trip:
	Aspen Institute's Congressional Program (AICP) provides non-partisan educational forums for members of Congress on public policy issues. AICP has organized and will conduct the conference and has selected and invited the attendees. The foundations listed in question 3C provide grant funding to AICP generally, they do not play a role in organizing or conducting the conference
13.	Answer parts a and b. Answer part c if neccessary:
	a. Mode of travel: Air 🗹 Rail 🗌 Bus 🔲 Car 🔲 Other 🗀 (specify:)
	b. Class of travel: Coach 🗸 Business 🗸 First 🗌 Charter 🗎 Other 🗀 (specify:)
	c. If travel will be first class, or by chartered or private aircraft, explain why such travel is warranted:
	I represent that the expenditures related to local area travel during the trip will be unrelated to personal or recreational activities of the invitee(s). Signify that the statement is true by checking box: Check only one. I represent that either: a. The trip involves an event that is arranged or organized without regard to congressional participation and that
	meals provided to congressional participants are similar to those provided to or purchased by other event attendees: OR
	b. The trip involves events that are arranged specifically with regard to congressional participation: If "b" is checked:
	1) Detail the cost <i>per day</i> of meals (approximate cost may be provided): \$80
	2) Provide the reason for selecting the location of the event or trip: Rwanda provides the opportunity for site visits to view the examples of the impact of US-funded projects in Africa.
16.	Name, nightly cost, and reasons for selecting each hotel or other lodging facility:
	Hotel Name: Kigali Serena Hotel City: Kigali, Rwanda Cost Per Night: \$200
	Reason(s) for Selecting: Private meeting space; conference technology; food safety; security; all key factors
	Hotel Name: City: Cost Per Night:
	Reason(s) for Selecting:
	Hotel Name: City: Cost Per Night:
	Reason(s) for Selecting:
17.	I represent that all expenses connected to the trip will be for actual costs incurred and not a per diem or lump sum payment. Signify that the statement is true by checking box:

18	Total	Expenses	for	each	Participant:
10.	LULAL	LAPCHISCS	TO.		T man and a man

☐ Actual Amounts ☐ Good Faith Estimates	Total Transportation Expenses per Participant	Total Lodging Expenses per Participant	Total Meal Expenses per Participant
For each Member, Officer, or Employee	\$7200	\$1000	\$420
For each Accompanying Family Member	\$7200	\$0	\$420

	Other Expenses (dollar amount per item)	Identify Specific Nature of "Other" Expenses (e.g., taxi, parking, registration fee, etc.)
For each Member, Officer, or Employee	\$525	Conference expenses including meeting space and audio/visual services; visa cost
For each Accompanying Family Member	\$525	Conference expenses including meeting space and audio/visual services; visa cost

NOTE: Willful or knowing misrepresentations on this form may be subject to criminal prosecution pursuant to 18 U.S.C. § 1001.

19. (Checi	k on	ly one:
-------	-------	------	---------

- a. I certify that I am an officer of the organization listed below: OR
- b. Not Applicable. Trip sponsor is an individual or a U.S. institution of higher education.
- 20. I certify that I am not a registered federal lobbyist or foreign agent for any sponsor of this trip. 🔽
- 21. I certify by my signature that the information contained in this form is true, complete, and correct to the best of my knowledge.

Tan Glikman ____ Date: July 12, 2019 Signature:

Dan Glickman Name:

Vice President, Aspen Institute; Executive Director, Congressional Program

The Aspen Institute, Inc Organization:

2300 N St NW Ste 700 Washington, DC 20037 Address:

Telephone: (202) 736-5859 (Lisa Jones)

lisa.jones@aspeninstitute.org

If there are any questions regarding this form, please contact the Committee at the following address:

Committee on Ethics

U.S. House of Representatives

1015 Longworth House Office Building, Washington, D.C. 20515

Phone: 202-225-7103 General Fax: 202-225-7392

Version date 12/2018 by Committee on Ethics

GRANTMAKING TRIP SPONSOR FORM

This form should be completed by a public charity or private foundation (both as defined under section 501(c)(3) of the Internal Revenue Code) that provides a grant of funds to another entity to underwrite, in whole or in part, a trip or an event, meal, or activity that will occur during a trip, or a necessary expense that will be incurred during a trip, with express or implicit knowledge or understanding that one or more House Members or employees may participate in or attend that trip or event, or otherwise may be beneficiaries of the gift or donation. Provide a copy of your completed form to the primary sponsor of the trip.

NOTE: Willful or knowing misrepresentations on this form may be subject to criminal prosecution pursuant to 18 U.S.C. § 1001. Failure to comply with the Committee's Travel Regulations may also lead to the denial of permission to sponsor future trips.

	Travel Regulations may also lead to the definal of personal field of the Fernandation
	Travel Regulations may also lead to the definition of postal and the definition of the little of the
1.	has been designated a 9 Jor(c)(3) hospitality
^	■ Yes □ No Name of Primary Trip Sponsor: The Aspen Institute, Inc. (Congressional Program)
3.	 I certify that my organization (complete a or b): a. Has provided a grant, gift, or donation to the above-named Primary Trip Sponsor and conducts an audit or review of its grant, gift, or donation to ensure that the funds are spent in accordance with the terms of its grant, gift, or donation. or b. Has had a direct role in the organizing, planning, or conducting of a trip to
	(destination) on (date) that is
	(destination) on (date) that is being organized or arranged by the above-named Primary Trip Sponsor.
	 Check one: a. ■ My organization does not employ or retain a registered federal lobbyist or foreign agent or b. □ My organization employs a registered federal lobbyist or foreign agent, but their involvement in planning, organizing, or arranging the trip was de minimis under the travel regulations.
5	Legify that I am not a registered federal lobbyist or foreign agent for any sponsor of this trip.
6.	I certify by my signature that the information contained in this form is true, complete, and correct to
	Signature: Name: Kim webber Title: Mosociale Manual Office
	Organization: Bill + Milinda Gates Foundation
	Address: 1. 1. 6173 livellin storion Washington De Zoung
	Address:
	Telephone number: 202-642-8195 Email: sim. web to @ notes for them.
I	f there are any questions regarding this form please contact the Committee at the following address:
	Committee on Ethics U.S. House of Representatives 1015 Longworth House Office Building Washington, DC 20515 (202) 225-7103 (phone) (202) 225-7392 (general fax)
	1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1

GRANTMAKING TRIP SPONSOR FORM

Hus form should be completed by a public charity or private foundation (both as defined under session 501(c)(3) of the laternal Revenus Code) that provides a great of funds to another entity to underwrite, in whole or in part, a trip or an event, meal, or activity that will occur during a trip, or a necessary expense that will be incurred during a trip, with express or implicit knowledge or understanding that one or more House Members or employees may participate in or attend that trip or event, or otherwise may be beneficiaries of the gift or denation. Provide a copy of your completed form to the primary sponsor of the trip.

NOTE: Willful or knowing misrepresentations on this form may be subject to eriminal prosecution pursuant to 18 U.S.C. § 1001. Failure to comply with the Committee's Travel Regulations may also lead to the denial of permission to sponsor future trips.

	The Henry Luce Foundation
	I certify that (name of your organization): The Henry Luce Foundation has been designated a § 501(c)(3) nonprofit charitable organization by the Internal Revenue Service.
2.	Name of Primary Trip Sponsor: Aspen Institute Congressional Program
	 I certify that my organization (complete a or b): a. I has provided a grant, gift, or donation to the above-named Primary Trip Sponsor and conducts an audit or review of its grant, gift, or donation to ensure that the funds are spent in accordance with the terms of its grant, gift, or donation. or b. I has had a direct role in the organizing, planning, or conducting of a trip to
	(destination) on (date) that is being organized or arranged by the above-named Primary Trip Sponsor.
	Check one: a. My organization does not employ or retain a registered federal lobbyist or foreign agent or b. My organization employs a registered federal lobbyist or foreign agent, but their involvement in planning, organizing, or arranging the trip was de minimis under the travel regulations.
ç	Logification Lam not a registered federal lobbyist or foreign agent for any sponsor of this trip.
б.	to the many signature that the information contained in this form is true, complete, and correct to
	Name: Toby Alice Volkman Title: Secretary & Difector of Blicy Initiatives The Hebry Luce Foundation
	51 Madison Ave New York, NY 10010
	Address: 212-489-7700 Email: Tvolkman @ hluce.org
ſſ	there are any questions regarding this form please contact the Committee at the following address:
	Committee on Ethics U.S. House of Representatives 1015 Longworth House Office Building Washington, DC 20515 (202) 225-7103 (phone) (202) 225-7392 (general fax)

Presion date 62013 by Countities on Ethics

GRANTMAKING TRIP SPONSOR FORM

This form should be completed by a public charity or private foundation (both as defined under section 501(c)(3) of the internal Revenue Code) that provides a grant of funds to another entity to underwrite, in whole or in part, a trip or an event, meel, or activity timi will occur during a trip, or a necessary expense that will be incurred during a trip, with express or implicit knowledge or understanding that one or more House Members or employees may participate in or attend that trip or event, or otherwise may be beneficiaries of the gift or donation. Provide a copy of your completed form to the primary sponger of the trip.

NOTE: Willful or knowing misrepresentations on this form may be subject to criminal prosecution pursuant to 18 U.S.C. § 1001. Failure to comply with the Committee's Trayel Regulations may also lead to the denial of permission to sponsor future trips.

•	I certify that (name of your organization): Rockefeller Brothers Fund
	has been designated a 8 501(c)(3) nonprove character of the internal revenue betwee,
2,	Name of Primary Trip Sponsor: Aspen Institute Congressional Program
3,	 I certify that my organization (complete a or b): a. Has provided a grant, gift, or donation to the above-named Primary Trip Sponsor and conducts an audit or review of its grant, gift, or donation to ensure that the funds are spent in accordance with the terms of its grant, gift, or donation. gr b. Has had a direct role in the organizing, planning, or conducting of a trip to
	(destination) on (date) that is being organized or arranged by the above-named Primary Trip Sponsor.
4.	 Check one: a. My organization does not employ or retain a registered federal lobbylst or foreign agent or b. My organization employs a registered federal lobbylst or foreign agent, but their involvement in planning, organizing, or arranging the trip was de minimis under the travel regulations.
5,	I certify that I am not a registered federal lobbyist or foreign agent for any sponsor of this trip.
6,	I certify by my signature that the information contained in this form is true, complete, and correct to the best of my knowledge.
	Signature: 444
	Name: STEPHEN HEINTZ Title: PRESIDENT
	Organization: Rockefeller Brothers Fund
	Address: 475 Riverside Drive, New York, NY 10115
	Telephone number: 212-812-4389 Email: Serial: 6 -61.07
If t	here are any questions regarding this form please contact the Committee at the following address:
	Committee on Ethics U.S. House of Representatives 1015 Longworth House Office Building Washington, DC 20515 (202) 225-7103 (phone) (202) 225-7392 (general fax)

Yersion date 4/2013 by Committee on Ethics

GRANTMAKING TRIP SPONSOR FORM

This form should be completed by a public charity or private foundation (both as defined under section 501(c)(3) of the Internal Revenue Code) that provides a grant of funds to another entity to underwrite, in whole or in part, a trip or an event, meal, or activity that will occur during a trip, or a necessary expense that will be incurred during a trip, with express or implicit knowledge or understanding that one or more House Members or employees may participate in or attend that trip or event, or otherwise may be beneficiaries of the gift or donation. Provide a copy of your completed form to the primary sponsor of the trip.

NOTE: Willful or knowing misrepresentations on this form may be subject to criminal prosecution pursuant to 18 U.S.C. § 1001. Failure to comply with the Committee's Travel Regulations may also lead to the denial of permission to sponsor future trips.

1	I certify that (name of your organization): Democracy Fund Lettify that (
1.	nas been designated a § 501(c)(5) nonprofit charitable of gainzation by the internal revenue between
2.	Name of Primary Trip Sponsor: Aspen Institute
3.	 I certify that my organization (complete a or b): a. Has provided a grant, gift, or donation to the above-named Primary Trip Sponsor and conducts an audit or review of its grant, gift, or donation to ensure that the funds are spent in accordance with the terms of its grant, gift, or donation. or b. Has had a direct role in the organizing, planning, or conducting of a trip to
	(destination) on (date) that is being organized or arranged by the above-named Primary Trip Sponsor.
4.	Check one: a. My organization does not employ or retain a registered federal lobbyist or foreign agent or b. My organization employs a registered federal lobbyist or foreign agent, but their involvement in planning, organizing, or arranging the trip was de minimis under the travel regulations.
5.	I certify that I am not a registered federal lobbyist or foreign agent for any sponsor of this trip.
6.	the best of my knowledge.
	Name: Joseph Goldman Title: President
	Democracy Fund
	Address: 1333 New Hampshire Ave, Suite 730, Washington DC 20036
	Telephone number: 202-465-8525 Email: jgoldman@democracyfund.org
lf	there are any questions regarding this form please contact the Committee at the following address:
	Committee on Ethics U.S. House of Representatives 1015 Longworth House Office Building Washington, DC 20515 (202) 225-7103 (phone) (202) 225-7392 (general fax)

Version date 4/2013 by Committee on Ethics

GRANTMAKING TRIP SPONSOR FORM

This form should be completed by a public charity or private foundation (both as defined under section 501(e)(3) of the Internal Revenue Code) that provides a grant of funds to another entity to underwrite, in whole or in part, a trip or an event, meal, or activity that will occur during a trip, or a necessary expense that will be incurred during a trip, with express or implicit knowledge or understanding that one or more House Members or employees may participate in or attend that trip or event, or otherwise may be beneficiaries of the gift or donation. Provide a copy of your completed form to the primary sponsor of the trip.

NOTE: Willful or knowing misrepresentations on this form may be subject to criminal prosecution pursuant to 18 U.S.C. § 1001. Failure to comply with the Committee's Travel Regulations may also lead to the denial of permission to sponsor future trips.

1.	I certify that (name of your organization): The Eleanor Crook Foundation
	mas been designated a § 501(c)(3) nonprofit charitable organization by the Internal Revenue Service ■ Yes □ No
2.	Name of Primary Trip Sponsor: The Aspen Institute, Inc.
3.	\cdot
	(destination) on (date) that is being organized or arranged by the above-named Primary Trip Sponsor.
4.	Check one: a. My organization does not employ or retain a registered federal lobbyist or foreign agent <u>or</u> b. My organization employs a registered federal lobbyist or foreign agent, but their involvement in planning, organizing, or arranging the trip was <u>de minimis</u> under the travel regulations.
5.	I certify that I am not a registered federal lobbyist or foreign agent for any sponsor of this trip.
5.	I certify by my signature that the information contained in this form is true, complete, and correct to the best of my knowledge. Signature: Name: William Moore Title: Executive Director
	Name: William Moore Title: Executive Director
	Organization: The Eleanor Crook Foundation
	Address: 227 N. Mitchel Ave., San Marcos, TX 78666
	Address: 227 N. Mitchel Ave., San Marcos, TX 78666 Telephone number: (919) 218-5610 Email: william@eleanorcrookfoundation.or
f	there are any questions regarding this form please contact the Committee at the following address:
	Committee on Ethics U.S. House of Representatives 1015 Longworth House Office Building Washington, DC 20515 (202) 225-7103 (phone) (202) 225-7392 (general fax)

Theodore E. Deutch, Florida Chairman Kenny Marchant, Texas Ranking Member

Grace Meng, New York Susan Wild, Pennsylvania Dean Phillips, Minnesota Anthony Brown, Maryland

John Rateliffe, Texas George Holding, North Carolina Jackie Walorski, Indiana Michael Guest, Mississippi



ONE HUNDRED SIXTEENTH CONGRESS

U.S. House of Representatives

COMMITTEE ON ETHICS

Thomas A. Rust
Staff Director and Chief Counsel

David W. Arrojo Counsel to the Chairman

Christopher A. Donesa Counsel to the Ranking Member

1015 Longworth House Office Building Washington, D.C. 20515–6328 Telephone: (202) 225–7103 Fuesimile: (202) 225–7392

August 8, 2019

The Honorable Fred Upton U.S. House of Representatives 2183 Rayburn House Office Building Washington, DC 20515

Dear Colleague:

Pursuant to House Rule 25, clause 5(d)(2), the Committee on Ethics hereby approves your and your spouse's proposed trip to Rwanda, scheduled for August 12 to 19, 2019, sponsored by Aspen Institute, Inc., with financial support from Bill & Melinda Gates Foundation, Henry Luce Foundation, Rockefeller Brothers Fund, Democracy Fund, and Eleanor Crook Foundation.

You must complete a Member/Officer Post-Travel Disclosure Form and file it, together with a Sponsor Post-Travel Disclosure Form completed by the trip sponsor, with the Clerk of the House within 15 days after your return from travel. As part of that filing, you are also required to attach a copy of this letter and both the Traveler and Primary Trip Sponsor Forms (including attachments) you previously submitted to the Committee in seeking pre-approval for this trip. You must also report all travel expenses totaling more than \$390 from a single source on the "Travel" schedule of your annual Financial Disclosure Statement covering this calendar year. Finally, Travel Regulation § 404(d) also requires you to keep a copy of all request forms and supporting information provided to the Committee for three subsequent Congresses from the date of travel.

Because the trip may involve meetings with foreign government representatives, we note that House Members may accept, under the Foreign Gifts and Decorations Act (FGDA), gifts "of minimal value [currently \$390] tendered as a souvenir or mark of courtesy" by a foreign government. Any tangible gifts valued in excess of minimal value received from a foreign government must, within 60 days of acceptance, be disclosed on a Form for Disclosing Gifts from Foreign Governments and either turned over to the Clerk of the House, or, with the written approval of the Committee, retained for official use.

Please be aware that the Committee's review of the proposed trip does not extend to either the security situation in the destination country or security related to foreign travel in general. We recommend you contact the Sergeant at Arms (SAA) for a safety and security briefing prior to your departure. SAA may be reached at (202) 226-2044 or ohsstaff@mail.house.gov. House travelers should also register for the U.S. State Department's Smart Traveler Enrollment Program at https://step.state.gov.

If you have any further questions, please contact the Committee's Office of Advice and Education at extension 5-7103.

Sincerely,

Theodore E. Deutch Chairman Kenny Marchant Ranking Member

TED/KM:adw

Answer to Question 4 - House Ethics Primary Trip Sponsor Form Aspen

Institute - Ethiopia and Rwanda Conference - August 12-19, 2019

- 4. Provide names and titles of all House Members and employees you are inviting. For each House invitee, provide an explanation of why the individual was invited.
 - 1. Rep. Nanette Barragan, CA, Committee on Energy and Commerce
 - 2. Rep. Emanuel Cleaver, MO, Committee on Financial Services
 - 3. Rep. Susan Davis, CA, Committee on Armed Services
 - 4. Rep. Diana DeGette, CO, Committee on Energy and Commerce
 - 5. Rep. Mark DeSaulnier, CA, Committee on Education and Labor
 - 6. Rep. Neal Dunn, FL, Committee on Agriculture
 - 7. Rep. Brian Fitzpatrick, PA, Committee on Foreign Affairs
 - 8. Rep. Bill Foster, IL, Committee on Science, Space and Technology
 - 9. Rep. Lois Frankel, FL, Committee on Appropriations
 - 10. Rep. John Garamendi, CA, Committee on Armed Services
 - 11. Rep. Kay Granger, TX, Committee on Appropriations
 - 12. Rep. Brian Higgins, NY, Committee on Ways and Means
 - 13. Rep. Chrissy Houlahan, PA, Committee on Foreign Affairs
 - 14. Rep. Hakeem Jeffries, NY, Committee on Budget
 - 15. Rep. Rick Larsen, WA, Committee on Armed Services
 - 16. Rep. Barbara Lee, CA, Committee on Appropriations
 - 17. Rep. Dan Kildee, MI, Committee on Budget
 - 18. Rep. Kathleen Rice, NY, Committee on Homeland Security
 - 19. Rep. Martha Roby, AL, Committee on Appropriations
 - 20. Rep. Bobby Rush, IL, Committee on Energy and Commerce
 - 21. Rep. Jan Schakowsky, IL, Committee on Budget
 - 22. Rep. Norma Torres, CA, Committee on Appropriations
 - 23. Rep. Fred Upton, MI, Committee on Energy and Commerce
 - 24. Rep. Ann Wagner, MO, Committee on Foreign Affairs



Dan Glickman

Executive Director

Congressional Program

The Honorable Fred Upton U.S. House of Representatives Washington, DC 20515

Dear Fred:

I would like to invite you and Amey to participate in a congressional conference on *Africa's Economic, Security, and Development Challenges and the U.S. Role,* August 12-20, 2019 (including travel), in Ethiopia and Rwanda. We have limited space and must hear from you proactively as soon as possible if you wish to participate.

Over the last decade, Ethiopia has enjoyed high economic growth rates yet still remains one of the ten poorest countries in the world. Rwanda has made great progress since the 1994 genocide and is seen as a development success story. The different governance stories in each will also be addressed. We will go on many educational site visits, which will give you the opportunity to see firsthand examples of U.S. foreign development assistance across many sectors, including health, water resources, food security, and others. We hope to meet with the leaders of these countries, as well as U.S. ambassadors and Peace Corps volunteers.

We will have a mix of contributors from Africa as well as prominent American scholars to enhance our discussions and site visits. A tentative agenda outline is attached.

Attendance is by invitation only, with no outside observers, lobbyists, or congressional staff. Funding is provided solely by grants from established foundations—no government, individual, corporate or special interest funds are accepted.

Under guidelines established by the House Committee on Standards of Official Conduct, we will provide you with a signed Private Sponsor Travel Certification Form affirming that no lobbyists fund this activity, that the Aspen Institute does not hire or retain lobbyists, and that no lobbyists will be present. Our purpose is education, not advocacy.

This program is designed for Members of both the Senate and the House. Please note that because the House has adopted rules that preclude registered lobbyists from attending our events, if your accompanying spouse or family member is a registered lobbyist, the rules would bar their participation.

Departure will be on Monday, August 12th and we will return on Tuesday, August 20th. Should you accept the invitation, it is required that you participate through the duration of the conference.



Suite 700

Washington, DC 20037

PH 202.736.5825

FX 202.785-2737

www.aspeninstitute.org

Page Two

Travel expenses, including business class airfare, meals, lodging, and meeting facilities will be paid by the Aspen Institute Congressional Program. Under the ethics rules, no expenses are covered for entertainment or recreation. Congressional participants are limited to only one accompanying family member.

The Aspen Institute, an independent, nonprofit organization founded in 1950, provides a nonpartisan, neutral forum for leaders to discuss critical issues. The Institute's Congressional Program is designed to promote leadership on selected public policy issues in the Congress by bringing legislators together with internationally-recognized scholars and analysts in high-level discussion. Since the program's inception, over 450 Members of Congress have participated in the 139 conferences we have conducted.

Additionally, we hold breakfast meetings in the Capitol throughout the year to keep Members of Congress up-to-date between our annual conferences. We hope you will be able to participate in the extended educational offerings of our breakfast series.

If you have any questions, please feel free to call me at 202-736-5825.

We hope you will join us for this important conference.

Sincerely,

Dan Glickman

Executive Director

Aspen Institute Congressional Program

AFRICA'S ECONOMIC, SECURITY, AND DEVELOPMENT CHALLENGES AND THE U.S. ROLE

The Aspen Institute Congressional Program August 12-19, 2019

Kigali, Musanze, and Muhanga, Rwanda

CONFERENCE AGENDA

Monday, August 12

American participants depart the U.S. for Rwanda Rep. Fred Upton and Amey Upton depart Dublin at 5:05 PM on Ethiopian flight 713

TUESDAY, AUGUST 13

All participants arrive in Kigali, Rwanda Rep. Fred Upton and Amey Upton arrive in Kigali at 12:40 PM on Ethiopian flight 807

6:00 PM - 8:00 PM Working Dinner Scholars and Members of Congress will explore covered topics. Seating is arranged to expose participants to a diverse range of views and provide opportunity for a meaningful exchange of ideas. Scholars and lawmakers are rotated daily.

WEDNESDAY, AUGUST 14

7:00 AM

Breakfast is available

8:00 AM — 9:00 AM Breakfast Remarks and Discussion THE RWANDAN GENOCIDE: RECOVERING FROM NATIONAL TRAUMA Nations all over the world have wrestled with ethnic strife and internal conflict and have taken various routes to reconciliation, some more successful than others. Women played a key role in the rebuilding of the country in the aftermath of the 1994 genocide (when 70 percent of the remaining population was women). We will hear from a leading scholar of Rwandan history and politics on the genocide's effects on the country's policies, politics and development.

Jennie Burnet, Associate Professor, Georgia State University

9:00 AM - 9:30 AM

Transit to Kigali Genocide Memorial

9:30 AM - 11:00 AM Educational Site Visit

KIGALI GENOCIDE MEMORIAL

In 1994 Rwanda suffered a massive ethnic conflict resulting in the deaths of 800,000 over a 100-day period. How Rwandans

reconciled with each other and recovered from this tragic period also informs the country's contemporary development and state of politics. The purpose of this visit to the Memorial, where 250,000 victims are buried, is intended to provide Members of Congress the historical context of the conflict and the magnitude of its impact. This understanding of the tragedy and the role development assistance has played in bringing the country from the depth of devastation to a model of recovery is essential as they develop federal policy.

Serge Rwigamba, head guide, Kigali Genocide Memorial

11:00 AM - 11:30 AM

Return transit to hotel

12:00 PM — 1:00 PM *Working Lunch*

Discussion continues between Members of Congress and scholars on the challenges for U.S. policy in Africa in the context of recovery from the Rwandan genocide.

1:30 PM - 2:00 PM

Transit to Gahaya Links

2:00 PM - 3:30 PM Fducational Site Visit

GAHAYA LINKS – TRANSFORMING WOMEN, TRANSFORMING RWANDA

We will visit Gahaya Links, which is an initiative started by two sisters who survived the Rwandan genocide in refugee camps in Uganda. The young girls returned to Rwanda as adults and realized women needed jobs, income and social support. They started this handicraft export company which now is a multimillion dollar enterprise that helps sustain a network of 5,000 weavers in 52 cooperatives around the country whose work is exported thanks to the Africa Growth and Opportunity Act (AGOA) passed by the U.S. Congress. Sisters Joy Ndungutse and Janet Nkubana will explain their success story that has provided income and opportunity for Rwandan women through traditional weaving. This is a great example of how U.S. policy (AGOA) has a positive impact on Rwanda's economic development and women's empowerment.

Joy Ndungutse, CEO and Founder

3:30 PM - 4:00 PM

Return transit to hotel

6:00 PM - 7:00 PM Pre-Dinner Remarks and Discussion THE PERSPECTIVE FROM THE U.S. EMBASSY

Belinda Jackson Farrier, Charge d'Affaires Gene MacDonald, Rwanda Country Director Centers for Disease Control Leslie Marbury, USAID Mission Director Beth Smith, Political Officer 7:00 PM — 8:30 PM Working Dinner Scholars and Members of Congress will explore covered topics. Seating is arranged to expose participants to a diverse range of views and provide opportunity for a meaningful exchange of ideas. Scholars and lawmakers are rotated daily.

THURSDAY, AUGUST 15

7:00 AM - 8:30 AM

Breakfast is available

8:45 AM - 9:00 AM

INTRODUCTION AND FRAMEWORK

The American and African scholars for the conference will be briefly introduced. This segment will highlight how the conference will be conducted, how those with questions will be recognized and how responses will be timed to allow for as many questions and answers as possible. This format is especially important to guarantee full participation with so many knowledgeable and experienced people around the table.

William Nell, Deputy Director, Aspen Institute Congressional Program

9:00 AM - 11:00 AM Roundtable Discussion

THE STATE OF DEMOCRACY, CIVIL SOCIETY, AND HUMAN RIGHTS IN AFRICA AND THE ROLE THEY PLAY IN DEVELOPMENT

Is Democracy a precondition for sustained economic growth? Political theorists have wrestled with this question. The governance record in Africa lists a minority of countries as democracies. Freedom House ranks the majority of African countries as "not free" or "partly free." Few feature inclusive political structures, and many institutions are weak in the face of authoritarian tendencies. What is the relationship of governance to economic development and what is its relevance to American foreign policy?

Steve Radelet, Director, Global Human Development Program, Walsh School of Foreign Service, Georgetown University

11:30 AM - 11:50 AM

Members of Congress travel to meeting with Rwanda's President

12:00 PM - 1:50 PM

RWANDA'S CHALLENGES AND AMERICAN INTERESTS

Paul Kagame, President of Rwanda

1:50 PM - 2:10 PM

Members of Congress travel back from meeting with Rwanda's President

2:10 PM — 3:00PM Working Lunch Discussion continues between Members of Congress and scholars on the challenges for U.S. policy regarding Africa's governance, inequality, food and population challenges.

3:00 PM - 5:00 PM

NUTRITION, FOOD SECURITY & AFRICA'S ABILITY TO FEED ITS GROWING POPULATION AND THE LINKS TO U.S. INTERESTS

Seventy percent of Africa's farmers are smallholders, many of them women. While there are large and highly productive farm operations in almost every country, raising the productivity of smallholders as a way to pull them out of poverty has been the objective of donors and aid implementers for a number of years, including that of the U.S. Feed the Future initiative. Rwanda has made great strides over the last decade to increase farm yield and reduce hunger. With Africa's considerable natural resources, many believe that the continent is quite able to feed itself and to become a food exporter. But, fast population growth together with growing preferences for protein-rich diets, increasing urbanization, and environmental stresses will put pressures on farm yield. Severe weather events and bad governance, including conflicts in some countries, have produced some of the world's worst humanitarian. crises in which access to food is made difficult. The Feed the Future program seeks to raise productivity, empower women farmers, and raise family income, particularly in those countries that make similar commitments. Rwanda was a Feed the Future country since the beginning of the program in 2010. In 2017, the initial set of 19 focus countries was reduced to 12 with Rwanda no longer included. Two African scholars will address the nutrition challenges and food security situation in Africa and its connection to U.S. policy.

Amy Beeler, Economic Growth Officer, USAID, Kigali
Tsinuel Girma Nigatu, Professor,
Jimma University, Jimma, Ethiopia
Tadele Gelan, Deputy Chief of Party,
Alliance for a Green Revolution in Africa

6:30 PM - 9:00 PM Working Dinner Scholars and Members of Congress will explore covered topics. Seating is arranged to expose participants to a diverse range of views and provide opportunity for a meaningful exchange of ideas. Scholars and lawmakers are rotated daily.

FRIDAY, AUGUST 16

7:00 AM - 8:45 AM

Breakfast is available

9:00 AM - 10:45 AM Roundtable Discussion U.S. Interests and Policies in Sub-Saharan Africa: Change or Status Quo?

Sub-Saharan Africa comprises 49 diverse countries, each with its own histories, levels of economic and political development, cultures, and languages. Since gaining independence from European powers, many have experienced conflict and poverty, while others have made great strides toward achieving middle income status. The United States, Europe, China and Russia consider Africa as having strategic value for its oil, mineral

resources, and land. It is a continent that also poses threats to American and global interests such as a source of terrorism, concerns about disease, food security, and refugees, to name a few.

A number of current and ongoing challenges confront countries on the continent. Droughts, conflicts and bad governance contribute to situations that have put more than 65 million people at risk of famine and have added migration pressures on Europe. Terrorist groups, such as al-Qaeda, Al-Shabaab and Boko Haram take advantage of ungoverned territories, often requiring U.S. military involvement. Weak health systems allow disease outbreaks to spread across borders and from one continent to another. Rapid population growth is predicted to double Africa's population in the next 30 years, putting enormous pressures on every aspect of society, from unemployment to food insecurity to energy demand. The United States has responded to these challenges with robust foreign assistance programs primarily targeted at health (the President's Emergency Plan for AIDs Relief - PEPFAR, the President's Malaria Initiative, and maternal and childhood health programs), agriculture (Feed the Future), and energy (Power Africa), in addition to democracy and security programs.

Africa also presents opportunities. Some countries in Africa have experienced very high annual economic growth rates and represent expanding markets for U.S. companies to access new customers and new sources of natural resources. Initiatives sparked by AGOA, which we will see this week, are illustrative. The number of democracies has grown on the continent although authoritarian regimes in some states restrict the rights of their citizens and the press. The United States has taken a strong interest in Africa with a large number of development and trade initiatives. A military command, AFRICOM, was created in 2006 to work with African militaries and to respond to humanitarian crises.

These initiatives are under review by the administration as some officials have signaled their skepticism of U.S. aid and development programs, suggesting that it is time to rethink how the United States engages with developing countries. Others believe that proposals to cut assistance and transition countries off assistance will have negative impacts on economic growth and with our bilateral relations with countries in the region. In this roundtable, U.S. and African scholars will offer their thoughts on how U.S. policies have affected the region and the opportunities and challenges present for the United States to move forward.

Dr. Agnes Binagwaho, former Rwandan Minister of Health, Kigali Steve Radelet, Director, Global Human Development Program, Walsh School of Foreign Service, Georgetown University

10:45 AM — 12:30 PM Roundtable Discussion

WHY A HEALTHY AFRICA IS IN AMERICA'S INTERESTS

The outbreak of Ebola in West Africa demonstrated the weaknesses in some countries' health systems, and the incidents of Ebola in the Congo, now the worlds' second deadliest outbreak with over 1,600 deaths in the last 12 months, have heightened awareness of the fragility of the health care in many African countries. The World Health Organization has declared the Congo outbreak a global health emergency. Rwanda has institute strict monitoring at its borders and has trained its medical professionals to be prepared if called upon to respond.

At the height of the HIV/AIDS epidemic, Sub-Saharan Africa was considered the poster child of bad practices with regard to disease management and treatment. Since the advent of PEPFAR, the President's Emergency Plan for AIDS Relief — an initiative that was equally shaped by Congress, prevalence rates have stabilized and in some cases declined and effective treatment has extended lives. African countries are increasingly taking on a greater role in HIV/AIDS prevention and treatment leading some U.S. policy makers to believe some countries can be transitioned off PEPFAR funding. Other diseases, such as malaria and tuberculosis, continue to pose serious problems.

Tackling chronic hunger and related stunted growth of children has become a major focus of aid programs. At the same time, obesity is becoming more prevalent as wealthier populations adopt a more western-style diet. Doctors Epstein, Nsanzimana, Sebisaho and John McKay will address why a healthy Africa is in America's interests.

Dr. Jonathan Epstein, Vice President, Ecohealth Alliance, New York John McKay, Health Officer, U.S. Embassy, Kigali Dr. Sabine Nsanzimana, Director General, Rwandan Biomedical Center Dr. Jacques Sebisaho, Founder, Amani Global Works, Lake Kivu, Democratic Republic of the Congo

12:30 PM – 2:00 PM *Working Lunch*

WOMEN'S EMPOWERMENT IN RWANDA

Women lack an equal share in the political decision-making in many African counites. Rwanda is a notable exception with the highest percentage of women in its national legislature of any country, over 60%. Is there a quota system contributing to this outcome? Do women face any different barriers to political office? Does a female majority in the legislature impact the types of issues lawmakers consider? This discussion will feature female Rwandan

parliamentarians who will review these and other aspects of the role of women in Africa's governance.

Senator Marguerite Nyagahura, Vice Chair, Committee on Social Affairs and Human Rights Rep. Emma Rubagumya, Chair, Committee on Political Affairs and Gender Rep. Odette Umawariya, Deputy Chair, Committee on Economy and Trade

2:15 PM - 2:30 PM

Transit to hospital

2:30 PM - 4:00 PM Educational Site Visit

CHUK HOSPITAL AND HIV TREATMENT CENTER

The CHUK hospital has a 395-bed capacity and offers emergency care, intensive and high-dependency care, and surgical care. CHUK's HIV dedicated clinic is currently treating over 600 children under the age of 15, and 2,700 adults. Of those patients, 247 children and 2,340 adults are currently on Anti-Retroviral treatment (ARV). Ten years ago 90% of the patients seen at CHUK were there for HIV/AIDS treatment, and the hospital suffered from chronic overcrowding. Today, as a result of the progress made in treating AIDS, most of the hospital's HIV patients are for outpatient treatment, and the hospital has worked to disburse them throughout the entire facility, rather than in a centralized HIV/AIDS clinic, in order to reduce the stigma. Its Center for Pediatric Excellence has a cohort of HIV patients that receive treatment and counseling services. Throughout the visit we will hear from doctors and nurses treating patients and at the Pediatric Center we will have the opportunity to talk with patients about their experience living with AIDS in Rwanda. We will hear testimonials from patients whose lives have benefitted from this American involvement to reduce the HIV-AIDS impact in Africa.

We will also incorporate into this visit an explanation about innovative medical work done to make male circumcision easier. Numerous studies have shown that circumcision cuts HIV/AIDS transmission by 60%. Medical research at a separate military hospital which partners with the U.S. Department of Defense in Kigali has developed a nonsurgical, effective method for circumcision without anesthetic utilizing a \$12 ring device, which has been used successfully by 130,000 Rwandan men in a program called Voluntary Medical Male Circumcision. This program receives \$3 million annually in U.S. support through the Department of Defense.

Cases of Severe Acute Malnutrition (SAM) are also treated at CHUK hospital. Half of all deaths of children under five years old are from

malnutrition. Dr. Sabin Nsanzimana, Director General of the Rwanda Biomedical Center, who will be participating with us throughout our time in Rwanda, will explain what steps are being taken to address the SAM patients at the hospital, with implications for broader treatment of malnutrition.

Patients in hospitals in Africa have to depend on family members or outside sources for food. Isabelle Kamariza founded an organization called Solid'Africa to do just that, and her group operates at the CHUK hospital. She will be with us and will explain her project.

Dr. Sabin Nsanzimana, Director General, Rwanda Biomedical Center, Kigali Dr. Lisine Tuyisenge, CHUK Hospital, Kigali Canisius Musoni, Centers for Disease Control Isabelle Kamariza, Founder and Director, Solid'Africa, Kigali

4:00 PM - 4:15 PM

Return transit to hotel

6:00 PM - 7:00 PM Pre-Dinner Remarks

THE ROLE OF WOMEN IN AFRICA'S DEVELOPMENT

Women represent half the African population but they contribute only 37% of the GDP. Yet 27% of women in Africa create a business—the highest rate on a global scale. Women are and continue to be a powerful driving force in Africa's development, despite having to overcome huge disadvantages of gender bias, disparities in educational opportunities (African men are twice as likely to have completed secondary education as women and only 51% of African women over 18 are literate compared to 68% of men), limited access to support structures such as finance and bearing disproportionate responsibilities for child raising (Africa's average birthrate is the highest in the globe). We will hear testimonials from two successful African women whose stories are inspirational examples of the potential for women's role in Africa's development.

Agnes Igoye, Deputy National Coordinator, Prevention of Trafficking in Persons, Kampala Isabelle Kamariza, President, Solid'Africa, Kigali Dorothy Tuma, Chair, East African Women in Business, Kampala

7:00 PM — 8:30 PM Working Dinner Scholars and Members of Congress will explore covered topics. Seating is arranged to expose participants to a diverse range of views and provide opportunity for a meaningful exchange of ideas. Scholars and lawmakers are rotated daily. We will be joined by three female Members of the Rwandan Parliament.

SATURDAY, AUGUST 17

6:30 AM - 7:30 AM

Breakfast is available

7:30 AM - 9:45 AM

Depart hotel and travel by minibus to Musanze, 45 miles on paved road, for site visits. On-board commentary and discussion provided by accompanying scholars during transit to site visits to ensure that Members of Congress have an understanding of the development challenges we will observe in today's three visits.

9:45 AM - 10:00 AM

Refreshment stop at hotel in Musanze

10:00 AM - 10:10 AM

Continue transit to hydropower plant

10:10 AM - 11:10 AM Educational Site Visit

MICRO-HYDROPOWER PROJECT

Much of Rwanda still lacks a reliable source of electricity. USAID's Power Africa initiative, a program authorized by Congress, is designed to help economic development by assisting in the generation of electrical power to help lift Africans out of poverty. For example, Ethiopia is considering a massive geothermal power generation project. Rwanda has a major solar farm south of Kigali, and a method of generating power from methane gas extracted from nearby Lake Kivu, a process unique in the world, which supplies one-fourth of the country's power.

Just south of Musanze we will visit a small micro-hydro power plant on the Mpenge River, which provides 1% of the Rwanda's electrical power needs. This project was made possible by a USAID-funded \$500,000 feasibility study, which then was the basis for a small group of U.S. investors to raise the capital for the \$15 million project, which sells power to Rwanda's national utility. Chad Bannick, a Minnesota native, is one of these American investors and he will explain the project.

Chad Bannick, CEO, DC Frontier

11:10 AM - 11:20 AM

Transit to next site

11:20 AM — 12:50 PM Educational Site Visit **EXPANDING AFRICA'S AGRICULTURAL PRODUCTION**

With over 70% of Rwandans reliant on agriculture production for their sustenance and livelihood, increasing yields is a key to economic development and better health. One approach of USAID's Feed the Future program provides assistance to smallholder farmers through a series of agriculture extension agents who receive training in better practices that these agents convey to their own agricultural communities. Practices taught include how to plant seeds more effectively, using hybrid seeds that provide higher yield, effective water distribution and use, and the basics of agricultural management practices such as sound use of fertilizer. We will visit one rural community of smallholder farmers to learn how they have benefitted from

these programs. USAID is spending \$38 million in this activity in Rwanda over a five-year period of 2015-2020. Last year, the number of Feed the Future focus countries was reduced from 19 to 12, removing Rwanda.

John Ames, Chief of Party, Twiyubake Program, Global Communities Rwanda William Herkewitz, USAID, Rwanda

12:50 PM - 1:00 PM

Transit to refreshment stop at hotel in Musanze

1:00 PM - 1:15 PM

Refreshment stop at hotel in Musanze

1:15 PM - 1:25 PM

Transit to poultry project

1:25 - 2:10 PM Educational Site Visit

POULTRY PROMOTION PROJECT

Production of chickens for human consumption is not widely done in Rwanda. However, chicken meat is a good source of animal protein, and with nearly 40% of the Rwandan population suffering various levels of stunted growth from lack of protein, USAID has embarked on a three-year program to jumpstart poultry production. This project, Feed the Future Tworore Inkoko, Twunguke, is a three-way effort with \$834,000 from USAID with additional support from the University of Tennessee Institute of Agriculture and the African Sustainable Agriculture Project (the humanitarian philanthropic arm of Tyson's Chickens in the U.S.). This project identifies 750 smallholder Rwandan farmers who will each receive 100 broiler chickens, training from American expertise on how to raise chickens, and assistance in building chicken coops. The project supports a chicken feed supply chain that can service the entire country. The concept is to foster a wider use of chicken raising, and chicken consumption that will help the economic viability of these smallholder farmers and increase the nutrient intake in the Rwandan diet.

> Katie McGehee, Director, African Sustainable Agriculture Project

2:10 PM - 4:40 PM

Return transit to hotel in Kigali. On-board commentary and discussion between scholars and Members about their observations from the day's site visits.

6:30 PM — 9:00 PM Working Dinner Scholars and Members of Congress will explore covered topics. Seating is arranged to expose participants to a diverse range of views and provide opportunity for a meaningful exchange of ideas. Scholars and lawmakers are rotated daily. We will be joined this evening by four Peace Corps volunteers posted in Rwanda, who can give direct reports about their work.

Gabrielle Abesamis of Illinois; Mari Grover of Michigan; Nile Lang of California; Grace Lovell of California; Gustavo Fierros of California

SUNDAY, AUGUST 18

6:30 AM - 7:45 AM

Breakfast is available

7:45 AM - 8:45 AM

Transit to Site Visit in Muhanga, 30 miles west of Kigali on paved road

8:45 AM - 9:45 AM Educational Site Visit

ZIPLINE: DRONE DELIVERY OF MEDICINE—AMERICAN INNOVATION IMPROVING HEALTH CARE DELIVERY IN RURAL RWANDA

Keeping medical equipment supplied to rural clinics throughout Africa has always been a challenge with poor roads and being able to precisely calibrate supply and demand with the shelf life of provisions that often require refrigeration. We will see American technology applied in an innovative way to address this problem. Zipline, an American company started in Half Moon Bay outside of San Francisco, operates a fleet of drones from two launching bases in Rwanda, which can deliver vital medicines and blood to rural clinics on short notice within a half hour of receiving an order. We will witness the launcher, which boosts the lightweight battery-operator drones into the air, which fly on a computer guided path at 60-80 miles per hour and have a range of up to 100 miles roundtrip with a payload of up to 4 pounds. The shipment is dropped by parachute in a precise landing area near the recipient clinic. This project receives support from the Department of Defense Innovation Unit as the Pentagon is interested in seeing its applicability. GAVI, the Vaccine Alliance, also supports this initiative. Since operations began three years ago, over 10,000 deliveries have been made, at a rate of approximately 30 per day. The Rwandan government pays Zipline for this service.

> Israel Bimpe, Manager, Zipline National Implementation; Lawrence Williams, Director of Global Business Development, Zipline International, Muhanga, Rwanda

9:45 AM - 10:45 AM

Transit to site visit, return on the same paved road to the outskirts of Kigali

10:45 AM — 11:30 AM Educational Site Visit TACKLING KIGALI'S CLEAN WATER CHALLENGES WITH AMERICAN TECHNOLOGY AND EXPERTISE

We will visit a new water treatment plant which represents a \$35 million investment by the Illinois-based American firm, Culligan Water. This plant draws water out of the Nyabarongo river (one of Kigali's main water sources) and filters out the heavy sedimentation through a process of settling ponds, disinfection, and filtration, to turn brown unpotable water into clean drinking water that will be sold to the Kigali municipal water system. This is an example of American technology and expertise being utilized to bring clean water to the city of Kigali.

Tahir Guzel, Chief Operating Officer, Culligan Water International, Kigali

11:30 AM - 11:50 AM

Transit back to hotel

11:50 AM - 1:00 PM

Luncheon Remarks

POLICY REFLECTIONS AND THE U.S. ROLE

Members of Congress will have an opportunity to further discuss observations and conclusions about U.S. policy drawn from their experience this week.

William Nell, Deputy Director, Aspen Institute Congressional Program

1:00 PM - 1:45 PM

Checkout from hotel and board bus

1:45 PM - 2:15 PM

Participants depart for the Airport for return flight to U.S.

Rep. Fred Upton and Amey Upton depart Kigali at 4:55 PM on Ethiopian flight 816

Monday, August 19

Participants arrive in U.S.

Rep. Fred Upton and Amey Upton arrive in Grand Rapids at 1:24 PM on United flight 5643