



U.S. House of Representatives

COMMITTEE ON ETHICS

Member / Officer Post-Travel Disclosure Form Original Amendment

This form is for disclosing the receipt of travel expenses from a private source for travel taken in connection with a Member or officer's official duties. This form does not eliminate the need to report privately-funded travel on the Member or officer's annual *Financial Disclosure Statement*. In accordance with House Rule 25, clause 5, **you must complete this form and file it with the Clerk of the House, B-81 Cannon House Office Building, within 15 days after travel is completed.** Please **do not** file this form with the Committee on Ethics.

NOTE: Willful or knowing misrepresentations on this form may be subject to criminal prosecution pursuant to 18 U.S.C. § 1001.

1. Name of Traveler: Rep. Maxine Waters
2. a. Name of Accompanying Relative: Sidney Williams OR None
 b. Relationship to Traveler: Spouse Child Other (specify): _____
3. a. Dates: Departure: February 21, 2019 Return: February 22, 2019
 b. Dates at Personal Expense, if any: _____ OR None
4. Departure City: Los Angeles, CA Destination: New York, NY Return City: Los Angeles, CA
5. Sponsor(s), Who Paid for the Trip: Rainbow PUSH Coalition
6. Describe Meetings and Events Attended (attach additional pages if necessary): _____
Keynote speaker at Rainbow PUSH Wall Street Project Economic Summit Business Luncheon.

7. Attached to this form are **each** of the following, *signify that each item is attached by checking the corresponding box*:
 - a. a completed *Sponsor Post-Travel Disclosure Form*;
 - b. the *Primary Trip Sponsor Form* completed by the trip sponsor **prior** to the trip, **including all** attachments **and** the *Grantmaking or Non-Grantmaking Sponsor Forms*;
 - c. page 2 of the completed *Traveler Form* submitted by the Member or officer; **and**
 - d. the letter from the Committee on Ethics approving my participation on this trip.
8. a. I represent that I participated in each of the activities reflected in the attached sponsor's agenda. **Signify statement is true by checking the box:**
 b. If not, explain: _____

OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES
2019 MAR -7 AM 10:44
LEGISLATIVE RESOURCE CENTER

I certify that the information contained in this form is true, complete, and correct to the best of my knowledge. I have determined that all of the expenses on the attached *Sponsor Post-Travel Disclosure Form* were necessary and that the travel was in connection with my duties as a Member or officer of the U.S. House of Representatives and would not create the appearance that I am using public office for private gain.

Member / Officer Signature:

Date: March 6, 2019



U.S. House of Representatives

COMMITTEE ON ETHICS

Sponsor Post-Travel Disclosure Form

Original Amendment

This form must be completed by an officer of any organization that served as the primary trip sponsor in providing travel expenses or reimbursement for travel expenses to House Members, officers, or employees under House Rule 25, clause 5. A completed copy of the form must be provided to each House Member, officer, or employee who participated on the trip *within ten days of their return*. You must answer all questions, and check all boxes, on this form for your submission to comply with House rules and the Committee's travel regulations. Failure to comply with this requirement may result in the denial of future requests to sponsor trips and/or subject the current traveler to disciplinary action or a requirement to repay the trip expenses.

NOTE: Willful or knowing misrepresentations on this form may be subject to criminal prosecution pursuant to 18 U.S.C. § 1001.

1. Sponsor(s) who paid for the trip: Rainbow PUSH Coalition

2. Travel Destination(s): New York, NY

3. Date of Departure: February 21, 2019 Date of Return: February 22, 2019

4. Name(s) of Traveler(s): Congresswoman Maxine Waters and Sidney Williams

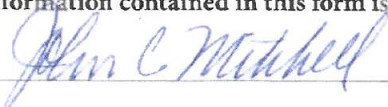
Note: You may list more than one traveler on a form only if *all* information is *identical* for each person listed.

5. Actual amount of expenses paid on behalf of, or reimbursed to, each individual named in Question 4:

	Total Transportation Expenses	Total Lodging Expenses	Total Meal Expenses	Total Other Expenses (dollar amount per item and description)
Traveler	Points were used for airfare	\$463.70		
Accompanying Family Member	Points were used for airfare			

6. All expenses connected to the trip were for actual costs incurred and not a *per diem* or lump sum payment. Signify statement is true by checking box:

I certify that the information contained in this form is true, complete, and correct to the best of my knowledge.

Signature:  Date: 3/5/19

Name: John Mitchell Title: Chief of Staff

Organization: Rainbow PUSH Coalition

I am an officer of the above-named organization. Signify statement is true by checking box:

Address: 930 East 50th Street, Chicago, IL 60615

Telephone: 773-383-9589 Email: jmitchell@rainbowpush.org

Committee staff may contact the above-named individual if additional information is required.

If you have questions regarding your completion of this form, please contact the Committee on Ethics at 202-225-7103.



U.S. House of Representatives

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TRAVELER FORM

1. Name of Traveler: Rep. Maxine Waters

2. Sponsor(s) who will be paying for the trip: The Abyssinian Baptist Church

3. Travel Destination(s): New York, NY

4. a. Date of Departure: March 9, 2019 Date of Return: March 10, 2019

b. Will you be extending the trip at your personal expense? Yes No

If yes, list dates at personal expense: _____

5. a. Will you be accompanied by a family member at the sponsor's expense? Yes No **If yes:**

(1) Name of Accompanying Family Member: _____

(2) Relationship to Traveler: Spouse Child Other (specify): _____

(3) Accompanying Family Member is at least 18 years of age: Yes No

6. a. Did the trip sponsor answer "Yes" to Question 8(c) on the *Primary Trip Sponsor Form* (i.e., travel is sponsored by an entity that employs a registered federal lobbyist or a foreign agent)? Yes No

b. **If yes**, and you are requesting lodging for two nights, explain why the second night is warranted:

7. *Primary Trip Sponsor Form* is attached, including agenda, invitee list, and any other attachments and contributing sponsor forms: Yes No

NOTE: The agenda should show the traveler's individual schedule, including departure and arrival times and identify the specific events in which the traveler will be participating.

8. Explain why participation in the trip is connected to the traveler's individual official or representational duties. **Staff should include their job title and how the activities on the itinerary relate to their duties.**

Rep. Waters is being asked to deliver keynote as an African-American woman in Congress.

9. Is the traveler aware of any registered federal lobbyists or foreign agents involved planning, organizing, requesting, or arranging the trip? Yes No

10. For staff travelers, to be completed by your employing Member:

ADVANCED AUTHORIZATION OF EMPLOYEE TRAVEL

I hereby authorize the individual named above, an employee of the U.S. House of Representatives who works under my direct supervision, to accept expenses for the trip described in this request. I have determined that the above-described travel is in connection with my employee's official duties and that acceptance of these expenses will not create the appearance that the employee is using public office for private gain.

Signature of Employing Member _____ Date _____



U.S. House of Representatives

COMMITTEE ON ETHICS

Primary Trip Sponsor Form

This form should be completed by private entities offering to provide travel or reimbursement for travel to House Members, officers, or employees under House Rule 25, clause 5. A completed copy of the form (and any attachments) should be provided to each invited House Member, officer, or employee, who will then forward it to the Committee together with a *Traveler Form* at least 30 days before the start date of the trip. The trip sponsor should **NOT** submit the form directly to the Committee. The Committee website (ethics.house.gov) provides detailed instructions for filling out the form.

NOTE: Willful or knowing misrepresentations on this form may be subject to criminal prosecution pursuant to 18 U.S.C. § 1001. Failure to comply with the Committee's Travel Regulations may also lead to the denial of permission to sponsor future trips.

1. Sponsor who will be paying for the trip: Rainbow PUSH Coalition
2. I represent that the trip will not be financed, in whole or in part, by a registered federal lobbyist or foreign agent. Signify that the statement is true by checking box:
3. Check only one. I represent that:
 - a. The primary trip sponsor has not accepted from any other source, funds intended directly or indirectly to finance any aspect of the trip: OR
 - b. The trip is arranged without regard to congressional participation and the primary trip sponsor has accepted funds only from entities that will receive a tangible benefit in exchange for those funds: OR
 - c. The primary trip sponsor has accepted funds from other source(s) intended directly or indirectly to finance all or part of this trip and has enclosed disclosure forms from each of those entities.
If "c" is checked, list the names of the additional sponsors: _____
4. Provide names and titles of ALL House Members and employees you are inviting. For each House invitee, provide an explanation of why the individual was invited (include additional pages if necessary):
Cong. Maxine Waters to discuss challenges today's MWBE investment firms, etc. face.
5. Is travel being offered to an accompanying family member of the House invitee(s)? Yes No
6. Date of Departure: February 21, 2019 Date of Return: February 22, 2019
7. a. City of departure: Los Angeles
b. Destination(s): New York, NY
c. City of return: Los Angeles
8. Check only one. I represent that:
 - a. The sponsor of the trip is an institution of higher education within the meaning of section 101 of the Higher Education Act of 1965: OR
 - b. The sponsor of the trip does not retain or employ a registered federal lobbyist or foreign agent: OR
 - c. The sponsor employs or retains a registered federal lobbyist or foreign agent, but the trip is for attendance at a one-day event and lobbyist / foreign agent involvement in planning, organizing, requesting, or arranging the trip was *de minimis* under the Committee's travel regulations.
9. Check only one of the following:
 - a. I checked 8(a) or (b) above:
 - b. I checked 8(c) above but am not offering any lodging:
 - c. I checked 8(c) above and am offering lodging and meals for one night: OR
 - d. I checked 8(c) above and am offering lodging and meals for two nights: If you checked this box, explain why the second night of lodging is warranted: _____



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10. Attached is a detailed agenda of the activities House invitees will be participating in during the travel (i.e., an hourly description of planned activities for trip invitees). *Indicate agenda is attached by checking box:*
11. *Check only one of the following:*
- a. I represent that a registered federal lobbyist or foreign agent will not accompany House Members or employees on any segment of the trip. *Signify that the statement is true by checking box:* OR
 - b. *Not Applicable.* Trip sponsor is a U.S. institution of higher education:
12. For *each* sponsor required to submit a sponsor form, describe the sponsor's interest in the subject matter of the trip *and* its role in organizing and/or conducting the trip:
Rainbow PUSH Coalition and Citizenship Education Fund is hosting its 22nd Annual Wall Street Project Economic Summit in New York, NY from February 20-22, 2019. The conference theme is "400 Years Later: Closing the Wealth Gap, Expanding Opportunity"
13. *Answer parts a and b. Answer part c if necessary:*
- a. Mode of travel: Air Rail Bus Car Other (specify: _____)
 - b. Class of travel: Coach Business First Charter Other (specify: _____)
 - c. If travel will be first class, or by chartered or private aircraft, explain why such travel is warranted:

14. I represent that the expenditures related to local area travel during the trip will be unrelated to personal or recreational activities of the invitee(s). *Signify that the statement is true by checking box:*
15. *Check only one.* I represent that either:
- a. The trip involves an event that is arranged or organized *without regard* to congressional participation and that meals provided to congressional participants are similar to those provided to or purchased by other event attendees: OR
 - b. The trip involves events that are arranged specifically *with regard* to congressional participation:
 If "b" is checked:
 - 1) Detail the cost *per day* of meals (approximate cost may be provided): _____
 - 2) Provide the reason for selecting the location of the event or trip: _____
16. Name, nightly cost, and reasons for selecting each hotel or other lodging facility:
- | | | | | | |
|--------------------------|---|-------|-----------------|-----------------|-----------------|
| Hotel Name: | <u>Sheraton NY Times Square Hotel</u> | City: | <u>New York</u> | Cost Per Night: | <u>\$199.00</u> |
| Reason(s) for Selecting: | _____ | | | | |
| Hotel Name: | _____ | City: | _____ | Cost Per Night: | _____ |
| Reason(s) for Selecting: | _____ | | | | |
| Hotel Name: | _____ | City: | _____ | Cost Per Night: | _____ |
| Reason(s) for Selecting: | <u>It is the host hotel for the Summit.</u> | | | | |
17. I represent that all expenses connected to the trip will be for actual costs incurred and not a per diem or jump sum payment. *Signify that the statement is true by checking box:*



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COMMITTEE ON ETHICS

18. Total Expenses for each Participant:

<input type="checkbox"/> Actual Amounts <input checked="" type="checkbox"/> Good Faith Estimates	Total Transportation Expenses per Participant	Total Lodging Expenses per Participant	Total Meal Expenses per Participant
For each Member, Officer, or Employee	\$1,000	\$400.00	
For each Accompanying Family Member	\$1,000		

	Other Expenses (dollar amount per item)	Identify Specific Nature of "Other" Expenses (e.g., taxi, parking, registration fee, etc.)
For each Member, Officer, or Employee		
For each Accompanying Family Member		

NOTE: Willful or knowing misrepresentations on this form may be subject to criminal prosecution pursuant to 18 U.S.C. § 1001.

19. Check only one:

- a. I certify that I am an officer of the organization listed below: OR
 b. Not Applicable. Trip sponsor is an individual or a U.S. institution of higher education.

20. I certify that I am not a registered federal lobbyist or foreign agent for any sponsor of this trip.

21. I certify by my signature that the information contained in this form is true, complete, and correct to the best of my knowledge.

Signature: John C. Mitchell Date: 2/1/19

Name: John Mitchell

Title: Chief of Staff

Organization: Rainbow PUSH Coalition

Address: 930 East 50th Street, Chicago, IL

Telephone: 773-383-9589

Email: jmittell@rainbowpush.org

If there are any questions regarding this form, please contact the Committee at the following address:

Committee on Ethics
 U.S. House of Representatives
 1015 Longworth House Office Building, Washington, D.C. 20515
 Phone: 202-225-7103 General Fax: 202-225-7392



ONE HUNDRED SIXTEENTH CONGRESS

U.S. House of Representatives

COMMITTEE ON ETHICS

February 13, 2019

The Honorable Maxine Waters
U.S. House of Representatives
2221 Rayburn House Office Building
Washington, DC 20515

Dear Colleague:

Pursuant to House Rule 25, clause 5(d)(2), the Committee on Ethics hereby approves your and your spouse's proposed trip to New York, New York, scheduled for February 21 to 22, 2019, sponsored by Rainbow PUSH Coalition.

Committee travel regulations require that you submit your complete pre-trip approval request to the Committee at least 30 days before the commencement of the trip or it will not be granted. Despite your failure to do so in this instance, we are approving your current request. However, any future requests should adhere to the 30-day requirement.

You must complete a Member/Officer Post-Travel Disclosure Form and file it, together with a Sponsor Post-Travel Disclosure Form completed by the trip sponsor, with the Clerk of the House within 15 days after your return from travel. As part of that filing, you are also required to attach a copy of this letter and both the Traveler and Primary Trip Sponsor Forms (including attachments) you previously submitted to the Committee in seeking pre-approval for this trip. You must also report all travel expenses totaling more than \$390 from a single source on the "Travel" schedule of your annual Financial Disclosure Statement covering this calendar year. Finally, Travel Regulation § 404(d) also requires you to keep a copy of all request forms and supporting information provided to the Committee for three subsequent Congresses from the date of travel.

If you have any further questions, please contact the Committee's Office of Advice and Education at extension 5-7103.

Sincerely,

A handwritten signature in blue ink, appearing to read "Theodore E. Deutch".

Theodore E. Deutch
Chairman

A handwritten signature in blue ink, appearing to read "Kenny Marchant".

Kenny Marchant
Ranking Member

TED/KM:adw

TRIP AGENDA FOR CONGRESSWOMAN MAXINE WATERS

Thursday, February 21, 2019

- 11:30 PM Arrival at JFK Airport
- 11:30 PM Pickup (by Wall Street Project Team member) from JFK Airport and driven to hotel
Sheraton NY Times Square Hotel
811 7th Avenue at 53rd Street
New York, NY 10019

Friday, February 22, 2019

- 12:30 AM Approx. Arrival at hotel
- 12:10 PM Congresswoman Waters will be met in the hotel lobby by a member of the Wall Street Project Planning Team member who will escort her to the luncheon.
- 12:15 PM Arrive at the New York Ballroom, 3rd Floor, for the Wall Street Project Business Luncheon
- 12:30-2:30 PM Luncheon
Congresswoman Waters will be the keynote speaker and receive an award (exact time on stage TBD)
- 2:30 PM Luncheon ends
- 2:45 PM Escorted from Luncheon by Wall Street Project Team member to lobby
- 3:30 PM Approx. Departure from hotel and driven to JFK Airport by Wall Street Project Planning Team Member
- 6:15 PM Departure from JFK Airport