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U.S. House of Representatives Committee on Ethics

OFFICE OF THE CLERK U.S. HOUSE OF REPRESENTATIVES

MEMBER / OFFICER POST-TRAVEL DISCLOSURE FORM

This form is for disclosing the receipt of travel expenses from a private source for travel taken in connection with a Member or officer's official duties. This form does not eliminate the need to report privately-funded travel on the Member or officer's annual Financial Disclosure Statement. In accordance with House Rule 25, clause 5, you must complete this form and file it with the Clerk of the House, 135 Cannon House Office Building, within 15 days after travel is completed. Please do not file this form with the Committee on Ethics.

NOTE: Willful or knowing misrepresentations on this form may be subject to criminal prosecution pursuant to 18 U.S.C. § 1001.

passed .	Name of Traveler: Joe Barton
2.	b. Relationship to Traveler: \square Spouse \square Child \square Other (specify):
٥.	h Detas et annual
4. 5.	Departure city: Dallas Destination: Oslo Sponsor(s) (who paid for the trip): Aspen Institute
6.	Describe meetings and events attended (attach additional pages if necessary):
7.	Attached to this form are EACH of the following (signify that each item is attached by checking the corresponding box): a. a completed Sponsor Post-Travel Disclosure Form: b. the Primary Trip Sponsor Form completed by the trip sponsor prior to the trip, including all attachments and Grantmaking or Non-Grantmaking Sponsor Forms; c. page 2 of the completed Traveler Form submitted by the Member or officer; and the letter from the Committee on Ethics approving my participation on this trip. a. I represent that I participated in each of the activities reflected in the sponsor's agenda. (Signify that statement is true by checking box):
For U.S pri	ertify that the information contained in this form is true, complete, and correct to the best of my owledge. I have determined that all of the expenses on the attached Sponsor Post-Travel Disclosure in were necessary and that the travel was in connection with my duties as a Member or officer of the S. House of Representatives and would not create the appearance that I am using public office for vate gain.
	/ DATE: 08/30/2017
1 673	ton date 2 2015 by Committee on Ethics

(19)

LEGISLATIVE RESOURCE CENTER

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U.S. House of Representatives Committee on Ethics

OFFICE OF THE CLERK U.S. HOUSE OF REPRESENTATIVES

SPONSOR POST-TRAVEL DISCLOSURE FORM

This form must be completed by an officer of any organization that served as the primary trip sponsor in providing travel expenses or reimbursement for travel expenses to House Members, officers, or employees under House Rule 25, clause 5. A completed copy of the form must be provided to each House Member, officer, or employee who participated on the trip within 10 days of their return. You must answer all questions, and check all boxes, on this form for your submission to comply with House rules and the Committee's travel regulations. Failure to comply with this requirement may result in the denial of future requests to sponsor trips and/or subject the current traveler to disciplinary action or a requirement to repay the trip expenses.

> NOTE: Willful or knowing misrepresentations on this form may be subject to criminal prosecution pursuant to 18 U.S.C. § 1001.

1. Sponsor(s) (who paid for the trip) The Aspen Institute, Inc. (Congressional Program)

	ation(s): Oslo, N	Norway	and the second s		
Date of Depar	rture August 9	, 2017	Date o	of Return: August 15, 2017	
Name(s) of Ti (NOTE: You	The second second	Joe Barton one traveler on a	form only if a	ll information is <u>identical</u> for each person listed.)	
Actual amou	tual amount of expenses paid on behalf of, or reimbursed to, each individual named in response to Question 4				
	Total Transportation Expenses	Total Lodging Expenses	Total Meal Expenses	Other Expenses (dollar amount per item and description)	
Traveler	\$3,478	\$705	\$625	\$1,084: mtg room expenses & conf. fees	
Accompanyin Relative	ıg				
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Name: Dar	The Aspen I	nstitute, Inc.		Title: VP-Aspen Institute: Executive Director-Congressional Program	
Name: Dai Organization:		a a a a	n (signify state		
Name: Date Organization:	er of the above-na	med organizatio		ement is true by checking box):	
Name: Date Organization: I am an office Address: 1 [er of the above-nar Dupont Circle, mber: 202-736-	med organizatio NW, Suite	700, Wash Iones)		

U.S. House of Representatives Committee on Ethics

TRAVELER FORM

1.	Name of Traveler: Jue Barton
2.	Sponsor(s) (who will be paying for the trip): Aspen Institue
3.	Travel destination(s). Oslo, Norway
4	a. Date of departure August 9, 2017 Date of return: August 15, 2017 b. Will you be extending the trip at your personal expense? Yes No If yes, dates at personal expense:
5.	 a. Will you be accompanied by a relative at the sponsor's expense? Yes No (1) Name of accompanying relative:
	(2) Relationship to traveler: Spouse Child Other (specify):
	(3) Accompanying relative is at least 18 years of age:
6.	
7.	Primary Trip Sponsor Form is attached, including agenda, invitee list, and any other attachments and contributing sponsor forms: Yes No NOTE: The agenda should show the traveler's individual schedule, including departure and arrival time and identify the specific events in which the traveler will be participating.
8.	
	And the contract of the contra
9.	Is the traveler aware of any registered federal lobbyists or foreign agents involved in planning organizing, requesting, and or arranging the trip? Yes No
10.	FOR STAFF TRAVELERS:
	TO BE COMPLETED BY YOUR EMPLOYING MEMBER:
	ADVANCED AUTHORIZATION OF EMPLOYEE TRAVEL I hereby authorize the individual named above, an employee of the U.S. House of Representatives who works under my direct supervision, to accept expenses for the trip described in this request. I have determined that the above-described travel is in connection with my employee's official duties and that acceptance of these expenses will not create the appearance that the employee is using public office for private gain.
	Date: 07/06/2017
	Signature of Employing Member
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For each Member.			
	\$5,000	1 \$ 706	\$ 625
For each accompanying relative	\$5,000	-0-	\$625

For each Member. Officer, or employee \$1,084 meeting room expenses and conf. fees For each accompanying \$1,084 meeting room expenses and conf. fees

> NOTE: Willful or knowing misrepresentations on this form may be subject to criminal prosecution pursuant to 18 U.S.C. § 1001.

ĵý,	Check	one:	
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a. I certify that I am an officer of the organization listed below.

b. N/A – sponsor is an individual of a U.S. institution of higher education. \square

20. I certify that I am not a registered underal loobyist of foreign agent for any sponsor of this cap

21. I certify by my similar thoughts supprofusion formers in this form is communicatively, and correct to one best of

Signature:

Dan Glickman

VP-Aspen Institute; Executive Director - Congressional Program

The Aspen Institute, Inc. Organization

1 Dupont Circle, NW, Suite 700, Washington, DC 20036

202-736-5859 (Lisa Jones)

Freat address lisa.jones@aspeninst.org

If there are any questions regarding this form please contact the communities at the rollowing address

Committee on Ethics L.S. House of Representatives 1015 Longworth House Office Building Washington, OC 20518 (202) 128-7103 (phone) (202) 225-7392 general (axi

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Susan W. Brooks, Indiana Chairwoman Theodore E. Deutch, Florida Ranking Member

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ONE HUNDRED FIFTEENTH CONGRESS

U.S. House of Representatives

COMMITTEE ON ETHICS

August 2, 2017

Thomas A. Rust Staff Director and Chief Coimset

Donna Herbert
Director of Administration

Megan Savage Chief of Staff and Counsel in the Chairvonnan

Daniel J. Taylor Counsel in the Ranking Member

1015 Longworth House Office Building Washington, D.C. 20515-6328 Telephone: (202) 225-7103 Facsimile. (202) 225-7392

The Honorable Joe Barton U.S. House of Representatives 2107 Rayburn House Office Building Washington, DC 20515

Dear Colleague:

Pursuant to House Rule 25, clause 5(d)(2), the Committee on Ethics hereby approves your proposed trip to Norway, scheduled for August 9 to 15, 2017, sponsored by the Aspen Institute, Inc., with financial support from the Henry Luce Foundation, the Democracy Fund, the John D. and Catherine T. MacArthur Foundation, and the Rockefeller Brothers Fund.

You must complete a Member/Officer Post-Travel Disclosure Form and file it, together with a Sponsor Post-Travel Disclosure Form completed by the trip sponsor, with the Clerk of the House within 15 days after your return from travel. As part of that filing, you are also required to attach a copy of this letter and both the Traveler and Primary Trip Sponsor Forms (including attachments) you previously submitted to the Committee in seeking pre-approval for this trip. You must also report all travel expenses totaling more than \$390 from a single source on the "Travel" schedule of your annual Financial Disclosure Statement covering this calendar year. Finally, Travel Regulation \$404(d) also requires you to keep a copy of all request forms and supporting information provided to the Committee for three subsequent Congresses from the date of travel.

Because the trip may involve meetings with foreign government representatives, we note that House Members may accept, under the Foreign Gifts and Decorations Act (FGDA), gifts "of minimal value [currently \$390] tendered as a souvenir or mark of courtesy" by a foreign government. Any tangible gifts valued in excess of minimal value received from a foreign government must, within 60 days of acceptance, be disclosed on a Form for Disclosing Gifts from Foreign Governments filed with the Committee, and either turned over to the Clerk of the House, or, with the written approval of the Committee, retained for official use.

The Honorable Joe Barton Page 2

If you have any further questions, please contact the Committee's Office of Advice and Education at extension 5-7103.

Sincerely,

Susan W. Brooks
Chairwoman

Theodore E. Deutch Ranking Member

SWB/TED:wfs

Answer to Question 4 – House Ethics Primary Trip Sponsor Form Aspen Institute – Oslo Conference – 2017

4. Provide names and titles of all House members you are inviting. For each House invitee, provide an explanation of why the individual was invited.

The invitees reflect a bipartisan delegation representing different geographical areas of the country, having various committee assignments with relevance to policy issues dealing with energy. These Members have an interest in deeper analysis with the benefit of American and international scholars.

Rep. Joe Barton, TX – Energy and Commerce Committee

Rep. Brendan Boyle, PA -Budget Committee

Rep. Ted Budd, NC – Financial Services Committee

Rep. Bradley Byrne, AL – Armed Services Committee

Rep. Chris Collins, NY - Energy and Commerce Committee

Rep. Susan Davis, CA – Armed Services Committee

Rep. Dan Donovan, NY – Homeland Security Committee

Rep. Gene Green, TX – Energy and Commerce Committee

Rep. Brian Higgins, NY - Ways and Means Committee

Rep. Randy Hultgren, IL – Science, Space and Technology Committee

Rep. Ann Kuster, D-NH - Agriculture Committee

Rep. Doug Lamborn, CO - Natural Resources Committee

Rep. Rick Larsen, WA – Transportation and Infrastructure Committee

Rep. Nita Lowey, NY – Appropriations Committee

Rep. Mark Meadows, NC - Transportation and Infrastructure Committee

Rep. Pat Meehan, PA – Ways and Means Committee

Rep. Chellie Pingree, ME – Appropriations Committee

Rep. David Price, NC – Appropriations Committee

Rep. Peter Roskam, IL – Ways and Means Committee

Rep. Bobby Rush, IL – Energy and Commerce Committee

Rep. Terri Sewell, AL – Ways and Means Committee

Rep. Scott Tipton, CO - Natural Resources Committee

Rep. Fred Upton, R-MI – Energy and Commerce Committee



Dan Glickman

Executive Director

Congressional Program

The Honorable Joe Barton U.S. House of Representatives Washington, DC 20515

Dear Joe:

I would like to invite you to participate in a congressional conference on *Energy for America: Opportunities, Challenges, and Solutions*, August 9-15, 2017, in Oslo, Norway, during the August recess. We have limited space and must hear from you proactively as soon as possible if you wish to participate.

fre. De med March 20, 2017

The energy picture has changed considerably in recent years. Technological advances have expanded production, making the U.S. the world's largest oil producer, which has kept prices down and lessened the role of traditional oil-producing countries. At the same time, efficiencies of scale have made renewable energy use more prominent. Major changes in the auto industry are underway that will have significant impact on energy consumption in the future. All these issues and more will be analyzed in a comprehensive four-day focus reflected on the attached agenda outline, drawing policy examples when appropriate from the experience of Norway, a major oil & gas producer, yet also in the forefront on electric vehicles and renewable energy. The agenda is

We will have a mix of contributors from Norway as well as prominent American and European scholars to assist our discussions. Attendance is by invitation only, with no outside observers, lobbyists, or congressional staff. Funding is provided solely by grants from foundations—no government, individual, corporate or special interest funds are accepted.

Under guidelines established by the House Committee on Standards of Official Conduct, we will provide you with a signed Private Sponsor Travel Certification Form affirming that no lobbyists fund this activity, that the Aspen Institute does not hire or retain lobbyists, and that no lobbyists will be present. Our purpose is education, not advocacy.

If your accompanying spouse or family member is a registered lobbyist, under the ethics rules of the House, their participation would not be allowed. Guidance from the Committee will not allow us to accept any enrollments less than one month prior to the conference.

Departure will be on Wednesday, August 9th and we will return on Tuesday, August 15th. Should you accept the invitation, it is required that you participate through the duration of the conference.



One Dupont Circle, NW

Suite 700

Washington, DC 20036-1133

PH 202.736.5825

FX 202.785-2737

www.aspeninstitute.org



The Honorable Joe Barton March 20, 2017 Page Two

Your expenses, including business class airfare on a carrier with whom we have negotiated a fare, lodging and meals will be paid by the Aspen Institute Congressional Program. Under the ethics rules, no expenses are covered for entertainment or recreation. Congressional participants are limited to only one accompanying family member.

The Aspen Institute, an independent, nonprofit organization founded in 1950, provides a nonpartisan, neutral forum for leaders to discuss critical issues. The Institute's Congressional Program is designed to promote leadership on selected public policy issues in the Congress by bringing legislators together with internationally-recognized scholars and analysts in high-level discussion. Since the program's inception, over 410 Members of Congress have participated in the 133 conferences we have conducted.

Enclosed is a fact sheet on the program. In addition to answering any questions you may have, it has proven to be useful in responding to public and press inquiries.

Additionally, we hold breakfast meetings in the Capitol throughout the year to keep Members of Congress up-to-date between our annual conferences. We hope you will be able to participate in the extended educational offerings of our breakfast series.

If you have any questions, please feel free to call me at 202-736-5825. I hope you will join us for this important conference.

Sincerely,

Dan Glickman

Enclosures

ENERGY for AMERICA:

Opportunities, Challenges, and Solutions

Agenda overview as of 3/17/2017 THE ASPEN INSTITUTE CONGRESSIONAL PROGRAM

Oslo, Norway

August 9-15, 2017

DRAFT TENTATIVE AGENDA

WEDNESDAY, August 9;

American participants travel to Norway

THURSDAY, August 10:

All participants arrive **Evening Dinner**

FRIDAY, August 11:

Roundtable Discussion (9-11):

NORWAY'S UNIQUE APPROACH TO ENERGY: ARE **EXAMPLES APPLICABLE FOR THE U.S.?**

Two Norwegian Experts to be determined:

A Statoil executive, tbd

A Norwegian energy analyst, tbd

Roundtable Discussion (11-1):

ENERGY AND TECHNOLOGY:

WHAT ARE THE BIG TRENDS AND WHAT DO THEY MEAN FOR THE WORLD?

THE STATUS AND PROSPECTS FOR OIL, COAL,

NATURAL GAS, SOLAR, WIND, NUCLEAR, & ENERGY EFFICIENCY.

Eric Beinhocker, Executive Director, Institute of the New Economic Thinking, University of Oxford (confirmed) Arun Majumdar, Director, Precourt Institute for Energy, Stanford University; former Founding Director of Advanced Research Projects Agency-Energy (confirmed)

Afternoon Educational Site Visit

SATURDAY, August 12:

Roundtable Discussion (9-1):

market forces, climate change, and the

GEOPOLITICS OF ENERGY:

THE CHANGING ROLE OF OPEC, IMPLICATIONS OF DECLINING DEPENDENCY ON THE MIDDLE EAST AND U.S. POTENTIAL FOR ENERGY INDEPENDENCE

General James Conway, former Commandant of the Marine Corps, retired Four-Star General who led operations in Iraq (confirmed)

Army Myers Jaffe, Executive Director, Energy & Sustainability, UC-Davis (confirmed)

Luncheon Discussion

THE CHANGING GLOBAL ENERGY PICTURE: A CORPORATE VIEWPOINT

Charles O. "Chad" Holliday, Board Chairman, Royal Dutch Shell (invited)

SUNDAY, August 13:

Roundtable Discussion (9-11):

POLICIES THAT WORK: HOW TO DESIGN ENERGY POLICIES THAT MEET U.S. GOALS; KEY DESIGN PRINCIPALS TO MAXIMIZE PRODUCTION, EFFICIENCY AND INVESTMENT; AND THE ROLE OF RESEARCH AND DEVELOPMENT

Hal Harvey, Chief Executive Officer, Energy Innovation, San Francisco (confirmed)

Roundtable Discussion (11-1):

THE FUTURE GRID AND HOW TO MAKE IT MORE RELIABLE, CLEANER AND AFFORABLE: LESSONS FROM TEXAS AND CALIFORNIA

Steve Berberich, Chief Executive Officer, California Independent System Operator, Folsom, CA (confirmed)

MONDAY, August 14:

Roundtable Discussion (9-1):

transforming vehicles and energy needs of TRANSPORTATION: HOW WILL THE ELECTRIC VEHICLE REVOLUTION PROCEED? CAN TRUCKING FLEETS BE CONVERTED? WHAT IS THE ROLE FOR AUTONOMOUS VEHICLES AND THEIR ENERGY

Ulrich Eichhorn, Director of Research & Development, Volkswagen Group, Wolfsburg, Germany (invited) Drew Kodjak, Executive Director, International Council on Clean Technology, Washington, DC (confirmed)

Meeting with Norway's Premier (to be requested)

TUESDAY, August 15: All participants return to USA



The Aspen Institute Congressional Program is a nongovernmental, nonpartisan educational program for members of the U.S. Congress. It provides lawmakers with a stronger grasp of critical public policy issues by convening high-level conferences in which legislators are brought together with internationally recognized academics, experts and leaders to study their ideas and to explore various policy alternatives. Political neutrality is essential to the educational mission of the program. There is no identification with a political or party viewpoint and no endorsement of specific legislation. Its Executive Director is former Agriculture Secretary Dan Glickman and its founder was former Senator Dick Clark. Projects focusing on U.S. policy challenges in 2017 will include: U.S.-Russia Relations, America's Interests in Asia; India's Emergence and Development Challenges, and Energy Policy Opportunities.

- Participation. Since the program's inception, 410 members of Congress (including 35% of the current Congress), 194 international parliamentarians, political and government leaders, and 921 scholar/experts have been involved. Participation is limited to these individuals, their spouses and foundation representatives. No lobbyists, congressional staff or outside observers are permitted.
- Funding. Since its inception, the Congressional Program has been funded solely by established foundations. Funding is not accepted from lobbyists, governments, corporations, private citizens or special interests; and honoraria are not paid to members of Congress or scholars. Legislators feel free to engage openly because of their confidence in the integrity of the program. In 2017, funding is provided by the following foundations: Carnegie Corporation (foundation) of New York, The Democracy Fund, the Ford Foundation, the Bill and Melinda Gates Foundation, the Heising-Simons Foundation, the William and Flora Hewlett Foundation, the Henry Luce Foundation, the John D. and Catherine T. MacArthur Foundation, the Rockefeller Foundation, Rockefeller Brothers Fund, and the Sasakawa Peace Foundation, USA.
- Ethics. The Congressional Program is in full compliance with the rules adopted by
 the House in 2007, and also conforms to provisions in the "Honest Leadership and
 Open Government Act of 2007." Lobbyists have no role whatsoever: they do not
 plan, fund, or participate in program activities. The Aspen Institute does not employ or
 retain registered lobbyists. All conference agendas are reviewed by the House and
 Senate ethics committees.
- Venues. Members of Congress face massive demands on their time. Convening
 conferences outside Washington gives lawmakers an opportunity to devote time, over
 a four-day period, for serious learning and reflection without interruption. To
 supplement the annual conferences, 20-25 breakfast meetings are held each year in
 the Capitol featuring distinguished scholars and international experts with both
 academic and practical experience on program topics.

Dan Glickman, Executive Director (202) 736-5825 www.aspeninstitute.org/congressional

TRAVELER FORM

Aspen Institute- Osio Conference 2017

Answer to Question 8

8. As vice chairmen of the Energy and Commerce committee, this conference allows me to learn more about potential energy solutions for the US, and hear from multiple experts and Norwegian officials on what has worked and what has not worked in developing energy resources and reducing energy demand.

Member Post-Travel Disclosure Form

Answer to gr	uestion	6
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6. I attended all meetings on the agenda, as they gave relevant insight and discussion to potential energy solutions for the United States.