☐ *Original* ☐ *Amendment*

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U.S. HOUSE OF REPRESENTATIVES

U.S. House of Representatives
Committee on Ethics

MEMBER / OFFICER POST-TRAVEL DISCLOSURE FORM

This form is for disclosing the receipt of travel expenses from a private source for travel taken in connection with a Member or officer's official duties. This form does not eliminate the need to report privately-funded travel on the Member or officer's annual Financial Disclosure Statement. In accordance with House Rule 25, clause 5, you must **complete this form and file it with the Clerk of the House, B-106 Cannon House Office Building, within 15 days after travel is completed**. Please **do not** file this form with the Committee on Ethics.

NOTE: Willful or knowing misrepresentations on this form may be subject to criminal prosecution pursuant to 18 U.S.C. § 1001.

1. Name of Traveler: Beto O'Rourke
2. a. Name of accompanying relative: Amy O'Rourke *or* None ☐
   b. Relationship to Traveler: ☑ Spouse ☐ Child ☐ Other (specify): _____
3. a. Dates of departure and return: Departure: 06/30/17 Return: 07/02/17
   b. Dates at personal expense: 07/02/17 *or* None ☐
4. Departure city: Washington, DC Destination: Aspen, CO Return city: El Paso, TX
5. Sponsor(s) (who paid for the trip): The Aspen Institute, Inc.
6. Describe meetings and events attended (attach additional pages if necessary): Rep. O'Rourke participated in a panel at the conference discussing bipartisanship
7. Attached to this form are EACH of the following (*signify that each item is attached by checking the corresponding box*):
   a. ☑ a completed Sponsor Post-Travel Disclosure Form;
   b. ☑ the Primary Trip Sponsor Form completed by the trip sponsor prior to the trip, including all attachments and Grantmaking or Non-Grantmaking Sponsor Forms;
   c. ☑ page 2 of the completed Traveler Form submitted by the Member or officer; ***and***
   d. ☐ the letter from the Committee on Ethics approving my participation on this trip.
8. a. I represent that I participated in each of the activities reflected in the sponsor's agenda. (*Signify that statement is true by checking box*): ☑
   b. If not, explain: _____

I certify that the information contained in this form is true, complete, and correct to the best of my knowledge. I have determined that all of the expenses on the attached Sponsor Post-Travel Disclosure Form were necessary and that the travel was in connection with my duties as a Member or officer of the U.S. House of Representatives and would not create the appearance that I am using public office for private gain.

SIGNATURE OF MEMBER: Beto O'Rourke.

DATE: 07/12/17

Version date 2/2013 by Committee on Ethics

☐ *Original* ☐ *Amendment*

U.S. House of Representatives
Committee on Ethics

SPONSOR POST-TRAVEL DISCLOSURE FORM

This form must be completed by an officer of any organization that served as the primary trip sponsor in providing travel expenses or reimbursement for travel expenses to House Members, officers, or employees under House Rule 25, clause 5. ***A completed copy of the form must be provided to each House Member, officer, or employee who participated on the trip within 10 days of their return.*** You must answer all questions, and check all boxes, on this form for your submission to comply with House rules and the Committee's travel regulations. Failure to comply with this requirement may result in the denial of future requests to sponsor trips and/or subject the current traveler to disciplinary action or a requirement to repay the trip expenses.

NOTE: Willful or knowing misrepresentations on this form may be subject to criminal prosecution pursuant to 18 U.S.C. § 1001.

1. Sponsor(s) (who paid for the trip): The Aspen Institute, Inc.

2. Travel Destination(s): Aspen, Colorado

3. Date of Departure: 6/30/2017 Date of Return: 7/2/2017

4. Name(s) of Traveler(s): Congressman Beto O'Rourke
(NOTE: You may list more than one traveler on a form only if all information is identical for each person listed.)

5. **Actual amount** of expenses paid on behalf of, or reimbursed to, each individual named in response to Question 4:

	Total *Transportation* Expenses	Total *Lodging* Expenses	Total *Meal* Expenses	*Other* Expenses (dollar amount per item and description)
Traveler	$2075.00	$360.40	N/A	$3600conf. reg. fee(waived for speakers)
Accompanying Relative	N/A			

6. All expenses connected to the trip were for actual costs incurred and not a *per diem* or lump sum payment. (*Signify statement is true by checking box*): ☒

I certify that the information contained in this form is true, complete, and correct to the best of my knowledge.

Signature: Elliot Gerson

Name: Elliot Gerson Title: EVP, Public & Policy Programs

Organization: The Aspen Institute, Inc.

I am an officer of the above-named organization ***(signify statement is true by checking box)***: ☒

Address: One Dupont Circle, NW Suite 700
Washington, DC 20036

Telephone number: 202-736-5859 (Lisa Jones, Deputy General Counsel)

Email Address: lisa.jones@aspeninstitute.org

Committee staff may contact the above-named individual if additional information is required.

If you have questions regarding your completion of this form, please contact the Committee on Ethics at (202) 225-7103.

U.S. House of Representatives
Committee on Ethics

TRAVELER FORM

1. Name of Traveler: Beto O'Rourke

2. Sponsor(s) (who will be paying for the trip): Aspen Institute Inc.

3. Travel destination(s): Aspen, CO

4. a. Date of departure June 30, 2017 Date of return: July 2, 2017
   b. Will you be extending the trip at your personal expense? ■ Yes ☐ No
   If yes, dates at personal expense: July 2nd

5. a. Will you be accompanied by a relative at the sponsor's expense? ☐ Yes ■ No
   b. If yes:
   (1) Name of accompanying relative: _____
   (2) Relationship to traveler: ☐ Spouse ☐ Child ☐ Other (specify): _____
   (3) Accompanying relative is at least 18 years of age: ☐ Yes ☐ No

6. a. Did the trip sponsor answer "yes" to Question 9(d) on the Primary Trip Sponsor Form (*i.e.*, travel is sponsored by an entity that employs a registered federal lobbyist or foreign agent and you are requesting lodging for two nights)? ☐ Yes ■ No
   b. If yes, explain why the second night of lodging is warranted:

7. Primary Trip Sponsor Form is attached, including agenda, invitee list, and any other attachments and contributing sponsor forms: ■ Yes ☐ No
   NOTE: The agenda should show the traveler's individual schedule, including departure and arrival times and identify the specific events in which the traveler will be participating.

8. Explain why participation in the trip is connected to the traveler's individual official or representational duties. Staff should include their job title and how the activities on the itinerary relate to their duties.

   The Aspen Institute has expressed an interest in Congressman O'Rourke and Hurd's road trip and would like to use their panel to facilitate a discussion on the importance of bipartisanship in Congress.

9. Is the traveler aware of any registered federal lobbyists or foreign agents involved in planning, organizing, requesting, and/or arranging the trip? ☐ Yes ■ No

10. **FOR STAFF TRAVELERS:**
    TO BE COMPLETED BY YOUR EMPLOYING MEMBER:

ADVANCED AUTHORIZATION OF EMPLOYEE TRAVEL

I hereby authorize the individual named above, an employee of the U.S. House of Representatives who works under my direct supervision, to accept expenses for the trip described in this request. I have determined that the above-described travel is in connection with my employee's official duties and that acceptance of these expenses will not create the appearance that the employee is using public office for private gain.

Date: _____ _____
Signature of Employing Member

U.S. House of Representatives
Committee on Ethics

PRIMARY TRIP SPONSOR FORM

This form should be completed by private entities offering to provide travel or reimbursement for travel to House Members, officers, or employees under House Rule 25, clause 5. A completed copy of the form (and any attachments) should be provided to each invited House Member, officer, or employee, who will then forward it to the Committee together with a Traveler Form ***at least 30 days before the start date of the trip***. The trip sponsor should NOT submit the form directly to the Committee. The Committee Web site (ethics.house.gov) provides detailed instructions for filling out the form.

NOTE: Willful or knowing misrepresentations on this form may be subject to criminal prosecution pursuant to 18 U.S.C. § 1001. Failure to comply with the Committee's Travel Regulations may also lead to the denial of permission to sponsor future trips.

1. Sponsor (who will be paying for the trip): The Aspen Institute, Inc.

2. I represent that the trip will not be financed (in whole or in part) by a registered federal lobbyist or foreign agent (*signify that the statement is true by checking box*): ■

3. *Check only one:* I represent that:
   a. the primary trip sponsor has not accepted from any other source funds intended directly or indirectly to finance any aspect of the trip ☐ ***or***
   b. the trip is arranged without regard to congressional participation and the primary trip sponsor has accepted funds only from entities that will receive a tangible benefit in exchange for those funds ■ ***or***.
   c. the primary trip sponsor has accepted funds from other source(s) intended directly or indirectly to finance all or part of this trip and has enclosed disclosure forms from each of those entities. ☐
   If "c" is checked, list the names of the additional sponsors: _____

4. Provide names and titles of **ALL** House Members and employees you are inviting. **For each House invitee, provide an explanation of why the individual was invited** (include additional pages if necessary):
   Rep. Beto O'Rourke and Rep. Will Hurd are invited (see attached)

5. Is travel being offered to an accompanying relative of the House invitee(s)? ☐ Yes ■ No

6. Date of departure: June 30, 2017 Date of return: July 1,2017

7. a. City of departure: Washington, DC
   b. Destination(s): Aspen, CO
   c. City of return: Austin, TX for Rep Hurd and Texas Airport TBD for Rep O'Rourke

8. I represent that (*check one of the following*):
   a. The sponsor of the trip is an institution of higher education within the meaning of section 101 of the Higher Education Act of 1965: ☐ ***or***
   b. The sponsor of the trip does not retain or employ a registered federal lobbyist or foreign agent: ■ ***or***
   c. The sponsor employs or retains a registered federal lobbyist or foreign agent, but the trip is for attendance at a one-day event *and* lobbyist/foreign agent involvement in planning, organizing, requesting, or arranging the trip was *de minimis* under the Committee's travel regulations. ☐

9. Check one of the following:
   a. I checked 8(a) or (b) above: ■
   b. I checked 8(c) above but am not offering any lodging: ☐
   c. I checked 8(c) above and am offering lodging and meals for one night: ☐ ***or***
   d. I checked 8(c) above and am offering lodging and meals for two nights: ☐
   If "d" is checked, explain why the second night of lodging is warranted: _____

10. Attached is a detailed agenda of the activities the House invitees will be participating in during the travel (*i.e.*, an hourly description of planned activities for trip invitees) (*indicate agenda is attached by checking box*): ☒

11. Check one:
    a. I represent that a registered federal lobbyist or foreign agent will not accompany House Members or employees on any segment of the trip (*signify that the statement is true by checking box*): ☒ ***or***
    b. N/A – trip sponsor is a U.S. institution of higher education. ☐

12. For **each** sponsor required to submit a sponsor form, describe the sponsor's interest in the subject matter of the trip **and** its role in organizing and/or conducting the trip:

    The Aspen Institute is an educational and policy studies non-profit organization based in Washington, DC. Its mission is to foster leadership based on enduring values and to provide a nonpartisan venue for dealing with critical issues. The Ideas Festival is the flagship conference program of the Institute. The Institute plans, organizes and conducts the Ideas Festival in its entirety, including the programming, production, content and speakers (as well as all logistics for the speakers).

13. *Answer parts a and b. Answer part c if necessary.*
    a. Mode of travel: Air ☒ Rail ☐ Bus ☐ Car ☒ Other ☐ (Specify: _____)
    b. Class of travel: Coach ☒ Business ☐ First ☐ Charter ☐ Other ☐ (Specify: _____)
    c. If travel will be first class or by chartered or private aircraft, explain why such travel is warranted:

    _____

    _____

14. I represent that the expenditures related to local area travel during the trip will be unrelated to personal or recreational activities of the invitee(s). (*signify that the statement is true by checking box*): ☒

15. I represent that either (*check one of the following*):
    a. The trip involves an event that is arranged or organized *without regard* to congressional participation and that meals provided to congressional participants are similar to those provided to or purchased by other event attendees: ☒ ***or***
    b. The trip involves events that are arranged specifically *with regard* to congressional participation: ☐

    If "b" is checked:

    1) Detail the cost per day of meals (approximate cost may be provided): _____

    _____

    2) Provide reason for selecting the location of the event or trip: _____

    _____

    _____

    _____

16. Name, nightly cost, and reasons for selecting each hotel or other lodging facility:

    Hotel name: The Limelight Hotel City: Aspen, CO Cost per night: $340

    Reason(s) for selecting: The Limelight Hotel offers close proximity to the Aspen Ideas Festival and affords a level of safety and security for our participants.

    Hotel name: _____ City: _____ Cost per night: _____

    Reason(s) for selecting: _____

    Hotel name: _____ City: _____ Cost per night: _____

    Reason(s) for selecting: _____

17. I represent that all expenses connected to the trip will be for actual costs incurred and not a per diem or lump sum payment. (*signify that the statement is true by checking box*): ☒

18. TOTAL EXPENSES FOR EACH PARTICIPANT:

☐ actual amounts ☒ good faith estimates	Total *Transportation* Expenses per Participant	Total *Lodging* Expenses per Participant	Total *Meal* Expenses per Participant
For each Member, Officer, or employee	$950	$340 (1 nights)	(breakfast and lunch included in conference registration fee below)
For each accompanying relative	$0	$0	$0

	Other Expenses (dollar amount per item)	Identify Specific Nature of "Other" Expenses (*e.g.*, taxi, parking, registration fee, etc.)
For each Member, Officer, or employee	$3700	Conference registration fee($3600);taxi t
For each accompanying relative		

NOTE: Willful or knowing misrepresentations on this form may be subject to criminal prosecution pursuant to 18 U.S.C. § 1001.

19. Check one:
    a. I certify that I am an officer of the organization listed below. ☒ ***or***
    b. N/A – sponsor is an individual or a U.S. institution of higher education. ☐

20. I certify that I am not a registered federal lobbyist or foreign agent for any sponsor of this trip. ☒

21. I certify by my signature that the information contained in this form is true, complete, and correct to the best of my knowledge.

Signature: Elliot Gerson

Name: Elliot Gerson

Title: Executive Vice President, Public & Policy Programs

Organization: The Aspen Institute, Inc.

Address: One Dupont Circle, Suite 700, Washington, DC 20036

Telephone number: 202-736-5859 (Lisa Jones)

Email address: lisa.jones@aspeninstitute.org

If there are any questions regarding this form please contact the Committee at the following address:

Committee on Ethics
U.S. House of Representatives
1015 Longworth House Office Building
Washington, DC 20515
(202) 225-7103 (phone)
(202) 225-7392 (general fax)

Susan W. Brooks, Indiana
Chairwoman
Theodore E. Deutch, Florida
Ranking Member

Patrick Meehan, Pennsylvania
Trey Gowdy, South Carolina
Kenny Marchant, Texas
Leonard Lance, New Jersey

Yvette D. Clarke, New York
Jared Polis, Colorado
Anthony Brown, Maryland
Steve Cohen, Tennessee

ONE HUNDRED FIFTEENTH CONGRESS

U.S. House of Representatives

COMMITTEE ON ETHICS

Thomas A. Rust
Staff Director and Chief Counsel

Donna Herbert
Director of Administration

Sheria A. Clarke
Counsel to the Chairwoman

Daniel J. Taylor
Counsel to the Ranking Member

1015 Longworth House Office Building
Washington, D.C. 20515–6328
Telephone: (202) 225–7103
Facsimile: (202) 225–7392

June 15, 2017

The Honorable Beto O'Rourke
U.S. House of Representatives
1330 Longworth House Office Building
Washington, DC 20515

Dear Colleague:

Pursuant to House Rule 25, clause 5(d)(2), the Committee on Ethics hereby approves your proposed trip to Aspen, Colorado, scheduled for June 30 to July 2, 2017, sponsored by the Aspen Institute, Inc. We note that this trip includes one day at your personal expense.

You must complete a Member/Officer Post-Travel Disclosure Form and file it, together with a Sponsor Post-Travel Disclosure Form completed by the trip sponsor, with the Clerk of the House within 15 days after your return from travel. As part of that filing, you are also required to attach a copy of this letter and both the Traveler and Primary Trip Sponsor Forms (including attachments) you previously submitted to the Committee in seeking pre-approval for this trip. You must also report all travel expenses totaling more than $390 from a single source on the "Travel" schedule of your annual Financial Disclosure Statement covering this calendar year. Finally, Travel Regulation § 404(d) also requires you to keep a copy of all request forms and supporting information provided to the Committee for three subsequent Congresses from the date of travel.

If you have any further questions, please contact the Committee's Office of Advice and Education at extension 5-7103.

Sincerely,

Susan W. Brooks
Chairwoman

Theodore E. Deutch
Ranking Member

SWB/TED:wfs

The Aspen Institute

Primary Trip Sponsor Form Attachment

4. Rep. Beto O'Rourke and Rep. Will Hurd have been invited to speak at The Aspen Institute's Aspen Ideas Festival. Specifically, they will participate in "The America I Know" programming and "Bipartisanship is Alive in Texas" discussion at the Ideas Festival Conference. They were selected in part due to their bipartisan road trip to Washington, D.C. earlier this year that garnered national media attention.

ASPEN IDEAS FESTIVAL

Presenting Level
Allstate
Bank of America
Comcast NBCUniversal
McKinsey & Company
Mount Sinai Health System
The Paul E. Singer Foundation
Robert Wood Johnson Foundation
Southern Company
Toyota
Walton Family Foundation

Supporting Level
EY
Microsoft
Nestlé Waters North America
Pearson

Contributing Level
CA Technologies
Facebook
Love Your Melon
The Nature Conservancy
PBS
Rockefeller Foundation
Skoll Foundation
Standard Industries
The Wonderful Company